

GRANT THORNTON LLP
757 THIRD AVE
NEW YORK, NY 10017

INSTRUCTIONS FOR FILING
DORIS DUKE CHARITABLE FOUNDATION
FORM 990PF - RETURN OF PRIVATE FOUNDATION
FOR THE PERIOD ENDED DECEMBER 31, 2015

SIGNATURE...

THE ORIGINAL RETURN SHOULD BE SIGNED (USING FULL NAME AND TITLE)
AND DATED BY AN AUTHORIZED OFFICER OF THE ORGANIZATION.

FILING...

THE SIGNED RETURN SHOULD BE FILED ON OR BEFORE NOVEMBER 15, 2016
WITH...

DEPARTMENT OF THE TREASURY
INTERNAL REVENUE SERVICE CENTER
OGDEN, UT 84201-0027

OVERPAYMENT OF TAX...

THE RETURN SHOWS AN OVERPAYMENT OF \$798,453. OF WHICH \$NONE.
SHOULD BE REFUNDED TO YOU AND \$798,453 HAS BEEN APPLIED TO YOUR
2016 ESTIMATED TAX.

TO DOCUMENT THE TIMELY FILING OF YOUR TAX RETURN(S), WE SUGGEST THAT
YOU OBTAIN AND RETAIN PROOF OF MAILING. PROOF OF MAILING CAN BE
ACCOMPLISHED BY SENDING THE TAX RETURN(S) BY REGISTERED OR CERTIFIED
MAIL (METERED BY THE U.S. POSTAL SERVICE) OR THROUGH THE USE OF AN IRS
APPROVED DELIVERY METHOD PROVIDED BY AN IRS DESIGNATED PRIVATE
DELIVERY SERVICE.

For calendar year **2015** or tax year beginning , **2015**, and ending , **20**

Name of foundation DORIS DUKE CHARITABLE FOUNDATION		A Employer identification number 13-7043679
Number and street (or P.O. box number if mail is not delivered to street address) 650 FIFTH AVENUE, 19TH FLOOR	Room/suite	B Telephone number (see instructions) (908) 243-3619
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10019		C If exemption application is pending, check here. <input type="checkbox"/>
G Check all that apply:		D 1. Foreign organizations, check here. <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation. <input type="checkbox"/>
<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity	E If private foundation status was terminated under section 507(b)(1)(A), check here. <input type="checkbox"/>
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. <input type="checkbox"/>
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 1,737,202,672.	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)				
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments	38,262.	38,262.		
4 Dividends and interest from securities	8,446,135.	25,258,362.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	89,069,515.			
b Gross sales price for all assets on line 6a 651,358,150.				
7 Capital gain net income (from Part IV, line 2)		92,576,712.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule) ATCH 1	25,558.	3,523,542.		
12 Total. Add lines 1 through 11	97,579,470.	121,396,878.	0.	
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.	578,002.			563,002.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule) ATCH 2	20,743.			10,688.
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule) [3]	5,988,151.	5,909,562.		
17 Interest				
18 Taxes (attach schedule) (see instructions) [4]	1,905,574.			
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings	17,946.			17,315.
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 5	8,139,758.	15,757,244.		8,154,364.
24 Total operating and administrative expenses. Add lines 13 through 23.	16,650,174.	21,666,806.		8,745,369.
25 Contributions, gifts, grants paid	98,974,177.			82,997,781.
26 Total expenses and disbursements. Add lines 24 and 25	115,624,351.	21,666,806.	0.	91,743,150.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-18,044,881.			
b Net investment income (if negative, enter -0-)		99,730,072.		
c Adjusted net income (if negative, enter -0-)			0.	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing	3,853,887.	1,587,568.	1,587,568.
	2	Savings and temporary cash investments	45,364,888.	30,107,462.	30,107,462.
	3	Accounts receivable ▶ _____			
		Less: allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____			
		Less: allowance for doubtful accounts ▶ _____			
	5	Grants receivable.	2,669,967.	2,672,715.	2,672,715.
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____			
		Less: allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use.			
	9	Prepaid expenses and deferred charges	1,085,384.	1,140,087.	1,140,087.
	10a	Investments - U.S. and state government obligations (attach schedule). . .			
	b	Investments - corporate stock (attach schedule) ATCH 6	390,816,885.	341,478,258.	341,478,258.
	c	Investments - corporate bonds (attach schedule) ATCH 7	51,276,115.	40,575,599.	40,575,599.
	11	Investments - land, buildings, and equipment: basis ▶ _____			
	Less: accumulated depreciation (attach schedule) ▶ _____				
12	Investments - mortgage loans				
13	Investments - other (attach schedule) ATCH 8	1,263,991,225.	1,296,539,469.	1,296,539,469.	
14	Land, buildings, and equipment: basis ▶ _____				
	Less: accumulated depreciation (attach schedule) ▶ _____				
15	Other assets (describe ▶ _____ ATCH 9)	37,792,771.	23,101,514.	23,101,514.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,796,851,122.	1,737,202,672.	1,737,202,672.	
Liabilities	17	Accounts payable and accrued expenses	1,055,819.	1,030,919.	
	18	Grants payable.	63,619,965.	72,076,674.	
	19	Deferred revenue.			
	20	Loans from officers, directors, trustees, and other disqualified persons. . .			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____ ATCH 10)	28,301,644.	21,416,983.	
23	Total liabilities (add lines 17 through 22)	92,977,428.	94,524,576.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	1,703,873,694.	1,642,678,096.	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund.			
29	Retained earnings, accumulated income, endowment, or other funds . . .				
30	Total net assets or fund balances (see instructions)	1,703,873,694.	1,642,678,096.		
31	Total liabilities and net assets/fund balances (see instructions)	1,796,851,122.	1,737,202,672.		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 1,703,873,694.
2	Enter amount from Part I, line 27a.	2 -18,044,881.
3	Other increases not included in line 2 (itemize) ▶ ATCH 11	3 -43,150,717.
4	Add lines 1, 2, and 3	4 1,642,678,096.
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6 1,642,678,096.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	92,576,712.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{ If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }		3	0.	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	88,040,166.	1,754,808,008.	0.050171
2013	83,234,494.	1,645,344,824.	0.050588
2012	86,576,154.	1,559,889,084.	0.055501
2011	84,732,632.	1,596,811,002.	0.053064
2010	85,259,405.	1,507,779,999.	0.056546
2 Total of line 1, column (d)			2 0.265870
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.053174
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5			4 1,768,665,149.
5 Multiply line 4 by line 3			5 94,047,001.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 997,301.
7 Add lines 5 and 6			7 95,044,302.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 91,476,013.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. . . . Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b.	1	1,994,601.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . .	2	
3 Add lines 1 and 2.	3	1,994,601.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . .	4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,994,601.
6 Credits/Payments:		
a 2015 estimated tax payments and 2014 overpayment credited to 2015.	6a	2,793,054.
b Exempt foreign organizations - tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868).	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7	2,793,054.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	798,453.
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax <input type="checkbox"/> 798,453. Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV.</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-16. Row 11: At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). Row 12: Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? Row 13: Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.DDCF.ORG. Row 14: The books are in care of EILEEN OBERLANDER Telephone no. 908-243-3619 Located at 1112 DUKES PARKWAY WEST HILLSBOROUGH, NJ ZIP+4 08844. Row 15: Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year. Row 16: At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Table with 3 columns: Question, Yes, No. Rows 1a-4b. Row 1a: During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) Row b: If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here. Row c: Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? Row 2: Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years. b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. Row 3a: Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Row b: If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.) Row 4a: Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? Row b: Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
If "Yes," attach the statement required by Regulations section 53.4945-5(d). ATCH 12

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 13		563,002.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 14		1,782,927.
Total number of others receiving over \$50,000 for professional services		7

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SEE ATTACHMENTS 17 & 18	515,334.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3 NONE	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,754,077,521.
b	Average of monthly cash balances	1b	41,521,615.
c	Fair market value of all other assets (see instructions).	1c	
d	Total (add lines 1a, b, and c)	1d	1,795,599,136.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,795,599,136.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	26,933,987.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,768,665,149.
6	Minimum investment return. Enter 5% of line 5	6	88,433,257.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	88,433,257.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	1,994,601.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,994,601.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	86,438,656.
4	Recoveries of amounts treated as qualifying distributions	4	96,288.
5	Add lines 3 and 4	5	86,534,944.
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	86,534,944.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	91,476,013.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	91,476,013.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	91,476,013.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				86,534,944.
2 Undistributed income, if any, as of the end of 2015:				
a Enter amount for 2014 only.				
b Total for prior years: 20 13 , 20 12 , 20 11				
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				1,487,150.
d From 2013				2,764,142.
e From 2014				1,801,249.
f Total of lines 3a through e	6,052,541.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ \$ 91,476,013.				
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2015 distributable amount.				86,534,944.
e Remaining amount distributed out of corpus.	4,941,069.			
5 Excess distributions carryover applied to 2015 . (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	10,993,610.			
b Prior years' undistributed income. Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	10,993,610.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				1,487,150.
c Excess from 2013				2,764,142.
d Excess from 2014				1,801,249.
e Excess from 2015				4,941,069.

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHMENT 19

c Any submission deadlines:

SEE ATTACHMENT 19

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHMENT 19

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE ATTACHMENT 16				82,997,781.
Total				▶ 3a 82,997,781.
b <i>Approved for future payment</i> SEE ATTACHMENT 16A				40,940,381.
Total				▶ 3b 40,940,381.

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		SEE ATTACHMENT 20					92576712.	
TOTAL GAIN(LOSS)							<u>92576712.</u>	

ATTACHMENT 1FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
STATE WITHHOLDING TAX REFUNDS	23,198.		
MISCELLANEOUS INCOME	2,360.	2,360.	
FLOW THROUGH INCOME FROM INVESTMENTS IN LIMITED PARTNERSHIPS		4,641,443.	
LESS INVESTMENT GAINS REPORTED AS UNRELATED BUSINESS INCOME ON 990-T		-1,120,261.	
TOTALS	<u>25,558.</u>	<u>3,523,542.</u>	

ATTACHMENT 2FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
SCHENCK, PRICE, SMITH & KING LLP	5,430.			
JONES DAY	13,313.			10,688.
FRIED, FRANK, HARRIS, SHRIVER & JACOBSON LLP	2,000.			
TOTALS	<u>20,743.</u>			<u>10,688.</u>

ATTACHMENT 3FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
CUSTODY FEES	530,661.	530,661.		
MANAGERS BASE FEES	1,941,234.	1,941,234.		
INVESTMENT ADVISORY FEES	435,649.	435,649.		
OTHER INVESTMENT EXPENSES	3,050,859.	3,050,859.		
INVESTMENT MGMT FEES ALLOCATED TO DDF (ON ITS HOLDINGS)	-28,132.	-28,132.		
BANK FEES	22,013.	22,013.		
SECURITIES LENDING EXPENSE	13,699.	13,699.		
CONSULTING	22,168.	21,030.		
LESS ALLOCATED INVESTMENT FEES REPORTED ON 990T		-77,451.		
TOTALS	<u>5,988,151.</u>	<u>5,909,562.</u>		

ATTACHMENT 4

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
CURRENT & DEFERRED FEDERAL EXCISE TAX	1,905,574.			
TOTALS	<u>1,905,574.</u>			

ATTACHMENT 5FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
MANAGEMENT FEES	8,057,123.			8,072,353.
INSURANCE	79,825.			79,825.
FILING FEES	1,510.			1,510.
MISCELLANEOUS OFFICE EXPENSES	698.			676.
PENALTIES	602.			
FLOW-THROUGH EXPENSES FROM INVESTMENTS IN LIMITED PARTNERSHIPS		15,757,244.		
TOTALS	<u>8,139,758.</u>	<u>15,757,244.</u>		<u>8,154,364.</u>

ATTACHMENT 6FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
EQUITY INVESTMENTS	45,549,832.	45,549,832.
COMMINGLED INVESTMENTS	295,928,426.	295,928,426.
TOTALS	<u>341,478,258.</u>	<u>341,478,258.</u>

ATTACHMENT 7FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
FIXED INCOME INVESTMENTS	44,674,299.	44,674,299.
LESS FIXED INCOME INVESTMENT ALLOCATED TO DORIS DUKE FOUNDATION	-4,098,700.	-4,098,700.
TOTALS	<u>40,575,599.</u>	<u>40,575,599.</u>

ATTACHMENT 8FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
MULTI-STRATEGY ALTERNATIVES	350,379,374.	350,379,374.
PRIVATE EQUITY INVESTMENTS	390,931,290.	390,931,290.
DISTRESSED/HIGH YIELD	126,058,849.	126,058,849.
FUND OF FUNDS	75,626,282.	75,626,282.
BUYOUTS/GROWTH NON-MARKETABLE	115,480,606.	115,480,606.
VENTURE CAPITAL	137,589,260.	137,589,260.
DISTRESSED NON-MARKETABLE ALT	2,902,425.	2,902,425.
REAL ASSETS	97,571,383.	97,571,383.
TOTALS	<u>1,296,539,469.</u>	<u>1,296,539,469.</u>

ATTACHMENT 9FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SALES COMMITMENT RECEIVABLE	21,811,457.	21,811,457.
INTEREST RECEIVABLE	270,276.	270,276.
DIVIDENDS RECEIVABLE	507,304.	507,304.
TAX RECLAIM RECEIVABLE	105,678.	105,678.
OTHER INVESTMENT INCOME RECEIVABLE	1,565.	1,565.
DUE FROM DORIS DUKE FOUNDATION	2,231.	2,231.
DUE FROM DORIS DUKE FOUNDATION FOR ISLAMIC ART COLLECTIBLES	24,033.	24,033.
	378,970.	378,970.
TOTALS	<u>23,101,514.</u>	<u>23,101,514.</u>

ATTACHMENT 10FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>
DUE TO DORIS DUKE MANAGEMENT FOUNDATION	6,282,455.
DUE TO DUKE FARMS FOUNDATION	156,960.
DEFERRED FEDERAL & STATE EXCISE TAXES	9,933,915.
PURCHASE COMMITMENTS PAYABLE	5,043,028.
SECURITIES LENDING PAYABLE	625.
TOTALS	<u>21,416,983.</u>

ATTACHMENT 11FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
NET UNREALIZED GAIN ON SECURITIES	-43,153,465.
CHANGE IN VALUE OF BENEFICIAL INTEREST IN TRUSTS HELD BY OTHERS	2,748.
TOTAL	<u>-43,150,717.</u>

Doris Duke Charitable Foundation
Form 990-PF - Return of Private Foundation
FYE: 12/31/2015
Attachment 12

The Doris Duke Charitable Foundation maintained expenditure responsibility for multiple grants to the following grantees:

Grantees

Doris Duke Foundation for Islamic Art

Duke Farms Foundation

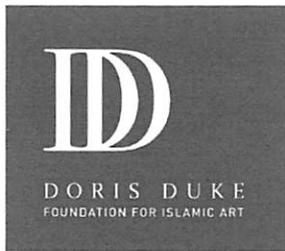
Doris Duke Foundation

Newport Restoration Foundation

What Is Missing Foundation

The expenditure responsibility reports follow this attachment 12 cover sheet.

