

Department of the Treasury  
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.  
▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

**Open to Public Inspection**

For calendar year **2017** or tax year beginning , **2017**, and ending , **20**

Name of foundation <b>DORIS DUKE CHARITABLE FOUNDATION</b>		<b>A Employer identification number</b> 13-7043679
Number and street (or P.O. box number if mail is not delivered to street address) <b>650 FIFTH AVENUE 19TH FLOOR</b>	Room/suite	<b>B Telephone number (see instructions)</b> (908) 243-3619
City or town, state or province, country, and ZIP or foreign postal code <b>NEW YORK, NY 10019</b>		<b>C</b> If exemption application is pending, check here. . . . . <input type="checkbox"/>
<b>G</b> Check all that apply:		<b>D</b> 1. Foreign organizations, check here. . . . . <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation . . . . . <input type="checkbox"/>
<input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here . . . . . <input type="checkbox"/>
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . . . . <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <b>1,874,496,418.</b>		
<b>J</b> Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)		

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)				
<b>Revenue</b>				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B. . . . .				
3 Interest on savings and temporary cash investments	92,027.	92,027.		
4 Dividends and interest from securities . . . . .	11,344,044.	17,023,453.		
5a Gross rents . . . . .				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	100,069,674.			
b Gross sales price for all assets on line 6a <b>1,392,173,900.</b>				
7 Capital gain net income (from Part IV, line 2)		104,424,137.		
8 Net short-term capital gain. . . . .				
9 Income modifications . . . . .				
10a Gross sales less returns and allowances . . . . .				
b Less: Cost of goods sold . . . . .				
c Gross profit or (loss) (attach schedule) . . . . .				
11 Other income (attach schedule) <b>ATCH 1</b>	250,723.	10,096,002.		
12 <b>Total.</b> Add lines 1 through 11 . . . . .	111,756,468.	131,635,619.	0.	
<b>Operating and Administrative Expenses</b>				
13 Compensation of officers, directors, trustees, etc. . . . .	375,000.			500,000.
14 Other employee salaries and wages . . . . .				
15 Pension plans, employee benefits . . . . .				
16a Legal fees (attach schedule) <b>ATCH 2</b>	108,196.			102,607.
b Accounting fees (attach schedule) . . . . .				
c Other professional fees (attach schedule) <b>[ 3 ]</b>	6,158,451.	6,123,741.		
17 Interest . . . . .				
18 Taxes (attach schedule) (see instructions) <b>[ 4 ]</b>	589,905.			
19 Depreciation (attach schedule) and depletion . . . . .				
20 Occupancy . . . . .				
21 Travel, conferences, and meetings . . . . .	17,519.			17,519.
22 Printing and publications . . . . .				
23 Other expenses (attach schedule) <b>ATCH 5</b>	9,646,445.	14,232,012.		9,282,888.
24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23. . . . .	16,895,516.	20,355,753.		9,903,014.
25 Contributions, gifts, grants paid . . . . .	67,537,697.			84,437,306.
26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	84,433,213.	20,355,753.	0.	94,340,320.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements . . . . .	27,323,255.			
b <b>Net investment income</b> (if negative, enter -0-)		111,279,866.		
c <b>Adjusted net income</b> (if negative, enter -0-)			0.	

# Application for Automatic Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**  
▶ **Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868).**

**Electronic filing (e-file).** You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile), click on Charities & Non-Profits, and click on e-file for *Charities and Non-Profits*.

**Automatic 6-Month Extension of Time.** Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Enter filer's identifying number, see instructions	
	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	DORIS DUKE CHARITABLE FOUNDATION	13-7043679
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
650 FIFTH AVENUE 19TH FLOOR		
City, town or post office, state, and ZIP code. For a foreign address, see instructions.		
NEW YORK, NY 10019		

Enter the Return Code for the return that this application is for (file a separate application for each return) . . . . . 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

EILEEN OBERLANDER

• The books are in the care of ▶ 1112 DUKES PARKWAY WEST HILLSBOROUGH NJ 08844

Telephone No. ▶ 908 243-3619 Fax No. ▶ \_\_\_\_\_

• If the organization does not have an office or place of business in the United States, check this box

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 6-month extension of time until 11/15, 2018, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ▶  calendar year 2017 or
- ▶  tax year beginning \_\_\_\_\_, 20\_\_\_\_, and ending \_\_\_\_\_, 20\_\_\_\_.

2 If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return  Change in accounting period

<b>3a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$ 2,225,502.
<b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$ 2,125,502.
<b>c Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$ 100,000.

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

**For Privacy Act and Paperwork Reduction Act Notice, see instructions.**

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing . . . . .	1,712,267.	2,356,881.	2,356,881.
	2	Savings and temporary cash investments . . . . .	34,937,924.	29,669,844.	29,669,844.
	3	Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .	2,795,934.	3,374,204.	3,374,204.
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .	83,640.	1,502,904.	1,502,904.
	10a	Investments - U.S. and state government obligations (attach schedule), . .			
	b	Investments - corporate stock (attach schedule) <u>ATCH 6</u> . . . . .	390,599,695.	457,903,217.	457,903,217.
	c	Investments - corporate bonds (attach schedule) <u>ATCH 7</u> . . . . .	48,909,373.	61,413,593.	61,413,593.
	11	Investments - land, buildings, and equipment: basis Less: accumulated depreciation (attach schedule) ▶ _____			
	12	Investments - mortgage loans . . . . .			
	13	Investments - other (attach schedule) . . . . <u>ATCH 8</u> . . . . .	1,245,172,388.	1,316,715,522.	1,316,715,522.
	14	Land, buildings, and equipment: basis Less: accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____ <u>ATCH 9</u> )	20,228,098.	1,560,253.	1,560,253.	
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .	1,744,439,319.	1,874,496,418.	1,874,496,418.	
Liabilities	17	Accounts payable and accrued expenses . . . . .	1,299,211.	1,290,204.	
	18	Grants payable . . . . .	81,695,086.	57,770,466.	
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons, . .			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____ <u>ATCH 10</u> )	25,955,167.	30,913,270.	
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	108,949,464.	89,973,940.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31.				
	24	Unrestricted . . . . .	1,635,489,855.	1,784,522,478.	
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .			
	29	Retained earnings, accumulated income, endowment, or other funds . .			
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	1,635,489,855.	1,784,522,478.		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	1,744,439,319.	1,874,496,418.		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1,635,489,855.
2	Enter amount from Part I, line 27a . . . . .	27,323,255.
3	Other increases not included in line 2 (itemize) ▶ <u>ATCH 11</u> . . . . .	121,709,368.
4	Add lines 1, 2, and 3 . . . . .	1,784,522,478.
5	Decreases not included in line 2 (itemize) ▶ _____ . . . . .	
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . .	1,784,522,478.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a</b> SEE PART IV SCHEDULE					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		<b>2</b>	104,424,137.	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 . . . . .	{ If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 . . . . . }		<b>3</b>	0.	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	91,788,644.	1,674,009,429.	0.054832
2015	91,743,150.	1,768,665,149.	0.051871
2014	88,040,166.	1,754,808,008.	0.050171
2013	83,234,494.	1,645,344,824.	0.050588
2012	86,576,154.	1,559,889,084.	0.055501
<b>2</b> Total of line 1, column (d) . . . . .			<b>2</b> 0.262963
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years . . . . .			<b>3</b> 0.052593
<b>4</b> Enter the net value of noncharitable-use assets for 2017 from Part X, line 5 . . . . .			<b>4</b> 1,775,422,397.
<b>5</b> Multiply line 4 by line 3. . . . .			<b>5</b> 93,374,790.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b). . . . .			<b>6</b> 1,112,799.
<b>7</b> Add lines 5 and 6. . . . .			<b>7</b> 94,487,589.
<b>8</b> Enter qualifying distributions from Part XII, line 4. . . . . If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			<b>8</b> 94,647,669.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. . . . . Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b. . . . .	<b>1</b>	1,112,799.
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	<b>2</b>	
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	1,112,799.
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	<b>4</b>	0.
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	1,112,799.
<b>6</b>	Credits/Payments:		
<b>a</b>	2017 estimated tax payments and 2016 overpayment credited to 2017. . . . .	<b>6a</b>	2,125,502.
<b>b</b>	Exempt foreign organizations - tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868). . . . .	<b>6c</b>	100,000.
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d . . . . .	<b>7</b>	2,225,502.
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	1,112,703.
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2018 estimated tax</b> <input type="checkbox"/> 1,112,703. <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition . . . . . If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities.		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		X
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	X	
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	X	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by <i>General Instruction T</i> .		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered. See instructions. <input type="checkbox"/> CA, NY,		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation . . . . .	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV. . . . .		X
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .		X

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-16 regarding controlled entities, distributions, public inspection, website address, books in care, and foreign country interests.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 requirements, including disqualifying acts, taxes on failure to distribute income, and business enterprise interests.

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

<b>5a</b>	During the year, did the foundation pay or incur any amount to:		<b>Yes</b>	<b>No</b>
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input checked="" type="checkbox"/>	Yes	<input type="checkbox"/> No
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
<b>b</b>	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		<b>5b</b>	X
	Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>		
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . ATCH 12	<input checked="" type="checkbox"/>	Yes	<input type="checkbox"/> No
	If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		<b>6b</b>	X
	If "Yes" to 6b, file Form 8870.			
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
<b>b</b>	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		<b>7b</b>	

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 13		500,000.	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total number of other employees paid over \$50,000.** . . . . . 0.

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 14		1,795,898.
<b>Total number of others receiving over \$50,000 for professional services</b>		<b>6</b>

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b> SEE ATTACHMENTS 17 & 18	
	1,229,317.
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
<b>1</b> SOCIAL FINANCE-DDCF PARTNERED WITH SOCIAL FINANCE, INC. TO PROMOTE STABILITY FOR FAMILIES AND TO REDUCE OUT-OF-HOME PLACEMENTS FOR CHILDREN IMPACTED BY PARENTAL SUBSTANCE ABUSE	307,349.
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b> NONE	
<b>Total.</b> Add lines 1 through 3	<b>307,349.</b>

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	1,749,749,875.
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	52,709,411.
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	1,802,459,286.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	1,802,459,286.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	27,036,889.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 . . . . .	<b>5</b>	1,775,422,397.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	88,771,120.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	88,771,120.
<b>2a</b>	Tax on investment income for 2017 from Part VI, line 5 . . . . .	<b>2a</b>	1,112,799.
<b>b</b>	Income tax for 2017. (This does not include the tax from Part VI.) . . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b . . . . .	<b>2c</b>	1,112,799.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	<b>3</b>	87,658,321.
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	334,694.
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	87,993,015.
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	87,993,015.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 . . . . .	<b>1a</b>	94,340,320.
<b>b</b>	Program-related investments - total from Part IX-B . . . . .	<b>1b</b>	307,349.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 . . . . .	<b>4</b>	94,647,669.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions . . . . .	<b>5</b>	1,112,799.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	93,534,870.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7 . . . . .				87,993,015.
2 Undistributed income, if any, as of the end of 2017:				
a Enter amount for 2016 only. . . . .				
b Total for prior years: 20 15 ,20 14 ,20 13 . . . . .				
3 Excess distributions carryover, if any, to 2017:				
a From 2012 . . . . .	1,487,150.			
b From 2013 . . . . .	2,764,142.			
c From 2014 . . . . .	1,801,249.			
d From 2015 . . . . .	5,208,206.			
e From 2016 . . . . .	9,444,900.			
f <b>Total</b> of lines 3a through e . . . . .	20,705,647.			
4 Qualifying distributions for 2017 from Part XII, line 4: ▶ \$ <u>94,647,669.</u>				
a Applied to 2016, but not more than line 2a . . . . .				
b Applied to undistributed income of prior years (Election required - see instructions). . . . .				
c Treated as distributions out of corpus (Election required - see instructions) . . . . .				
d Applied to 2017 distributable amount. . . . .				87,993,015.
e Remaining amount distributed out of corpus. . . . .	6,654,654.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 <b>Enter the net total of each column as indicated below:</b>				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	27,360,301.			
b Prior years' undistributed income. Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
d Subtract line 6c from line 6b. Taxable amount - see instructions . . . . .				
e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount - see instructions . . . . .				
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018. . . . .				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions) . . . . .	1,487,150.			
9 <b>Excess distributions carryover to 2018.</b> Subtract lines 7 and 8 from line 6a . . . . .	25,873,151.			
10 Analysis of line 9:				
a Excess from 2013 . . . . .	2,764,142.			
b Excess from 2014 . . . . .	1,801,249.			
c Excess from 2015 . . . . .	5,208,206.			
d Excess from 2016 . . . . .	9,444,900.			
e Excess from 2017 . . . . .	6,654,654.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling . . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with 5 columns: Tax year (a) 2017, (b) 2016, (c) 2015, (d) 2014, (e) Total. Rows include 2a-2e (Qualifying distributions) and 3a-3d (Alternative tests).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHMENT 19

c Any submission deadlines:

SEE ATTACHMENT 19

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHMENT 19

**Part XV** Supplementary Information *(continued)*

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year SEE ATTACHMENT 16				84,437,306.
<b>Total</b> .....				<b>▶ 3a</b> 84,437,306.
<b>b</b> Approved for future payment SEE ATTACHMENT 16A				21,615,362.
<b>Total</b> .....				<b>▶ 3b</b> 21,615,362.





**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		SEE ATTACHMENT 20					104424137.	
TOTAL GAIN(LOSS) .....							<u>104424137.</u>	

ATTACHMENT 1FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
ORDINARY INCOME FROM INVESTMENTS IN PASSIVE FOREIGN INVESTMENT COMPANIES		4,175,027.	
FEDERAL UNRELATED BUSINESS INCOME TAX REFUND	250,000.		
PROGRAM-RELATED INVESTMENT INCOME	703.	703.	
MISCELLANEOUS INCOME	20.	20.	
FLOW THROUGH INCOME FROM INVESTMENTS IN LIMITED PARTNERSHIPS		6,360,739.	
LESS INVESTMENT GAIN REPORTED AS UNRELATED BUSINESS INCOME ON 990-T		-440,487.	
TOTALS	<u>250,723.</u>	<u>10,096,002.</u>	

ATTACHMENT 2FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
SIMPSON THACHER & BARTLETT LLP	108,196.			102,607.
TOTALS	<u>108,196.</u>			<u>102,607.</u>

ATTACHMENT 3FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
CUSTODY FEES	593,865.	593,865.		
MANAGERS BASE FEES	2,033,371.	2,033,371.		
INVESTMENT ADVISORY FEES	442,382.	442,382.		
OTHER INVESTMENT EXPENSES	3,038,459.	3,038,459.		
INVESTMENT MGMT FEES ALLOCATED TO DDF (ON ITS HOLDINGS)	-28,370.	-28,370.		
SECURITIES LENDING EXPENSE	36,804.	36,804.		
INVESTMENT DATABASE ACCESS FEE	41,940.	41,940.		
LESS ALLOCATED INVESTMENT FEES REPORTED ON 990T		-34,710.		
TOTALS	<u>6,158,451.</u>	<u>6,123,741.</u>		

ATTACHMENT 4FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
CURRENT & DEFERRED FEDERAL EXCISE TAX PROVISION	549,542.			
STATE UNRELATED BUSINESS INCOME TAX PROVISION	40,363.			
TOTALS	<u>589,905.</u>			

ATTACHMENT 5FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
WIRE TRANSFER FEES (GRANTS)	28,251.			28,251.
PROGRAMMATIC & ADMINISTRATIVE EXPENSES INCURRED BY DDCF (BUT PAID BY A RELATED PARTY DDMF)	9,536,493.			9,173,219.
INSURANCE	78,216.			78,216.
FILING FEES	1,510.			1,510.
MISCELLANEOUS OFFICE EXPENSES	1,690.			1,692.
PENALTIES	285.			
FLOW-THROUGH EXPENSES FROM INVESTMENTS IN LIMITED PARTNERSHIPS		14,232,012.		
TOTALS	<u>9,646,445.</u>	<u>14,232,012.</u>		<u>9,282,888.</u>

ATTACHMENT 6FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
EQUITY INVESTMENTS	56,950,203.	56,950,203.
COMMINGLED INVESTMENTS	400,953,014.	400,953,014.
TOTALS	<u>457,903,217.</u>	<u>457,903,217.</u>

ATTACHMENT 7FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
FIXED INCOME INVESTMENTS	65,914,797.	65,914,797.
LESS FIXED INCOME INVESTMENT ALLOCATED TO DORIS DUKE FOUNDATION	-4,501,204.	-4,501,204.
TOTALS	<u>61,413,593.</u>	<u>61,413,593.</u>

ATTACHMENT 8FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
MULTI-STRATEGY ALTERNATIVES	284,252,126.	284,252,126.
PRIVATE EQUITY INVESTMENTS	436,961,623.	436,961,623.
DISTRESSED/HIGH YIELD	124,859,044.	124,859,044.
FUND OF FUNDS	54,073,748.	54,073,748.
BUYOUTS/GROWTH NON-MARKETABLE	120,130,790.	120,130,790.
VENTURE CAPITAL	192,262,845.	192,262,845.
DISTRESSED NON-MARKETABLE ALT	1,294,147.	1,294,147.
SPECIAL SITUATIONS	6,083,681.	6,083,681.
REAL ASSETS	96,797,518.	96,797,518.
TOTALS	<u>1,316,715,522.</u>	<u>1,316,715,522.</u>

ATTACHMENT 9FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PROGRAM RELATED INVESTMENTS		
- SOCIAL FINANCE	509,215.	509,215.
COLLECTIBLES	354,950.	354,950.
DUE FROM DORIS DUKE FOUNDATION	2,232.	2,232.
DUE FROM DORIS DUKE FOUNDATION FOR ISLAMIC ART	24,034.	24,034.
INVESTMENT RECEIVABLES	669,822.	669,822.
TOTALS	<u>1,560,253.</u>	<u>1,560,253.</u>

ATTACHMENT 10FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>
DUE TO DORIS DUKE MANAGEMENT FOUNDATION	7,206,665.
DUE TO DUKE FARMS FOUNDATION	156,960.
DEFERRED FEDERAL & STATE EXCISE TAXES	12,690,744.
DUE TO BROKER	10,854,931.
SECURITIES LENDING PAYABLE	3,970.
TOTALS	<u>30,913,270.</u>

ATTACHMENT 11FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
NET UNREALIZED GAIN ON SECURITIES	121,131,098.
CHANGE IN VALUE OF BENEFICIAL INTEREST IN TRUSTS HELD BY OTHERS	578,270.
TOTAL	<u>121,709,368.</u>

**Doris Duke Charitable Foundation**  
**Form 990-PF - Return of Private Foundation**  
**FYE: 12/31/2017**  
**Attachment 12**

The Doris Duke Charitable Foundation maintained expenditure responsibility for multiple grants to the following grantees:

**Grantees**

---

**Doris Duke Foundation for Islamic Art**

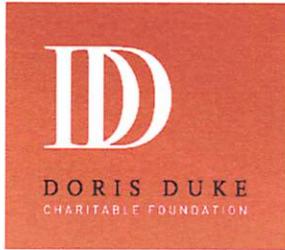
**Duke Farms Foundation**

**Doris Duke Foundation**

**Eureka Foundation Inc**

The expenditure responsibility reports follow this attachment 12 cover sheet.

The Doris Duke Charitable Foundation also maintained expenditure responsibility for various grants that were approved in 2017, but were not actually disbursed until the following year.



September 21, 2018

Mr. Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation for Islamic Art  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, New York 10019

Dear Mr. Simmons:

The Doris Duke Charitable Foundation has made grants to the Doris Duke Foundation for Islamic Art in 2017 totaling \$9,313,102 to be used for the Doris Duke Foundation for Islamic Art's general operating expenses (referred to collectively as the "Grant"). Because the Doris Duke Foundation for Islamic Art is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from the Doris Duke Foundation for Islamic Art before making payment of the Grant funds. By signing this letter, you agree on behalf of the Doris Duke Foundation for Islamic Art to the following specific obligations with respect to this Grant:

- (1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant);
- (3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which

we believe necessary to keep us fully apprised of the status or use of the Grant or income arising therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");

- (5) To keep these records of receipts and expenditures and copies of reports submitted to the Doris Duke Charitable Foundation for at least four years after completion of the use of the Grant funds; and
- (6) Not to use any of the Grant or income arising therefrom,
  - a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
  - b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
  - c) To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
  - d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, or as defined in section 170(c)(2)(B) of the Code.

In the event that you violate the terms of this letter agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, you agree that you will refund to the Doris Duke Charitable Foundation the full amount of the Grant and pay over to the Doris Duke Charitable Foundation any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the Doris Duke Charitable Foundation to ask that more detailed reports be submitted or that other steps be taken. The Doris Duke Charitable Foundation will promptly inform you of any such changes, and by signing this letter you indicate your agreement to provide such additional information and comply with such requests.

The Doris Duke Foundation for Islamic Art also agrees that no later than the end of its first taxable year after the taxable year in which it receives the Grant, it will make a qualifying distribution out of corpus,

Mr. Peter Simmons  
Page 3  
September 21, 2018

as defined in section 4942 of the Code, equal to the value of the Grant, and, within such time period, the Doris Duke Foundation for Islamic Art agrees to provide adequate records or other sufficient evidence to the Doris Duke Charitable Foundation to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (1) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which the Doris Duke Foundation for Islamic Art elects pursuant to Treas. Reg. 53.4942(A)-3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if the Doris Duke Foundation for Islamic Art were not an operating foundation.