The Doris Duke Charitable Foundation also maintained expenditure responsibility for various grants that were approved in 2015, but were not actually disbursed until the following year.



October 31, 2016

Mr. Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, New York 10019

Dear Mr. Henry:

In 2015, the Doris Duke Charitable Foundation ("DDCF") made grants totaling \$7,984,784 to the Doris Duke Foundation for Islamic Art ("DDFIA"). By a letter dated October 28, 2016, I agreed on behalf of DDFIA to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. Since all of the grant funds were spent during 2015 the year in which they were granted, this is both a first and final report.

Name and address of grantee:
Doris Duke Foundation for Islamic Art
4055 Papu Circle
Honolulu, HI 96816

Purpose of Grants:

To be used for DDFIA's general operating expenses. Property owned by DDFIA is used for a charitable purpose since DDFIA facilitates the study and understanding of Middle Eastern Art and Culture.

Mr. Edward P. Henry
Page 2
October 31, 2016

The following table contains the date of payment of each part of the grant that was spent in 2015, and a description of how the grant was spent by DDFIA:

<u>Date of Payment</u>	<u>Amount of Grant</u>	<u>Purpose for which Grant was Used</u>
01/31/15	\$809,780	Employee Related Expenses; General Admin Expenses; Program Related Expenses; General Operating Expenses; Capital Expenditures.
02/28/15	\$395,690	"
03/31/15	\$1,748,490	"
04/30/15	\$392,583	"
05/31/15	\$304,730	"
06/30/15	\$780,610	"
07/31/15	\$404,992	"
08/31/15	\$335,002	"
09/30/15	\$652,981	"
10/31/15	\$321,843	"
11/30/15	\$190,094	"
12/31/15	\$1,647,989	"

All of the grant funds have been spent for DDFIA's operating expenses, and no portion of the grant funds has been diverted from such purpose.

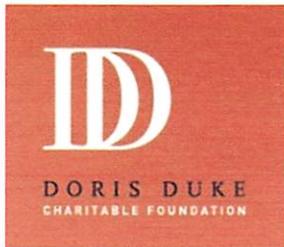
In addition, in the letter dated October 28, 2016, I represented that before the end of 2015, DDFIA would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of \$7,984,784 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made.

As described above, all of the grant funds were spent for reasonable and necessary administration expenses to accomplish DDFIA's charitable purposes, which are qualifying distributions. Therefore, a qualifying distribution was made of the entire value of the grants in 2015.

Since DDFIA has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if DDFIA were not a private operating foundation.

Very truly yours,
Doris Duke Foundation for Islamic Art

By: 
Peter Simmons, Chief Operating Officer



October 28, 2016

Mr. Peter Simmons
Chief Operating Officer
Doris Duke Foundation for Islamic Art
650 Fifth Avenue, 19th Floor
New York, New York 10019

Dear Mr. Simmons:

The Doris Duke Charitable Foundation has made grants to the Doris Duke Foundation for Islamic Art in 2015 totaling \$7,984,784 to be used for the Doris Duke Foundation for Islamic Art's general operating expenses (referred to collectively as the "Grant"). Because the Doris Duke Foundation for Islamic Art is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from the Doris Duke Foundation for Islamic Art before making payment of the Grant funds. By signing this letter, you agree on behalf of the Doris Duke Foundation for Islamic Art to the following specific obligations with respect to this Grant:

- (1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant);
- (3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which we believe necessary to keep us fully appraised of the status or use of the Grant or income arising therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");

Mr. Peter Simmons
Page 2
October 28, 2016

- (5) To keep these records of receipts and expenditures and copies of reports submitted to the Doris Duke Charitable Foundation for at least four years after completion of the use of the Grant funds; and
- (6) Not to use any of the Grant or income arising therefrom,
 - a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
 - b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
 - c) To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
 - d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, or as defined in section 170(c)(2)(B) of the Code.

In the event that you violate the terms of this letter agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, you agree that you will refund to the Doris Duke Charitable Foundation the full amount of the Grant and pay over to the Doris Duke Charitable Foundation any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the Doris Duke Charitable Foundation to ask that more detailed reports be submitted or that other steps be taken. The Doris Duke Charitable Foundation will promptly inform you of any such changes, and by signing this letter you indicate your agreement to provide such additional information and comply with such requests.

The Doris Duke Foundation for Islamic Art also agrees that no later than the end of its first taxable year after the taxable year in which it receives the Grant, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant, and, within such time period, the Doris Duke Foundation for Islamic Art agrees to provide adequate records or other sufficient evidence to the Doris Duke Charitable Foundation to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (1) the names and addresses of the recipients of any such qualifying distribution and the

Mr. Peter Simmons
Page 3
October 28, 2016

amounts paid to each, (ii) the details concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which the Doris Duke Foundation for Islamic Art elects pursuant to Treas. Reg. 53.4942(A)-3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if the Doris Duke Foundation for Islamic Art were not an operating foundation.

If this letter and its attachment correctly set forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Very truly yours,

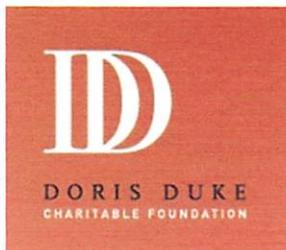
The Doris Duke Charitable Foundation

By: Edmund P. Henry
Edward P. Henry, President

Agreed to and accepted on behalf of the
Doris Duke Foundation for Islamic Art this 28
day of October, 2016

By: PA

Peter Simmons, Chief Operating Officer



October 28, 2016

Mr. Peter Simmons
Chief Operating Officer
Duke Farms Foundation
1112 Dukes Parkway West
Hillsborough, New Jersey 08844

Dear Mr. Simmons:

The Doris Duke Charitable Foundation has made grants to Duke Farms Foundation in 2015 totaling \$14,613,922 to be used for Duke Farms Foundation's general operating expenses (referred to collectively as the "Grant"). Because Duke Farms Foundation is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from Duke Farms Foundation before making payment of the Grant funds. By signing this letter, you agree on behalf of Duke Farms Foundation to the following specific obligations with respect to this Grant:

- (1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant);
- (3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which we believe necessary to keep us fully apprised of the status or use of the Grant or income arising therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");

Mr. Peter Simmons
Page 2
October 28, 2016

- (5) To keep these records of receipts and expenditures and copies of reports submitted to the Doris Duke Charitable Foundation for at least four years after completion of the use of the Grant funds; and
- (6) Not to use any of the Grant or income arising therefrom,
 - a. To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
 - b. To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
 - c. To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
 - d. To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, or as defined in section 170(c)(2)(B) of the Code.

In the event that you violate the terms of this letter agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, you agree that you will refund to the Doris Duke Charitable Foundation the full amount of the Grant and pay over to the Doris Duke Charitable Foundation any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the Doris Duke Charitable Foundation to ask that more detailed reports be submitted or that other steps be taken. The Doris Duke Charitable Foundation will promptly inform you of any such changes, and by signing this letter you indicate your agreement to provide such additional information and comply with such requests.

Duke Farms Foundation also agrees that no later than the end of its first taxable year after the taxable year in which it receives the Grant, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant, and, within such time period, Duke Farms Foundation agrees to provide adequate records or other sufficient evidence to the Doris Duke Charitable Foundation to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (1) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details

Mr. Peter Simmons

650 Fifth Avenue, 19th Floor • New York, NY 10019 • tel 212 974 7000 fax 212 974 7590 • www.ddcf.org

concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which Duke Farms Foundation elects pursuant to Treas. Reg. 53.4942(A) 3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if Duke Farms Foundation were not an operating foundation.

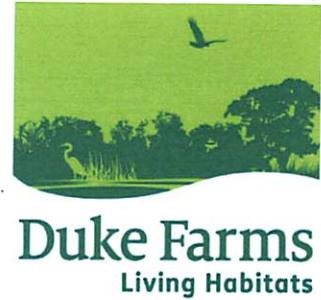
If this letter and its attachment correctly set forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Very truly yours,
The Doris Duke Charitable Foundation

By: Edward P. Henry
Edward P. Henry, President

Agreed to and accepted on behalf of
Duke Farms Foundation this 28
day of October, 2016

By: Pf
Peter Simmons, Chief Operating Officer



October 31, 2016

Mr. Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, New York 10019

Dear Mr. Henry:

In 2015, the Doris Duke Charitable Foundation ("DDCF") made grants totaling \$14,613,922 to Duke Farms Foundation ("DFF"). By a letter dated October 28, 2015, I agreed on behalf of DFF to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. Since all of the grant funds were spent during 2015 the year in which they were granted, this is both a first and final report.

Name and address of grantee:
Duke Farms Foundation
1112 Dukes Parkway West
Hillsborough, NJ 08844

Purpose of Grants:

To be used for DFF's general operating expenses. Property owned by DFF is used for a charitable purpose since DFF is a regional center for environmental stewardship. DFF provides educational programs to visitors as well as serving as a critical habitat for protecting endangered species of all kinds, both flora and fauna.

The following table contains the date of payment of each part of the grant that was spent in 2015 and a description of how the grant was spent by DFF:

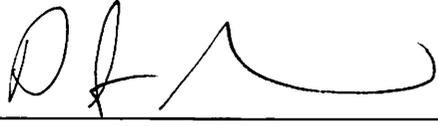
<u>Date of Payment</u>	<u>Amount of Grant</u>	<u>Purpose for which Grant was Used</u>
1/31/15	\$1,599,747	Employee Related Expenses; General Admin Expenses; Program Related Expenses; General Operating Expenses; Capital Expenditures.
2/28/15	\$666,004	"
3/31/15	\$1,159,264	"
4/30/15	\$1,049,985	"
5/31/15	\$706,493	"
6/30/15	\$1,655,685	"
7/31/15	\$1,396,295	"
8/31/15	\$1,084,931	"
9/30/15	\$1,239,897	"
10/31/15	\$1,145,708	"
11/30/15	\$1,143,397	"
12/31/15	\$1,766,516	"

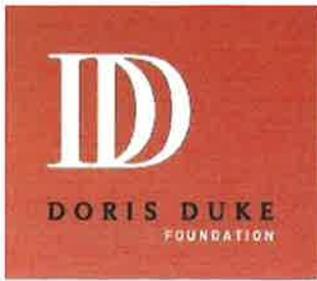
All of the grant funds have been spent for DFF's operating expenses, and no portion of the grant funds has been diverted from such purpose.

In addition, in the letter dated October 28, 2016, I represented that before the end of 2015, DFF would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of \$14,613,922 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made. As described above, all of the grant funds were spent for reasonable and necessary administration expenses to accomplish DFF's charitable purposes, which are qualifying distributions. Therefore, a qualifying distribution was made of the entire value of the grants in 2015.

Since DFF has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if DFF were not a private operating foundation.

Very truly yours,
Duke Farms Foundation

By: 
Peter Simmons, Chief Operating Officer



October 26, 2016

Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2012015

Dear Mr. Henry:

In 2012, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation ("DDF") totaling \$5,775,000 (the "Grant").

By grant agreement executed April 3, 2012 and Amendment executed May 18, 2012 (collectively, the "Grant Agreement"), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF's fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those payments, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

Name and address of grantee:

Doris Duke Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Purpose of Grant: To support the first cohort of Doris Duke Artists Award recipients selected for funding through the Doris Duke Performing Artists Awards Program (the "Awards Program")

Use of Qualifying Distribution Grant funds by DDF: This is a report for calendar year 2015. DDF received grant payments from DDCF totaling \$989,891 in 2015. The grant funds were fully expended in 2015 toward the first cohort of Awards Program recipients, as shown on the following pages.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Peter Simmons', written over a horizontal line.

Peter Simmons
Chief Operating Officer
Doris Duke Foundation

Doris Duke Artist Awards - 2012 Cohort (21 Artists): Grants Paid in 2015 from Grant No. 2012015

Recipient*	C Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2015
Bogart, Anne	Doris Duke Artist Award 2012	Unrestricted	Individual/None	\$50,000
	Doris Duke Artist Award 2012	Incentive Funding		\$5,000
Byron, Donald	Doris Duke Artist Award 2012	Unrestricted	Individual/None	\$40,000
	Doris Duke Artist Award 2012	Audience Development		\$10,000
Cardona, Francis Wallace	Doris Duke Artist Award 2012	Unrestricted	Individual/None	\$40,000
	Doris Duke Artist Award 2012	Incentive Funding		\$8,000
Eckert, Rinde	Doris Duke Artist Award 2012	Unrestricted	Individual/None	\$50,000
	Doris Duke Artist Award 2012	Audience Development		\$5,000
	Doris Duke Artist Award 2012	Incentive Funding		\$5,000
Frisell, William	Doris Duke Artist Award 2012	Audience Development	Individual/None	\$25,000
	Doris Duke Artist Award 2012	Incentive Funding		\$0
Hay, Deborah	Doris Duke Artist Award 2012	Unrestricted	Individual/None	\$65,000
	Doris Duke Artist Award 2012	Incentive Funding		\$5,000
Hollenbeck, John	Doris Duke Artist Award 2012	Unrestricted	Individual/None	\$45,000
	Doris Duke Artist Award 2012	Incentive Funding		\$250
Joseph, Marc Bamuthi	Doris Duke Artist Award 2012	Unrestricted	Individual/None	\$35,000
	Doris Duke Artist Award 2012	Audience Development		\$10,000
LeCompte, Elizabeth	Doris Duke Artist Award 2012	Incentive Funding	Individual/None	\$5,000
Lee, Young Jean	Doris Duke Artist Award 2012	Unrestricted	Individual/None	\$29,167
Lemon, Ralph	Doris Duke Artist Award 2012	Unrestricted	Individual/None	\$90,000
	Doris Duke Artist Award 2012	Incentive Funding		\$9,500
Maxwell, Richard	Doris Duke Artist Award 2012	Unrestricted	Individual/None	\$46,400
	Doris Duke Artist Award 2012	Incentive Funding		\$5,000
Michelson, Sarah	Doris Duke Artist Award 2012	Unrestricted	Individual/None	\$45,700
Mitchell, Nicole	Doris Duke Artist Award 2012	Unrestricted	Individual/None	\$52,000
	Doris Duke Artist Award 2012	Incentive Funding		\$8,500
Monk, Meredith	Doris Duke Artist Award 2012	Unrestricted	Individual/None	\$33,750
	Doris Duke Artist Award 2012	Incentive Funding		\$5,000
Otake, Eiko	Doris Duke Artist Award 2012	Unrestricted	Individual/None	\$50,000
	Doris Duke Artist Award 2012	Incentive Funding		\$5,149
Otake, Takashi Koma	Doris Duke Artist Award 2012	Unrestricted	Individual/None	\$30,000
	Doris Duke Artist Award 2012	Incentive Funding		\$6,975
Twist, Basil	Doris Duke Artist Award 2012	Unrestricted	Individual/None	\$80,000
Wilson, Reginald	Doris Duke Artist Award 2012	Unrestricted	Individual/None	\$50,000
	Doris Duke Artist Award 2012	Incentive Funding		\$6,500
Total Grants Paid to Individuals				\$956,891

From Nicole Mitchell's Audience Development Funds (\$25,000):

Jazz Institute of Chicago Inc 410 S. Michigan Ave., Suite 500 Chicago, IL 60615	<i>To support the Audience Development/Arts Education project entitled, "Women of the AACM: Voices Heard."</i>	PC	\$15,000
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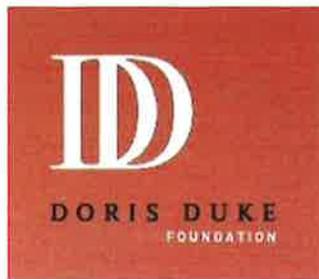
From Neiko Otake's Audience Development Funds (\$25,000):

Inta Inc 75 Broad Street, Suite 304 New York, NY 10004	<i>To support work with Danspace Project to produce "Platform 2016," based on Eiko Otake's Body in Pieces, and to create unique experiences to engage Platform audiences in her art and process.</i>	PC	\$15,000
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From Reginal Wilson's Audience Development Funds (\$25,000):

Fist and Heel Performance Group 476 Dean St, Suite #2 Brooklyn, NY 11217	<i>To create a Fist & Heel digital press packet and edit "Moses(es): milwaukee made."</i>	PC	\$3,000
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Total Grants Paid to Organizations				\$33,000
TOTAL Grants Paid in 2015 from DDCF Grant No. 2012015				\$989,891



October 26, 2016

Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2013030

Dear Mr. Henry:

In February 2013, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation (“DDF”) totaling \$5,500,000 (the “Grant”).

By grant agreement executed February 27, 2013 (the “Grant Agreement”), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF’s fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

Name and address of grantee:

Doris Duke Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Purpose of Grant: To support the second cohort of Doris Duke Artist Award recipients selected for funding through the Doris Duke Performing Artists Awards Program in 2013 (the “Awards Program”)

Use of Qualifying Distribution Grant funds by DDF: This is a report for calendar year 2015. DDF received payments from DDCF totaling \$1,178,986 in 2015. The grant funds were fully expended in 2015 toward the second cohort of Doris Duke Artist Award recipients, as shown on the following pages.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Peter Simmons', written over a white background.

Peter Simmons
Chief Operating Officer
Doris Duke Foundation

Doris Duke Artist Awards - 2013 Cohort (20 Artists): Grants Paid in 2015 from Grant No. 2013030

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2015
Braxton, Anthony	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$45,000
Childs, William	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$67,500
	Doris Duke Artist Award 2013	Incentive Funding		\$5,000
Chong, John Ping	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$75,000
	Doris Duke Artist Award 2013	Incentive Funding		\$6,688
Copper, Kelly	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$40,000
	Doris Duke Artist Award 2013	Incentive Funding		\$4,606
D'Amour, Lisa	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$65,000
Dorvillier, Deanna	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$50,000
	Doris Duke Artist Award 2013	Incentive Funding		\$5,000
ElSaffar, Amir	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$80,000
Gordon, David	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$45,000
	Doris Duke Artist Award 2013	Incentive Funding		\$8,333
Graney, Patricia	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$50,000
Klein, Stacy	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$65,000
	Doris Duke Artist Award 2013	Incentive Funding		\$9,750
Lang, David	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$45,000
	Doris Duke Artist Award 2013	Incentive Funding		\$4,896
Liska, Pavol	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$35,000
	Doris Duke Artist Award 2013	Incentive Funding		\$4,548
Mahanthappa, Rudresh	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$67,500
	Doris Duke Artist Award 2013	Incentive Funding		\$5,000
Malpede, John	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$50,000
	Doris Duke Artist Award 2013	Incentive Funding		\$5,000
Masaoka, Miya	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$45,000
	Doris Duke Artist Award 2013	Audience Development		\$5,000
	Doris Duke Artist Award 2013	Incentive Funding		\$6,500
Melford, Myra	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$53,400
	Doris Duke Artist Award 2013	Audience Development		\$25,000
	Doris Duke Artist Award 2013	Incentive Funding		\$7,705
O'Connor, Tere	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$41,250
	Doris Duke Artist Award 2013	Incentive Funding		\$6,300
Parker, William	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$26,000
Streb, Elizabeth	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$40,000
	Doris Duke Artist Award 2013	Incentive Funding		\$5,000
Zollar, Willa Jo	Doris Duke Artist Award 2013	Unrestricted	Individual/None	\$54,000

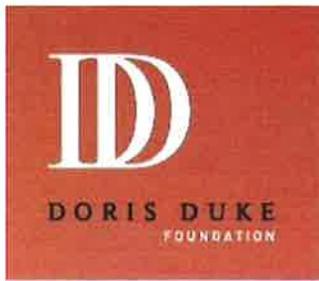
Total Grants Paid to Individuals \$1,153,976

From Pat Graney's Audience Development Funds (\$25,000)

Pat Graney Performance 1135 Yakima Ave S Seattle, WA 98144-3147	<i>To support website development, artwork documentation, public relations consultation and public workshops</i>	PC	\$25,000
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Total Grants Paid to Organizations \$25,000

Total Grants Paid in 2015 from DDCF Grant #2013030 \$1,178,976



October 26, 2016

Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2013221

Dear Mr. Henry:

In November 2013, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation (“DDF”) totaling \$5,500,000 (the “Grant”).

By grant agreement executed December 16, 2013 (the “Grant Agreement”), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF’s fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

Name and address of grantee:

Doris Duke Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Purpose of Grant: To support the third cohort of Doris Duke Artists Award recipients selected for funding through the Doris Duke Performing Artists Awards Program in 2014.

Use of Qualifying Distribution Grant funds by DDF: This is a report for calendar year 2015. DDF received payments from DDCF totaling \$1,711,812 in 2015. The grant funds were fully expended in 2015 toward the second cohort of Doris Duke Artist Award recipients, as shown on the following pages. Note that only 19 artists were selected in 2014; the remaining funds are supporting an artist selected in 2015.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Peter Simmons', with a large, sweeping flourish at the end.

Peter Simmons
Chief Operating Officer
Doris Duke Foundation

Doris Duke Artist Awards - 2014 Cohort (19 Artists): Grants Paid in 2015 from Grant No. 2013221

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2015
Collins, John Charles	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$90,000
	Doris Duke Artist Award 2014	Incentive Funding		\$4,288
Haigood, Joanna	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$75,000
Hwang, David	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$67,500
	Doris Duke Artist Award 2014	Audience Development		\$12,500
	Doris Duke Artist Award 2014	Incentive Funding		\$5,000
Jasperse, John R.	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$46,250
Johnson, Emily	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$54,000
Jones, William T.	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$90,000
Joseph, Melanie	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$45,000
Keystone, Nancy	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$60,000
Kron, Lisa	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$180,000
	Doris Duke Artist Award 2014	Audience Development		\$25,000
	Doris Duke Artist Award 2014	Incentive Funding		\$20,000
Lake, Oliver	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$67,500
	Doris Duke Artist Award 2014	Audience Development		\$25,000
	Doris Duke Artist Award 2014	Incentive Funding		\$8,333
Lazar, Anne Parson	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$90,000
	Doris Duke Artist Award 2014	Incentive Funding		\$11,625
Lehman, Stephen Hart	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$55,000
	Doris Duke Artist Award 2014	Incentive Funding		\$10,000
McCraney, Tarell A	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$75,000
	Doris Duke Artist Award 2014	Incentive Funding		\$7,400
Mitchell, Roscoe E	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$67,500
	Doris Duke Artist Award 2014	Incentive Funding		\$8,333
Parkins, Elizabeth	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$50,000
	Doris Duke Artist Award 2014	Incentive Funding		\$3,250
Ramaswamy, Raneer	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$45,000
	Doris Duke Artist Award 2014	Incentive Funding		\$5,000
Shaw, Margaret	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$90,000
Taborn, Craig	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$45,000
	Doris Duke Artist Award 2014	Incentive Funding		\$5,000
Weston, Randolph	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$90,000
	Doris Duke Artist Award 2014	Audience Development		\$25,000
	Doris Duke Artist Award 2014	Incentive Funding		\$8,333
King, Alonzo	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$45,000
Total Grants Paid to Individuals				\$1,611,812

From John Charles Collins' Audience Development funds (\$25,000)

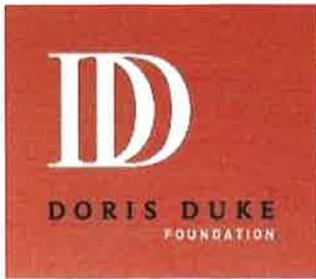
Elevator Repair Service Theater Inc 504 Grand St. B14 New York, NY 10002	<i>2015 Self-Marketing and Audience Engagement Initiative, development of a marketing plan, Master classes, and direct mailings</i>	PC	\$25,000
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From William T. Jones' Audience Development funds (\$25,000)

New York Live Arts Inc	<i>To expand the Bill T. Jones/Arnie Zane Dance Company's connections</i>	PC	\$25,000
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Doris Duke Artist Awards - 2014 Cohort (19 Artists): Grants Paid in 2015 from Grant No. 2013221

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2015
219 West 19th Street New York, NY 10011	<i>with the Bard Prison Initiative at the Fishkill Correctional Facility through a semester-long course entitled, "Finding the Question: Seminar and Performance."</i>			
From Nancy Keystone's Audience Development funds (\$25,000)				
Fractured Atlas Inc 248 West 35th Street, 10th Floor New York, NY 10001	<i>To support the Audience Development/Arts Education project of the Critical Mass Performance Group entitled, "Grow."</i>		PC	\$25,000
From Roscoe Mitchell's Audience Development funds (\$25,000)				
Museum of Contemporary Art 220 E Chicago Ave Chicago, IL 60611	<i>To expand awareness of and connections with Roscoe Mitchell and his work as part of the The Freedom Principle: Experiments in Art & Music, 1965 to Now exhibition.</i>		PC	\$25,000
Total Grants Paid to Organizations				\$100,000
Total Grants Paid in 2015 from DDCF Grant #2013221				\$1,711,812



October 26, 2016

Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2014207

Dear Mr. Henry:

In November 2014, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation (“DDF”) totaling \$5,225,000 (the “Grant”).

By grant agreement executed December 19, 2014 (the “Grant Agreement”), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF’s fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

Name and address of grantee:

Doris Duke Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Purpose of Grant: To support the fourth cohort of Doris Duke Artists Award recipients, to be selected for funding through the Doris Duke Performing Artists Awards Program in 2015.

Use of Qualifying Distribution Grant funds by DDF: This is a report for calendar year 2015. DDF received payments from DDCF totaling \$785,000 in 2015. The grant funds were fully expended in 2015 toward the fourth cohort of Doris Duke Artist Award recipients, as shown on the following pages.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Peter Simmons', written over a white background.