If this letter and its attachment correctly set forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

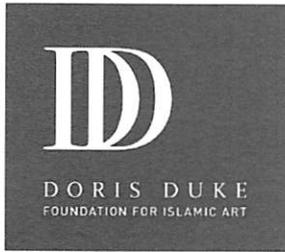
Very truly yours,

The Doris Duke Charitable Foundation

By: Edward P. Henry  
Edward P. Henry, President

Agreed to and accepted on behalf of the  
Doris Duke Foundation for Islamic Art this 24<sup>th</sup>  
day of September, 2018

By: Peter Simmons  
Peter Simmons, Chief Operating Officer



September 24, 2018

Mr. Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, New York 10019

Dear Mr. Henry:

In 2017, the Doris Duke Charitable Foundation ("DDCF") made grants totaling \$9,313,102 to the Doris Duke Foundation for Islamic Art ("DDFIA"). By a letter dated September 21, 2018, I agreed on behalf of DDFIA to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. Since all of the grant funds were spent during 2017 the year in which they were granted, this is both a first and final report.

Name and address of grantee:  
Doris Duke Foundation for Islamic Art  
4055 Papu Circle  
Honolulu, HI 96816

Purpose of Grants:

To be used for DDFIA's general operating expenses. Property owned by DDFIA is used for a charitable purpose since DDFIA facilitates the study and understanding of Middle Eastern Art and Culture.

Mr. Edward P. Henry  
Page 2  
September 24, 2018

The following table contains the date of payment of each part of the grant that was spent in 2017, and a description of how the grant was spent by DDFIA:

<u>Date of Payment</u>	<u>Amount of Grant</u>	<u>Purpose for which Grant was Used</u>
01/31/17	\$352,882	Employee Related Expenses; General Admin Expenses; Program Related Expenses; General Operating Expenses; Capital Expenditures.
02/28/17	\$296,195	" "
03/31/17	\$1,231,147	" "
04/28/17	\$446,917	" "
05/31/17	\$660,269	" "
06/30/17	\$915,493	" "
07/31/17	\$670,348	" "
08/31/17	\$374,700	" "
09/29/17	\$641,168	" "
10/31/17	\$374,196	" "
11/30/17	\$823,847	" "
12/31/17	\$2,525,940	" "

All of the grant funds have been spent for DDFIA's operating expenses, and no portion of the grant funds has been diverted from such purpose.

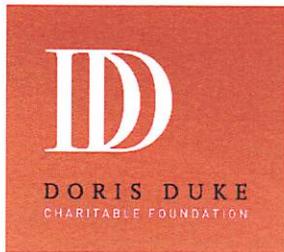
In addition, in the letter dated September 21, 2018, I represented that before the end of 2017, DDFIA would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of \$9,313,102 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made.

As described above, all of the grant funds were spent for reasonable and necessary administration expenses to accomplish DDFIA's charitable purposes, which are qualifying distributions. Therefore, a qualifying distribution was made of the entire value of the grants in 2017.

Since DDFIA has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if DDFIA were not a private operating foundation.

Very truly yours,  
Doris Duke Foundation for Islamic Art

By:   
Peter Simmons, Chief Operating Officer



September 21, 2018

Mr. Peter Simmons  
Chief Operating Officer  
Duke Farms Foundation  
1112 Dukes Parkway West  
Hillsborough, New Jersey 08844

Dear Mr. Simmons:

The Doris Duke Charitable Foundation has made grants to Duke Farms Foundation in 2017 totaling \$12,805,945 to be used for Duke Farms Foundation's general operating expenses (referred to collectively as the "Grant"). Because Duke Farms Foundation is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from Duke Farms Foundation before making payment of the Grant funds. By signing this letter, you agree on behalf of Duke Farms Foundation to the following specific obligations with respect to this Grant:

- (1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant);
- (3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which we believe necessary to keep us fully apprised of the status or use of the Grant or income arising

Mr. Peter Simmons  
Page 2  
September 21, 2018

therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");

- (5) To keep these records of receipts and expenditures and copies of reports submitted to the Doris Duke Charitable Foundation for at least four years after completion of the use of the Grant funds; and
- (6) Not to use any of the Grant or income arising therefrom,
  - a. To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
  - b. To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
  - c. To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
  - d. To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, or as defined in section 170(c)(2)(B) of the Code.

In the event that you violate the terms of this letter agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, you agree that you will refund to the Doris Duke Charitable Foundation the full amount of the Grant and pay over to the Doris Duke Charitable Foundation any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the Doris Duke Charitable Foundation to ask that more detailed reports be submitted or that other steps be taken. The Doris Duke Charitable Foundation will promptly inform you of any such changes, and by signing this letter you indicate your agreement to provide such additional information and comply with such requests.

Duke Farms Foundation also agrees that no later than the end of its first taxable year after the taxable year in which it receives the Grant, it will make a qualifying distribution out of corpus, as defined in

Mr. Peter Simmons  
Page 3  
September 21, 2018

section 4942 of the Code, equal to the value of the Grant, and, within such time period, Duke Farms Foundation agrees to provide adequate records or other sufficient evidence to the Doris Duke Charitable Foundation to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (1) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which Duke Farms Foundation elects pursuant to Treas. Reg. 53.4942(A) 3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if Duke Farms Foundation were not an operating foundation.

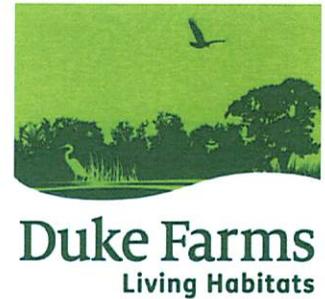
If this letter and its attachment correctly set forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Very truly yours,  
The Doris Duke Charitable Foundation

By: Edward P. Henry  
Edward P. Henry, President

Agreed to and accepted on behalf of  
Duke Farms Foundation this 24<sup>th</sup>  
day of September, 2018

By: Peter Simmons  
Peter Simmons, Chief Operating Officer



September 24, 2018

Mr. Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, New York 10019

Dear Mr. Henry:

In 2017, the Doris Duke Charitable Foundation ("DDCF") made grants totaling \$12,805,945 to Duke Farms Foundation ("DFF"). By a letter dated September 21, 2018, I agreed on behalf of DFF to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. Since all of the grant funds were spent during 2017 the year in which they were granted, this is both a first and final report.

Name and address of grantee:

Duke Farms Foundation  
1112 Dukes Parkway West  
Hillsborough, NJ 08844

Purpose of Grants:

To be used for DFF's general operating expenses. Property owned by DFF is used for a charitable purpose since DFF is a regional center for environmental stewardship. DFF provides educational programs to visitors as well as serving as a critical habitat for protecting endangered species of all kinds, both flora and fauna.

Mr. Edward P. Henry  
Page 2  
September 24, 2018

The following table contains the date of payment of each part of the grant that was spent in 2017 and a description of how the grant was spent by DFF:

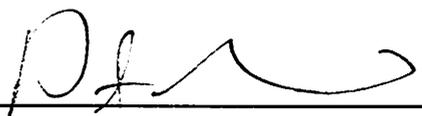
<u>Date of Payment</u>	<u>Amount of Grant</u>	<u>Purpose for which Grant was Used</u>
1/31/17	\$1,637,257	Employee Related Expenses; General Admin Expenses; Program Related Expenses; General Operating Expenses; Capital Expenditures.
2/28/17	\$572,164	" "
3/31/17	\$1,311,101	" "
4/28/17	\$946,113	" "
5/31/17	\$682,072	" "
6/30/17	\$1,819,428	" "
7/31/17	\$584,277	" "
8/31/17	\$840,143	" "
9/29/17	\$1,084,174	" "
10/31/17	\$800,847	" "
11/30/17	\$823,958	" "
12/31/17	\$1,704,411	" "

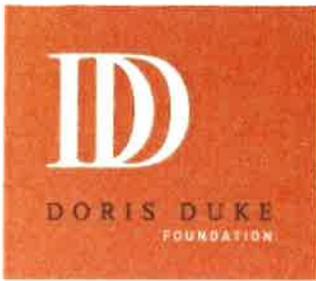
All of the grant funds have been spent for DFF's operating expenses, and no portion of the grant funds has been diverted from such purpose.

In addition, in the letter dated September 21, 2018, I represented that before the end of 2017, DFF would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of \$12,805,945 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made. As described above, all of the grant funds were spent for reasonable and necessary administration expenses to accomplish DFF's charitable purposes, which are qualifying distributions. Therefore, a qualifying distribution was made of the entire value of the grants in 2017.

Since DFF has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if DFF were not a private operating foundation.

Very truly yours,  
Duke Farms Foundation

By:   
Peter Simmons, Chief Operating Officer



October 2, 2018

Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2012015

Dear Mr. Henry:

In 2012, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation (“DDF”) totaling \$5,775,000 (the “Grant”).

By grant agreement executed April 3, 2012 and Amendment executed May 18, 2012 (collectively, the “Grant Agreement”), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF’s fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those payments, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Doris Duke Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

**Purpose of Grant:** To support the first cohort of Doris Duke Artists Award recipients selected for funding through the Doris Duke Performing Artists Awards Program (the “Awards Program”)

**Use of Qualifying Distribution Grant funds by DDF:** This is a report for calendar year 2017. DDF received grant payments from DDCF totaling \$372,046 in 2017. The grant funds were fully expended in 2017 toward the first cohort of Awards Program recipients, as shown on the following page.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

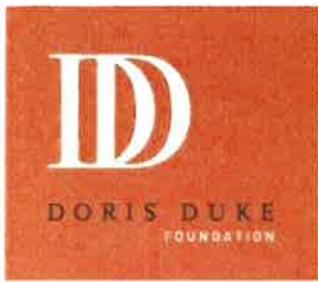
Very truly yours,

A handwritten signature in black ink, appearing to read 'Peter Simmons', written over a horizontal line.

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation

**Doris Duke Artist Awards - 2012 Cohort (21 Artists): Grants Paid in 2017 from Grant No. 2012015**

<b>Recipient*</b>	<b>Award</b>	<b>Purpose</b>	<b>Tax Status and Relationship to Any Foundation Manager or Substantial Contributor</b>	<b>Amount Paid 2017</b>
Bogart, Anne	Doris Duke Artist Award 2012	Unrestricted	Individual/None	\$25,000
	Doris Duke Artist Award 2012	Incentive Funding		\$1,486
Eckert, Rinde	Doris Duke Artist Award 2012	Incentive Funding	Individual/None	\$5,000
Hollenbeck, John	Doris Duke Artist Award 2012	Unrestricted	Individual/None	\$30,000
	Doris Duke Artist Award 2012	Audience Development		\$15,000
Iyer, Vijay	Doris Duke Artist Award 2012	Unrestricted	Individual/None	\$56,250
	Doris Duke Artist Award 2012	Audience Development		\$7,000
Joseph, Marc Bamuthi	Doris Duke Artist Award 2012	Incentive	Individual/None	\$25,000
Lee, Young Jean	Doris Duke Artist Award 2012	Unrestricted	Individual/None	\$41,667
Michelson, Sarah	Doris Duke Artist Award 2012	Unrestricted	Individual/None	\$34,000
Mitchell, Nicole	Doris Duke Artist Award 2012	Unrestricted	Individual/None	\$32,000
	Doris Duke Artist Award 2012	Incentive Funding		\$9,000
Otake, Eiko	Doris Duke Artist Award 2012	Incentive Funding	Individual/None	\$11,991
Otake, Takashi Koma	Doris Duke Artist Award 2012	Unrestricted	Individual/None	\$45,000
	Doris Duke Artist Award 2012	Incentive Funding		\$8,902
Twist, Basil	Doris Duke Artist Award 2012	Incentive Funding	Individual/None	\$19,750
Wilson, Reginald	Doris Duke Artist Award 2012	Incentive Funding	Individual/None	\$5,000
<b>Total Grants Paid to Individuals</b>				<b>\$372,046</b>
<b>Total Grants Paid to Organizations</b>				<b>\$0</b>
<b>TOTAL Grants Paid in 2017 from DDCF Grant No. 2012015</b>				<b>\$372,046</b>



October 2, 2018

Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2013030

Dear Mr. Henry:

In February 2013, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation (“DDF”) totaling \$5,500,000 (the “Grant”).

By grant agreement executed February 27, 2013 (the “Grant Agreement”), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF’s fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Doris Duke Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

**Purpose of Grant:** To support the second cohort of Doris Duke Artist Award recipients selected for funding through the Doris Duke Performing Artists Awards Program in 2013 (the “Awards Program”)

**Use of Qualifying Distribution Grant funds by DDF:** This is a report for calendar year 2017. DDF received payments from DDCF totaling \$540,949 in 2017. The grant funds were fully expended in 2017 toward the second cohort of Doris Duke Artist Award recipients, as shown on the following page.

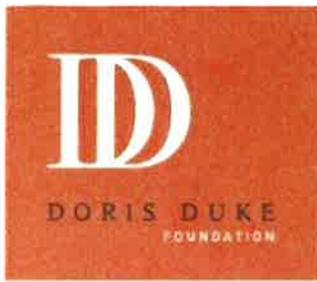
No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation

**Doris Duke Artist Awards - 2013 Cohort (20 Artists): Grants Paid in 2017 from Grant No. 2013030**

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2017
Copper, Kelly	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$50,000
	Doris Duke Artist Award 2013	Incentive Funding		
D'Amour, Lisa	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$35,000
Dorvillier, Deanna	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$25,000
	Doris Duke Artist Award 2013	Incentive Funding		
ElSaffar, Amir	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$34,500
	Doris Duke Artist Award 2013	Incentive Funding		
Lang, David	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$45,000
	Doris Duke Artist Award 2013	Incentive Funding		
Liska, Pavol	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$40,000
Mahanthappa, Rudresh	Doris Duke Artist Award 2013	Incentive Funding	Individual/None	\$2,500
Malpede, John	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$55,000
	Doris Duke Artist Award 2013	Incentive Funding		
Masaoka, Miya	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$45,000
	Doris Duke Artist Award 2013	Incentive Funding		
O'Connor, Tere	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$41,250
	Doris Duke Artist Award 2013	Incentive Funding		
Parker, William	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$33,000
	Doris Duke Artist Award 2013	Incentive Funding		
Streb, Elizabeth	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$40,000
Zollar, Willa Jo	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$61,000
<b>Total Grants Paid to Individuals</b>				<b>\$540,949</b>
<b>Total Grants Paid to Organizations</b>				<b>\$0</b>
<b>Total Grants Paid in 2017 from DDCF Grant #2013030</b>				<b>\$540,949</b>



October 2, 2018

Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2013221

Dear Mr. Henry:

In November 2013, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation (“DDF”) totaling \$5,500,000 (the “Grant”).

By grant agreement executed December 16, 2013 (the “Grant Agreement”), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF’s fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Doris Duke Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

**Purpose of Grant:** To support the third cohort of Doris Duke Artists Award recipients selected for funding through the Doris Duke Performing Artists Awards Program in 2014.

**Use of Qualifying Distribution Grant funds by DDF:** This is a report for calendar year 2017. DDF received payments from DDCF totaling \$688,332 in 2017. The grant funds were fully expended in 2017 toward the second cohort of Doris Duke Artist Award recipients and for one artist selected in the 2015 cohort, as shown on the following pages.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Peter Simmons', written over a horizontal line.

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation

### Doris Duke Artist Awards - 2014 Cohort (19 Artists) and One 2015 Cohort Artist: Grants Paid in 2017 from Grant No. 2013221

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2017
Collins, John Charles	Doris Duke Artist Award 2014	Incentive Funding	Individual/None	\$11,300
Haigood, Joanna	Doris Duke Artist Award 2014	Incentive Funding	Individual/None	\$3,250
Jasperse, John R.	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$46,250
Johnson, Emily	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$37,000
	Doris Duke Artist Award 2014	Incentive	Individual/None	\$25,000
Jones, William T.	Doris Duke Artist Award 2014	Incentive Funding	Individual/None	\$5,000
Joseph, Melanie	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$52,500
Keystone, Nancy	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$50,000
Kron, Lisa	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$45,000
Lehman, Stephen Hart	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$25,000
	Doris Duke Artist Award 2014	Audience Development		\$20,000
McCraney, Tarell A	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$75,000
	Doris Duke Artist Award 2014	Incentive Funding		\$12,047
Parkins, Elizabeth	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$40,000
	Doris Duke Artist Award 2014	Incentive Funding		\$6,250
Ramaswamy, Ranee	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$45,000
	Doris Duke Artist Award 2014	Incentive Funding		\$5,000
Shaw, Margaret	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$45,000
	Doris Duke Artist Award 2014	Incentive Funding		\$8,334
Taborn, Craig	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$45,000
	Doris Duke Artist Award 2014	Incentive Funding		\$5,000
King, Alonzo	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$45,000
	Doris Duke Artist Award 2015	Incentive Funding		\$11,401

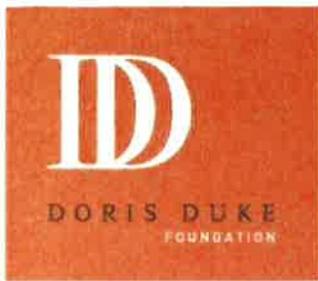
<b>Total Grants Paid to Individuals</b>	<b>\$663,332</b>
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**From Melanie Joseph's Audience Development funds (\$25,000)**

<b>Foundry Theatre Inc</b> 140-142 Second Ave Suite 405 New York, NY 10003-0000	To support the development and writing of a book about the evolution of The Foundry's practices over 25 years with the goal of informing and inviting young social justice organizers and theatre makers to consider new layers of their own practice.	<b>PC</b>	<b>\$25,000</b>
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<b>Total Grants Paid to Organizations</b>	<b>\$25,000</b>
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<b>Total Grants Paid in 2017 from DDCF Grant #2013221</b>	<b>\$688,332</b>
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October 2, 2018

Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2014207

Dear Mr. Henry:

In November 2014, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation ("DDF") totaling \$5,225,000 (the "Grant").

By grant agreement executed December 19, 2014 (the "Grant Agreement"), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF's fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Doris Duke Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

**Purpose of Grant:** To support the fourth cohort of Doris Duke Artists Award recipients, to be selected for funding through the Doris Duke Performing Artists Awards Program in 2015.

**Use of Qualifying Distribution Grant funds by DDF:** This is a report for calendar year 2017. DDF received payments from DDCF totaling \$1,100,426 in 2017. The grant funds were fully expended in 2017 toward the fourth cohort of Doris Duke Artist Award recipients, as shown on the following page.

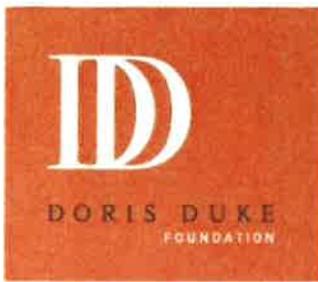
No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in black ink, appearing to read 'PS', followed by a long, horizontal flourish.

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation

<b>Doris Duke Artist Awards - 2015 Cohort (19 Artists): Grants Paid in 2017 from Grant No. 2014207</b>				
<b>Recipient*</b>	<b>Award</b>	<b>Purpose</b>	<b>Tax Status and</b>	<b>2017</b>
Abrams, Muhal Richard	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$70,700
	Doris Duke Artist Award 2015	Audience Development		\$10,130
Argue, Darcy James	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$90,000
Brown, Camille	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$52,500
	Doris Duke Artist Award 2015	Audience Development		\$10,000
	Doris Duke Artist Award 2015	Incentive Funding		\$3,000
Brown, Ronald	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$135,000
Carlson, Ann	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$58,000
Chipaumire, Nora	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$45,000
	Doris Duke Artist Award 2015	Incentive Funding		\$5,000
Coleman, Steven D	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$7,000
	Doris Duke Artist Award 2015	Audience Development		\$12,500
	Doris Duke Artist Award 2015	Incentive Funding		\$0
Flores, Paul	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$40,000
	Doris Duke Artist Award 2015	Audience Development		\$10,000
	Doris Duke Artist Award 2015	Incentive Funding		\$10,000
Hopkins, Cynthia	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$45,000
	Doris Duke Artist Award 2015	Incentive Funding		\$10,000
Lee, Okkyung	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$45,000
Parris-Bailey, Linda	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$90,000
	Doris Duke Artist Award 2015	Incentive Funding		\$14,666
Petronio, Stephen	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$45,000
	Doris Duke Artist Award 2015	Incentive Funding		\$6,930
Ruiz Sapp, Mildred	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$50,000
Sapp, Steven	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$50,000
Sides, Shawn	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$50,000
Terry, Yosvany	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$40,000
Varone, Doug	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$45,000
			<b>TOTAL</b>	<b>\$1,050,426</b>
<b>From Mildred Ruiz Sapp's Audience Development funds (\$25,000)</b>				
<b>Fractured Atlas Inc</b> 248 West 35th Street, 10th Floor New York, NY 10001-2505	<i>To support creation of an Audio Book of UNIVERSES Poetic Musical Theater Company's musical, "PARTY PEOPLE ORG," for educational purposes.</i>		<b>PC</b>	<b>\$25,000</b>
<b>From Steven Sapp's Audience Development funds (\$25,000)</b>				
<b>Fractured Atlas Inc</b> 248 West 35th Street, 10th Floor New York, NY 10001-2505	<i>To support creation of an Audio Book of UNIVERSES Poetic Musical Theater Company's musical, "PARTY PEOPLE ORG," for educational purposes.</i>		<b>PC</b>	<b>\$25,000</b>
			<b>Total Grants Paid to Organizations</b>	<b>\$50,000</b>
			<b>Total Grants Paid in 2017 from DDCF Grant #2014207</b>	<b>\$1,100,426</b>



October 3, 2018

Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2015302

Dear Mr. Henry:

In November 2015, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation (“DDF”) totaling \$5,500,000 (the “Grant”).

By grant agreement executed December 23, 2015 (the “Grant Agreement”), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF’s fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Doris Duke Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

**Purpose of Grant:** To support the fifth cohort of Doris Duke Artists Award recipients, to be selected for funding through the Doris Duke Performing Artists Awards Program in 2016.

**Use of Qualifying Distribution Grant funds by DDF:** This is a report for calendar year 2017. DDF received payments from DDCF totaling \$1,644,682 in 2017. The grant funds were fully expended in 2017 toward the fifth cohort of Doris Duke Artist Award recipients, as shown on the following page.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Peter Simmons', written over a white background.