Peter Simmons
Chief Operating Officer
Doris Duke Foundation

Doris Duke Artist Awards - 2015 Cohort (19 Artists): Grants Paid in 2015 from Grant No. 2014207

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2015
Argue, Darcy James	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$45,000
Carlson, Ann	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$79,000
Chipaumire, Nora	Doris Duke Artist Award 2015	Audience Development	Individual/None	\$25,000
Flores, Paul	Doris Duke Artist Award 2015 Doris Duke Artist Award 2015	Unrestricted Audience Development	Individual/None Individual/None	\$75,000 \$15,000
Hopkins, Cynthia	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$90,000
Lee, Okkyung	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$45,000
Petronio, Stephen	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$90,000
Ruiz Sapp, Mildred	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$90,000
Sapp, Steven	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$90,000
Akinmusire, Ambrose	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$66,000
TOTAL				\$710,000

From Darcy James Argue's Audience Development funds (\$25,000)

Beth Morrison Projects 305 E. 93rd Street New York, NY 10128	<i>To create videos and web materials of for Darcy James Argue's REAL ENEMIES multimedia tour and to upgrade his web presence</i>	PC	\$25,000
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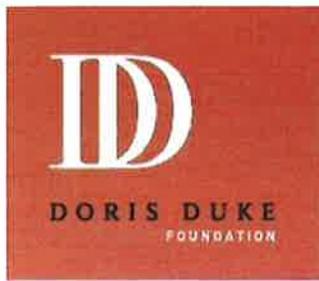
From Ann Carlson's Audience Development funds (\$25,000)

Fractured Atlas Inc 248 West 35th Street, 10th Floor New York, NY 10001	<i>To support "Ann Carson Available Archive," which will gather, conserve, categorize and digitize Ms. Carson's past and present work in order to it available digitally to a broader public.</i>	PC	\$25,000
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From Stephen Petronio's Audience Development funds (\$25,000)

Stephen Petronio Dance 140 Second Avenue, Suite 504 New York, NY 10003-	<i>To create an online Bloodlines Room on Input/Output, host presenters at full-length performances of Bloodlines and create a diverse Bloodlines experience in Philadelphia that includes a performance and educational programming.</i>	PC	\$25,000
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Total Grants Paid to Organizations			\$75,000
Total Grants Paid in 2015 from DDCF Grant #2014207			\$785,000



October 26, 2016

Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2013222

Dear Mr. Henry:

In November 2013, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation ("DDF") totaling \$1,600,000 (the "Grant").

By grant agreement executed December 16, 2013 (the "Grant Agreement"), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF's fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

Name and address of grantee:

Doris Duke Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Purpose of Grant: To support the first cohort of Doris Duke Impact Award recipients selected for funding through the Doris Duke Performing Artists Awards Program in 2014.

Use of Qualifying Distribution Grant funds by DDF: This is a report for calendar year 2015. DDF received payments from DDCF totaling \$598,400 in 2015. The grant funds were fully expended in 2015 toward the first cohort of Doris Duke Impact Award recipients, as shown on the following pages.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Peter Simmons', with a long horizontal line extending to the right.

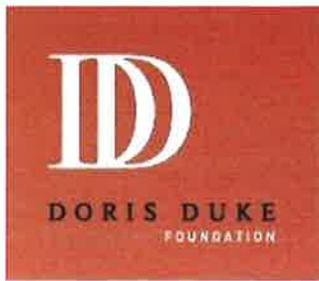
Peter Simmons
Chief Operating Officer
Doris Duke Foundation

Doris Duke Impact Awards - 2014 Cohort (20 Artists): Grants Paid in 2015 from Grant No. 2013222

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2015
Halprin, Anna	Doris Duke Impact Award 2014	Unrestricted	Individual/None	\$24,000
	Doris Duke Impact Award 2014	Incentive Funding		\$5,000
Harrell, Trajal	Doris Duke Impact Award 2014	Unrestricted	Individual/None	\$20,000
	Doris Duke Impact Award 2014	Audience Development		\$10,000
Jarcho, Julia K	Doris Duke Impact Award 2014	Unrestricted	Individual/None	\$20,000
	Doris Duke Impact Award 2014	Audience Development		\$8,000
Lacey, Jennifer Megan	Doris Duke Impact Award 2014	Unrestricted	Individual/None	\$20,000
	Doris Duke Impact Award 2014	Audience Development		\$10,000
Melnick, Jodi	Doris Duke Impact Award 2014	Unrestricted	Individual/None	\$25,000
	Doris Duke Impact Award 2014	Audience Development		\$5,250
	Doris Duke Impact Award 2014	Incentive Funding		\$3,250
Monder, Ben	Doris Duke Impact Award 2014	Unrestricted	Individual/None	\$24,000
Monson, Jennifer	Doris Duke Impact Award 2014	Unrestricted	Individual/None	\$35,000
	Doris Duke Impact Award 2014	Incentive Funding		\$2,550
Moss, Richard Dean	Doris Duke Impact Award 2014	Unrestricted	Individual/None	\$20,000
Neare, Lucia	Doris Duke Impact Award 2014	Audience Development	Individual/None	\$2,500
Ortiz Vizcay, Orlando Aruan	Doris Duke Impact Award 2014	Unrestricted	Individual/None	\$10,000
	Doris Duke Impact Award 2014	Audience Development		\$10,000
	Doris Duke Impact Award 2014	Incentive Funding		\$2,500
Roberts, Matana	Doris Duke Impact Award 2014	Unrestricted	Individual/None	\$50,000
	Doris Duke Impact Award 2014	Audience Development		\$10,000
	Doris Duke Impact Award 2014	Incentive Funding		\$2,500
Satter, Kristina Susan	Doris Duke Impact Award 2014	Audience Development	Individual/None	\$10,000
	Doris Duke Impact Award 2014	Incentive Funding		\$8,750
Shyu, Jennifer Lay	Doris Duke Impact Award 2014	Unrestricted	Individual/None	\$15,000
	Doris Duke Impact Award 2014	Incentive Funding		\$3,500
Simons, John T.	Doris Duke Impact Award 2014	Unrestricted	Individual/None	\$35,000
	Doris Duke Impact Award 2014	Incentive Funding		\$5,000
Sommers, Michael	Doris Duke Impact Award 2014	Unrestricted	Individual/None	\$20,000
Truscott, Adrienne E.	Doris Duke Impact Award 2014	Unrestricted	Individual/None	\$15,000
	Doris Duke Impact Award 2014	Audience Development		\$5,000
	Doris Duke Impact Award 2014	Incentive Funding		\$2,500
Truscott, Cristal Chanelle	Doris Duke Impact Award 2014	Unrestricted	Individual/None	\$40,000
Abrams, Muhal Richard	Doris Duke Impact Award 2014	Unrestricted	Individual/None	\$28,500
	Doris Duke Impact Award 2014	Audience Development		\$5,100
	Doris Duke Impact Award 2014	Incentive Funding		\$5,000
Akinmusire, Ambrose	Doris Duke Impact Award 2014	Unrestricted	Individual/None	\$24,000
	Doris Duke Impact Award 2014	Audience Development		\$10,000
	Doris Duke Impact Award 2014	Incentive Funding		\$1,500
Coleman, Steven D	Doris Duke Impact Award 2014 and	Unrestricted	Individual/None	\$30,000
Total Grants Paid to Individuals				\$583,400

Doris Duke Impact Awards - 2014 Cohort (20 Artists): Grants Paid in 2015 from Grant No. 2013222

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2015
From Jennifer Monson's Audience Development Funds (\$10,000)				
iLand Inc 140 Second Avenue, # 404 New York, NY 10003	To expand and redesign the web-based iLANDing Archive and publish a book of scores and iLANDing processes.		PC	\$5,000
From John T. Simons' Audience Development Funds (\$10,000)				
Hip Pocket Theatre 1950 Silver Creek Rd Fort Worth, TX 76108	To reach younger audiences including families and college students through master classes, family outreach and social media.		PC	\$10,000
Total Grants Paid to Organizations				\$15,000
Total Grants Paid in 2015 from DDCF Grant #2013222				\$598,400



October 26, 2016

Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2014206

Dear Mr. Henry:

In November 2014, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation ("DDF") totaling \$1,600,000 (the "Grant").

By grant agreement executed December 19, 2014 (the "Grant Agreement"), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF's fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

Name and address of grantee:

Doris Duke Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Purpose of Grant: To support the second cohort of Doris Duke Impact Award recipients, to be selected for funding through the Doris Duke Performing Artists Awards Program in 2015.

Use of Qualifying Distribution Grant funds by DDF: This is a report for calendar year 2015. DDF received payments from DDCF totaling \$60,000 in 2015. The grant funds were fully expended in 2015 toward the second cohort of Doris Duke Impact Award recipients, as shown on the following pages.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

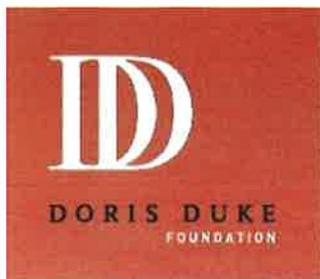
Very truly yours,

A handwritten signature in black ink, appearing to read 'Peter Simmons', with a long horizontal flourish extending to the right.

Peter Simmons
Chief Operating Officer
Doris Duke Foundation

Doris Duke Impact Awards - 2015 Cohort (20 Artists): Grants Paid in 2015 from Grant No. 2014206

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2015
Davis, Kris	Doris Duke Impact Award 2015	Unrestricted	Individual/None	\$30,000
	Doris Duke Impact Award 2015	Incentive Funding		\$5,000
Graves, Milford	Doris Duke Impact Award 2015	Unrestricted	Individual/None	\$25,000
Total Grants Paid to Individuals				\$60,000



October 26, 2016

Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2015302

Dear Mr. Henry:

In November 2015, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation ("DDF") totaling \$5,500,000 (the "Grant").

By grant agreement executed December 23, 2015 (the "Grant Agreement"), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF's fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

Name and address of grantee:

Doris Duke Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Purpose of Grant: To support the fifth cohort of Doris Duke Artists Award recipients, to be selected for funding through the Doris Duke Performing Artists Awards Program in 2016.

Use of Qualifying Distribution Grant funds by DDF: This is a report for calendar year 2015. No payments were received from DDCF and no funds were expended in 2015.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in black ink, appearing to read 'PS', followed by a long horizontal flourish.

Peter Simmons
Chief Operating Officer
Doris Duke Foundation



December 23, 2015

DDCF Grant No. 2015302

Peter Simmons
Chief Operating Officer
Doris Duke Foundation
650 Fifth Avenue
New York, NY 10019

Dear Mr. Simmons:

At a meeting on November 16, 2015, the Trustees of the Doris Duke Charitable Foundation ("DDCF") approved a 5-year grant ("Grant") to the Doris Duke Foundation ("DDF") totaling \$5,500,000 to support the fifth cohort of Doris Duke Artist Award recipients to be selected for funding through the Doris Duke Performing Artists Awards Program (the "Awards Program").

This letter describes the terms and conditions for the Grant, including the specific "expenditure responsibility" obligations arising because DDF is a private foundation.

By signing this letter, you agree on behalf of DDF to comply with the following obligations with respect to this Grant:

Grant Requirements

Grants to Artists

- (1) DDF will provide grants to the individual artists selected to receive funding by the 2016 selection panels of the Awards Program. Each grant will total up to \$275,000, including:
 - o **\$225,000 of unrestricted funding**, to be used flexibly by the artist over three to five years, starting from the date the awards are announced. The intent of this funding is to allow the artists to take creative risks, explore new ideas, and pay for important needs.
 - o **Up to \$25,000 restricted for audience development or arts education activities**, contingent upon DDF's receipt and approval of a budget and work plan for expanding audiences for artistic work and/or arts education activities.
 - o **Up to \$25,000 as an incentive for the artist to save for his/her artistic future.**
- (2) Each grant awarded shall have a grant agreement detailing the terms and conditions for use of the grant funds, including annual reporting on use of the grants. DDF agrees to submit a draft template of the grant agreement to DDCF for review and comment. In addition to other terms and conditions, the agreement template must also include the following language "[Grant Recipient] shall comply with all applicable state, city, and federal laws, ordinances, codes, and regulations. In addition you shall comply with not-for-profit rules forbidding the carrying on of propaganda or otherwise attempting to influence legislation or intervention in (including the publishing or

distribution of statements) any political campaign on behalf of any candidate for public office. [Grant Recipient] shall obtain all permits, licenses and approvals required and shall comply with all laws in connection therewith.”

- (3) DDF will make payments to each individual artist in accordance with the grant agreement and the approved grant payout schedule determined with each artist.
- (4) DDF will maintain files on each grant awarded and monitor the programmatic and financial progress of each Artist Award recipient.

Collaboration with Program Partner

- (5) DDF agrees to work collaboratively with the Creative Capital Foundation (CCF), which has been selected and funded by DDCF to be the implementation partner for the Awards Program, to achieve the goals of the Awards Program. DDF further agrees to enter into an agreement with CCF that describes the responsibilities of each organization to each other with respect to the Awards Program.

Payment of Grant Funds

- (6) Grant payments will be linked with the payout schedules determined by DDF with each artist. DDF plans to make payments to artists on or about April 15, May 15, November 15, and December 15 each year. Approximately ten days prior to each payment date, DDF will provide DDCF with a projection of its cash needs. Upon receipt and approval of the cash projections, DDCF will schedule a payment to DDF. In the event that additional cash is needed during the year, DDF may request additional payments from DDCF; DDCF will evaluate each such request within the context of its overall payout goals for the year and the Grant.

Expenditure Responsibility Requirements

- (7) DDF agrees to repay to DDCF any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (8) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, DDF agrees to submit full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of DDF or a certified public accountant, within a reasonable period of time after the close of DDF's annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant); including a listing of each grant awarded describing the grant recipient, amount awarded, project support, amount paid during the reporting period, and future payout scheduled by year.
- (9) DDF agrees to report the Grant separately on its books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (10) DDF agrees to maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make DDF's books, records and other information available for DDCF's inspection at reasonable times, which DDCF believes necessary to keep DDCF fully apprised of the status or use of the Grant or income arising therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");
- (11) DDF agrees to keep these records of receipts and expenditures and copies of reports submitted to DDCF for at least four years after completion of the use of the Grant funds; and

(12) DDF agrees not to use any of the Grant or income arising therefrom for any of the following purposes:

- a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
- b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
- c) To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
- d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, all as defined in section 170(c)(2)(B) of the Code.

Qualifying Distribution

DDF also agrees that no later than the end of its first taxable year after the taxable year in which it receives a Grant payment from DDCF, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant payment, and, within such time period, DDF agrees to provide adequate records or other sufficient evidence to DDCF to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (i) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which DDF elects pursuant to Treas. Reg. 53.4942(A)3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if DDF were not an operating foundation.

In the event that DDF violates the terms of this Letter Agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, DDF agrees that it will refund to DDCF the full amount of the Grant and pay over to DDCF any additional amount which may be necessary to effect a correction under section 4945 of the Code.

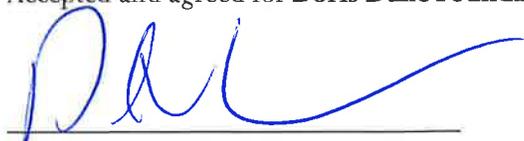
The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require DDCF to ask that more detailed reports be submitted or that other steps be taken. The DDCF will promptly inform DDF of any such changes, and by signing this letter you indicate DDF's agreement to provide such additional information and comply with such requests.

If this letter correctly sets forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

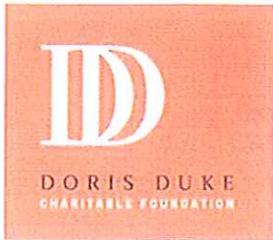
Sincerely,


Edward P. Henry
President

Accepted and agreed for **Doris Duke Foundation:**


Peter Simmons, Chief Operating Officer

12/23/15
Date



October 28, 2016

Ms. Amy Winsor
Director of Finance
Newport Restoration Foundation
51 Touro Street
Newport, Rhode Island 02840

Dear Ms. Winsor:

The Doris Duke Charitable Foundation has made grants to the Newport Restoration Foundation in 2015 totaling \$2,625,000 to be used for Rough Point's general operating expenses (referred to collectively as the "Grant"). Because the Newport Restoration Foundation is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from the Newport Restoration Foundation before making payment of the Grant funds. By signing this letter, you agree on behalf of the Newport Restoration Foundation to the following specific obligations with respect to this Grant:

- (1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant);
- (3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which we believe necessary to keep us fully apprised of the status or use of the Grant or income arising there from, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");

- (5) To keep these records of receipts and expenditures and copies of reports submitted to the Doris Duke Charitable Foundation for at least four years after completion of the use of the Grant funds; and
- (6) Not to use any of the Grant or income arising there from,
 - (a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
 - (b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
 - (c) To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
 - (d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, or as defined in section 170(c)(2)(B) of the Code.

In the event that you violate the terms of this letter agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, you agree that you will refund to the Doris Duke Charitable Foundation the full amount of the Grant and pay over to the Doris Duke Charitable Foundation any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the Doris Duke Charitable Foundation to ask that more detailed reports be submitted or that other steps be taken. The Doris Duke Charitable Foundation will promptly inform you of any such changes, and by signing this letter you indicate your agreement to provide such additional information and comply with such requests.

The Newport Restoration Foundation also agrees that no later than the end of its first taxable year after the taxable year in which it receives the Grant, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant, and, within such time period, the Newport Restoration Foundation agrees to provide adequate records or other sufficient evidence to the Doris Duke Charitable Foundation to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (i) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details concerning the payment of any operating expenses

Ms. Amy Winsor
Page 3
October 28, 2016

which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years, which the Newport Restoration Foundation elects pursuant to Treas. Reg. 53.4942(A)-3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if the Newport Restoration Foundation were not an operating foundation.

If this letter and its attachment correctly set forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Very truly yours,

The Doris Duke Charitable Foundation

By: Edward P. Henry
Edward P. Henry, President

Agreed to and accepted on behalf of the
Newport Restoration Foundation this 31st
day of October, 2016

By: Amy Winsor
Amy Winsor, Director of Finance

NEWPORT RESTORATION FOUNDATION

Founded by Doris Duke, 1968



51 TOURO STREET

NEWPORT, RHODE ISLAND 02840-2932

Telephone 401.849.7300

Facsimile 401.849.0125

www.newportrestoration.org

October 31, 2016

Mr. Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, New York 10019

Dear Mr. Henry:

In 2015, the Doris Duke Charitable Foundation ("DDCF") made grants totaling \$2,625,000 to the Newport Restoration Foundation ("NRF") in support of Rough Point. By a letter dated October 28, 2016, I agreed on behalf of NRF to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. This is a report covering the portion of the grant funds spent in 2015.

Name and address of grantee:

Newport Restoration Foundation
51 Touro Street
Newport, Rhode Island 02840

Purpose of Grants:

To be used for Rough Point's general operating expenses. The Rough Point Property owned by NRF is used for a charitable purpose since it is a historic house, which is open to the public.

The following table contains the date of payment of each grant, the amount of the grant, and a description of how the grant was spent by NRF:

<u>Date of Payment</u>	<u>Amount of Grant</u>	<u>Purpose for which Grant was Used</u>
01/12/15	\$757,500	Employee Related Expenses; General Operating Expenses; General Administrative Expenses and Capital Expenses
03/09/15	\$757,500	" "
06/08/15	\$757,500	" "
09/14/15	\$252,500	" "
12/09/15	\$100,000	" "

All of the grant funds have been spent for Rough Point's general operating expenses, and no portion of the grant funds has been diverted from such purpose.

Mr. Edward P. Henry

Page 2

October 31, 2016

In addition, in the letter dated October 28, 2016, I represented that before the end of 2015 NRF would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of \$2,625,000 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made.

As described above, \$2,521,543 of the grant funds were spent for reasonable and necessary administration expenses to accomplish NRF's charitable purposes, which are qualifying distributions, and \$103,457 will be spent in 2016. Therefore, a qualifying distribution was made of \$2,521,543 of the grant funds in 2015. Since NRF has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if NRF were not a private operating foundation. NRF will make a qualifying distribution out of corpus of the balance of the grant funds in 2016.

Very truly yours,
Newport Restoration Foundation

By: Amy Mabel Winsor
Amy Winsor
Director of Finance

WHAT IS MISSING?

10/26/2016

Adrienne A. Fisher
Director of Grants Management
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2014139

Dear Ms. Fisher:

In 2014, the Doris Duke Charitable Foundation (DDCF) made a grant to What is Missing? Foundation totaling \$50,000 (the "Grant").

By grant agreement dated August 14, 2014 (the "Grant Agreement"), What is Missing Foundation agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, the What is Missing Foundation represented that before the end of 2015, it would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of the Grant, and that it would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

Name and address of grantee:

What is Missing? Foundation
39 Bond Street, 4th floor
New York, NY 10012

Purpose of Grant: To support development and implementation of phase three of Greenprint for the Future

Use of Qualifying Distribution Grant funds by What is Missing Foundation:

This is a report for calendar year 2015. \$39,000 of the grant funds were expended in 2015 toward phase three of the Greenprint for the Future project, as described in the narrative report submitted to DDCF on February 1, 2016 and the financial report dated February 1, 2016.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

This is the final expenditure responsibility report on this grant.

Sincere thanks and appreciation,



Maya Lin
President
What is Missing? Foundation

**Doris Duke Charitable Foundation
Financial Report**

GRANTEE: What is Missing? Foundation **GRANT #:** 2014139 **Reporting Period:** 01/01/2015 - 12/31/2015

BUDGET CATEGORIES (add rows if needed)	Cumulative DDCF Expenditures Previously Reported through (Date)	Current Reporting Period - DDCF Support			Non-DDCF Support	
		Approved Budget 01/01/2015 - 12/31/2015	Actual Expenditures 01/01/2015 - 12/31/2015	Variance 01/01/2015 - 12/31/2015	Brief Explanation (use text box at bottom of page for additional space/comments)	In-Kind
A. PERSONNEL						
1. Staff Positions (add rows if needed)						
Staff - Research, Design, & Infographics	5,000	15,400	15,400	-		14,965
Staff - In-House Topic Specific Researcher	4,800	15,600	15,600	-		2,790
Staff - Research, Video, Infographics	800	2,260	2,260	-		11,776
Staff - Outside Graphic Designer	0	3,060	3,060	-		
Total Salaries	\$ 10,600	\$ 36,320	\$ 36,320	\$ -		\$ - \$ 29,531
2. Fringe benefits (Rate %)						
TOTAL PERSONNEL	\$ 10,600	\$ 36,320	\$ 36,320	\$ -		\$ - \$ 29,531
B. OTHER COSTS						
1. Office Supplies				-		
2. Printing				-		
3. Space Rental				-		
4. Equipment Rental or Purchase				-		
5. Postage/Mailing				-		
6. Travel				-		
7. Conferences				-		
8. Consultants	400	2,680	2,680	-		51,743
9. Telephone				-		
10. Other (List below; add rows if needed)				-		
TOTAL OTHER COSTS	\$ 400	\$ 2,680	\$ 2,680	\$ -		\$ - \$ 51,743
Total Direct Costs	\$ 11,000	\$ 39,000	\$ 39,000	\$ -		\$ - \$ 81,274
D. INDIRECT COSTS						
E. GRAND TOTAL	\$ 11,000	\$ 39,000	\$ 39,000	\$ -		\$ - \$ 81,274

FINANCIAL SUMMARY	
Total DDCF Payments to Date;	\$50,000
Total Interest Earned to Date;	\$8
Total Payments + Interest (auto-calculated)	\$50,008
Prior Expenditures Reported (auto-calculated from above)	\$11,000
Current Expenditures (auto-calculated from above)	\$39,000
Total Spent to Date (auto-calculated)	\$50,000
Funds Remaining (auto-calculated)	\$8

Financial Report Contact / Approval	
Name, Title:	Maya Lin, Founder, President of What is Missing?
Email:	maya@whatismissing.net
Phone:	212.941.7463
Financial Officer Signature:	<i>[Signature]</i>
Date:	2/1/16
Primary Contact for grant	
Print Name:	Maia Lynch
Signature:	<i>[Signature]</i>
Date:	2/1/16

Report Date: February 1, 2016 Please provide both the excel sheet and signed PDF

Financial Report Narrative - additional variance explanation

In addition to funds received from the Doris Duke Charitable Foundation, support for "Greenprint for the Future" was provided by the JPB Foundation (\$50,000), the Robert Rauschenberg Foundation (\$25,000), and the V. Kann Rasmussen Foundation (\$100,000). There have been no major deviations from the project's original financial budget proposal.

Doris Duke Charitable Foundation
GRANT AGREEMENT
(Expenditure Responsibility)

This Grant Agreement sets forth the terms of the 16-month \$50,000 grant (the "Grant") by the Doris Duke Charitable Foundation ("DDCF") to What Is Missing Foundation ("GRANTEE") to cover the period September 1, 2014 through December 31, 2015. References to the "Grant Agreement" shall include this Agreement as well as the proposal and budget submitted June 19, 2014 ("the Grant Documentation"). The purpose of the Grant is to support development and implementation of phase three of *Greenprint for the Future*.

The Grant, which will be considered approved only after final execution of this document, is made subject to the following terms and conditions:

Program and Administrative Requirements

1. The Grant is to be used by GRANTEE in accordance with the Grant Agreement and may not be used for any other purpose or program of GRANTEE without the prior written approval of DDCF.
2. DDCF, through its designated program director, must be consulted in advance in the event that any aspect of the program is changed or redesigned.
3. GRANTEE shall ensure that the terms and conditions of all sub-agreements to support work with funds from this Grant (e.g., memoranda of understanding, major subcontract, re-granting agreements, etc.) are in accordance with the terms of this Grant Agreement. GRANTEE further agrees to provide DDCF with copies of such sub-agreements should DDCF request them for its monitoring purposes.
4. Advance notice of all major meetings, seminars and workshops relating to this project must be provided to DDCF.
5. GRANTEE agrees that not later than the end of its first taxable year after the taxable year in which it receives the DDCF grant payment, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Internal Revenue Code (IRC), equal to the value of the Grant, and within such time period the GRANTEE agrees to provide adequate records or other sufficient evidence to DDCF to demonstrate that this distribution has been made. Such records or evidence will also state that the qualifying distribution was a distribution out of corpus under section 4942 of the IRC.
6. No funds may be used to:
 - a) Carry on propaganda, or otherwise attempt to influence legislation (as defined by Section 4945 of the IRC);
 - b) influence the outcome of any specific public election, or carry on, directly or indirectly, any voter registration drive (as defined in IRC Section 4945);
 - c) make an individual grant or re-grant funds to another organization unless the requirements of IRC 4945 are met; or
 - d) advance a purpose that is not specified in Section 170(c)(2)(B) of the Internal Revenue Code.

Tax Exempt Status

1. DDCF is making the Grant in reliance on the determination by the Internal Revenue Service that GRANTEE is an organization described in section 501(c)(3) of the Internal Revenue Code of 1986, as amended (the "Code") and that it is a private foundation. Accordingly, GRANTEE certifies that there are no issues presently pending before any office of the Internal Revenue Service that could result in any change to the tax status determination and agrees to notify DDCF immediately in writing of any changes to its tax-exempt status or its status as a publicly supported organization.
2. No part of the Grant will be used for a purpose that is not specified in Section 170(c)(2)(B) of the Internal Revenue Code, and no part of the Grant will be used for any political lobbying activity.

Payment and Expenditure of Grant Funds

An ACH transfer for the full amount of the Grant will be made to GRANTEE's bank upon receipt by DDCF of the Grant Agreement signed by a duly authorized representative of GRANTEE.