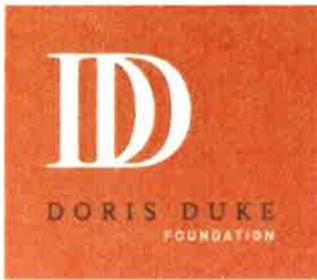
Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation

### Doris Duke Artist Awards - 2016 Cohort (21 Artists): Grants Paid in 2017 from Grant No. 2015302

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2017
Abraham, Kyle	Doris Duke Artist Award 2016	Unrestricted	Individual/None	\$75,000
Bridgforth, Sharon	Doris Duke Artist Award 2016 Doris Duke Artist Award 2016	Unrestricted Audience Development	Individual/None	\$75,000 \$25,000
Douglas, Dave	Doris Duke Artist Award 2016 Doris Duke Artist Award 2016	Unrestricted Audience Development	Individual/None	\$75,000 \$25,000
Driscoll, Faye	Doris Duke Artist Award 2016	Unrestricted	Individual/None	\$75,000
Geiser, Janie	Doris Duke Artist Award 2016	Unrestricted	Individual/None	\$75,000
Gutierrez, Miguel	Doris Duke Artist Award 2016	Unrestricted	Individual/None	\$75,000
Hersch, Fred	Doris Duke Artist Award 2016	Unrestricted	Individual/None	\$75,000
Horvitz, Wayne	Doris Duke Artist Award 2016	Unrestricted	Individual/None	\$75,000
Mac, Taylor	Doris Duke Artist Award 2016	Unrestricted	Individual/None	\$75,000
McIntyre, Dianne	Doris Duke Artist Award 2016 Doris Duke Artist Award 2016	Unrestricted Incentive Funding	Individual/None	15,000.00 2,500.00
Moran, Jason	Doris Duke Artist Award 2016 Doris Duke Artist Award 2016	Unrestricted Incentive Funding	Individual/None	\$75,000 \$14,340
Morris, Mark	Doris Duke Artist Award 2016 Doris Duke Artist Award 2016	Unrestricted Incentive Funding	Individual/None	\$75,000 \$11,250
Nottage, Lynn	Doris Duke Artist Award 2016 Doris Duke Artist Award 2016	Audience Development Incentive Funding	Individual/None	\$25,000 \$6,760
Phillips, Thaddeus	Doris Duke Artist Award 2016 Doris Duke Artist Award 2016	Unrestricted Audience Development	Individual/None	\$75,000 \$25,000
Roberts, Matana	Doris Duke Artist Award 2016 Doris Duke Artist Award 2016	Unrestricted Audience Development	Individual/None	\$58,333 \$15,000
Shyu, Jennifer Lay	Doris Duke Artist Award 2016 Doris Duke Artist Award 2016	Unrestricted Audience Development	Individual/None	\$49,000 \$15,000
Threadgill, Henry	Doris Duke Artist Award 2016 Doris Duke Artist Award 2016 Doris Duke Artist Award 2016	Unrestricted Audience Development Incentive Funding		15,000.00 15,000.00 15,000.00
Wylie, William (Will)	Doris Duke Artist Award 2016	Unrestricted	Individual/None	\$75,000
Ramaswamy, Aparna	Doris Duke Artist Award 2016 Doris Duke Artist Award 2016	Unrestricted Audience Development	Individual/None	\$75,000 \$25,000
Smith, Wadada Leo	Doris Duke Artist Award 2016 Doris Duke Artist Award 2016	Unrestricted Incentive Funding	Individual/None	\$75,000 \$12,500
Thorson, Morgan	Doris Duke Artist Award 2016	Unrestricted	Individual/None	\$75,000
<b>Total Grants Paid to Individuals</b>				<b>\$1,569,682</b>

**Doris Duke Artist Awards - 2016 Cohort (21 Artists): Grants Paid in 2017 from Grant No. 2015302**

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2017
<b>From Janie Geiser's Audience Development Funds (\$25,000), Grant No. 2016060B</b>				
<b>Automata Arts</b> 1920 N Hillhurst Ave Ste 400 Los Angeles, CA 90027-2712	<i>To support development, design, creation, and distribution of a monograph that will bring Janie Geiser's work to new audiences.</i>		PC	\$25,000
<b>From Mark Morris's Audience Development Funds (\$25,000), Grant No. 2016066B</b>				
<b>Discalced, Inc, dba Mark Morris Dance Group</b> 3 Lafayette Ave Brooklyn, NY 11217-1415	<i>To support creation of new data tools and enhanced strategies for direct communication with Mark Morris Dance Group ("MMDG") audiences, the long range goal of which is to turn audiences at presenters into MMDG supporters.</i>		PC	\$25,000
<b>From William Wylie's Audience Development Funds (\$25,000), Grant No. 2016069B</b>				
<b>Emerson College</b> 120 Boylston St Boston, MA 02116-4611	<i>To support a project entitled, "The Detroit Red Project," an exploratory theater work that will look at community engagement, audience development, and artistic process from a holistic perspective while comprehensively exploring the turbulent, destructive, yet ultimately redemptive life of Malcolm X as he dwelled and came of age in the Roxbury section of Boston.</i>		PC	\$25,000
<b>Total Grants Paid to Organizations</b>				<b>\$75,000</b>
<b>Total Grants Paid in 2017 from DDCF Grant #2015302</b>				<b>\$1,644,682</b>



October 2, 2018

Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2017141

Dear Mr. Henry:

In September 2017, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation (“DDF”) totaling \$1,650,000 (the “Grant”).

By grant agreement executed December 19, 2017 (the “Grant Agreement”), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF’s fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Doris Duke Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

**Purpose of Grant:** To support the Doris Duke Artists Award recipients, to be selected for funding through the Doris Duke Performing Artists Awards Program in 2018.

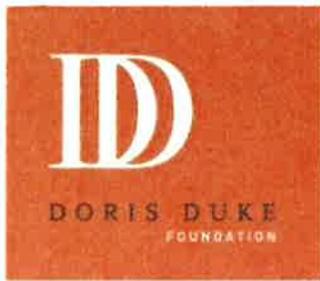
**Use of Qualifying Distribution Grant funds by DDF:** This is a report for calendar year 2017. No payments were received from DDCF and no funds were expended in 2017.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in blue ink, appearing to read 'P. Simmons', with a long horizontal flourish extending to the right.

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation



October 3, 2018

Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2013222

Dear Mr. Henry:

In November 2013, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation ("DDF") totaling \$1,600,000 (the "Grant").

By grant agreement executed December 16, 2013 (the "Grant Agreement"), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF's fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Doris Duke Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

**Purpose of Grant:** To support the first cohort of Doris Duke Impact Award recipients selected for funding through the Doris Duke Performing Artists Awards Program in 2014.

**Use of Qualifying Distribution Grant funds by DDF:** This is a report for calendar year 2017. DDF received payments from DDCF totaling \$220,828 in 2017. The grant funds were fully expended in 2017 toward the first cohort of Doris Duke Impact Award recipients, as shown on the following page.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Peter Simmons', with a long, sweeping underline.

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation

**Doris Duke Impact Awards - 2014 Cohort (20 Artists): Grants Paid in 2017 from Grant No. 2013222**

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2017
Coleman, Steven D	Doris Duke Impact Award 2014	Unrestricted	Individual/None	\$27,000
	Doris Duke Impact Award 2014	Incentive Funding		\$10,000
Harrell, Trajal	Doris Duke Impact Award 2014	Incentive Funding	Individual/None	\$2,750
Jarcho, Julia K	Doris Duke Impact Award 2014	Unrestricted	Individual/None	\$20,000
	Doris Duke Impact Award 2014	Audience Development		\$2,000
	Doris Duke Impact Award 2014	Incentive Funding		\$5,000
Lacey, Jennifer Megan	Doris Duke Impact Award 2014	Unrestricted	Individual/None	\$20,000
	Doris Duke Impact Award 2014	Incentive Funding		\$10,000
Melnick, Jodi	Doris Duke Impact Award 2014	Incentive Funding	Individual/None	\$3,500
Monder, Ben	Doris Duke Impact Award 2014	Incentive Funding	Individual/None	\$5,000
Monson, Jennifer	Doris Duke Impact Award 2014	Incentive Funding	Individual/None	\$2,350
Moss, Richard Dean	Doris Duke Impact Award 2014	Incentive Funding		\$225
Neare, Lucia	Doris Duke Impact Award 2014	Unrestricted	Individual/None	\$12,000
	Doris Duke Impact Award 2014	Audience Development		\$7,500
	Doris Duke Impact Award 2014	Incentive Funding		\$4,500
Ortiz Vizcay, Orlando Aruan	Doris Duke Impact Award 2014	Incentive Funding	Individual/None	\$7,500
Shyu, Jennifer Lay	Doris Duke Impact Award 2014	Unrestricted	Individual/None	\$9,000
	Doris Duke Impact Award 2014	Audience Development		\$10,000
Sommers, Michael	Doris Duke Impact Award 2014	Unrestricted	Individual/None	\$20,000
	Doris Duke Impact Award 2014	Incentive Funding		\$5,500
Truscott, Adrienne E.	Doris Duke Impact Award 2014	Incentive	Individual/None	\$2,500
Truscott, Cristal Chanelle	Doris Duke Impact Award 2014	Unrestricted	Individual/None	\$20,000
	Doris Duke Impact Award 2014	Incentive Funding		\$4,503
<b>Total Grants Paid to Individuals</b>				<b>\$210,828</b>

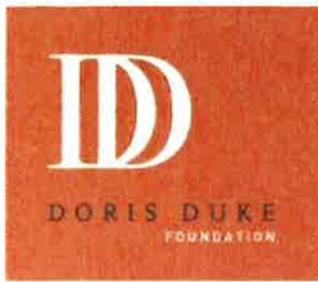
**From Jennifer Monson's Audience Development Funds (\$10,000), Grant No. 2014056B**

<b>iLand Inc</b> 140 Second Avenue New York, NY 10003-8364	<i>To support a project to migrate, restore and update the <a href="http://birdbraindance.org">birdbraindance.org</a> website, which provides public access to videos, photos, journals, natural history and teaching resource guides to the migrational dance project BIRD BRAIN, as well as to digitize past works, which will be archived on the <a href="http://iLand.org">iLand.org</a> website.</i>	PC	<b>\$5,000</b>
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**From Dean Moss's Audience Development Funds (\$10,000), Grant No. 2014057B**

<b>Gametophyte Inc</b> 528 Hancock St Ste 3 Fl Brooklyn, NY 11233-1019	<i>To support a project entitled: "Petra," an evening length multidisciplinary performance work and exhibition that also houses a convening of social activist organizations and/or activist artists over the period of the performance run.</i>	PC	<b>\$5,000</b>
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<b>Total Grants Paid to Organizations</b>				<b>\$10,000</b>
<b>Total Grants Paid in 2017 from DDCF Grant #2013222</b>				<b>\$220,828</b>



October 3, 2018

Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2014206

Dear Mr. Henry:

In November 2014, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation ("DDF") totaling \$1,600,000 (the "Grant").

By grant agreement executed December 19, 2014 (the "Grant Agreement"), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF's fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Doris Duke Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

**Purpose of Grant:** To support the second cohort of Doris Duke Impact Award recipients, to be selected for funding through the Doris Duke Performing Artists Awards Program in 2015.

**Use of Qualifying Distribution Grant funds by DDF:** This is a report for calendar year 2017. DDF received payments from DDCF totaling \$621,388 in 2017. The grant funds were fully expended in 2017 toward the second cohort of Doris Duke Impact Award recipients, as shown on the following page.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Peter Simmons', with a stylized flourish at the end.

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation

### Doris Duke Impact Awards - 2015 Cohort (20 Artists): Grants Paid in 2017 from Grant No. 2014206

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2017
Blackwell, Becca	Doris Duke Impact Award 2015	Unrestricted	Individual/None	\$20,000
	Doris Duke Impact Award 2015	Audience Development		\$10,000
	Doris Duke Impact Award 2015	Incentive Funding		\$2,750
Davis, Kris	Doris Duke Impact Award 2015	Unrestricted	Individual/None	\$5,000
	Doris Duke Impact Award 2015	Incentive Funding		\$2,500
deBessonnet, Lear	Doris Duke Impact Award 2015	Unrestricted	Individual/None	\$20,000
	Doris Duke Impact Award 2015	Incentive Funding		\$10,000
Dresser, Mark	Doris Duke Impact Award 2015	Unrestricted	Individual/None	\$30,000
	Doris Duke Impact Award 2015	Audience Development		\$4,000
	Doris Duke Impact Award 2015	Incentive Funding		\$5,000
Ellsworth, Michelle	Doris Duke Impact Award 2015	Unrestricted	Individual/None	\$20,000
Gill, Beth	Doris Duke Impact Award 2015	Audience Development	Individual/None	\$10,000
	Doris Duke Impact Award 2015	Incentive Funding		\$4,500
Graves, Milford	Doris Duke Impact Award 2015	Unrestricted	Individual/None	\$20,000
	Doris Duke Impact Award 2015	Incentive Funding		\$5,000
Houston Jones, Ishmael	Doris Duke Impact Award 2015	Unrestricted	Individual/None	\$36,000
	Doris Duke Impact Award 2015	Incentive Funding		\$3,250
Jenness, Morgan	Doris Duke Impact Award 2015	Unrestricted	Individual/None	\$25,000
	Doris Duke Impact Award 2015	Audience Development		\$10,000
Kravas, Heather	Doris Duke Impact Award 2015	Incentive Funding	Individual/None	\$5,250
Lee, Dohee	Doris Duke Impact Award 2015	Unrestricted	Individual/None	\$20,000
	Doris Duke Impact Award 2015	Audience Development		\$6,000
	Doris Duke Impact Award 2015	Incentive Funding		\$2,775
McIntyre, Dianne	Doris Duke Impact Award 2015	Unrestricted	Individual/None	\$60,000
	Doris Duke Impact Award 2015	Incentive Funding		\$10,000
Threadgill, Henry	Doris Duke Impact Award 2015	Unrestricted	Individual/None	\$60,000
	Doris Duke Impact Award 2015	Audience Development		\$10,000
	Doris Duke Impact Award 2015	Incentive Funding		\$10,000
Mitchell, Matthew	Doris Duke Impact Award 2015	Unrestricted	Individual/None	\$23,000
Murillo, Carlos	Doris Duke Impact Award 2015	Unrestricted	Individual/None	\$20,000
O'Harra, Brooke	Doris Duke Impact Award 2015	Incentive Funding	Individual/None	\$2,363
Rethorst, Susan	Doris Duke Impact Award 2015	Unrestricted	Individual/None	\$30,000
	Doris Duke Impact Award 2015	Audience Development		\$10,000
	Doris Duke Impact Award 2015	Incentive Funding		\$10,000
Sorey, Tyshawn	Doris Duke Impact Award 2015	Unrestricted	Individual/None	\$24,000
	Doris Duke Impact Award 2015	Audience Development		\$10,000
Workman, Reginald	Doris Duke Impact Award 2015	Audience Development	Individual/None	\$10,000
Z, Pamela	Doris Duke Impact Award 2015	Unrestricted	Individual/None	\$20,000
	Doris Duke Impact Award 2015	Audience Development		\$10,000
	Doris Duke Impact Award 2015	Incentive Funding		\$5,000
<b>Total Grants Paid to Individuals</b>				<b>\$601,388</b>

**Doris Duke Impact Awards - 2015 Cohort (20 Artists): Grants Paid in 2017 from Grant No. 2014206**

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2017
<b>From Ishmael Houston-Jones's Audience Development Funds (\$10,000), Grant No. 2015073B</b>				
<b>Movement Research</b> 55 Avenue C New York, NY 10009-6855	<i>To support a project to publish a book about dance improvisation, paired with two audience engagement activities that will reach the dance community with an emphasis on people of color.</i>		PC	\$10,000
<b>From Carolos Murillo's Audience Development Funds (\$10,000), Grant No. 2015081B</b>				
<b>New Dramatists, Inc.</b> 424 West 44th St. New York, NY 10036-5205	<i>To support staging and recording a concert version of the play, "A Thick Description of Harry Smith," for a 5-part podcast that will attract a new audience of podcast enthusiasts, folk music aficionados and people interested in experimental approaches to Americana</i>		PC	\$10,000
<b>Total Grants Paid to Organizations</b>				<b>\$20,000</b>
<b>Total Grants Paid in 2017 from DDCF Grant #2014206</b>				<b>\$621,388</b>

# EUREKA

FOUNDATION INC.

7/18/18

Adrienne A. Fisher  
Director of Grants Management  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2016225

Dear Ms. Fisher:

In 2016, the Doris Duke Charitable Foundation (DDCF) made a grant to Eureka Foundation, Inc. totaling \$75,000 (the "Grant").

According to the agreement dated December 15, 2016 ("Grant Agreement") Eureka Foundation, Inc. agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent, and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, Eureka Foundation, Inc. represented that before the end of the grant: December 31, 2017, it would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of the Grant, and that it would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Eureka Foundation Inc.  
12 East 12th Street, #10  
New York, NY 10003

**Purpose of Grant:** To support educational programming in connection with: GREAT MUSEUMS:  
*Masterworks of Islamic Art*

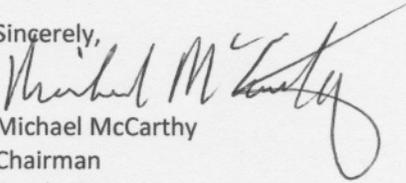
**Grant Activities Progress Report:** As we were completing the Great Museums film, which features the Islamic Art collections from The Met and The Louvre, and explores the cultural connections between the Western and Islamic worlds through the artwork, the unexpected departure of the Director of The Met, in July of 2017, required us to revise the films' script to account for the loss of one of the filmed participants. This, in turn, changed the timeline for the educational programming, supported by this grant, which is now scheduled to take place in 2018.

**Use of Qualifying Distribution Grant funds by Eureka Foundation, Inc.:** This is a report for calendar year 2017. None of the grant funds were expended on the project in 2017. At the recommendation of The Met and The Louvre, planning is underway for the educational outreach component of the project to take place in Q3 and Q4 of 2018. Eureka requested and DDCF approved a no cost extension of the grant through June 30, 2018 (and subsequently extended through November 30, 2018).

No portion of the grant funds has been diverted from the approved purpose of the Grant.

An expenditure responsibility report on project expenditures from the Grant during 2018 will be provided to DDCF in 2019.

Sincerely,

A handwritten signature in black ink, appearing to read "Michael McCarthy", with a large, stylized flourish extending from the end of the signature.

Michael McCarthy  
Chairman  
Eureka Foundation, Inc.

**Doris Duke Charitable Foundation**  
**Form 990-PF - Return of Private Foundation**  
**FYE: 12/31/2017**  
**Attachment 13**

**Form 990-PF, Part VIII - List of Officers, Directors and Trustees**

<b>Name</b>	<b>Title</b>	<b>Hrs Worked</b>	<b>Comp</b>	<b>Employee Benefits</b>	<b>Expense Accounts</b>
Peter A. Nadosy	Chairperson	136 hours per year	NONE	NONE	NONE
Anthony S. Fauci	Vice Chairperson	112 hours per year	NONE	NONE	NONE
Kathy Halbreich	Trustee	100 hours per year	\$ 100,000	NONE	NONE
Jide Zeitlin	Trustee	128 hours per year	NONE	NONE	NONE
James F. Gill	Trustee*	116 hours per year	\$ 175,000	NONE	NONE
William H. Schlesinger	Trustee**	0 hours per year	\$ 100,000	NONE	NONE
Nannerl O. Keohane	Trustee	128 hours per year	\$ 50,000	NONE	NONE
Angela K. Mwanza	Trustee	124 hours per year	\$ 50,000	NONE	NONE
Vishakha N. Desai	Trustee	100 hours per year	\$ 25,000	NONE	NONE
Marie Lynn Miranda	Trustee	96 hours per year	NONE	NONE	NONE
Clive Gillinson	Trustee	44 hours per year	NONE	NONE	NONE
William H. Wright II	Trustee	44 hours per year	NONE	NONE	NONE
Edward P. Henry	Chief Executive Officer/President	28 hours per week	NONE	NONE	NONE
Peter Simmons	Chief Operating Officer/Treasurer	15 hours per week	NONE	NONE	NONE
Erica Dziedzic	Secretary/Counsel	12 hours per week	NONE	NONE	NONE
<b>Total Compensation</b>			<b>\$ 500,000</b>	-	-

\*Board of Trustees Member James F. Gill passed away in October 2017; the compensation reported represents payments for his board service both in 2016 and 2017.

\*\*Board of Trustees Member William H. Schlesinger left the board at the end of 2016, and received a payout of \$100,000 in January 2017 for his service in 2016.

All trustees and officers provide services to five related entities (Doris Duke Charitable Foundation, Doris Duke Management Foundation, Duke Farms Foundation, Doris Duke Foundation for Islamic Art, Doris Duke Foundation), and may be reached at the Doris Duke Charitable Foundation's corporate address at 650 Fifth Avenue, 19th Floor, NY, NY, 10019. See Attachment 13(a) Note (1), Note (2) and Note (3) for further information.

**Doris Duke Charitable Foundation**  
**Form 990-PF - Return of Private Foundation**  
**FYE: 12/31/2017**  
**Attachment 13A**

Notes:

(1) Compensation reported for the trustees disclosed on this schedule reflects payment for each individual's board service in 2016. The actual cash payments were made in January of 2017 (and the amounts have been reported on the Form 990-PF in Part I, Line 13 (d)) as part of the Foundation's current year charitable disbursements. Compensation reported for Board of Trustees Member, James F. Gill, includes a payment made in January of 2017 for board service rendered in 2016 and a payment made in 2017 after his passing. Compensation reported for past Board of Trustees Member, William Schlesinger, includes a payment made in January of 2017 for board service rendered in 2016.