All interest income earned on the investment of the Grant from the time of the award to expenditure is to be used for the purposes described in the Grant Documentation.

These grant funds are restricted to the uses as described above and are to be used as represented in the approved budget included in the Grant Documentation. These grant monies are not to be used in any other way or for any other purposes without the prior written approval of DDCF. Budget revisions of 10% or more of the total approved budget for the Grant and/or any significant changes in the original program plan must be reported and approved in writing in advance by DDCF.

Reporting and Record Keeping Requirements

1. GRANTEE shall submit to DDCF full and complete annual written reports in accordance with the schedule below, to cover (a) the use of the grant funds, (b) compliance with the terms of the grant, and (c) the progress made by the GRANTEE toward achieving the purposes for which the Grant was made. Annual reports must be provided for the year of receipt of the Grant, and for all subsequent years until the grant funds are expended in full or the grant is otherwise terminated.

Date Due	Report Type	Period Covered
2/1/2015	Annual Progress and Financial Report	9/1/2014 – 12/31/2014
2/1/2016	Final Progress and Financial Report	1/1/2015 – 12/31/2015

2. Reports shall containing the following components:
 - a. narrative and description of accomplishments, challenges and future plans of the funded project;
 - b. copies of representative materials, brochures, reports, and other materials relating to the project, as well as representative media coverage, if any;
 - c. GRANTEE's most recent audited financial statements *that have not already been submitted to DDCF*. If the audited statement is not available at the time of the report's submission,

- GRANTEE should submit a copy of the unaudited statement or 990PF tax return and follow up by submitting the audited statement as soon as it is available; and
- d. separate accounting for the project funding. The accounting should include a detailed comparison of actual expenses to the approved line-item budget. Include an explanation for any overages and/or unspent funds and any revised budget and budget narrative for the following year, where appropriate. Please note: if the approved budget indirect costs as a percent of direct program costs, the indirect rate should be applied to actual expenditures during each period covered by the report.
3. The GRANTEE is expected to maintain complete books and records of revenues and expenditures relating to the Grant, together with appropriate supporting documentation. These books and records are to be made available for inspection at reasonable times if deemed necessary by DDCF. DDCF, at its expense, may audit or have audited the records of the GRANTEE insofar as they relate to the disposition of the funds granted by DDCF, and the GRANTEE shall provide all necessary assistance in connection therewith. Records on the Grant must be kept for at least four (4) years after completion of the Grant.
 4. One copy of the report should be submitted to DDCF's Director of Grants Management. Please include your grant number (#2014139) on the cover page of the report.

Acknowledgment

Acknowledgment for the Grant should include:

1. A general acknowledgment of DDCF among GRANTEE's list of annual funders in all appropriate programs, publications and public announcements for the grant period.
2. Use of the complete Foundation name, "Doris Duke Charitable Foundation," in any public documents pertaining to the Grant. Prominence of acknowledgment should be commensurate with level of funding relative to other funding sources.

Publicity and Announcement

1. DDCF reserves the right to announce the Grant publicly in a manner and at a time of its choosing. DDCF further reserves the right to include information relating to the Grant in any materials issued by or on behalf of DDCF, including on its website. When practicable, DDCF will provide GRANTEE with an opportunity to review press releases prior to publication.
2. DDCF (through its Communications Officer, below) must be informed in advance of any public announcement about the Grant and must be given at least three business days prior to public release to review and approve a draft of each proposed announcement. Public announcement includes press releases, newsletters, website content, invitations to DDCF-sponsored events or events in which work funded by the Grant will be presented. If requested, DDCF will work with the GRANTEE to design a strategy for announcing the Grant.
3. Any press releases about the Grant must conform to the following guidelines:
 - a. DDCF should be named in the first paragraph, and provision should be made in the release for a quote from a senior representative of DDCF.
 - b. The following description of the DDCF should be included in the announcement:
"The mission of the Doris Duke Charitable Foundation is to improve the quality of people's lives through grants supporting the performing arts, environmental

conservation, medical research and child well-being, and through preservation of the cultural and environmental legacy of Doris Duke's properties."

4. Any marketing and educational materials that include mention of DDCF must be provided to DDCF for review and approval at least three business days prior to public release.
5. All inquiries regarding communications about the Grant as well as requests to use DDCF's logo shall be directed to DDCF's Communications Officer, Kristin Roth-Schrefer (kschrefer@ddcf.org).

Conditions Necessitating a Refund to DDCF or Withholding of Grant Funds

If any of the following circumstances occur, DDCF may, at its discretion, in addition to other remedies available to it, require that GRANTEE repay, upon 30-days written notice, the full amount of the Grant funds which were unspent or inappropriately or reversibly committed as of the date of the occurrence, and DDCF shall have no further obligation to distribute any unpaid portion of the Grant to GRANTEE:

1. The purpose of the Grant has been fully completed or the period of time indicated in the Grant Agreement for the completion of the purpose of the Grant has expired (without being extended with the consent of DDCF).
2. GRANTEE is no longer exempt from federal taxation as an organization described in Section 501(c)(3) of the Internal Revenue Code or becomes a Type III supporting organization as defined in Section 509(a) of the Code. GRANTEE agrees to notify DDCF immediately upon the occurrence of this circumstance.
3. If, in DDCF's judgment, GRANTEE, for any reason, becomes unable to carry out the purpose of the Grant stated in the Grant Agreement, or otherwise violates the terms of the Grant Agreement.
4. DDCF conveys to GRANTEE in writing any concerns about the stability of GRANTEE's operations or organization or its compliance with the terms of this Grant Agreement and the concerns are not addressed to DDCF's satisfaction within a 45-day period after notice.

No Assignment or Delegation

GRANTEE may not assign or otherwise transfer any of its rights or delegate any of its obligations under the Grant Agreement or with respect to the Grant.

Acceptance by the **Doris Duke Charitable Foundation:**

By: Edward P. Henry
Edward P. Henry, President

Accepted and agreed:

Aug 14, 2014

By: Maya Lin
Maya Lin (Aug 11, 2014)
Maya Lin
President

What Is Missing Foundation

Doris Duke Charitable Foundation
Form 990-PF - Return of Private Foundation
FYE: 12/31/2015
Attachment 13

Form 990-PF, Part VIII - List of Officers, Directors and Trustees

Name	Title	Hrs Worked	Comp	Employee Benefits	Expense Accounts
John Zuccotti	Chairperson	108 hours per year	\$ 22,819	NONE	NONE
Anthony S. Fauci	Vice Chairperson	96 hours per year	NONE	NONE	NONE
Kathy Halbreich	Trustee	84 hours per year	\$ 97,819	NONE	NONE
Harry Demopoulos	Trustee	96 hours per year	\$ 97,819	NONE	NONE
Jide Zeitlin	Trustee	108 hours per year	NONE	NONE	NONE
James F. Gill	Trustee	120 hours per year	\$ 97,819	NONE	NONE
Peter A. Nadosy	Trustee	120 hours per year	NONE	NONE	NONE
William H. Schlesinger	Trustee	120 hours per year	\$ 97,819	NONE	NONE
Nannerl O. Keohane	Trustee	120 hours per year	\$ 48,909	NONE	NONE
Angela K. Mwanza	Trustee	96 hours per year	\$ 50,000	NONE	NONE
Nicholas Scopetta	Trustee	84 hours per year	\$ 50,000	NONE	NONE
Edward P. Henry	Chief Executive Officer/President	28 hours per week	NONE	NONE	NONE
Peter Simmons	Chief Operating Officer/Treasurer	12 hours per week	NONE	NONE	NONE
Erica Dziedzic	Secretary/Counsel	12 hours per week	NONE	NONE	NONE
Total Compensation			\$ 563,002	-	-

All trustees and officers provide services to five related entities (Doris Duke Charitable Foundation, Doris Duke Management Foundation, Duke Farms Foundation, Doris Duke Foundation for Islamic Art, Doris Duke Foundation), and may be reached at the Doris Duke Charitable Foundation's corporate address at 650 Fifth Avenue, 19th Floor, NY, NY, 10019. See Attachment 13(a) Note (1), Note (2) and Note (3) for further information.

Doris Duke Charitable Foundation
Form 990-PF - Return of Private Foundation
FYE: 12/31/2015
Attachment 13A

Notes:

(1) Compensation reported for the trustees disclosed on this schedule reflects payment for each individual's board service in 2014. The actual cash payments were made in January of 2015 (and the amounts have been reported on the Form 990-PF in Part I, Line 13 (d)) as part of the Foundation's current year charitable disbursements.

(2) The officers are paid compensation by the Doris Duke Management Foundation (DDMF). The hours shown on the Form 990-PF are based on an allocation of time spent on matters related to the Doris Duke Management Foundation (DDMF) and are for informational purposes only. These officers provide services to other related entities (Doris Duke Foundation (DDF), Doris Duke Management Foundation (DDMF), Duke Farms Foundation (DFF), and Doris Duke Foundation for Islamic Art (DDFIA)) and in the aggregate, hours worked on matters related to these entities equal or exceed 40 hours a week. The allocation of compensation paid by the Doris Duke Management Foundation and allocated to other related entities is based on an annual survey and is included in the management fee shown on Line 23, Part I, Other Expenses.

(3) The trustees who receive compensation are paid by the Doris Duke Charitable Foundation, even though they provide services to each of the five foundations (DDCF, DDMF, DDF, DFF, and DDFIA). The hours reported on Attachment 13 of the Form 990-PF represent an allocation of the trustees' time spent on matters related to DDCF including attendance at Board meetings and various foundation-related events and discussions. These hour allocations are reported to comply with the Form 990-PF reporting requirement, and are for informational purposes only. Trustees have oversight responsibilities and perform multiple tasks across all five foundations; and thus, significant additional hours are reported on the DDMF, DDF, DFF, DDFIA tax returns.

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 14

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
THE NORTHERN TRUST COMPANY 50 LASALLE STREET CHICAGO, IL 60675	INVESTMENT MGMT FEES	519,690.
OBERWEIS ASSET MANAGEMENT 3333 WARRENVILLE RD. LISLE, IL 60532	INVESTMENT MGMT FEES	382,179.
SILCHESTER INTERNATIONAL 780 THIRD AVENUE NEW YORK, NY 10017	INVESTMENT MGMT FEES	345,316.
WALTER SCOTT & PARTNERS ONE CHARLOTTE SQUARE EH2 4DR EDINBURGH LONDON UNITED KINGDOM	INVESTMENT MGMT FEES	295,742.
ALBOURNE AMERICA LLC 655 MONTGOMERY ST, SUITE 1910 SAN FRANCISCO, CA 94111	INVESTMENT MGMT FEES	240,000.
	TOTAL COMPENSATION	<u>1,782,927.</u>

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 15

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>AMOUNT</u>	<u>EXCLUSION CODE</u>	<u>AMOUNT</u>	<u>RELATED OR EXEMPT FUNCTION INCOME</u>
STATE WITHHOLDING TAX REFUNDS			01	23,198.	
MISCELLANEOUS INCOME			01	2,360.	
TOTALS				<u>25,558.</u>	

Doris Duke Charitable Foundation
Form 990-PF - Return of Private Foundation
FYE: 12/31/2015
Attachment 16

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>GRANTS PAID</u>
PROGRAM GRANTS	No Relationship	65,559,056
NEWPORT RESTORATION FOUNDATION(NRF)	No Relationship	2,625,000
DUKE FARMS FOUNDATION	Related Entity	14,613,922
DORIS DUKE FOUNDATION FOR ISLAMIC ART	Related Entity	7,984,784
DONATIONS TO DUKE UNIVERSITY	No Relationship	21,600
Less: Doris Duke Foundation for Islamic Arts Building Bridges Grants	Related Entity	(2,482,503)
Less: Doris Duke Foundation Artists' Awards	Related Entity	(5,324,079)
TOTAL		<u>82,997,781</u>

**PART XV - GRANTS AND CONTRIBUTIONS PAID DURING
2015**

SUMMARY BY PROGRAM AREA	Amount Paid 2015
TOTAL AFRICAN HEALTH INITIATIVE	\$1,711,566
TOTAL ARTS	\$14,987,500
TOTAL ARTS INITIATIVE	\$8,482,824
TOTAL CHILD WELL-BEING	\$6,432,342
TOTAL ENVIRONMENT	\$16,560,752
TOTAL MEDICAL RESEARCH	\$15,647,416
TOTAL CROSS PROGRAM GRANTS	\$437,665
TOTAL MEDIA FUND	\$778,945
TOTAL PHILANTHROPIC SECTOR/OTHER	\$520,046
GRAND TOTAL	\$65,559,056

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
AFRICAN HEALTH INITIATIVE			
Brigham and Women's Hospital Inc 75 Francis Street Boston, MA 02115 <i>To support research expenses for three supplement papers on PHIT partnerships</i>	\$14,100 2015	PC	14,100
Trustees Of Columbia University In The City of New York 60 Haven Avenue, B2 New York, NY 10032 <i>Accelerating Millennium Development Goal Progress by Exchanging Health System Innovations between Tanzania and Ghana</i>	\$17,512,911 2009	PC	423,511
Health Alliance International 1107 NE 45th Street, Suite 350 Seattle, WA 98105 <i>Strengthening Integrated Primary Health Care and Workforce Training in Sofala Province, Mozambique Province, Mozambique</i>	\$11,880,000 2009	PC	986,345
University of Cape Town Fund, Inc. 132 East 43rd St New York, NY 10017 <i>To support Implementing large-scale health system strengthening interventions: experience from the DDCF African Health Initiative</i>	\$644,700 2013	PC	164,700
University of North Carolina at Chapel Hill 134 East Franklin Street, Campus Box 3233 Chapel Hill, NC 27599 <i>Clinical Mentoring and Community Engagement to Improve Millenium Development Goals Health Outcomes in Lusaka Province of Zambia</i>	\$12,837,300 2009	PC	122,910
TOTAL African Health			\$1,711,566