(2) The officers are paid compensation by the Doris Duke Management Foundation (DDMF). The hours shown on the Form 990-PF are based on an allocation of time spent on matters related to the Doris Duke Management Foundation (DDMF) and are for informational purposes only. These officers provide services to other related entities (Doris Duke Foundation (DDF), Doris Duke Management Foundation (DDMF), Duke Farms Foundation (DFF), and Doris Duke Foundation for Islamic Art (DDFIA)) and in the aggregate, hours worked on matters related to these entities equal or exceed 40 hours a week. The allocation of compensation paid by the Doris Duke Management Foundation and allocated to other related entities is based on an annual survey and is included in the management fee shown on Line 23, Part I, Other Expenses.

(3) The trustees who receive compensation are paid by the Doris Duke Charitable Foundation, even though they provide services to each of the five foundations (DDCF, DDMF, DDF, DFF, and DDFIA). The hours reported on Attachment 13 of the Form 990-PF represent an allocation of the trustees' time spent on matters related to DDCF including attendance at Board meetings and various foundation-related events and discussions. These hour allocations are reported to comply with the Form 990-PF reporting requirement, and are for informational purposes only. Trustees have oversight responsibilities and perform multiple tasks across all five foundations; and thus, significant additional hours are reported on the DDMF, DDF, DFF, DDFIA tax returns.

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 14

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
OBERWEIS ASSET MANAGEMENT 3333 WARRENVILLE RD. LISLE, IL 60532	INVESTMENT MGMT FEES	461,858.
THE NORTHERN TRUST COMPANY 50 LASALLE STREET CHICAGO, IL 60675	INVESTMENT MGMT FEES	429,459.
SILCHESTER INTERNATIONAL 780 THIRD AVENUE, 42ND FL. NEW YORK, NY 10017	INVESTMENT MGMT FEES	387,830.
WESTWOOD GLOBAL INVESTMENTS LLC ONE FINANCIAL CENTER, SUITE 1620 BOSTON, MA 02111	INVESTMENT MGMT FEES	276,751.
ALBOURNE AMERICA LLC 655 MONTGOMERY ST, SUITE 1910 SAN FRANCISCO, CA 94111	RISK ADV & INV SERV	240,000.
	TOTAL COMPENSATION	<u>1,795,898.</u>

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUEATTACHMENT 15

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>AMOUNT</u>	<u>EXCLUSION CODE</u>	<u>AMOUNT</u>	<u>RELATED OR EXEMPT FUNCTION INCOME</u>
FEDERAL UNRELATED BUSINESS INCOME TAX REFUND			01	250,000.	
PROGRAM-RELATED INVESTMENT INCOME			01	703.	
MISCELLANEOUS INCOME			01	20.	
PORTFOLIO GAIN FROM INVESTMENTS IN LPS	525990	440,487.			
TOTALS		<u>440,487.</u>		<u>250,723.</u>	

Doris Duke Charitable Foundation  
 Form 990-PF - Return of Private Foundation  
 FYE: 12/31/2017  
 Attachment 16

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>GRANTS PAID</u>
PROGRAM GRANTS	No Relationship	\$66,829,910
NEWPORT RESTORATION FOUNDATION(NRF)	No Relationship	\$2,577,000
DUKE FARMS FOUNDATION	Related Entity	\$12,805,945
DORIS DUKE FOUNDATION FOR ISLAMIC ART	Related Entity	\$9,313,102
SECTION 4942(G)(3) CONDUIT GRANT TO DORIS DUKE FOUNDATION	Related Entity	\$350,000
LESS: DORIS DUKE FOUNDATION FOR ISLAMIC ARTS BUILDING BRIDGES GRANTS	Related Entity	-\$2,250,000
LESS: DORIS DUKE FOUNDATION ARTISTS' AWARDS	Related Entity	-\$5,188,651
<b>TOTAL</b>		<b><u><u>\$84,437,306</u></u></b>

## **PART XV - GRANTS AND CONTRIBUTIONS PAID DURING 2017**

<b>SUMMARY BY PROGRAM AREA</b>	<b>Amount Paid 2017</b>
<b>TOTAL AFRICAN HEALTH INITIATIVE</b>	<b>5,954,100</b>
<b>TOTAL ARTS</b>	<b>14,958,903</b>
<b>TOTAL ARTS INITIATIVE</b>	<b>6,880,381</b>
<b>TOTAL CHILD WELL-BEING</b>	<b>6,475,000</b>
<b>TOTAL ENVIRONMENT</b>	<b>15,106,380</b>
<b>TOTAL MEDIA FUND</b>	<b>575,000</b>
<b>TOTAL MEDICAL RESEARCH</b>	<b>15,894,146</b>
<b>TOTAL CROSS PROGRAM GRANTS</b>	<b>365,000</b>
<b>TOTAL PHILANTHROPIC SECTOR/OTHER</b>	<b>621,000</b>
<b>GRAND TOTAL</b>	<b>66,829,910</b>

# PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2017

Organization	Purpose	Amount/Year	Tax Status	Amount
<b><u>African Health</u></b>				
American Heart Association 7272 Greenville Ave Dallas, TX 75231-5129	To support the expansion of the American Heart Association's Saving Children's Lives program to additional sites in Tanzania	100,000 2017	PC	100,000
Brigham & Women's Hospital 75 Francis Street Somerville, MA 02145-1465	To disseminate data and learning from the Rwanda PHIT project via academic publications and other avenues to a broad audience of Rwanda-based colleagues	17,350 2017	PC	17,350
			<i>Total African Health</i>	117,350
<b><u>African Health Phase II</u></b>				
Columbia University 615 W 131 St 4Th Fl New York, NY 10027-7984	A National Program for Strengthening the Implementation of the Community-based Health Planning and Services (CHPS) Initiative in Ghana: CHPS+	8,100,000 2016	PC	2,473,700
Health Alliance International 1107 NE 45th Street, Suite 350 Seattle, WA 98105	To support Spreading IDEAs: The Integrated District Evidence to Action program to Improve Maternal, Newborn and Child Health	8,800,000 2016	PC	2,863,050
JSI Research & Training Institute, Inc. 44 Farnsworth Street Boston, MA 02210-1209	To support implementation of the Ethiopia Data Use Partnership to strengthen and scale-up the Ethiopian health information system to improve the use of data for decision-making in 4 regions of Ethiopia	5,000,000 2017	PC	500,000
			<i>Total African Health Phase II</i>	5,836,750
<b><u>Arts</u></b>				
Alternate ROOTS, Inc. 1270 Caroline St Ne Ste D120 # 353 Atlanta, GA 30307-2758	Core Support for National Arts Service	350,000 2017	PC	350,000
American Theatre Wing Inc 230 West 41st Street, Suite 1101 New York, NY 10036	To support the national expansion and distribution of new media programming to catalyze the national theatre community to engage audiences	112,500 2016	PC	40,625
Americans for the Arts 1000 Vermont Ave Nw 6Th Floor Washington, DC 20005-4940	To support research on how the arts transform America's communities	100,000 2017	PC	100,000
Appalshop, Inc. 91 Madison Ave Whitesburg, KY 41858-9317	To further support the project, Performing Our Future	112,500 2017	PC	100,000
Art2Action 15703 Blair Ct. Tampa, FL 33647	To support the "National Summit on Arts & Health in the Military"	95,625 2016	PC	45,155
Association of Performing Arts Professionals Inc. 1211 Connecticut Ave Nw Ste 200 Washington, DC 20036-2716	To support Building Bridges: Art, Culture and Identity	1,280,000 2015	PC	400,000
Ballet Hispanico of New York 167 W 89Th St New York, NY 10024-1901	To support replacement of costumes, props, equipment and scenery destroyed by flood damage.	100,000 2017	PC	100,000
Chamber Music America 12 West 32nd Street New York, NY 10001-0802	To support the Doris Duke Jazz Ensembles Project (DDJEP)	1,427,500 2016	PC	546,600
Children's Theatre Company 2400 3Rd Ave S Minneapolis, MN 55404-3506	Dr. Seuss' The Sneetches	125,000 2015	PC	25,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Amount
Childsplay, Inc. 900 S. Mitchell Dr Tempe, AZ 85281	To support Phase II of "Write Now" a festival of new plays for young audiences	28,125 2016	PC	3,125
City Parks Foundation 1234 Fifth Avenue New York, NY 10029	Leadership Grants Program for Jazz	75,000 2016	PC	37,500
Classical Theatre of Harlem 8 West 126th Street New York, NY 10027	To provide general operating support for Classical Theatre of Harlem's 2018 production season, programming, and core educational and community programs	50,000 2017	PC	50,000
Creative Capital Foundation 15 Maiden Lane New York, NY 10038-4003	To support grants and advisory services to Performing Artists	1,200,000 2013	PC	300,000
Creative Capital Foundation 15 Maiden Lane New York, NY 10038-4003	To support Creative Capital grants and advisory services to Performing Artists	1,260,000 2016	PC	237,500
Cultural Data Project 400 Market Street, Suite 600 Philadelphia, PA 19106	To develop an educational curriculum to build data fluency in the performing arts as a means of strengthening vitality, performance, and public impact	33,750 2016	PC	13,125
Dance Theatre Of Harlem Inc 466 West 152nd Street New York, NY 10031-1814	Leadership Grants for Arts Organizations 2017	400,000 2017	PC	400,000
Dance/USA 1029 Vermont Avenue, NW Suite 400 Washington, DC 20005-3517	Core Support for National Organizations FY16 & FY17	220,000 2015	PC	110,000
Dance/USA 1029 Vermont Avenue, NW Suite 400 Washington, DC 20005-3517	To support Round Four of Engaging Dance Audiences	1,900,000 2016	PC	1,231,500
Danspace Project Inc. St. Mark's Church in-the-Bowery New York, NY 10003-0000	Leadership Grants Program for Dance	250,000 2015	PC	50,000
Detroit Jazz Festival Foundation 1990 Harper Avenue Harper Woods, MI 48225	Leadership Grants Program for Jazz	200,000 2016	PC	70,195
Discalced, Inc, dba Mark Morris Dance Group 3 Lafayette Ave Brooklyn, NY 11217-1415	Leadership Grants Program for Dance	1,000,000 2015	PC	94,400
Earshot Jazz Society of Seattle 3429 Fremont Pl N Ste 309 Seattle, WA 98103-8650	Leadership Grants for Arts Organizations 2017	375,000 2017	PC	125,000
Emerson College 120 Boylston St Boston, MA 02116-4611	Core Support for National Arts Service - Latinx Theatre Commons	153,684 2017	PC	153,684
Eugene O'Neill Memorial Theater Center Inc 305 Great Neck Rd Waterford, CT 06385-3825	Core Support for National Arts Service for the National Directors Fellowship	39,000 2017	PC	39,000
Fractured Atlas Inc 248 West 35th Street, 10th Floor New York, NY 10001-2505	Leadership Grants for Arts Organizations - Evidence, Inc. Ron Brown	250,000 2016	PC	140,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Amount
<b>Fractured Atlas Inc</b> 248 West 35th Street, 10th Floor New York, NY 10001-2505	To support the Jazz Forward Coalition's (JFC) JazzHubs Initiative to build a sustainable national jazz network and audience demand for jazz	113,400 2016	PC	23,150
<b>Fractured Atlas Inc</b> 248 West 35th Street, 10th Floor New York, NY 10001-2505	Core Support for National Arts Service for American Dance Abroad	100,000 2017	PC	100,000
<b>Gina Gibney Dance Inc</b> 890 Broadway, Fifth Floor New York, NY 10003-1211	Leadership Grants for Arts Organizations 2017	325,000 2017	PC	175,000
<b>Gotham Dance Inc</b> 2666 Summit St Columbus, OH 43202-0484	To support the Vault project convenings of artists, curators and scholars to share innovative methodologies for conceiving, documenting, archiving and sharing the dance-making practice	10,000 2017	PC	10,000
<b>Jacob's Pillow Dance Festival, Inc.</b> 358 George Carter Rd. Becket, MA 01223-4001	Leadership Grants Program for Dance	500,000 2015	PC	55,000
<b>Jacob's Pillow Dance Festival, Inc.</b> 358 George Carter Rd. Becket, MA 01223-4001	To support Vision '22, a five-year plan to make the Pillow a year-round global research and development center for dance and creativity	250,000 2017	PC	250,000
<b>Jazz at Lincoln Center</b> 3 Columbus Circle, 12th Floor New York, NY 10019	The Jazz Congress a new annual two-day symposium at JALC to serve musicians, professionals, and advocates of the global jazz community	225,000 2015	PC	56,250
<b>Jazz Institute of Chicago</b> 410 S. Michigan Ave Suite 500 Chicago, IL 60605	Leadership Grants Program for Jazz	225,000 2016	PC	84,370
<b>Latino Theater Company</b> 514 S Spring St Los Angeles, CA 90013-2304	To support "Encuentro de las Americas" at the Los Angeles Theatre Center	100,000 2017	PC	100,000
<b>LINES Ballet</b> 26 Seventh St. San Francisco, CA 94103	Leadership Grants Program for Dance	550,000 2015	PC	133,750
<b>MAP Fund Inc</b> 577 Grand Street #1801 New York, NY 10002	To support two rounds of The Multi-Arts Production Fund regranting program.	2,860,000 2016	PC	1,787,500
<b>MAPP International Productions</b> 140 Second Avenue, Suite 502 New York, NY 10003-8385	To support the orderly conclusion and transfer of MAPP International Productions programs and operations	45,000 2017	PC	45,000
<b>Margaret Jenkins Dance Company</b> 507 Polk Street Suite 320 San Francisco, CA 94102	Leadership Grants Program for Dance	250,000 2015	PC	50,000
<b>Monterey Jazz Festival</b> 9699 Blue Larkspur Lane, Suite 204 Monterey, CA 93940	Leadership Grants Program for Jazz	400,000 2015	PC	132,700
<b>National Arts Strategies Inc</b> 2000 Duke St Ste 115 Alexandria, VA 22314-6116	To support the creation of a Learning Magnet in partnership with The International Association of Blacks in Dance (IABD) to strengthen the field	84,938 2016	PC	42,065
<b>National Asian American Theatre Festival Inc</b> 520 8th Avenue, Suite 308 New York, NY 10018-6507	Core Support for National Arts Service	80,000 2017	PC	80,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Amount
<b>National Association of Latino Arts and Culture</b> 1208 Buena Vista St San Antonio, TX 78207-4301	Core Support for National Arts Service	300,000 2017	PC	300,000
<b>National Performance Network</b> 1024 Elysian Fields Ave New Orleans, LA 70117-8402	To support National Performance Network's Performing Arts Programs	1,980,000 2016	PC	1,225,000
<b>National Performance Network</b> 1024 Elysian Fields Ave New Orleans, LA 70117-8402	To support an in-depth strategic planning process aimed at transformation, resiliency, and justice	25,000 2017	PC	25,000
<b>National Trust for Historic Preservation</b> 2600 Virginia Ave. NW, Suite 1100 Washington, DC 20037-1922	African American Cultural Heritage Action Fund	100,000 2017	PC	100,000
<b>Network of Ensemble Theaters</b> Po Box 83526 Portland, OR 97283-0526	To support CoNECTR (Circuit of National Ensemble Collaborative Tours & Residences)	140,625 2016	PC	51,645
<b>Network of Ensemble Theaters</b> Po Box 83526 Portland, OR 97283-0526	Core Support for National Arts Service	148,940 2017	PC	148,940
<b>New England Foundation for the Arts</b> 145 Tremont Street, 7th Floor Boston, MA 02111-1214	To support two rounds of the National Theater Project, which provides resources for the creation of artist-led theater projects designed to tour and builds a network of advocates for national tours of new work	750,000 2017	PC	750,000
<b>New England Foundation for the Arts</b> 145 Tremont Street, 7th Floor Boston, MA 02111-1214	To support the national convening, "Art in the Service of Understanding: New Perspectives from Artists and the Military Community"	101,250 2015	PC	66,190
<b>New England Foundation for the Arts</b> 145 Tremont Street, 7th Floor Boston, MA 02111-1214	To support the National Dance Project	3,629,400 2016	PC	1,639,753
<b>New York City Ballet Inc</b> 20 Lincoln Center Plaza New York, NY 10023-6913	To support the April 2017 Here/Now Festival	100,000 2017	PC	100,000
<b>Newport Festivals Foundation, Inc.</b> 150 East 69th Street 27K New York, NY 10021	Leadership Grants Program for Jazz	100,000 2016	PC	50,000
<b>ODC</b> 351 Shotwell Street San Francisco, CA 94110	Leadership Grants Program for Dance	500,000 2015	PC	160,200
<b>Pangea World Theater</b> 711 W Lake St Ste 102 Minneapolis, MN 55408-3357	Core Support for National Arts Service for the National Institute for Directing & Ensemble Creation	197,250 2017	PC	197,250
<b>Partners For Sacred Places Inc</b> 1700 Sansom Street Philadelphia, PA 19103	To advance the Creating Spaces project and implement on-the-ground programming in Austin and Baltimore	33,750 2016	PC	12,600
<b>Penumbra Theatre</b> 270 Kent St Saint Paul, MN 55102-1744	To support the 2016 and 2017 rounds of the artEquity initiative, providing theatre practitioners with training and resources for diversity and inclusion initiatives nationally	214,594 2016	PC	77,494

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Amount
<b>Pregones Puerto Rican Traveling Theater Inc.</b> 571 Walton Ave 575 Bronx, NY 10451-5237	To support Hurricane María Relief Drive for artists at Pregones/PRTT	10,000 2017	PC	10,000
<b>Ragamala Dance</b> 711 West Lake Street, Suite 309 Minneapolis, MN 55408	Leadership Grants Program for Dance	250,000 2015	PC	49,375
<b>Roundabout Theatre Company, Inc.</b> 231 West 39th Street, Suite 1200 New York, NY 10018	NAPOLI, BROOKLYN (formerly TALK TO ME OF LOVE)	125,000 2015	PC	25,000
<b>South Arts, Inc.</b> 1800 Peachtree Street NW Suite 808 Atlanta, GA 30309-0000	To support a convening of six Regional Arts Organizations (RAOs) to develop a framework for a national initiative to support jazz touring	20,000 2017	PC	20,000
<b>Southern Methodist University</b> 6425 Boaz Lane Dallas, TX 75275-0261	To support the National Center for Arts Research (NCAR) project: "Mapping the Genome of U.S. Arts Consumption."	40,000 2017	PC	40,000
<b>Stephen Petronio Company</b> 140 Second Avenue, Suite 504 New York, NY 10003-8384	Leadership Grants Program for Dance	250,000 2015	PC	50,000
<b>STREB Inc.</b> 51 North 1st Street Brooklyn, NY 11249	Leadership Grants Program for Dance	450,000 2015	PC	156,250
<b>Theater Offensive</b> 565 Boylston Street, Third Floor Boston, MA 02116-3637	To support the LGBTQ Cultural Sector Research Project	50,000 2017	PC	50,000
<b>Theatre Communications Group Inc.</b> 520 8th Ave, 24th floor New York, NY 10018-6507	To support Phase II of the Equity, Diversity & Inclusion (EDI) Initiative	112,500 2016	PC	20,240
<b>Theatre Communications Group Inc.</b> 520 8th Ave, 24th floor New York, NY 10018-6507	To support Round 3 of the Audience (R)Evolution	1,635,000 2016	PC	1,278,800
<b>Urban Bush Women</b> 138 South Oxford Street, 4B Brooklyn, NY 11217	Leadership Grants Program for Dance	250,000 2015	PC	50,000
<b>Vail Valley Foundation Inc</b> Po Box 6550 Vail, CO 81658-0309	Leadership Grants for Arts Organizations 2017	400,000 2017	PC	200,000
<b>Victory Gardens Theater</b> 2433 N Lincoln Avenue Chicago, IL 60614	A Wonder in My Soul	125,000 2015	PC	25,000
<b>Virginia Tech Foundation Inc</b> 190 Alumni Mall (0916) Blacksburg, VA 24060	To support the School of Performing Arts working in partnership with the University Libraries on the VArtWorks Initiative to create an innovative online platform for the community cultural development field	222,824 2016	PC	32,446
<b>White Bird</b> 5620 SW Edgemont Place Portland, OR 97239	Leadership Grants Program for Dance	250,000 2015	PC	50,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Amount
Woolly Mammoth Theatre Company 641 D Street NW Washington, DC 20004	Adaptive Capacity Initiative	800,000 2013	PC	110,526
			<i>Total Arts</i>	<u>14,958,903</u>
<b>Arts Initiative</b>				
Aaron Davis Hall Inc 150 Convent Avenue New York, NY 10031	To host Christian Scott aTunde Adjuah at Harlem Stage to imagine and explore new approaches to demand building for jazz.	115,000 2016	PC	61,800
American Conservatory Theatre Foundation 30 Grant Avenue, 7th Floor San Francisco, CA 94108	Asian American Arts Engagement in the Technology Sector	115,000 2016	PC	55,000
Brooklyn Arts Exchange Inc 421 Fifth Avenue Brooklyn, NY 11217	To host Dan Fishback at BAX/ Brooklyn Arts Exchange to imagine and explore new approaches to demand building for theater and contemporary performance particularly within the LGBTQ/ QPOC communities.	115,000 2016	PC	70,000
Casita Maria Inc 928 Simpson Street Bronx, NY 10459	To host Arturo O'Farrill at Casita Maria Center for Arts and Education to imagine and explore new approaches to demand building for Jazz.	60,000 2016	PC	20,600
The Cedar Cultural Center Inc 416 Cedar Ave S Minneapolis, MN 55454	To host Khadra Suleiman (stage name Hodan Abdirahman) and Abdirahman Abubakar (stage name Dalmar Yare) at The Cedar Cultural Center (The Cedar) to imagine and explore new approaches to demand building for jazz.	115,000 2016	PC	47,200
Center Theatre Group of Los Angeles 601 W. Temple Street Los Angeles, CA 90012	To host Naomi Iizuka at Center Theatre Group to imagine and explore new approaches to demand building for theatre.	115,000 2016	PC	52,000
Cornerstone Theater Company 708 Traction Ave Los Angeles, CA 90013	To host Eisa Davis at Cornerstone to explore new approaches to building demand for theater by creating a Story Circle Platform to generate content for the culminating Hunger Cycle show while providing opportunities to broaden community participation.	115,000 2016	PC	22,700
CounterPulse 1310 Mission Street San Francisco, CA 94103	To host Jodi Lomask/Capacitor at CounterPulse to imagine, explore, and implement new approaches to building demand for dance and theater within the Bay Area's rapidly growing tech-sector.	115,000 2016	PC	72,600
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	To support the first cohort of Leading Artists Award recipients selected for funding through the Doris Duke Performing Artists Awards Program (DDA 2012)	5,775,000 2012	PF	372,046
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	To support the second cohort of Leading Artists Award recipients selected for funding through the Doris Duke Performing Artists Awards Program (DDA 2013)	5,500,000 2013	PF	540,949
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	To support the third cohort of Leading Artists Award recipients selected for funding through the Doris Duke Performing Artists Awards Program (DDA 2014)	5,500,000 2013	PF	688,332
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	To support the first cohort of Doris Duke Impact Awards: up to 20 recipients selected by review panels (DDIA 2014)	1,600,000 2013	PF	220,828
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	To support the fourth cohort of Leading Artists Award recipients selected for funding through the Doris Duke Performing Artists Awards Program (DDA 2015)	5,225,000 2014	PF	1,100,426

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Amount
<b>Doris Duke Foundation Inc.</b> 650 5th Ave fl 19 New York, NY 10019-6108	To support the second cohort of Doris Duke Impact Awards: up to 20 recipients selected by review panels (DDIA 2015)	1,600,000 2014	PF	621,388
<b>Doris Duke Foundation Inc.</b> 650 5th Ave fl 19 New York, NY 10019-6108	To support the fifth cohort of Leading Artists Award recipients selected for funding through the Doris Duke Performing Artists Awards Program (DDA 2016)	5,500,000 2015	PF	1,644,682
<b>Eastside Arts Alliance</b> 2277 International Blvd. PO Box 17008 Oakland, CA 94601-7008	EastSide Arts Alliance will host José Navarrete to implement a series of pilot programs in the East Oakland community to encourage greater demand for experimental/contemporary performance, including dance, theater, poetry and interdisciplinary forms.	60,000 2016	PC	16,500
<b>Ellis Marsalis Center For Music Inc</b> 1901 Bartholomew Street New Orleans, LA 70117	The Silverbook Project hosts Jesse McBride at the Ellis Marsalis Center for Music to expand demand for jazz by using a modern jazz repertoire created by New Orleans artists, including living master Ellis Marsalis.	115,000 2016	PC	44,375
<b>Emerson College</b> 120 Boylston St Boston, MA 02116-4611	Our collaboration with Daniel Beaty is designed to build demand for the performing arts within communities that do not traditionally participate in Boston's downtown cultural offerings - specifically our city's black, Latino/a, and Asian populations.	115,000 2016	PC	76,750
<b>Interact Inc</b> 302 S. Hicks Street Philadelphia, PA 19102	InterAct Theatre Company will host playwright/director/community leader Rick Shiomi to build demand for Asian American theater in Philadelphia.	115,000 2016	PC	54,000
<b>Lower Manhattan Cultural Council</b> 125 Maiden Lane, 2nd FL New York, NY 10038	To host Jennifer Monson at Lower Manhattan Cultural Council to imagine and explore new approaches to demand building for dance.	115,000 2016	PC	13,000
<b>Mixed Blood Theatre Company</b> 1501 S 4th Street Minneapolis, MN 55454	The Mixed Blood Theatre Company seeks Mark Valdez to work with staff, board, and artists to use art to engage, animate, and catalyze people in its Cedar Riverside neighborhood of Minneapolis.	115,000 2016	PC	43,000
<b>New Group Inc</b> 410 W 42nd St New York, NY 10036	To host playwright Thomas Bradshaw at The New Group to imagine and explore new approaches to building demand for theater.	115,000 2016	PC	45,830
<b>On the Boards</b> 100 W. Roy Street Seattle, WA 98119	To host Claudia La Rocco at On the Boards to imagine and explore new approaches to demand building for contemporary dance, through the creation of curricular and other contextual materials for performances on OntheBoards.tv.	115,000 2016	PC	37,000
<b>Painted Bride Art Center Inc</b> 230 Vine Street Philadelphia, PA 19106	To host Marty Pottenger at Painted Bride Art Center to imagine and explore new approaches to demand building for theater and performance.	115,000 2016	PC	63,725
<b>Performance Space 122</b> 150 First Avenue, Fourth Floor New York, NY 10009	To host Yehuda Duenyas at Performance Space 122 to imagine and explore new approaches to demand building for contemporary dance and theater.	115,000 2016	PC	49,250
<b>St. Ann's Warehouse, Inc.</b> 55 Washington Street, #458 Brooklyn, NY 11201	To host actor, magician, provocateur Geoff Sobelle at St. Ann's Warehouse to imagine and explore new approaches to demand building for theater.	115,000 2016	PC	40,600
<b>Su Teatro</b> 721 Santa Fe Dr. Denver, CO 80204	To host Daniel Valdez at Su Teatro to imagine and explore new approaches to demand building for theater.	60,000 2016	PC	29,800

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Amount
<b>Theatre Communications Group Inc.</b> 520 8th Ave, 24th floor New York, NY 10018-6507	To host Maurice Decaul at TCG to research, design, pilot and implement an educational program that builds demand for theatre in military communities.	115,000 2016	PC	56,000
<b>United States Artists Inc</b> 980 N. Michigan Ave, Suite 1300 Chicago, IL 60611	USA Fellowships	3,600,000 2015	PC	720,000
			<i>Total Arts Initiative</i>	<u>6,880,381</u>
<b>Child Well-being</b>				
<b>Alaska Childrens Trust</b> 3201 C Street, Ste 110 Anchorage, AK 99503-3961	To support a convening of Alaska state leaders to develop a statewide plan to ensure free and accessible long-acting reversible contraceptives (LARC) to all women in Alaska	10,000 2017	PC	10,000
<b>Alaska Childrens Trust</b> 3201 C Street, Ste 110 Anchorage, AK 99503-3961	to support the development of standards for data integration using the Alaska Longitudinal Child Abuse and Neglect Linkage methodology to better understand risk factors for child maltreatment.	42,600 2017	PC	42,600
<b>Boston Community Capital Inc</b> 600 Atlantic Avenue Boston, MA 02110	To support expansion of the Working Cities Challenge model to small- and mid-sized cities in New England and other Federal Reserve districts	1,500,000 2015	PC	350,000
<b>Boston Medical Center Corporation</b> One Boston Medical Center Place Boston, MA 02118	To support and expand the Vital Village Community Engagement Network	1,000,000 2015	PC	342,000
<b>Chapin Hall Center for Children</b> 1313 E. 60th Street Chicago, IL 60637-2830	To support an additional two cohorts of the Doris Duke Fellowships for the Promotion of Child Well-being	3,410,325 2014	PC	830,000
<b>Chapin Hall Center for Children</b> 1313 E. 60th Street Chicago, IL 60637-2830	support the 2017 and 2018 cohorts of the Doris Duke Fellowships for the Promotion of Child Well-being	2,500,000 2016	PC	463,638
<b>Child Trends, Inc.</b> 7315 Wisconsin Avenue, Suite 1200W Bethesda, MD 20814	To support the project, "Promoting Child Well-Being through Improved Communication of Research to State and Local Policymakers, Administrators, and Advocacy Organizations."	400,000 2015	PC	125,000
<b>Children and Family Futures, Inc.</b> 25371 Commercentre Drive, Suite 140 Lake Forest, CA 92630	To support Prevention and Family Recovery (PFR) -- Phase 2 Continuation and Expansion	3,000,000 2016	PC	795,630
<b>Children's Bureau of Southern California</b> 1910 Magnolia Ave. Los Angeles, CA 90007-1220	To support and expand the Magnolia Community Initiative (MCI)	1,000,000 2015	PC	333,300
<b>Childrens Outing Assn</b> 909 E North Ave Milwaukee, WI 53212	To support a widespread community effort to improve health and well-being for children and families in two Milwaukee neighborhoods	950,000 2015	PC	315,500
<b>Community Solutions International, Inc.</b> 125 Maiden Lane, Ste 16C New York, NY 10038	To support and expand the Brownsville Partnership	1,000,000 2015	PC	332,300
<b>Fund for Public Health in New York</b> 22 Cortlandt Street, Suite 802 New York, NY 10007-3107	To increase access to comprehensive reproductive health services at NYC public high schools and foster care agencies to reduce unintended pregnancies among at-risk teens	750,000 2017	PC	200,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Amount
Mount Sinai Hospital One Gustave L Levy Place New York, NY 10029-6574	To support Mount Sinai Adolescent Health Center's provision of free, high quality comprehensive medical services for 300 low-income adolescents with foster care experience and pilot an assessment tool to better target high need clients to relevant services	1,500,000 2016	PC	200,000
National Academy of Sciences 2101 Constitution Ave Nw Washington, DC 20418-0007	To support the Innovation to Incubation Program to develop early childhood workforce plans for New York and Indiana	100,000 2017	PC	100,000
National Academy of Sciences 2101 Constitution Ave Nw Washington, DC 20418-0007	To support a consensus study of the costs of child poverty in the United States and the effectiveness of current efforts aimed at reducing poverty	100,000 2017	PC	100,000
National Center for Youth Law 405 14th Street, 15th Floor Oakland, CA 94612-2715	To support the FosterEd initiative to improve education outcomes of youth in foster care, the juvenile justice system, or homeless in two areas of California, and develop a California state policy agenda for these vulnerable youth	600,000 2017	PC	199,510
National Governors Association Center For Best Practices 444 North Capitol Street, Suite 267 Washington, DC 20001 Washington DC, DC 20001-1512	To support the Two-Generation State Policy Learning and Action Network, currently under development in partnership with the Center for Law and Social Policy	600,000 2015	PC	207,800
Nemours Foundation 10140 Centurion Parkway North Jacksonville, FL 32256	To support the Building Community Resilience (BCR) collaborative; fostering synergies across child health systems, community-based agencies and cross-sector partners to address the root causes of toxic stress and build community resilience	800,000 2015	PC	333,330
New Yorkers For Children Inc 450 Seventh Ave Suite 403 New York, NY 10123	To create and launch the Nicholas Scoppetta Scholarship Fund (Nick's Scholars)	1,187,000 2016	PC	321,992
Research Foundation of the City University of New York 230 W 41st St 7Th Fl New York, NY 10036-7207	To support a Lancet Commission on Public Policy and Health	22,400 2017	PC	22,400
Thunder Valley Community Development Corporation 290 Empowerment Dr Porcupine, SD 57772-0290	To build the capacity of a new organization, modeled upon the successful strategies of Thunder Valley Community Development Corporation, aimed at helping Sioux children, youth, and families living on Standing Rock Reservation become self-sufficient	50,000 2017	PC	50,000
Thunder Valley Community Development Corporation 290 Empowerment Dr Porcupine, SD 57772-0290	To support Ecosystem of Opportunity: Creating a Model for Pathways to Resilience; enhancing and evaluating the Thunder Valley CDC model for replication in other communities	1,700,000 2015	PC	400,000
Tides Center 1014 Torney Avenue San Francisco, CA 94129-1755	To test Project Evident, a technical assistance platform that helps organizations serving vulnerable populations prove their effectiveness and build evidence for further funding for replication and scale	500,000 2017	PC	400,000
			<i>Total Child Well-being</i>	<u>6,475,000</u>
<b>Cross Program</b>				
Art2Action 15703 Blair Ct. Tampa, FL 33647	To support the implementation of the Veteran Arts: Recovery & Reintegration Research Project	90,000 2017	PC	90,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Amount
<b>Film Makers Collaborative Incorporated</b> 397 Moody Street Waltham, MA 02453-0429	To support the production, distribution, and related live events for season two of "The Secret Life of Muslims."	75,000 2017	PC	75,000
<b>Media &amp; Policy Center Foundation of California</b> 2932 Wilshire Blvd Ste 203 Santa Monica, CA 90403-4942	To support the development of a documentary series on PBS entitled, "Our Kids," about the widening disparity of opportunities between children from highly educated and less educated families	100,000 2017	PC	100,000
<b>National Public Radio</b> 1111 North Capitol St Nw Washington, DC 20002-0000	To support NPR's Jazz Night in America and NPR Music Jazz Programming	100,000 2017	PC	100,000
			<i>Total Cross Program</i>	<u>365,000</u>
<b>Environment</b>				
<b>American Farmland Trust (AFT)</b> 1150 Connecticut Avenue, Suite 600 Washington, DC 20036-4104	To renew support for the Hudson Valley Farmlink Network	450,000 2017	PC	225,000
<b>American Museum of Natural History</b> 200 Central Park West New York, NY 10024-5192	To build a diverse pipeline for the conservation workforce through the enhanced Science Research Mentoring Program for high school students	892,000 2016	PC	300,000
<b>Cary Institute of Ecosystem Studies Inc.</b> Po Box Ab Millbrook, NY 12545-0129	To reduce threats to US forests from invasive forest pests by advancing policy solutions	50,000 2017	PC	50,000
<b>Climate Central, Inc.</b> One Palmer Square, Suite 330 Princeton, NJ 08540	To support narrative, tools and analysis for coastal wetlands conservation in the face of sea level rise	400,000 2017	PC	225,000
<b>Climate Central, Inc.</b> One Palmer Square, Suite 330 Princeton, NJ 08540	To raise public awareness of climate change and build support for climate action, through local television weather and news reportage	200,000 2017	PC	100,000
<b>Consultative Group On Biological Diversity</b> PO Box 29361 San Francisco, CA 94129-0361	To renew membership and support the Climate and Energy Funders Group	40,000 2017	PC	40,000
<b>Council on the Environment Inc, aka GrowNYC</b> 100 Gold St. Suite 3300 New York, NY 10038-1617	To renew support for FARMroots	300,000 2017	PC	150,000
<b>Defenders of Wildlife</b> 1130 17th Street NW Washington, DC 20036-4604	To continue support for a project that expedites the sensitive siting of renewable energy projects through the development of federal and state energy and land management policies	1,200,000 2017	PC	1,200,000
<b>Endangered Species Coalition</b> 1411 K St., NW Washington, DC 20035-5195	To support grassroots organizations working to protect threatened and endangered species	50,000 2017	PC	50,000
<b>Foodshed Alliance</b> 97 Stillwater Road Blairstown, NJ 07825-0713	To advance farmland access, farmer training, and farm-to-institution efforts in northern New Jersey	100,000 2017	PC	100,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Amount
<b>Fund for Public Health in New York</b> 22 Cortlandt Street, Suite 802 New York, NY 10007-3107	To support "Improving the Nutritional Health of Young Children and Families in East Harlem," a joint project of FPHNY, the Council on the Environment, Inc. (aka GrowNYC), the NYC Dept of Health & Mental Hygiene, and the NYC Dept of Education	1,536,000 2015	PC	400,000
<b>Georgetown University</b> 37th and O Streets NW Washington, DC 20057-0001	To support the Georgetown Climate Center's work to develop land-use strategies for addressing "coastal squeeze."	200,000 2017	PC	110,000
<b>Green Diversity Initiative</b> 1341 G St NW Ste 5 Washington, DC 20005-3105	To support action, transparency and accountability using data and best practices to increase diversity at environmental organizations	100,000 2017	PC	100,000
<b>Keystone Center</b> 1628 Saint John Rd Keystone, CO 80435-7714	To support The Next 100 Coalition: Action to Achieve a More Diverse, Inclusive Public Lands System	25,000 2017	PC	25,000
<b>National Audubon Society Inc</b> 225 Varick St., 7th Floor New York, NY 10014-4396	To support building a foundation for coastal resiliency policy	200,000 2017	PC	150,000
<b>National Park Foundation</b> 1110 Vermont Avenue, NW Washington, DC 20005-3544	To support the Diversity Joint Venture, a partnership to increase the diversity of the conservation workforce	50,000 2017	PC	50,000
<b>National Wildlife Federation</b> 11100 Wildlife Center Dr Reston, VA 20190-5361	To significantly scale up the use of "living shorelines" that provide habitat and wildlife benefits in coastal protection and adaptation efforts	400,000 2017	PC	168,659
<b>National Wildlife Federation</b> 11100 Wildlife Center Dr Reston, VA 20190-5361	To renew support for a project focused on reducing the impacts of bioenergy development on wildlife, wildlife habitat and climate emissions	1,162,000 2017	PC	550,000
<b>Natural Areas Conservancy</b> 1234 Fifth Avenue New York, NY 10029-4418	To support restoration and conservation of New York City's forests and wetlands	400,000 2017	PC	200,000
<b>Natural Resources Defense Council</b> 40 West 20th St, 11th Floor New York, NY 10011-4211	To support the City Energy Project	3,500,000 2015	PC	840,000
<b>Natural Resources Defense Council</b> 40 West 20th St, 11th Floor New York, NY 10011-4211	To support legal defense of U.S. public lands and bedrock environmental laws and to promote government transparency	100,000 2017	PC	100,000
<b>The Nature Conservancy, Inc.</b> 4245 N. Fairfax Drive, Suite 100 Arlington, VA 22203-1637	To support the application of resilience science to the Rocky Mountains, Southwest Deserts and Mississippi Valley regions of the US, and pilot new resilience science approaches to coastal and freshwater realms in the Eastern US	750,000 2017	PC	425,000
<b>The Nature Conservancy, Inc.</b> 4245 N. Fairfax Drive, Suite 100 Arlington, VA 22203-1637	To support the Science for Nature and People Partnership (SNAPP) project: Poverty, Environmental Sustainability, and Regional Economic Development in the Central Appalachian Coalfields	100,000 2017	PC	100,000
<b>The Nature Conservancy, Inc.</b> 4245 N. Fairfax Drive, Suite 100 Arlington, VA 22203-1637	To support the Pacific Northwest Resilient Landscapes Initiative	6,500,000 2014	PC	956,521
<b>New York City Energy Efficiency Corporation (NYCEEC)</b> 1359 Broadway, 19th Floor New York, NY 10018	To support efforts to advance energy efficient retrofits of buildings in New York City	300,000 2015	PC	100,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Amount
<b>North Star Fund, Inc.</b> 520 8th Ave, Suite 1800 New York, NY 10018-4170	To support the Community Food Funders Group	30,000 2017	PC	30,000
<b>Northern Arizona University Fdn Inc</b> 624 S. Knoles Old Main - (Bldg #10) Flagstaff, AZ 86011-0103	To support the Doris Duke Conservation Scholars Program at Northern Arizona University	677,000 2017	PC	500,000
<b>Open Space Institute Land Trust, Inc.</b> 1350 Broadway, Suite 201 New York, NY 10018-0983	To protect sites in the Northeast/Mid-Atlantic region of the United States that can enable wildlife adaptation to climate change	6,000,000 2012	PC	1,000,000
<b>Open Space Institute Land Trust, Inc.</b> 1350 Broadway, Suite 201 New York, NY 10018-0983	To support the Southeastern Resilient Landscapes Initiative	6,203,000 2013	PC	1,000,000
<b>Public Laboratory For Open Technology And Science Inc</b> 577 Somerville Ave Somerville, MA 02143	To support the establishment of the Environmental Data Governance Initiative and its rapid response work archiving federal climate and environmental data	50,000 2017	PC	50,000
<b>Regional Plan Association</b> 4 Irving Place, 7th Floor New York, NY 10003-3502	To support the spatial analysis and policy report: "New Coastline: Ensuring Pathways for Tidal Wetland Migration in the New York Region."	100,000 2017	PC	100,000
<b>Southern Environmental Law Center</b> 201 W Main St, Suite 14 Charlottesville, VA 22902-5033	To support legal defense of U.S. bedrock environmental laws	100,000 2017	PC	100,000
<b>Theodore Roosevelt Conservation Partnership</b> 529 14th St NW Ste 500 Washington, DC 20045-1501	To engage sportsmen and women in defending public lands	60,000 2017	PC	60,000
<b>The Trust for Public Land</b> 101 Montgomery Street Suite 900 San Francisco, CA 94104-4148	To support the Conservation Finance Initiative	2,200,000 2016	PC	1,100,000
<b>The Trust for Public Land</b> 101 Montgomery Street Suite 900 San Francisco, CA 94104-4148	To support use of TPLs Conservation Almanac in assessing land capital grantmaking and threatened national monuments	25,000 2017	PC	25,000
<b>Union Of Concerned Scientists Inc</b> 2 Brattle Sq Ste 6 Cambridge, MA 02138-3756	To engage, train, and build capacity of scientists to act as spokespeople for the importance of science, evidence-based policy making, and environmental quality	75,000 2017	PC	75,000
<b>University of California, Irvine Center for Land, Environment, and Natural Resources</b> 201 Interim Office Building Attention Samara Larson Irvine, California, CA 92697-5444	Report on State Capacity to Protect Endangered and Threatened Species in the United States	7,500 2017	PC	7,500
<b>University of California, Santa Cruz UC Santa Cruz</b> 1156 High Street Santa Cruz, CA 95060	To support the Doris Duke Conservation Scholars Program	2,000,000 2015	PC	1,062,500