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
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ARTS

<p>Alliance of Resident Theatres New York, Inc. 520 Eighth Avenue, Suite 319 New York, NY 10018 <i>To support startup of ArtsPool which provides administrative and support services to nonprofit arts organizations in New York City through a cooperative and shared infrastructure</i></p>	<p>\$100,000 2015</p>	<p>PC</p>	<p>100,000</p>
<p>Alvin Ailey Dance Foundation Inc The Joan Weill Center for Dance 405 West 55th Street New York, NY 10019 <i>Leadership Grants Program for Dance</i></p>	<p>\$500,000 2015</p>	<p>PC</p>	<p>368,750</p>
<p>American Repertory Theatre 64 Brattle Street Cambridge, MA 02138 <i>Adaptive Capacity Initiative</i></p>	<p>\$1,000,000 2013</p>	<p>PC</p>	<p>162,630</p>
<p>American Theatre Wing Inc 230 West 41st Street, Suite 1101 New York, NY 10036 <i>To support the use of new media practices that engage audiences on their computers and mobile devices</i></p>	<p>\$225,000 2014</p>	<p>PC</p>	<p>106,250</p>
<p>The Apollo Theater Foundation Inc 253 West 125th Street New York, NY 10033 <i>To support the New York premiere of the new opera, Charlie Parker's Yardbird</i></p>	<p>\$50,000 2015</p>	<p>PC</p>	<p>50,000</p>

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Appalshop, Inc. 91 Madison Ave. Whitesburg, KY 41858 <i>To support "Performing Our Future" helping communities tap their rich cultural assets for economic and civic development</i>	\$225,000 2015	PC	144,125
Arts Midwest 2908 Hennepin Ave, Suite 200 Minneapolis, MN 55408 <i>To disseminate findings of the Building Public Will for Arts and Culture research project</i>	\$25,000 2015	PC	25,000
Arts Midwest 2908 Hennepin Ave, Suite 200 Minneapolis, MN 55408 <i>To support a series of engagement and messaging strategies that highlight the intersection of existing public values and the values inherent in the arts</i>	\$225,000 2015	PC	166,250
Association of Performing Arts Presenters, Inc. 1211 Connecticut Ave NW, Suite 200 Washington, DC 20036 <i>Core Support for National Organizations, FY16 & FY 17</i>	\$360,500 2015	PC	180,250
Association of Performing Arts Presenters, Inc. 1211 Connecticut Ave NW, Suite 200 Washington, DC 20036 <i>To support Building Bridges: Art, Culture and Identity Re-granting Program</i>	\$1,280,000 2015	PC	775,027

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
<p>AXIS Dance Company 1428 Alice St., Ste. 200 Oakland, CA 94612 <i>To support a national convening in 2016 focusing on best practices and the next stage of evolution for the field of physically integrated dance</i></p>	\$101,250 2014	PC	73,012
<p>AXIS Dance Company 1428 Alice St., Ste. 200 Oakland, CA 94612 <i>Leadership Grants Program for Dance</i></p>	\$250,000 2015	PC	50,000
<p>Ballet Hispanico of New York 167 West 89th Street New York, NY 10024 <i>Leadership Grants Program for Dance</i></p>	\$550,000 2015	PC	50,000
<p>Brooklyn Academy of Music, Inc. Peter Jay Sharp Building 30 Lafayette Avenue Brooklyn, NY 11217-1486 <i>Leadership Grants Program for Dance</i></p>	\$500,000	PC	400,000
<p>Center for Cultural Innovation 244 S. San Pedro Street, Suite 401 Los Angeles, CA 90012 <i>To support a study on The Changing Landscape of Artistic Practices</i></p>	\$30,000 2015	PC	30,000
<p>Chamber Music America 12 West 32nd Street, 7th Floor New York, NY 10001-3813 <i>Core Support for National Organizations, FY16 & FY 17</i></p>	\$150,000 2015	PC	75,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Chicago Theatre Group Inc 170 N. Dearborn St. Chicago, IL 60601 <i>Theatre Commissioning and Production Program</i>	\$125,000 2015	PC	100,000
Cleveland Modern Dance Association 13110 Shaker Sq Ste 106 Cleveland, OH 44120-2381 <i>To explore the feasibility of establishing a National Center for Choreography in Northeast Ohio and two pilot residency projects</i>	\$73,125 2014	PC	27,025
Creative Capital Foundation 15 Maiden Lane, 18th Floor New York, NY 10038 <i>To renew support for three rounds of The Multi-Arts Production Fund (MAP Fund) regranting program</i>	\$4,085,052 2013	PC	1,411,384
Creative Capital Foundation 15 Maiden Lane, 18th Floor New York, NY 10038 <i>Core Support for National Organizations, FY16 & FY 17</i>	\$250,000 2015	PC	125,000
Cultural Data Project 400 Market Street, Suite 600 Philadelphia, PA 19106 <i>To support development of a curriculum to build nonprofit presenting, theatre, and music organizations' data literacy data literacy in order to strengthen vitality, performance and and public impact</i>	\$135,000 2014	PC	63,750
Cultural Data Project 400 Market Street, Suite 600 Philadelphia, PA 19106 <i>To support a senior research and policy fellow</i>	\$50,000 2015	PC	50,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Dance USA 1029 Vermont Ave NW, Suite 400 Washington, DC 20005 <i>To support round three of Engaging Dance Audiences Regranting Program</i>	\$1,873,000 2014	PC	701,400
Dance USA 1029 Vermont Ave NW, Suite 400 Washington, DC 20005 <i>Core Support for National Organization , FY16 & FY17</i>	\$220,000 2015	PC	110,000
Dance USA 1029 Vermont Ave NW, Suite 400 Washington, DC 20005 <i>To support preliminary planning for the 2017 National Dance Summit</i>	\$40,000 2015	PC	40,000
Danspace Project Inc 131 East 10th Street New York, NY 10003 <i>Leadership Grants Program for Dance</i>	\$250,000 2015	PC	50,000
Danspace Project Inc 131 East 10th Street New York, NY 10003 <i>To support the second phase of "Curatorial Practice in Performance, Practice, Documentation, Dissemination"</i>	\$112,500 2015	PC	71,875
Denver Center For The Performing Arts 1101 13th Street Denver, CO 80202-9874 <i>Theatre Commissioning and Production Program</i>	\$125,000 2014	PC	25,000
Disalced, Inc 3 Lafayette Ave Brooklyn, NY 11217-1415 <i>Leadership Grants Program for Dance</i>	\$1,000,000 2015	PC	405,700

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
EcoArts Connections 2590 Walnut Street, Suite 6 Boulder, CO 80302-5700 <i>To support Artist Residencies in Conferences</i>	\$25,000 2015	PC	25,000
Emc Arts Inc 127 West 122nd Street New York, NY 10027 <i>To support a final round of the Innovation Lab program, benefitting both performing arts organizations and service organizations in theatre, dance, jazz, and presenting presenting</i>	\$1,588,450 2014	PC	504,225
Emerson College 120 Boylston Street Boston, MA 02116 <i>Core Support for Center for Theatre Commons, FY16 & FY17</i>	\$121,980 2015	PC	60,990
Eugene O'Neill Memorial Theater Center, Inc. 305 Great Neck Rd Waterford, CT 06385 <i>To support the National Directors Institute</i>	\$225,000 2014	PC	87,500
Fractured Atlas Inc 248 W 35th St FL 10 New York, NY 10001 <i>Core Support for National Organizations, FY16 & FY17</i>	\$470,000 2015	PC	235,000
Fractured Atlas Inc 248 W 35th St FL 10 New York, NY 10001 <i>To further develop Artful.ly, a technology solution informed by the field that enables artists and arts organizations to streamline operations.</i>	\$84,375 2015	PC	84,375

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Fractured Atlas Inc 248 W 35th St FL 10 New York, NY 10001 <i>To support American Dance Abroad's GLOBAL EXPOSURE project</i>	\$225,000 2015	PC	145,850
Gina Gibney Dance Inc Gibney Dance Choreographic Center 890 Broadway, Fifth Floor New York, NY 10003 <i>Operating Support</i>	\$50,000 2015	PC	50,000
Grantmakers in the Arts 4055 21st Ave W, Ste 100 Seattle, WA 98199 <i>To support the Individual Artists Track at the 2015 Grantmakers in the Arts Conference</i>	\$27,000 2015	PC	27,000
Grantmakers in the Arts 4055 21st Ave W, Ste 100 Seattle, WA 98199 <i>To support the Information and Communication Initiative</i>	\$20,000 2015	PC	20,000
Hubbard Street Dance Chicago Inc 1147 W Jackson Blvd Chicago, IL 60607 <i>Leadership Grants Program for Dance</i>	\$500,000 2015	PC	255,500
Jacob's Pillow Dance Festival, Inc. 358 George Carter Road Becket, MA 01223 <i>Leadership Grants Program for Dance</i>	\$500,000 2015	PC	250,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Jazz at Lincoln Center Inc. 3 Columbus Circle, 12th Floor New York, NY 10019 <i>To support the first Albert Murray Symposium on Jazz Culture and Society</i>	\$225,000 2015	PC	168,750
Joyce Theater Foundation Inc 175 Eighth Avenue New York, NY 10011 <i>Leadership Grants Program for Dance</i>	\$500,000 2015	PC	250,000
Lincoln Center for the Performing Arts, Inc. 70 Lincoln Center Plaza, 9th Floor New York, NY 10023 <i>To support an in-cinema series presenting leader American dance companies</i>	\$225,000 2015	PC	225,000
Alonzo King Lines Ballet 26 Seventh St. San Francisco, CA 94103 <i>Leadership Grants Program for Dance</i>	\$550,000 2015	PC	50,000
Lookingglass Theatre Company 875 North Michigan Avenue, Suite 1430 Suite 1430 Chicago, IL 60611 <i>Theatre Commissioning and Production Program</i>	\$125,000 2014	PC	25,000
Mapp International Productions Inc 140 Second Avenue, Suite 502 Suite 502 New York, NY 10003 <i>To expand the African Contemporary Arts Consortium network</i>	\$34,500 2014	PC	17,250

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Margaret Jenkins Dance Studio Inc 507 Polk Street Suite 320 San Francisco, CA 94102 <i>Leadership Grants Program for Dance</i>	\$250,000 2015	PC	75,000
Monterey Jazz Festival 9699 Blue Larkspur Lane, Suite 204 Monterey, CA 93940 <i>Leadership Grants Program for Jazz</i>	\$400,000 2015	PC	50,000
National Asian American Theatre Festival, Inc. 520 Eighth Ave., Suite 308 New York, NY 10018-8644 <i>To support the Consortium of Asian American Theatres and Artists strategic planning retreat planning retreat</i>	\$40,000 2015	PC	40,000
National New Play Network 641 D Street NW Washington, DC 20004 <i>Core Support for National Organizations, FY16</i>	\$26,000 2015	PC	26,000
National New Play Network 641 D Street NW Washington, DC 20004 <i>To support continued development of the New Play Exchange (NPX), an online tool to connect playwrights and theatres</i>	\$56,250 2015	PC	37,810
National New Play Network 641 D Street NW Washington, DC 20004 <i>General support</i>	\$26,000 2015	PC	26,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
National Performance Network Inc 1024 Elysian Fields New Orleans, LA 70117 <i>To support NPN's Performing Arts Regranting Programs</i>	\$2,826,720 2013	PC	1,648,920
National Performance Network Inc 1024 Elysian Fields New Orleans, LA 70117 <i>Core Support for National Organizations, FY16 & FY17</i>	\$200,000 2015	PC	100,000
Network of Ensemble Theaters PO Box 83526 Portland, OR 97283 <i>To support expansion of the NET/TEN Program</i>	\$83,375 2014	PC	36,220
New England Foundation for the Arts 145 Tremont Street, 7th floor Boston, MA 02111 <i>To support the National Dance Project</i>	\$5,184,758 2013	PC	1,296,187
New England Foundation for the Arts 145 Tremont Street, 7th floor Boston, MA 02111 <i>To support the national convening, "Art in Service to Understanding: New Perspectives from Artists and the Military Community"</i>	\$101,250 2015	PC	35,060
Newark Public Radio Inc. 54 Park Place Newark, NJ 07102 <i>To support Jazz Night in America, a multi-platform public media project</i>	\$75,000 2015	PC	75,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
ODC 351 Shotwell Street San Francisco, CA 94110 <i>Leadership Grants Program for Dance</i> Seattle, WA 98119 <i>Adaptive Capacity Initiative</i>	\$500,000 2015	PC	187,425
On The Boards 100 W. Roy Street Seattle, WA 98119 <i>To support the growth of OntheBoards.tv, providing high-quality filmic versions of new contemporary performances</i>	\$84,525 2014	PC	43,040
On The Boards 100 W. Roy Street Seattle, WA 98119 <i>Adaptive Capacity Initiative</i>	\$300,000 2013	PC	150,250
Oregon Shakespeare Festival Association 15 S. Pioneer Street Ashland, OR 97520 <i>Adaptive Capacity Initiative</i>	\$1,000,000 2013	PC	436,325
Oregon Shakespeare Festival Association 15 S. Pioneer Street Ashland, OR 97520 <i>Theatre Commissioning and Production Program</i>	\$125,000 2014	PC	125,000
Pangea World Theater 711 West Lake Street Minneapolis, MN 55408 <i>To support the launch of the first National Institute for Directing and Ensemble Creation and a convening of Native American Theater artists</i>	\$112,500 2014	PC	23,125