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Amount
University Of Florida Foundation Inc 1938 W. University Avenue Gainesville, FL 32604	To support the Doris Duke Conservation Scholars Program	1,855,000 2015	PC	970,700
University of Michigan 3003 S. State Street Ann Arbor, MI 48109-0000	To support the Doris Duke Conservation Scholars Program	2,000,000 2015	PC	1,062,500
University of Washington 407 Gerberding Hall Seattle, WA 98195-0001	To support the Doris Duke Conservation Scholars Program	1,991,000 2015	PC	963,000
US Green Building Council New York Chapter 55 Broad Street, 9th Floor New York, NY 10004-2501	To support the 80x50 Partnership: A New Path to Deep Carbon Reductions in NYC	100,000 2017	PC	100,000
Wildlife Conservation Society 2300 Southern Boulevard Bronx, NY 10460-1068	To support the Welikia Project: an historical ecology of New York City's five boroughs	85,000 2017	PC	85,000
Yale University Po Box 208356 New Haven, CT 06520-8356	To support the Yale Program on Climate Change Communication's project, "Identifying Climate Messages that Work"	100,000 2017	PC	100,000
			<i>Total Environment</i>	15,106,380
<b><u>Media Fund</u></b>				
East-West Center 1601 East-West Road Honolulu, HI 96848-1601	To support the June 2018 International Media Conference	25,000 2017	PC	25,000
East-West Center 1601 East-West Road Honolulu, HI 96848-1601	To support the 2016-18 Senior Journalists Seminar	150,000 2016	PC	50,000
Greater Washington Educational Telecommunications Association, Inc. 3939 Campbell Ave Arlington, VA 22206-3440	To support PBS NewsHour programming related to DDCF fields of interest and expand its production of high-quality journalism across platforms	150,000 2017	PC	50,000
Lincoln Center for the Performing Arts, Inc. 70 Lincoln Center Plaza New York, NY 10023-6548	To support Live from Lincoln Center's production and distribution of Lincoln Center Theatre's production, "Pipeline," to a national audience.	100,000 2017	PC	100,000
National Public Radio 1111 North Capitol St Nw Washington, DC 20002-0000	To provide general operating support for NPR programming that advances DDCF programmatic goals and supports credible, high-quality professional journalism about issues important to DDCF's work	1,000,000 2017	PC	350,000
			<i>Total Media Fund</i>	575,000
<b><u>Medical Research</u></b>				
Albert Einstein College Of Medicine Inc 111 E 210Th St Bronx, NY 10467-2401	Characterization of the Stem and Progenitor Cell Compartment in Sickle Cell Disease and Optimization of Gene Transfer at AAVS1 Site in CD49f+ LT-HSCs	986,050 2017	PC	452,551
American Institute of Biological Sciences 1313 Dolley Madison Blvd Ste 402 McLean, VA 22101-3926	To support the 2017 AIBS Council meeting entitled, "Engaging Policymakers: Opportunities for Biology Organizations."	1,500 2017	PC	1,500

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Amount
<b>American Medical Women's Association, Inc.</b> 1100 E Woodfield Rd Schaumburg, IL 60173-5116	To support the American Medical Womens Association (AMWA) 103rd Annual Meeting	5,000 2017	PC	5,000
<b>American Physician Scientists Association Inc</b> 4 Lan Drive, Suite 310 Westford, MA 01886-3576	To support the American Physician Scientists Association (APSA) 2017 Annual Meeting	10,000 2017	PC	10,000
<b>Baylor College of Medicine</b> One Baylor Plaza Houston, TX 77030-3411	Systematic search for targeted therapeutic entry points for SYNGAP1 haploinsufficiency - implications for neurodevelopmental disorder therapies	495,000 2017	PC	247,500
<b>Beth Israel Deaconess Medical Center</b> 330 Brookline Ave Boston, MA 02215-5400	A new approach to patient safety: identifying risky clinical states to reduce preventable harms for hospitalized patients.	495,000 2017	PC	247,500
<b>Beth Israel Deaconess Medical Center</b> 330 Brookline Ave Boston, MA 02215-5400	The role of vesicle trafficking in Alzheimer's disease: development of exosome biomarkers	70,400 2017	PC	70,400
<b>Brigham &amp; Women's Hospital</b> 75 Francis Street Somerville, MA 02145-1465	Adrenal and Parathyroid Hormone Interactions in Human Health	486,000 2015	PC	81,000
<b>Brown University</b> Brown University Box 1883 Providence, RI 02912	iOS Tactile System in Parkinson's Disease: Quantifying Progression of Motor Dysfunction through Integration of Touch Technology	70,400 2017	PC	70,400
<b>Children's Hospital &amp; Research Center at Oakland</b> 747 52nd St Oakland, CA 94609-1809	Application of the HemeChip Point of Care Device for Real-time Monitoring of Hemoglobin S Levels in Chronically Transfused Patients with Sickle Cell Disease	54,000 2017	PC	54,000
<b>Boston Children's Hospital</b> 300 Longwood Avenue Boston, MA 02115-5724	High-resolution and high-throughput genome editing to determine minimal repressive sequences within the beta-globin gene cluster and establish lead compounds for therapeutic re-induction of fetal hemoglobin in SCD.	486,000 2015	PC	162,000
<b>Boston Children's Hospital</b> 300 Longwood Avenue Boston, MA 02115-5724	Small molecule targeted reactivation of HbF expression for sickle cell disease	810,000 2017	PC	405,000
<b>Boston Children's Hospital</b> 300 Longwood Avenue Boston, MA 02115-5724	Modeling fetal hemoglobin reactivation in Sickle Cell Anemia iPS Cells	54,000 2017	PC	54,000
<b>Children's Hospital of Philadelphia</b> 34th and Civic Center Blvd. Philadelphia, PA 19104	Targeting DOT1L in MN1-high Acute Myeloid Leukemia	486,000 2014	PC	0
<b>Children's Hospital of Philadelphia</b> 34th and Civic Center Blvd. Philadelphia, PA 19104	Expanding genomic approaches to transfusion therapy for patients with sickle cell disease	486,000 2015	PC	162,000
<b>Children's Hospital of Philadelphia</b> 34th and Civic Center Blvd. Philadelphia, PA 19104	Producing highly active lymphocytes for adoptive immunotherapy	495,000 2016	PC	165,000
<b>Children's Hospital of Philadelphia</b> 34th and Civic Center Blvd. Philadelphia, PA 19104	A randomized clinical trial of intranasal oxytocin to promote weight loss in children and adolescents with hypothalamic obesity syndrome.	495,000 2016	PC	165,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Amount
<b>Children's Research Institute</b> 111 Michigan Ave NW Washington, DC 20010-2916	Minimizing toxicity in HLA-identical sibling transplantation for children with sickle cell disease	553,550 2017	PC	309,053
<b>Cincinnati Children's Hospital Medical Center</b> 3333 Burnett Avenue Cincinnati, OH 45229-3039	CD38 bright CD8+ effector memory T-cells herald acute graft versus host disease	486,000 2015	PC	81,000
<b>Cincinnati Children's Hospital Medical Center</b> 3333 Burnett Avenue Cincinnati, OH 45229-3039	Genetic variants influencing the phenotypic expression of sickle cell anemia	486,000 2015	PC	162,000
<b>Cincinnati Children's Hospital Medical Center</b> 3333 Burnett Avenue Cincinnati, OH 45229-3039	Maximizing Fetal Hemoglobin Responses to Hydroxyurea using Precision Medicine	990,000 2017	PC	495,000
<b>City Of Hope</b> 1500 East Duarte Road Duarte, CA 91010	Effect of DNA demethylation therapy on the Wnt pathway in lung cancer stem cells	495,000 2016	PC	165,000
<b>Cleveland Clinic Foundation</b> 6801 Brecksville Rd Rk1-85 Independence, OH 44131-5032	Understanding the Scope and Efficacy of Opioid Treatment of Chronic Pain In Primary Care	70,400 2017	PC	70,400
<b>Columbia University</b> 615 W 131 St 4Th Fl New York, NY 10027-7984	Advanced phenotypic and genotypic methods to predict TB treatment response and measure emergent TB drug-resistance	486,000 2015	PC	81,000
<b>Joan &amp; Sanford I. Weill Medical College of Cornell University</b> 341 Pine Tree Rd Ithaca, NY 14850-2820	Longitudinal Study of the Effect of Praziquantel Treatment of Schistosoma mansoni on the Female Genital Mucosal Immune System and Microbiome	495,000 2017	PC	247,500
<b>Joan &amp; Sanford I. Weill Medical College of Cornell University</b> 341 Pine Tree Rd Ithaca, NY 14850-2820	Epigenetic landscapes of rearranged driver-negative cancers	495,000 2017	PC	247,500
<b>Dana-Farber Cancer Institute</b> 450 Brookline Ave Boston, MA 02215-5418	Therapeutic targeting of the acute myeloid leukemia stem cell	495,000 2017	PC	247,500
<b>Dana-Farber Cancer Institute</b> 450 Brookline Ave Boston, MA 02215-5418	Understanding Therapeutic Efficacy and Resistance in Patients with Metastatic Pancreatic Ductal Adenocarcinoma	495,000 2017	PC	247,500
<b>Duke University</b> 324 Blackwell St Ste 850 Durham, NC 27701-3659	High-throughput Metabolite Profiling and Genetic Analyses to Identify Novel Predictive Biomarkers of SCD-related Complications	486,000 2015	PC	162,000
<b>Duke University</b> 324 Blackwell St Ste 850 Durham, NC 27701-3659	Fund to Retain Clinical Scientists at Duke University	583,200 2015	PC	162,000
<b>Duke University</b> 324 Blackwell St Ste 850 Durham, NC 27701-3659	Healthcare Disparities and Variation in Care of Patients with Peripheral Artery Disease At Risk for Lower Extremity Amputation and Poor Cardiovascular Outcomes	495,000 2016	PC	165,000
<b>Duke University</b> 324 Blackwell St Ste 850 Durham, NC 27701-3659	Genetic Pathways Affecting High Throughput Adhesion Assays	54,000 2017	PC	54,000
<b>Duke University</b> 324 Blackwell St Ste 850 Durham, NC 27701-3659	Defining the anti-viral impact and potential efficacy of HIV-1 envelope vaccination of HIV-infected pregnant women to eliminate mother-to-child transmission.	70,400 2017	PC	70,400

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Amount
Duke University 324 Blackwell St Ste 850 Durham, NC 27701-3659	Genetics of childhood nephrotic syndrome	70,400 2017	PC	70,400
Foundation for the NIH Clinical Center, National Institutes of Health, Rm 1N262 10 Center Drive Bethesda, MD 20814	To support the Scientific Symposium to be held May 1, 2018 at the NIH as part of the Fogarty International Center's 50th Anniversary celebration	5,000 2017	PC	5,000
Harvard University 1033 Massachusetts Ave Ste 3 Cambridge, MA 02138-5366	Using pathogen genomics and patient data to define determinants of persistent MRSA colonization and of success of decolonization	495,000 2016	PC	165,000
Harvard University 1033 Massachusetts Ave Ste 3 Cambridge, MA 02138-5366	International Clinical Research Fellowships for Medical Students	462,000 2016	PC	231,000
Harvard University 1033 Massachusetts Ave Ste 3 Cambridge, MA 02138-5366	To support the 2017-2018 Doris Duke ICRF fellows in attending the Annual Workshop of Advanced Clinical Care (AWACC) conference in Durban South Africa, September 7- 8, 2017	25,000 2017	PC	25,000
Health Research Alliance Inc. 65 TW Alexander Drive, Suite 13605 Research Triangle Park, NC 27709- 0872	Membership renewal and general support	10,000 2017	PC	10,000
Icahn School of Medicine at Mount Sinai Po Box 4500 New York, NY 10163-4500	Early Plasma Biomarkers to Predict Severe GVHD in URM Patients	70,400 2017	PC	70,400
Johns Hopkins University 300 East Joppa Road, 5th floor Baltimore, MD 21286	Johns Hopkins School of Medicine Doris Duke Clinical Investigator Support Program	583,200 2015	PC	162,000
Johns Hopkins University 300 East Joppa Road, 5th floor Baltimore, MD 21286	Survival Benefit of Incompatible Live Donor Kidney Transplantation under the New KAS	70,400 2017	PC	70,400
Massachusetts General Hospital 399 Revolution Dr Ste 645 Somerville, MA 02145-1465	Neurobiological basis of clozapine response in schizophrenia patients	486,000 2015	PC	81,000
Massachusetts General Hospital 399 Revolution Dr Ste 645 Somerville, MA 02145-1465	Skeletal Fragility and Fracture Risk after Bariatric Surgery	495,000 2016	PC	165,000
Massachusetts General Hospital 399 Revolution Dr Ste 645 Somerville, MA 02145-1465	Adherence to periconception HIV risk-reduction among HIV- exposed uninfected women in rural Uganda	495,000 2016	PC	165,000
Massachusetts General Hospital 399 Revolution Dr Ste 645 Somerville, MA 02145-1465	Comprehensive assessment of the evolution of acquired drug resistance in EGFR mutant non-small cell lung cancer	495,000 2017	PC	247,500
Massachusetts General Hospital 399 Revolution Dr Ste 645 Somerville, MA 02145-1465	Atrial Fibrillation Precipitants and Long-term Morbidity	70,400 2017	PC	70,400
Medical University Hospital Authority 169 Ashley Avenue Suite 203 Charleston, SC 29425-8905	Program to Enhance the Retention of Clinicians at the Medical University of South Carolina	583,200 2015	PC	162,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Amount
Medical University Hospital Authority 169 Ashley Avenue Suite 203 Charleston, SC 29425-8905	Epigenetic Modulators for the Treatment of Sickle Cell Disease	753,100 2017	PC	387,030
New York Academy of Sciences 250 Greenwich Street, 40th Floor New York, NY 10007-0000	Organizational membership	2,500 2017	PC	2,500
New York University 105 E 17Th Street - 2Nd Floor 53 Washington Square South, room 308 New York, NY 10003-2170	Fund to Retain Clinical Scientists at NYU Langone Medical Center	583,200 2015	PC	162,000
Northwestern University 1800 Sherman Ave, 3rd Floor Evanston, IL 60201	Identification of the genetic basis of altered T cell receptor signaling in cutaneous T cell lymphoma	270,000 2016	PC	90,000
Research!America 241 18Th St S Ste 501 Suite 501 Arlington, VA 22202-3415	Membership 2017	5,000 2017	PC	5,000
Research!America 241 18Th St S Ste 501 Suite 501 Arlington, VA 22202-3415	To support preparation of the 12th edition of Research! America's Investment Report, providing trending data on medical and health research and development (R&D) spending	10,000 2017	PC	10,000
Research Foundation for Mental Hygiene Inc 722 W 168th St #310 New York, NY 10032	Dopamine Transmission in the Psychosis Prodrome: a [11C]--(+)--PHNO PET Study	495,000 2016	PC	165,000
Rockefeller University 1230 York Avenue New York, NY 10021	Understanding the mechanisms behind the disparate actions of endogenous vitamin D vs. vitamin D supplements on the lipid metabolism: a rational step towards optimizing treatment of vitamin D deficiency	448,226 2015	PC	81,000
Society for Clinical and Translational Science Inc 2025 M St Nw Ste 800 Washington, DC 20036-2422	Membership 2017	7,500 2017	PC	7,500
St. Jude Children's Research Hospital 262 Danny Thomas Pl Msc 512 Memphis, TN 38105-3678	Genome Editing of Bone Marrow and Plerixafor-mobilized CD34+ Cells to Raise Fetal Hemoglobin Levels in Sickle Cell Disease	990,000 2017	PC	495,000
Stanford University 3145 Porter Drive Palo Alto, CA 94304-1234	The role of iron and inflammation in Alzheimer's disease: from ex vivo to in vivo	486,000 2015	PC	81,000
Stanford University 3145 Porter Drive Palo Alto, CA 94304-1234	Statin-associated diabetes: Identifying risk factors and physiologic mechanisms	495,000 2016	PC	165,000
Stanford University 3145 Porter Drive Palo Alto, CA 94304-1234	Functional genetic characterization of a novel host determinant of Plasmodium falciparum malaria	495,000 2016	PC	165,000
Stanford University 3145 Porter Drive Palo Alto, CA 94304-1234	The purpose of the grant is to support a meeting of the program directors of the Doris Duke Clinical Research Continuum: High School to College	17,582 2017	PC	28,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Amount
<b>Universities Allied for Essential Medicines</b> 641 S Street NW Washington, DC 20001-5196	To support the 2017 annual Universities Allied for Essential Medicines North American conference	10,000 2017	PC	10,000
<b>University of California, Los Angeles</b> 10920 Wilshire Blvd Ste 620 Los Angeles, CA 90024-6505	Direct Comparison of Gene Therapy Approaches to Treating Sickle Cell Disease	54,000 2016	PC	54,000
<b>University of California, Los Angeles</b> 10920 Wilshire Blvd Ste 620 Los Angeles, CA 90024-6505	Optimizing Gene Editing for Sickle Cell Disease	934,650 2017	PC	464,185
<b>University of California, San Diego</b> 9500 Gilman Drive La Jolla, CA 92103-8756	Human viral communities as vehicles for the spread of antibiotic resistance in the community	486,000 2015	PC	81,000
<b>University of California, San Diego</b> 9500 Gilman Drive La Jolla, CA 92103-8756	Mapping the environmental landscape of human cardiovascular disease	486,000 2015	PC	81,000
<b>University of California, San Francisco</b> San Francisco, CA 94143-0000	Improving cardiovascular risk stratification in kidney transplant recipients	486,000 2015	PC	81,000
<b>University of California, San Francisco</b> San Francisco, CA 94143-0000	Enhanced therapeutic monitoring of multidrug resistant tuberculosis	486,000 2015	PC	81,000
<b>University of California, San Francisco</b> San Francisco, CA 94143-0000	Fund to Retain Clinical Scientists at University of California, San Francisco	583,200 2015	PC	162,000
<b>University of California, San Francisco</b> San Francisco, CA 94143-0000	Measuring and Modeling Effects of Inter-Individual Protein-Level Buffering on Phenotypic Penetrance of DNA Copy Number Variants	495,000 2016	PC	165,000
<b>University of California, San Francisco</b> San Francisco, CA 94143-0000	International Clinical Research Fellowships for Medical Students	462,000 2016	PC	231,000
<b>University of California, San Francisco</b> San Francisco, CA 94143-0000	Elucidating the role of commensals in atopic dermatitis	495,000 2017	PC	247,500
<b>University of Chicago</b> 6054 S Drexel Ave Suite 300 Chicago, IL 60637-2612	To support the development of an interdisciplinary research network for sickle cell studies in Nigeria	100,000 2017	PC	100,000
<b>University of Chicago</b> 6054 S Drexel Ave Suite 300 Chicago, IL 60637-2612	Functional Analysis of SNPs associated with Estrogen Receptor Negative Breast Cancer using CRISPR-Cas9 Technology	70,400 2017	PC	70,400
<b>University of Colorado</b> 1800 N Grant St Ste 600 Denver, CO 80203-1125	Fund to Retain Clinical Scientists at the University of Colorado Anschutz Medical Campus School of Medicine	583,200 2015	PC	162,000
<b>University of Colorado</b> 1800 N Grant St Ste 600 Denver, CO 80203-1125	Precursor ribosomal RNA as a novel marker of treatment efficacy in tuberculosis	495,000 2017	PC	247,500
<b>University of Colorado</b> 1800 N Grant St Ste 600 Denver, CO 80203-1125	To convene Fund to Retain Clinical Scientists (FRCS) program directors and guests to discuss innovative ways to retain and support young scientists	28,580 2017	PC	28,580

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Amount
University of Michigan 3003 S. State Street Ann Arbor, MI 48109-0000	Big Data in the Brain: Implementing and Characterizing a Novel Biomarker of Epilepsy	402,000 2015	PC	60,000
University of Michigan 3003 S. State Street Ann Arbor, MI 48109-0000	To support the evaluation of the Fund to Retain Clinical Scientists, a Novel Initiative of the Doris Duke Foundation	380,000 2015	PC	124,530
University of Michigan 3003 S. State Street Ann Arbor, MI 48109-0000	Defining the epigenetic landscape of pediatric posterior fossa ependymomas.	495,000 2016	PC	165,000
University of Michigan 3003 S. State Street Ann Arbor, MI 48109-0000	A new paradigm in cutaneous lupus: dissecting the interplay between interferons and Staphylococcus aureus	495,000 2017	PC	247,500
University of Michigan 3003 S. State Street Ann Arbor, MI 48109-0000	Epigenetic Regulation of Diabetic Wounds Promotes Chronic Inflammation and Prevents Healing	495,000 2017	PC	247,500
University of North Carolina at Chapel Hill 104 Airport Dr Cb 1270 Chapel Hill, NC 27599-5023	To support a research study on developmental transitions for children who have special needs in order to identify family demands and impact on parental well-being and workplace attitudes among physician scientists	5,000 2017	PC	5,000
University of North Carolina at Chapel Hill 104 Airport Dr Cb 1270 Chapel Hill, NC 27599-5023	Fund to Retain Clinical Scientists at the University of North Carolina at Chapel Hill School of Medicine	583,200 2015	PC	162,000
University of North Carolina at Chapel Hill 104 Airport Dr Cb 1270 Chapel Hill, NC 27599-5023	International Clinical Research Fellowships for Medical Students	462,000 2016	PC	231,000
University of Pennsylvania 3451 Walnut Street Philadelphia, PA 19104-6205	Using Social Incentives and Patient-Generated Health Data to Change Health Behaviors and Improve Glycemic Control Among Type 2 Diabetics	495,000 2016	PC	141,788
University of Pennsylvania 3451 Walnut Street Philadelphia, PA 19104-6205	Identifying biomarkers of treatment response in insomnia and depression with a metabolomics platform	495,000 2017	PC	247,500
University of Pittsburgh School of Medicine Scaife Hall 3550 Terrace Street Pittsburgh, PA 15261	Atrial Fibrillation health Literacy Information Technology Trial (AF-LITT)	486,000 2015	PC	81,000
University of Pittsburgh School of Medicine Scaife Hall 3550 Terrace Street Pittsburgh, PA 15261	Targeting the TWIST1- E2A pathway in oncogene driven lung cancer	486,000 2015	PC	81,000
University of Rochester AIR Box 695 601 Elmwood Ave Rochester, NY 14642	Understanding how the initial encounter with influenza virus poises children for protective immunity	486,000 2015	PC	81,000
University of Texas Foundation Po Box 250 Austin, TX 78767-0250	Cancer-specific RNA Editing as a Novel Mechanism for Chemoresistance in Pancreatic Cancer	486,000 2015	PC	162,000
University of Utah 1395 East Presidents Circle Room 190 Salt Lake City, UT 84112	Protein Kinase C as a Target for Reactivation of Latent HIV-1	495,000 2016	PC	165,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Amount
University of Washington 407 Gerberding Hall Seattle, WA 98195-0001	T Cell Memory to Mycobacterial Lipids	495,000 2016	PC	165,000
University of Washington 407 Gerberding Hall Seattle, WA 98195-0001	Genomic and quorum sensing adaptation of Pseudomonas aeruginosa in cystic fibrosis	495,000 2017	PC	247,500
University of Wisconsin Foundation 1848 University Ave Madison, WI 53726-4090	Genetic Variants, Immune Dysregulation, & Rheumatoid Arthritis	486,000 2015	PC	81,000
University of Wisconsin Foundation 1848 University Ave Madison, WI 53726-4090	Impairment of Neutrophil Function by Biofilms on Medical Devices	495,000 2017	PC	247,500
Vanderbilt University Medical Center 1161 21St Ave S Ste D3300 Mcn Nashville, TN 37232-0011	Novel Prediction Models for Patient-Centered Clinical Outcomes after Transcatheter Aortic Valve Replacement for Aortic Stenosis	486,000 2014	PC	0
Vanderbilt University Medical Center 1161 21St Ave S Ste D3300 Mcn Nashville, TN 37232-0011	Fund to Retain Clinical Scientists at Vanderbilt University Medical School	583,200 2015	PC	162,000
Vanderbilt University Medical Center 1161 21St Ave S Ste D3300 Mcn Nashville, TN 37232-0011	New Approaches to Precision Dosing for Special Populations	495,000 2017	PC	247,500
Vanderbilt University Medical Center 1161 21St Ave S Ste D3300 Mcn Nashville, TN 37232-0011	The Biological Correlates of Vaso-Occlusive Pain Associated with Menstruation in Sickle Cell Disease: A Feasibility Study	70,400 2017	PC	70,400
Vivli Inc 14 Story St Ste 4 Cambridge, MA 02138-4955	To support the development of a global clinical research data-sharing platform	100,000 2017	PC	100,000
Washington University 700 Rosedale Ave Box 1000 St Louis, MO 63112-1408	Risk Alleles and Podocyte Endoplasmic Reticulum Stress in Focal Segmental Glomerulosclerosis	486,000 2015	PC	81,000
Washington University 700 Rosedale Ave Box 1000 St Louis, MO 63112-1408	Fund to Retain Clinical Scientists at Washington University School of Medicine	583,200 2015	PC	162,000
Washington University 700 Rosedale Ave Box 1000 St Louis, MO 63112-1408	Immune Regulation of Atopic Dermatitis and Itch	495,000 2016	PC	165,000
Washington University 700 Rosedale Ave Box 1000 St Louis, MO 63112-1408	Transmission and development of the human infant virome	495,000 2017	PC	247,500
Yale University Po Box 208356 New Haven, CT 06520-8356	Defining Intercellular Metabolic Networks in Human Cutaneous Squamous Cell Carcinoma	486,000 2015	PC	81,000
Yale University Po Box 208356 New Haven, CT 06520-8356	Fund to Retain Clinical Scientists at Yale School of Medicine	583,200 2015	PC	162,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Amount
Yale University Po Box 208356 New Haven, CT 06520-8356	International Clinical Research Fellowships for Medical Students	462,000 2016	PC	95,429
Yale University Po Box 208356 New Haven, CT 06520-8356	Developing and Testing the Effect of an Integrated Patient-Centered HIV Prevention Decision Aid on PrEP Uptake among Women who use Drugs Entering Treatment	495,000 2017	PC	247,500
			<i>Total Medical Research</i>	<u>15,894,146</u>
<b>Philanthropic Sector</b>				
Center for Effective Philanthropy 675 Massachusetts Ave., 7th fl Cambridge, MA 02139-3393	Philanthropic Sector Support 2017	10,000 2017	PC	10,000
Communications Network 1717 N Naper Blvd Ste 102 Naperville, IL 60563-8837	Philanthropic Sector Support 2017	1,500 2017	PC	1,500
Council on Foundations 2121 Crystal Dr Ste 700 Arlington, VA 22202-3706	Philanthropic Sector Support 2017	25,000 2017	PC	25,000
Foundation Center 32 Old Slip 24th Floor New York, NY 10005-0000	Philanthropic Sector Support 2017	25,000 2017	PC	25,000
Guidestar USA Inc 4801 Courthouse St Ste 220 Williamsburg, VA 23188-2678	Philanthropic Sector Support 2017	5,000 2017	PC	5,000
Independent Sector 1602 L St NW Ste 900 Washington, DC 20036-5682	Philanthropic Sector Support 2017	17,500 2017	PC	17,500
PEAK Grantmaking 1666 K St Nw Ste 440 Washington, DC 20006-1242	Philanthropic Sector Support 2017	7,000 2017	PC	7,000
Philanthropy New York 1500 Broadway 7Th Floor New York, NY 10036-4055	Philanthropic Sector Support 2017	28,000 2017	PC	28,000
Technology Affinity Group 23 Briar Rd Wayne, PA 19087-2602	Philanthropic Sector Support 2017	2,000 2017	PC	2,000
			<i>Total Philanthropic Sector</i>	<u>121,000</u>
<b>Other</b>				
AFS Intercultural Programs Inc 71 W 23rd St 6th Floor New York, NY 10010-4102	General Support	20,000 2017	PC	20,000
American Friends Of Sentebale Foundation 1745 Broadway, 18th Floor New York, NY 10019	General Support	10,000 2017	PC	10,000
Asia Society 725 Park Avenue New York, NY 10021	Performing Arts Programs	5,000 2017	PC	5,000
Breaking Ground Housing Development Fund Corporation 505 8th Avenue, 5th Floor New York, NY 10018-6550	General Support	5,000 2017	PC	5,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Amount
Carnegie Hall 881 Seventh Avenue New York, NY 10019-3210	Initial Implementation of NYO Jazz	50,000 2017	PC	50,000
Columbia University 615 W 131 St 4Th Fl New York, NY 10027-7984	Committee on Global Thought	5,000 2017	PC	5,000
Conductors Retreat At Medomak 8 Westbury Ct. Ann Arbor, MI 48105-1411	General Support	5,000 2017	PC	5,000
Joan & Sanford I. Weill Medical College of Cornell University 341 Pine Tree Rd Ithaca, NY 14850-2820	To support research on an immunotherapy cure for bladder cancer	25,000 2017	PC	25,000
Environmental Defense Fund, Incorporated 257 Park Avenue South New York, NY 10010-7304	General Support	12,500 2017	PC	12,500
Global Impact 1199 North Fairfax Street, Suite 300 Alexandria, VA 22314	Cordaid Fund	10,000 2017	PC	10,000
Grassroot Soccer Inc Po Box 712 Norwich, VT 05055-0712	General Support	20,000 2017	PC	20,000
An Infinite Mind 7413 Wynnewood Sq Winter Park, FL 32792-6559	General Support	2,500 2017	PC	2,500
KIPP Houston Public Schools 10711 KIPP Way Houston, TX 77099	General Support	20,000 2017	PC	20,000
Metropolitan Museum of Art 1000 Fifth Avenue New York, NY 10028-0113	Met Live Arts	5,000 2017	PC	5,000
The Nature Conservancy, Inc. 4245 N. Fairfax Drive, Suite 100 Arlington, VA 22203-1637	General Support	45,000 2017	PC	45,000
Nest Inc 501 5Th Ave Rm 1608 New York, NY 10017-7856	General Support	10,000 2017	PC	10,000
New Professional Theatre Inc 229 W. 42nd St. Nyc, NY 10036-7205	General Support	20,000 2017	PC	20,000
New York City Ballet Inc 20 Lincoln Center Plaza New York, NY 10023-6913	General Support	12,500 2017	PC	12,500
New York Public Library 445 Fifth Avenue 8Th Floor New York, NY 10016-0133	Jerome Robbins Dance Division	7,500 2017	PC	7,500
New York Stem Cell Foundation Inc 619 West 54th Street, 3rd Floor New York, NY 10019	General Support	5,000 2017	PC	5,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Amount
New York-Presbyterian Fund Inc 654 W 170th Street New York, NY 10032	General Support	25,000 2017	PC	25,000
Oxfam America 226 Causeway Street, 5th Floor Boston, MA 02114-2155	General Support	12,500 2017	PC	12,500
Partners In Health A Nonprofit Corporation 800 Boylston St Ste 1400 Boston, MA 02199-8130	General Support	12,500 2017	PC	12,500
Peconic Land Trust Incorporated 296 Hampton Road Southampton, NY 11968-5030	General Support	2,500 2017	PC	2,500
Playwrights Horizons, Inc. 416 West 42nd Street New York, NY 10036-6809	General Support	50,000 2017	PC	50,000
Smithsonian Institution 1000 Jefferson Drive Southwest Washington, DC 20560-0008	To support the Asian Pacific American Center	10,000 2017	PC	10,000
Studio Museum In Harlem Inc 144 W 125th St New York, NY 10027-4423	General Support	25,000 2017	PC	25,000
United States Fund for UNICEF 125 Maiden Lane 10Th Flr New York, NY 10038-4713	General Support	12,500 2017	PC	12,500
University of Michigan 3003 S. State Street Ann Arbor, MI 48109-0000	To support a graduate fellowship in the Center for Sustainable Systems at the School of Environment and Sustainability	15,000 2017	PC	15,000
William Marsh Rice University 6100 Main St Msc 71 Houston, TX 77005-1827	Emerging Scholars Program	40,000 2017	PC	40,000
			<i>Total Other</i>	<u>500,000</u>
Eureka Foundation Inc. 12 east 12th Street, #10 New York, NY 10003	To support educational programming in connection with GREAT MUSEUMS: MASTERWORKS of Islamic Art	75,000 2016	PF	0
			<b>Grand Totals</b>	<u><u>66,829,910</u></u>

## **PART XV - GRANTS APPROVED FOR FUTURE PAYMENT**

<b>SUMMARY BY PROGRAM AREA</b>	<b>Approved for Future Payment</b>
<b>Recipient and/or Purpose</b>	<b>Payment</b>
<b>TOTAL AFRICAN HEALTH INITIATIVE</b>	<b>4,500,000</b>
<b>TOTAL ARTS</b>	<b>612,500</b>
<b>TOTAL ARTS INITIATIVE</b>	<b>1,650,000</b>
<b>TOTAL CHILD WELL-BEING</b>	<b>1,050,490</b>
<b>TOTAL ENVIRONMENT</b>	<b>5,835,341</b>
<b>TOTAL MEDICAL RESEARCH</b>	<b>7,217,031</b>
<b>TOTAL MEDIA FUND</b>	<b>750,000</b>
<b>GRAND TOTAL</b>	<b>21,615,362</b>

## PART XV, 3b - GRANTS APPROVED IN 2017 FOR FUTURE PAYMENT

Organization	Purpose	Tax Status	Amount
<b>Arts</b>			
Appalshop, Inc. 91 Madison Ave Whitesburg, KY 41858-9317	<i>To further support the project, Performing Our Future</i>	PC	\$12,500
Earshot Jazz Society of Seattle 3429 Fremont Pl N Ste 309 Seattle, WA 98103-8650	<i>Leadership Grants for Arts Organizations 2017</i>	PC	\$250,000
Gina Gibney Dance Inc 890 Broadway, Fifth Floor New York, NY 10003-1211	<i>Leadership Grants for Arts Organizations 2017</i>	PC	\$150,000
Vail Valley Foundation Inc Po Box 6550 Vail, CO 81658-0309	<i>Leadership Grants for Arts Organizations 2017</i>	PC	\$200,000
		<i>Total Arts</i>	\$612,500
<b>Environment</b>			
American Farmland Trust (AFT) 1150 Connecticut Avenue, Suite 600 Washington, DC 20036-4104	<i>To renew support for the Hudson Valley Farmlink Network</i>	PC	\$225,000
Climate Central, Inc. One Palmer Square, Suite 330 Princeton, NJ 08540	<i>To support narrative, tools and analysis for coastal wetlands conservation in the face of sea level rise</i>	PC	\$175,000
Climate Central, Inc. One Palmer Square, Suite 330 Princeton, NJ 08540	<i>To raise public awareness of climate change and build support for climate action, through local television weather and news reportage</i>	PC	\$100,000
Council on the Environment Inc, aka GrowNYC 100 Gold St. Suite 3300 New York, NY 10038-1617	<i>To renew support for FARMroots</i>	PC	\$150,000
Georgetown University 37th and O Streets NW Washington, DC 20057-0001	<i>To support the Georgetown Climate Center's work to develop land-use strategies for addressing "coastal squeeze."</i>	PC	\$90,000
National Audubon Society Inc 225 Varick St., 7th Floor New York, NY 10014-4396	<i>To support building a foundation for coastal resiliency policy</i>	PC	\$50,000
National Wildlife Federation 11100 Wildlife Center Dr Reston, VA 20190-5361	<i>To significantly scale up the use of "living shorelines" that provide habitat and wildlife benefits in coastal protection and adaptation efforts</i>	PC	\$231,341
National Wildlife Federation 11100 Wildlife Center Dr Reston, VA 20190-5361	<i>To renew support for a project focused on reducing the impacts of bioenergy development on wildlife, wildlife habitat and climate emissions</i>	PC	\$612,000
Natural Areas Conservancy 1234 Fifth Avenue New York, NY 10029-4418	<i>To support restoration and conservation of New York City's forests and wetlands</i>	PC	\$200,000
The Nature Conservancy, Inc. 4245 N. Fairfax Drive, Suite 100 Arlington, VA 22203-1637	<i>To support the application of resilience science to the Rocky Mountains, Southwest Deserts and Mississippi Valley regions of the US, and pilot new resilience science approaches to coastal and freshwater realms in the in the Eastern US</i>	PC	\$325,000
Northern Arizona University Fdn Inc 624 S. Knoles Old Main - (Bldg #10) Flagstaff, AZ 86011-0103	<i>To support the Doris Duke Conservation Scholars Program at Northern Arizona University</i>	PC	\$177,000

## GRANTS AND CONTRIBUTIONS APPROVED DURING THE YEAR FOR FUTURE PAYMENT

Organization	Purpose	Tax Status	Amount
The Trust for Public Land 101 Montgomery Street Suite 900 San Francisco, CA 94104-4148	To renew support for the Conservation Finance Initiative, a joint effort of The Trust for Public Land and The Nature Conservancy that aims to increase public funding for wildlife habitat conservation in the United States	PC	\$3,500,000
		Total Environment	\$5,835,341
<b>Medical Research</b>			
Albert Einstein College Of Medicine Inc 111 E 210Th St Bronx, NY 10467-2401	Characterization of the Stem and Progenitor Cell Compartment in Sickle Cell Disease and Optimization of Gene Transfer at AAVS1 Site in CD49f+ LT-HSCs	PC	\$533,499
Baylor College of Medicine One Baylor Plaza Houston, TX 77030-3411	Systematic search for targeted therapeutic entry points for SYNGAP1 haploinsufficiency - implications for neurodevelopmental disorder therapies	PC	\$247,500
Beth Israel Deaconess Medical Center 330 Brookline Ave Boston, MA 02215-5400	A new approach to patient safety: identifying risky clinical states to reduce preventable harms for hospitalized patients.	PC	\$247,500
Boston Children's Hospital 300 Longwood Avenue Boston, MA 02115-5724	Small molecule targeted reactivation of HbF expression for sickle cell disease	PC	\$405,000
Children's Research Institute 111 Michigan Ave NW Washington, DC 20010-2916	Minimizing toxicity in HLA-identical sibling transplantation for children with sickle cell disease	PC	\$244,497
Cincinnati Children's Hospital Medical Center 3333 Burnett Avenue Cincinnati, OH 45229-3039	Maximizing Fetal Hemoglobin Responses to Hydroxyurea using Precision Medicine	PC	\$495,000
Joan & Sanford I. Weill Medical College of Cornell University 341 Pine Tree Rd Ithaca, NY 14850-2820	Longitudinal Study of the Effect of Praziquantel Treatment of Schistosoma mansoni on the Female Genital Mucosal Immune System and Microbiome	PC	\$247,500
Joan & Sanford I. Weill Medical College of Cornell University 341 Pine Tree Rd Ithaca, NY 14850-2820	Epigenetic landscapes of rearranged driver-negative cancers	PC	\$247,500
Dana-Farber Cancer Institute 450 Brookline Ave Boston, MA 02215-5418	Therapeutic targeting of the acute myeloid leukemia stem cell	PC	\$247,500
Dana-Farber Cancer Institute 450 Brookline Ave Boston, MA 02215-5418	Understanding Therapeutic Efficacy and Resistance in Patients with Metastatic Pancreatic Ductal Adenocarcinoma	PC	\$247,500
Massachusetts General Hospital 399 Revolution Dr Ste 645 Somerville, MA 02145-1465	Comprehensive assessment of the evolution of acquired drug resistance in EGFR mutant non-small cell lung cancer	PC	\$247,500
Medical University Hospital Authority 169 Ashley Avenue Suite 203 Charleston, SC 29425-8905	Epigenetic Modulators for the Treatment of Sickle Cell Disease	PC	\$366,070
St. Jude Children's Research Hospital 262 Danny Thomas Pl Msc 512 Memphis, TN 38105-3678	Genome Editing of Bone Marrow and Plerixafor-mobilized CD34+ Cells to Raise Fetal Hemoglobin Levels in Sickle Cell Disease	PC	\$495,000
University of California, Los Angeles 10920 Wilshire Blvd Ste 620 Los Angeles, CA 90024-6505	Optimizing Gene Editing for Sickle Cell Disease	PC	\$470,465

## GRANTS AND CONTRIBUTIONS APPROVED DURING THE YEAR FOR FUTURE PAYMENT

Organization	Purpose	Tax Status	Amount
University of California, San Francisco San Francisco, CA 94143-0000	<i>Elucidating the role of commensals in atopic dermatitis</i>	PC	\$247,500
University of Colorado 1800 N Grant St Ste 600 Denver, CO 80203-1125	<i>Precursor ribosomal RNA as a novel marker of treatment efficacy in tuberculosis</i>	PC	\$247,500
University of Michigan 3003 S. State Street Ann Arbor, MI 48109-0000	<i>A new paradigm in cutaneous lupus: dissecting the interplay between interferons and Staphylococcus aureus</i>	PC	\$247,500
University of Michigan 3003 S. State Street Ann Arbor, MI 48109-0000	<i>Epigenetic Regulation of Diabetic Wounds Promotes Chronic Inflammation and Prevents Healing</i>	PC	\$247,500
University of Pennsylvania 3451 Walnut Street Philadelphia, PA 19104-6205	<i>Identifying biomarkers of treatment response in insomnia and depression with a metabolomics platform</i>	PC	\$247,500
University of Washington 407 Gerberding Hall Seattle, WA 98195-0001	<i>Genomic and quorum sensing adaptation of Pseudomonas aeruginosa in cystic fibrosis</i>	PC	\$247,500
University of Wisconsin Foundation 1848 University Ave Madison, WI 53726-4090	<i>Impairment of Neutrophil Function by Biofilms on Medical Devices</i>	PC	\$247,500
Vanderbilt University Medical Center 1161 21st Ave S Ste D3300 Mcn Nashville, TN 37232-0011	<i>New Approaches to Precision Dosing for Special Populations</i>	PC	\$247,500
Washington University 700 Rosedale Ave Box 1000 St Louis, MO 63112-1408	<i>Transmission and development of the human infant virome</i>	PC	\$247,500
Yale University Po Box 208356 New Haven, CT 06520-8356	<i>Developing and Testing the Effect of an Integrated Patient-Centered HIV Prevention Decision Aid on PrEP Uptake among Women who use Drugs Entering Treatment</i>	PC	\$247,500
<i>Total Medical Research</i>			\$7,217,031
<b><u>Child Well-being</u></b>			
Fund for Public Health in New York 22 Cortlandt Street, Suite 802 New York, NY 10007-3107	<i>To increase access to comprehensive reproductive health services at NYC public high schools and foster care agencies to reduce unintended pregnancies among at-risk teens</i>	PC	\$550,000
National Center for Youth Law 405 14th Street, 15th Floor Oakland, CA 94612-2715	<i>To support the FosterEd initiative to improve education outcomes of youth in foster care, the juvenile justice system, or homeless in two areas of California, and develop a California state policy agenda for these vulnerable youth</i>	PC	\$400,490
Tides Center 1014 Torney Avenue San Francisco, CA 94129-1755	<i>To test Project Evident, a technical assistance platform that helps organizations serving vulnerable populations prove their effectiveness and build evidence for further funding for replication and scale</i>	PC	\$100,000
<i>Total Child Well-being</i>			\$1,050,490
<b><u>Arts Initiative</u></b>			
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	<i>Doris Duke Artist Awards 2018</i>	PF	\$1,650,000
<i>Total Arts Initiative</i>			\$1,650,000

**GRANTS AND CONTRIBUTIONS APPROVED DURING THE YEAR FOR FUTURE PAYMENT**

<b>Organization</b>	<b>Purpose</b>	<b>Tax Status</b>	<b>Amount</b>
<b><u>African Health Phase II</u></b>			
JSI Research & Training Institute, Inc. 44 Farnsworth Street Boston, MA 02210-1209	<i>To support implementation of the Ethiopia Data Use Partnership to strengthen and scale-up the Ethiopian health information system to improve the use of data for decision-making in 4 regions of Ethiopia</i>	PC	\$4,500,000
<i>Total African Health Phase II</i>			\$4,500,000
<b><u>Media Fund</u></b>			
Greater Washington Educational Telecommunications Association, Inc. 3939 Campbell Ave Arlington, VA 22206-3440	<i>To support PBS NewsHour programming related to DDCF fields of interest and expand its production of high-quality journalism across platforms</i>	PC	\$100,000
National Public Radio 1111 North Capitol St Nw Washington, DC 20002-0000	<i>To provide general operating support for NPR programming that advances DDCF programmatic goals and supports credible, high-quality professional journalism about issues important to DDCF's work</i>	PC	\$650,000
<i>Total Media Fund</i>			\$750,000
<b>Grand Totals</b>			<b>\$21,615,362</b>

**Doris Duke Charitable Foundation**  
**Form 990-PF - Return of Private Foundation**  
**FYE: 12/31/2017**  
**Direct Charitable Activities**  
**Attachment 17**

	<u>2017</u>
SERIES OF 3/4 VIDEOS HIGHLIGHT GOALS OF DDCF'S	\$41,500
LEAP FORUM-LEARNING ENGAGING & ADVOCATING POLICY	\$432,770
AFRICAN HEALTH INITIATIVE SITE VISITS	\$278
FUND FOR NATIONAL PROJECTS PANEL MEETING	\$10
2017 ARTS-BROWN BAG MEETING NYO 6/17/17	\$1,352
GRANTMAKERS IN THE ARTS-DETROIT 10/28-10/31/17	\$3,086
2017 JAZZ MEETINGS APR 18-NYO	\$8,020
2017 NATIONAL SECTOR CONVENING NYO 10/4/17	\$35,195
2017 NATIONAL SECTOR PANEL NYO 9/22/17 BREATHER SP	\$6,000
AHI2-1ST ANNUAL GRANTEE MEETING 4/10-4/14	\$98,167
1ST GLOBAL EVIDENCE SUMMIT (2842)	\$32,594
AHI SITE VISITS	\$51,146
ARTS PROGRAM SITE VISITS	\$438
2017 BUILDING DEMANDS CONVENING 10/18-21 NEW ORLEA	\$41,816
BUILDING DEMANDS SITE VISITS	\$1,372
CWB- ADVISORS MEETING 6/1-6/2/17 BOSTON	\$25,103
CWB-PLAYSPACE LEARNING COMMUNITY MTG MAY-NYC	\$23,451
CHILD WELL BEING SITE VISITS	\$321

DORIS DUKE CONSERVATION SCHOLARS PROGRAM	\$135,436
NATURAL CLIMATE SOLUTIONS-TARRYTOWN 7/24-26	\$132,670
ENVIRONMENT PROGRAM SITE VISITS	\$3,446
CLINICAL RESEARCH MENTORSHIP MEETING- MAY 23 2017	\$5,891
MRP-CLINICAL SCIENTIST MTG-NYC 10/25-10/27 (2405)	\$60,608
2017 INTL CLINICAL RESEARCH FELLOWSHIP 2402-JUNE	\$38,459
2017 SCIENTIFIC ADVISORY COUNCIL - 2425	\$20,000
INNOVATIONS IN CLINICAL RESEARCH AWARD GRANTEE MTG	\$27,863
MEDICAL RESEARCH PROGRAM SITE VISITS	\$2,327
<b>Total</b>	<b><u><u>\$1,229,317</u></u></b>

All expenditures associated with the Foundation's direct charitable activities are paid by the Foundation's related party, Doris Duke Management Foundation. These expenses are reimbursed by the Foundation and are included as part of Programmatic and Administrative Expenses on Part I, Line 23.

**Doris Duke Charitable Foundation**  
**Form 990-PF**  
**Part IX-A: Summary of Direct Charitable Activities**  
**FYE: 12/31/2017**

***Environment Activities***

Doris Duke was a lifelong environmentalist with a keen interest in conservation. In her will, she expressed her interest in "the preservation of wildlife, both flora and fauna" and in supporting "ecological endeavors."

The mission of the Environment Program is to enable communities to protect and manage wildlife habitat and create efficient built environments. It supports environmental initiatives and partners with other tax-exempt organizations, such as The Nature Conservancy, in the pursuit of land conservation, and strives to accomplish its mission through the following strategies:

- Accelerate land conservation in an era of climate change through the protection of landscapes that exhibit climate change resilience and help wildlife adapt to the impacts of climate change.
- Reduce impacts on the landscape from increased energy development and energy demand by facilitating the sensitive siting of energy infrastructure and reducing energy demand through increasing energy efficiency in the buildings sector.
- Encourage land stewardship and sustainability in the Tri-State area by supporting local efforts to manage wildlife habitat and create efficient, healthy, and vibrant built and natural environments in the greater New York City area.
- Strengthen the conservation field by diversifying and increasing the representation of under-represented communities in the conservation workforce. Build the overall capacity of the community focused on the permanent protection of high-priority wildlife habitat.

***Medical Research Activities***

Doris Duke was a significant supporter of medical research, making contributions to hospitals and research centers throughout her life. In her will, she expressed her interest in supporting "medical research designed to effectuate cures of major diseases."

The mission of the Medical Research Program is to support the prevention, diagnosis and treatment of human disease. The Medical Research Program strives to accomplish its mission through the following strategies:

- Encourage and develop clinical research careers that build the clinical research career ladder by supporting physician scientists in the United States at different stages of their career.
- Advance biomedical research and innovation through the support of innovative approaches to clinical research in targeted disease areas.

***African Health Activities***

The foundation also seeks to spur significant advances in strengthening health systems in sub-Saharan Africa through a special 10-year grant-making initiative. The African Health Initiative supports partnerships based at

U.S. institutions that design, implement and evaluate large-scale models of care that link implementation research and workforce training directly to the delivery of primary healthcare in sub-Saharan Africa.

### ***Child Well-Being Activities***

Doris Duke took a special interest in the needs of children, supporting nearly 85 child welfare organizations during her life. Her support included contributions to orphanages, camps, mentorship programs, little league teams, hospitals, and boys and girls clubs. In her will, Doris Duke expressed her interest in "the prevention of cruelty to children."

The mission of the Child Well-being Program is to promote children's healthy development and protect them from abuse and neglect. To accomplish this mission, the program favors a public health approach and is especially interested in place-based, whole-community approaches that seek to engage a range of participants from various disciplines to ensure that family well-being is supported by strong communities. It uses the following strategies to do so:

- Build a more robust repertoire of prevention strategies through the examination and support of place-based interventions that ensure that a community, not only a parent, provides a setting that promotes child well-being.
- Strengthen and expand the capacity of existing systems by working within existing systems that engage with groups characterized as high risk for child abuse and neglect, for the purpose of targeting at-risk individuals more effectively and efficiently, particularly children from Native American/Alaska Native communities, youth in or transitioning out of foster care, and low-income families.
- Develop and disseminate knowledge by building a broader audience for the ongoing research and investigation of child well-being. Support doctoral students engaged in the multidisciplinary work of child well-being.

### ***Performing Arts Activities***

Doris Duke, in her will, expressed an interest in assisting "actors, dancers, singers, musicians and other artists of the entertainment world in fulfilling their ambitions and providing opportunities for the public presentation of their arts and talents." The Arts Program supports this interest by focusing its support on contemporary dance, jazz and theater artists, and the organizations that nurture, present and produce them.

The mission of the Arts Program is to support performing artists with the creation and public performance of their work. The Arts Program accomplishes this mission through the following strategies:

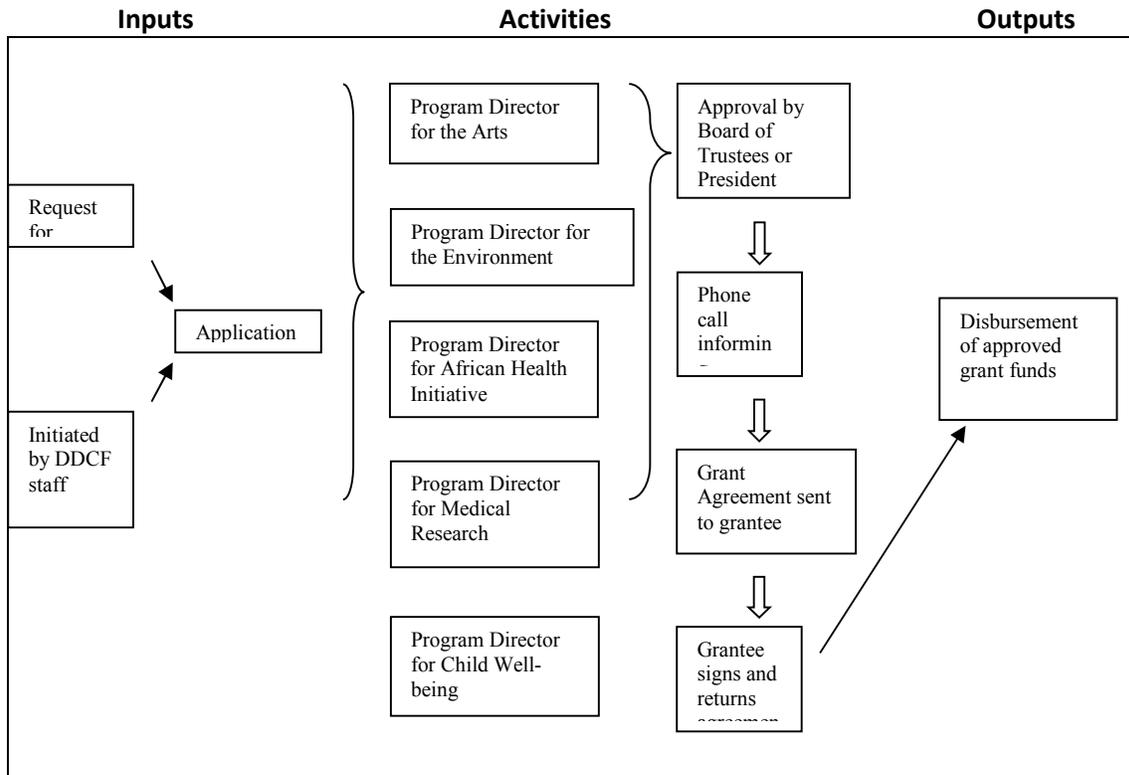
- Support artistic creation and distribution through national competitive initiatives administered by intermediary organizations that support the commissioning, production and presentation of new work in dance, jazz and theater. Typically these re-granting programs offer additional benefits to supported artists, including professional development, networking opportunities and participation in conferences and other meetings.
- Strengthen organizations that serve performing artists through grants that support their planning, capacity building, sustainability, technical assistance and implementation of bold, innovative approaches addressing current issues affecting the performing arts.

- Build the national sector by supporting activities that strengthen organizations critical to the health of the national dance, jazz, presenting and/or theater fields, as well as projects that have the potential to improve the health of those fields.
- Empower, invest in and celebrate artists by offering flexible, multi-year and unrestricted funding for artists in contemporary dance, theater, jazz and related interdisciplinary work.

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The \$1,229,317 in direct charitable expenditures listed in Part IX-A represent amounts paid by the Doris Duke Charitable Foundation to support various meetings, conferences, seminars and other climate, conservation and/or medical research endeavours. Further information about each individual program listed in the attached schedule is available upon request.

**Doris Duke Charitable Foundation  
Grants Process  
December 31, 2017**



The mission of the Doris Duke Charitable Foundation (DDCF) is to improve the quality of people’s lives through grants supporting the performing arts, environmental conservation, medical research and child well-being, and through preservation of the cultural and environmental legacy of Doris Duke’s properties.

Grant Development and Approval

Grants are either competitively awarded through Request for Proposal (RFP) processes or are initiated by the DDCF staff. Grants and proposed grant competitions are presented to the Board of Trustees at one of the four meetings that take place during the year. All grants must be approved by the Board of Trustees with the exception of the Program Fund, Cross-Program Fund, Trustee recommended grants, and grants selected through competitive, peer-reviewed program initiatives. In these cases, the Board approves a total amount for the program initiative or fund and delegates to DDCF’s President Edward Henry authority to approve grants. Authority for Program Fund and Cross-Program Fund grants is sought from the Board each November for the following year. Program Fund and Cross-Program Fund grants must be for purposes that are in keeping with DDCF’s mission; the amount of these grants is limited both on a per-grant basis (\$100,000 or less) and cumulative annual basis for each program (up to 5% of each Program’s annual payout budget may be awarded as Program Fund grants). Additionally, the Board authorized the President to approve up to \$300,000 in Program Fund grants for the African Health Initiative (with individual grants totaling no more than \$100,000), with funding to come from the initiative’s annual grants budget. All Program Fund and Cross-Program Fund grants are developed or invited by staff, undergo routine due diligence review, and are approved by the

President. Cross-Program Fund grant and Program Fund grants that total more than \$50,000 are discussed at a Staff Program Review meeting prior to approval. Finally, in 2017, eight Trustees recommended grants totaling \$500,000; the grant purpose and organizations are vetted by staff and approved by the Board Chair prior to grant award.

**Grants solicited by DDCF Staff.** Edward Henry (President) is actively involved in the entire grants cycle. Prior to performing all the research on a potential grantee, the Program Director discusses the organization or proposed project with Mr. Henry. Based on this discussion, the research will begin or the project will be set aside.

All grant applications are submitted to DDCF via on-line forms, the links to which are emailed to invited applicants. Completed grant applications are retrieved electronically into DDCF's grants management system (which creates relevant organization, contact, and request records for each application).<sup>1,2</sup> Program Director and staff members then review the application to ensure that the organization meets DDCF requirements and that the program description is in line with DDCF's mission and program objectives. The application is also reviewed by the Program Associate for Grants Administration to ensure that all the proper documentation has been received and the organization is a qualified not-for-profit.

Once the submitted application has been reviewed, the Program Director compiles a docket for presentation to the Board of Directors that describes the potential grantee organization and its proposed use of funds. Prior to the Board meeting, the Program Director presents the information to senior management and program staff at a Program Review session and at a "practice" session for the Board meeting. During these meetings, participating staff critique the written summary and the presentation, and appropriate changes are made, as necessary.

At the next Board meeting, the docket will be presented to the Board for their approval. The Board's decisions regarding proposed grants are documented in the Board of Director Minutes. After a grant has been approved by the board, Grants Administration staff records the grant as "Approved" in DDCF's grants management system and assigns a grant number. Grants Administration sends a monthly report detailing grants approved to Finance and the Accounting Manager prepares a journal entry to record the liability.

**Grants awarded through competitive Requests for Proposals.** Once the Board approves funds for a grant competition and delegates authority to approve specific grants that will be identified through that competition to the DDCF President, program staff release a Request for Proposals (RFP). The RFP specifies the purpose of the funding available through the award program, the timeline for application, the selection process, and expectations of projects selected. Competitions may involve one or two stages of application (i.e., letter of interest followed by a full proposal or just a full proposal) with staff and/or panel review at the first stage and panel review at the second stage. Applications are brought into the grants management system via on-line forms. Review panels score applications in accordance with the criteria specific to the grant program and recommend a roster of grants to DDCF. With authority delegated from the Board, the President approves grants to the projects selected via the competition. Grants Administration staff conducts a standard pre-grant agreement due diligence of each institution approved for funding.

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<sup>1</sup> See the "Computer Information Systems" section on the last page of this document for additional details about the grants management system.

<sup>2</sup> DDCF's grant records are maintained electronically in the grants management system.

Grant Award

Once the Board or President approves a grant, the respective DDCF program staff notify the organization by telephone. The Program Associate for Grants Administration generates a draft grant agreement using the appropriate template (grant agreement templates are stored in grants management system and merged with the grant data to create the grant agreement). The Program Director and Director of Grants Management review and refine the grant agreement until they are satisfied that it is complete and includes terms that are appropriate for the funded project. Once the Director of Grants Management has approved the grant agreement and award letter and verified that all grant documentation is in order, the Director of Grants Managements notifies the President via e-mail that the grant is ready for approval and requests the President’s approval to affix his signature (jpeg) to the agreement and award letter.

The President’s approval email indicating that the grants are approved and his jpeg signature may be used is stored in the grant record and the agreement is sent to the grantee organization for electronic signature using Adobe’s EchoSign product. The grant agreement specifies the total funds awarded, payment schedule, use of the funds, performance and financial reporting requirements, and various other disclosures regarding DDCF’s rights (for example, to publicly announce the grant). If the grantee organization agrees to the terms of the agreement, an authorized representative of the organization will sign electronically and return the agreement to the DDCF via EchoSign. When the fully executed grant agreement is received by DDCF, the first grant payment is initiated by Grants Administration and forwarded to Finance for processing.

Grant Payment Process

The grant payment process is initiated after Grants Administration staff has determined that all requirements for a grant payment have been met.

The Grants Associate confirms that ACH instructions are still correct and updates the grant record if necessary. Then, Grants Administration exports the grantee data from the grants management system to an Excel spreadsheet (via an automated download) which is emailed to the Accounting Manager for upload to Great Plains (GP). The information is uploaded into GP where it is reviewed and approved as per the signing authority below. Once the approvals have been made, an ACH encrypted file is generated and transmitted to Bank of America via a secured transmission website. The transmission is then verified with the bank via a phone call to an ACH automated phone system. Once the payment has been sent, the grantee and appropriate program staff are alerted by email that the ACH has been executed.

*Grant signing authority requirements:*

<u>Grant Payment Amount</u>	<u>Requirements</u>	<u>Signatories</u>
between \$0 and \$9,999.99	Require any one signer listed	Peter Simmons Eileen Oberlander Edward Henry Jeffrey Heil
between \$10,000 and \$99,999.99	Require any two signers listed	Peter Simmons Eileen Oberlander Edward Henry Jeffrey Heil

Grant Payment Amount	Requirements	Signatories
Equal to or over \$100,000	Require two of the following individuals	Peter Simmons Edward Henry Eileen Oberlander

Grant Monitoring

Within each grant agreement, the grantee agrees to provide DDCF with certain interim and final financial and program performance information. The time period for this submission varies from grant to grant. To ensure that the DDCF funds are being used in accordance with the grant agreement, the grantee is required to submit the following information:

- A narrative describing program accomplishments, challenges, and future plans
- An assessment of the project to date (have the original goals been achieved, were any program refinements necessary?)
- Copies of any literature, reports, or media coverage that was generated as a result of the grant
- A copy of the most recent audited financial statements
- A budget to actual comparison of use of the grant funds (indicating any budget modifications and explanations for budget variances)
- If a multi-year grant, a revised budget and budget narrative for the upcoming year (if warranted)
- Notification to DDCF of any changes to the organization’s board, senior staff, or tax-exempt status.

This information is required whether the grant period is one year or multi-year. If the grant is multi-year, the subsequent disbursements will not be made until this information has been received, reviewed, and approved by DDCF staff.

The grantee submits the required information and documentation to DDCF via on-line reporting forms. Once received, Program staff and Grants Administration staff review the reports to ensure that the grantee has been using DDCF’s funds for the purpose outlined in their grant agreement. This includes determining if certain project milestones have been met, whether funds have been spent reasonably and within program guidelines, and whether the project has benefited the items/population targeted in the agreement. Additionally, the financial information is reviewed by the Director of Grants Management or Program Associate for Grants Management, and either the Program Director or Program Officer – and, as necessary, by a member of the Finance Department – to ensure that the grantee is not experiencing any financial difficulties.

Once this information has been evaluated and approved by DDCF, the payment request is prepared for multi-year grants or, for single year grants, the file will be closed.

### Computer information systems

In January 2017, DDCF transitioned from GIFTS, an on-site server-based grants management database system to GIFTS Online a cloud-based system hosted by the same vendor.<sup>3</sup> The system is used track detailed information about each application for funding and each grant approved (payment schedules, reporting requirements, contacts, organizational information). Applications are received through an internet grants application module and retrieved into the system and their status is tracked according to their progress through the review, approval or declination, and disbursement and reporting process. The system is also used to generate grant-related documentation, including (but not limited to) grant award letters and grant agreements, payment request memoranda, and reporting review checklists, and internal grant processing emails. GIFTS Online also provides reports that enable the user to project the upcoming disbursements, determine when financial or other reporting information is required, track grant expiration, and track activities related to each grant.

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<sup>3</sup> The GIFTS and GIFTS Online systems were originally products of MicroEdge, Inc., which was subsequently acquired by BlackBaud, Inc. In 2018, the GIFTS Online product was re-branded as "Blackbaud Grantmaking,"

Doris Duke Charitable Foundation  
Form 990-PF  
FYE 12/31/2017

Form 990-PF, Part I, Line 6(a) & Part IV Statement  
Attachment 20

<u>Asset Class</u>	<u>Realized Gains</u>
Short Term Investments	25,444
Fixed Income Investments	8,077,150
Marketable Equity Alternative Investments	8,618,837
Marketable Commingled Equity Investments	5,504,575
Marketable Distressed/High Yield Alternatives	6,153,696
Marketable Long/Short Equity Alternatives	3,587,431
Marketable Multi-Strategy Alternatives	23,093,461
Non-Exchange traded Buyout/Growth Funds	17,384,164
Non-Exchange Traded Distressed Funds	569,377
Non-Exchange Traded Fund of Funds	8,948,096
Non-Exchange Traded Real Assets	8,647,132
Non-Exchange Traded Venture Capital Funds	9,698,936
Allocation to Doris Duke Foundation (DDF)	(238,626)
<b>Capital Gains/Losses Per Books - Part I, Line 6a</b>	<b><u>\$100,069,674</u></b>
Limited Partnership Investments	5,644,797
Less: Short-Term Capital Gain from UBTI	(71,627)
Less: Long-Term Capital Gain from UBTI	(497,101)
Less: 1231 Gain from UBTI	(721,606)
<b>Total Capital Gains - Part I, Line 7b</b>	<b><u><u>\$104,424,137</u></u></b>

A detailed schedule of the Foundation's capital gains and losses by specific funds held is available on request.