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Partners For Sacred Places Inc 1700 Sansom Street Philadelphia, PA 19103 <i>To create a scalable, replicable model for matching small to mid-size dance and theatre companies with space needs with historic sacred places with available space</i>	\$112,500 2014	PC	37,125
Paul Taylor Dance Foundation Inc 551 Grand Street New York, NY 10002 <i>Leadership Grants Program for Dance</i>	\$500,000 2015	PC	312,500
New York Shakespeare Festival 425 Lafayette Street New York, NY 10003 <i>Theatre Commissioning and Production Program</i>	\$125,000 2014	PC	100,000
Ragamala Dance 711 W Lake St, Suite 309 Minneapolis, MN 55408 <i>Leadership Grants Program for Dance</i>	\$250,000 2015	PC	50,000
Stephen Petronio Dance Company Inc 140 Second Avenue, Suite 504 New York, NY 10003-8384 <i>Leadership Grants Program for Dance</i>	\$250,000 2015	PC	100,000
STREB Inc. 51 North 1st Street Brooklyn, NY 11249 <i>Leadership Grants Program for Dance</i>	\$450,000 2015	PC	143,750

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Tessitura Network Inc 11700 Preston Road, Suite 660 Dallas, TX 75230 <i>To support CultureLab Library development and rollout</i>	\$30,000 2015	PC	30,000
Theatre Communications Group Inc. 520 8th Avenue, 24th Floor New York, NY 10018-4156 <i>To support "Leading the Charge on Diversity and Inclusion," a study of the history and progress of racial and ethnic diversity within the theatre field</i>	\$225,000 2013	PC	40,000
Theatre Communications Group Inc. 520 8th Avenue, 24th Floor New York, NY 10018-4156 <i>To support Round 2 of the Audience (R)Evolution program,</i>	\$1,451,500 2014	PC	179,897
Theatre Communications Group Inc. 520 8th Avenue, 24th Floor New York, NY 10018-4156 <i>Core Support for National Organizations, FY16 & FY17</i>	\$470,000 2015	PC	235,000
Theatre Development Fund Inc 520 8th Avenue, 24th Floor New York, NY 10018-4156 <i>To support the Triple Play Project - finding new ways to connect playwrights and generative theater artists with audiences</i>	\$39,375 2015	PC	33,030
Two River Theatre Company 21 Bridge Avenue Red Bank, NJ 07701 <i>Theatre Commissioning and Production Program</i>	\$125,000 2014	PC	25,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
University of Minnesota Foundation 210 Oak Street, SE. Suite 500 Minneapolis, MN 55455-2010 <i>To support the African American Theater History Project</i>	\$168,750 2014	PC	113,338
UBW, Inc 138 South Oxford Street, 4B Brooklyn, NY 11217 <i>Leadership Grants Program for Dance</i>	\$250,000 2015	PC	90,000
UBW, Inc 138 South Oxford Street, 4B Brooklyn, NY 11217 <i>To support the UBW Choreographic Center</i>	\$29,869 2015	PC	26,550
Victory Garden Theater 2433 N Lincoln Avenue Chicago, IL 60614 <i>Theatre Commissioning and Production Program</i>	\$125,000 2015	PC	100,000
Wesleyan University 237 High Street Middletown, CT 06459 <i>Adaptive Capacity Initiative</i>	\$400,000 2013	PC	56,840
Wesleyan University 237 High Street Middletown, CT 06459 <i>To support the ICPP Scholarship Fund to support participation of low-income students and students of color in ICPP's Certificate and Master's program</i>	\$100,000 2015	PC	100,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
White Bird 5620 SW Edgemont Place Portland, OR 97239 <i>Leadership Grants Program for Dance</i>	\$250,000 2015	PC	100,000
Williamstown Theatre Foundation Inc 229 W 42nd Street, Suite 801 New York, NY 10036 <i>Exploring and planning a community engagement program</i>	\$40,000 2015	PC	40,000
Woolly Mammoth Theatre Company 641 D Street NW Washington, DC 20004 <i>Adaptive Capacity Initiative</i>	\$800,000 2013	PC	244,240
TOTAL Arts			\$14,987,500

ARTS INITIATIVE

Actors Theatre of Louisville, Inc. 316 West Main Street Louisville, KY 40202 <i>Building Demand for Theatre Exploration</i>	\$40,000 2015	PC	40,000
American Shakespeare Center 20 S. New Street, 4th Floor Staunton, VA 24401 <i>Building Demand for Theatre Exploration</i>	\$40,000 2015	PC	40,000
Bric Arts Media Bklyn Inc 45 Main St, Ste 530 Brooklyn, NY 11201 <i>To support a Residency to develop demand for contemporary dance among residents of public housing developments surrounding BRIC House Media in Brooklyn</i>	\$155,000 2013	PC	37,500

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Childsplay, Inc. 900 S. Mitchell Dr Tempe, AZ 85281 <i>To support a residency to build demand for theatre among young Latino audiences and families</i>	\$155,000 2013	PC	23,025
Christina Cultural Arts Center Inc 705 N. Market Street Wilmington, DE 19801-3008 <i>Building Demand for Theatre and Dance Exploration</i>	\$20,000 2015	PC	20,000
A Contemporary Theatre Kreielsheimer Place 700 Union Street Seattle, WA 98101 <i>Building Demand for Theatre Explroation theatre among deaf</i>	\$40,000 2015	PC	40,000
Creative Capital Foundation 15 Maiden Lane, 18th Floor New York, NY 10038 <i>To support the Doris Duke Performing Artists Awards Program</i> \$1,058,873.00 2015	\$1,058,873 2015	PC	611,042
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108 <i>To support the 2012 Doris Duke Artists Awards</i>	\$5,775,000 2012	PF	989,891

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108 <i>To support the 2013 Doris Duke Artists Awards</i>	\$5,500,000 2013	PF	1,178,976
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108 <i>To support the 2014 Doris Duke Artists Awards</i>	\$5,500,000 2013	PF	1,711,812
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108 <i>To support the 2014 Doris Duke Impact Awards</i>	\$1,600,000 2013	PF	598,400
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108 <i>To support the 2015 Doris Duke Artists Awards</i>	\$5,225,000 2014	PF	785,000
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108 <i>To support the 2015 Doris Duke Impact Awards</i>	\$1,600,000 2014	PF	60,000
Double Edge Theatre Productions Incorporated 948 Conway Rd Ashfield, MA 01330 <i>Building Demand for Theater Exploration</i>	\$20,000	PC	20,000
Epic Theatre Center Inc 55 West 39th Street, Suite 302 New York, NY 10018 <i>To support workshops in story-sharing and playwrighting for NYC's Arab-American community</i>	\$155,000 2013	PC	40,488

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
FringeArts 140 N Columbus Blvd Philadelphia, PA 19106 <i>Building Demand for Theater Exploration</i>	\$40,000 2015	PC	40,000
Imagination Stage Inc 4908 Auburn Avenue Bethesda, MD 20814 <i>Building Demand for Theater Exploration</i>	\$20,000 2015	PC	20,000
Kansas City Repertory Theatre Inc 4825 Troost Avenue, Suite 106 Kansas City, MO 64110-2030 <i>Building Demand for Theater Exploration</i>	\$20,000 2015	PC	20,000
Lookingglass Theatre Company 875 North Michigan Avenue, Suite 1430 Chicago, IL 60611 <i>Support to establish a Civic Practice Lab</i>	\$155,000 2013	PC	42,565
Matrix Theatre Company Inc 2730 Bagley Street Detroit, MI 48216 <i>Building Demand for the Arts Exploration</i>	\$40,000 2015	PC	40,000
New York Theatre Workshop, Inc. 79 E Fourth St New York, NY 10003 <i>Building Demand for Theater Exploration</i>	\$40,000 2015	PC	40,000
Pasadena Playhouse State Theatre of California, Inc. 600 Playhouse Alley, Suite 300 Pasadena, CA 91101 <i>Building Demand for Theater Exploration</i>	\$40,000 2015	PC	40,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Pig Iron Theatre Company Inc 1417 N. 2nd Street Philadelphia, PA 19122 <i>Building Demand for Theater and interdisciplinary Music Exploration</i>	\$40,000 2015	PC	40,000
Portland Institute for Contemporary Art 415 SW 10th Ave, Suite 300 Portland, OR 97205 <i>Building Demand for Theater Exploration</i>	\$40,000 2015	PC	40,000
Portland Playhouse 602 NE Prescott St. Portland, OR 97211 <i>Building Demand for Theater Exploration</i>	\$20,000 2015	PC	20,000
Ragamala Dance 711 W Lake St, Suite 309 Minneapolis, MN 55408 <i>Building Demand for Dance Exploration</i>	\$20,000 2015	PC	20,000
Studio Theatre Inc 1501 14th Street NW Washington, DC 20005 <i>Building Demand for Theater Exploration</i>	\$40,000 2015	PC	40,000
Trinity Repertory Company 201 Washington St Providence, RI 02903 <i>Building Demand for Theater Exploration</i>	\$40,000 2015	PC	40,000
United States Artists Inc 980 N. Michigan Ave, Suite 1300 Chicago, IL 60611 <i>To Support the USA Fellowships</i>	\$3,600,000 2015	PC	720,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
United States Artists Inc 980 N. Michigan Ave, Suite 1300 Chicago, IL 60611 <i>Operations Endowment</i>	\$1,000,000 2015	PC	1,000,000
Board of Trustees of University of Illinois 1501 S Oak St Champaign, IL 61820 <i>To support the Krannert Center and SITI Company in efforts to engage community groups in activities that shift the paradigm of contemporary expectations about what art means through an expanded sense of community</i>	\$155,000 2013	PC	13,175
University of Minnesota Foundation 210 Oak Street, SE. Suite 500 Minneapolis, MN 55455-2010 <i>To support Northrup's work to create greater demand for contemporary dance in Native Communities</i>	\$155,000 2013	PC	33,450
University of Washington Foundation 4333 Brooklyn Ave. NE Box 359504 Seattle, WA 98195-9504 <i>Building Demand for Dance Exploration</i>	\$40,000 2015	PC	40,000
Wooster Group, Inc. 33 Wooster Street New York, NY 10013 <i>To support an Interactive Web Series</i>	\$155,000 2013	PC	37,500
TOTAL Arts Initiative			\$8,482,824

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
CHILD WELL-BEING			
Alaska Childrens Trust 3201 C Street, Ste 110 Anchorage, AK 99503 <i>To support the Alaska Resilience Initiative Statewide Summit</i>	\$25,000 2015	PC	25,000
American Academy of Pediatrics 141 Northwest Point Blvd Elk Grove Village, IL 60007 <i>To support the Community Pediatrics Training Initiative</i>	\$300,000 2014	PC	149,452
Boston Community Capital Inc 600 Atlantic Avenue Boston, MA 02110 <i>To support expansion of the Working Cities Challenge model to small and mid-sized cities in new England and other Federal Reserve district</i>	\$1,500,000 2015	PC	158,340
Boston Medical Center Corporation One Boston Medical Center Place Boston, MA 02118 <i>To support the implementation of the Vital Village Community Engagement Network</i>	\$1,300,000 2013	PC	458,454
Case Western Reserve University 10900 Euclid Avenue Cleveland, OH 44106 <i>Completion of an edited volume of papers on Child Abuse and Neglect in Uganda</i>	\$ 7,440 2015	PC	7,440
Chapin Hall Center For Children 1313 E. 60th Street Chicago, IL 60637 <i>To support the Doris Duke Fellowships for the Promotion of Child Well-being</i>	\$2,071,000 2012	PC	670,773

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
<p>Chapin Hall Center For Children 1313 E. 60th Street Chicago, IL 60637 <i>To support the Doris Duke Fellowships for the Promotion of Child Well-being</i></p>	\$3,410,325 2014	PC	860,000
<p>Child Trends, Incorporated 7315 Wisconsin Avenue, Suite 1200W Bethesda, MD 20814 <i>To support the formulation of a conceptual fraomwork for child-well being among children in the child welfare system</i></p>	\$70,000 2015	PC	70,000
<p>Child Trends, Incorporated 7315 Wisconsin Avenue, Suite 1200W Bethesda, MD 20814 <i>To support the project, "Promoting Child Well-being through Improved Communication of Research to State and Local Policymakers, Administrators, and Advocacy Organizations</i></p>	\$400,000 2015	PC	125,000
<p>Children and Family Futures Inc 25371 Commercentre Drive, Suite 140 Lake Forest, CA 92630 <i>To support integration of parent training and additional family services in family drug courts</i></p>	\$2,500,000 2013	PC	930,300
<p>Children's Bureau of Southern California 1910 Magnolia Ave. Los Angeles, CA 90007 <i>To support expansion of the Magnolia Community Initiative Community Initiative approach to a</i></p>	\$600,000 2013	PC	200,000
<p>Childrens Outing Assn 909 E North Ave Milwaukee, WI 53212 <i>To support strengthening families to reduce child abuse and neglect</i></p>	\$600,000 2013	PC	150,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Community Solutions International Inc 125 Maiden Lane, Ste 16C New York, NY 10038 <i>To support a "backbone" infrastructure for coordinated service delivery for improvemetns in lives of children and families</i>	\$1,000,000 2013	PC	333,327
President and Fellows of Harvard College 50 Church Street, 4th Floor Cambridge, MA 02138 <i>To enhance communications capacity, products, and platforms for science-based knowledge dissemination</i>	\$950,000 2014	PC	325,000
National Governors Association Center for Best Practics 444 North Capitol Street, Suite 267 Washington DC, DC 20001-1512 <i>To explore states roles in supporting place-based initiatives to promote economic prosperity and child and family well-being</i>	\$49,700 2015	PC	49,700
National Governors Association Center for Best Practics 444 North Capitol Street, Suite 267 Washington DC, DC 20001-1512 <i>To support the Two-Generation State Policy Learning and Action Network</i>	\$600,000 2015	PC	242,600
National Indian Child Welfare Association, Inc. 5100 SW Macadam Avenue, Suite 300 Portland, OR 97239 <i>To support phase one of a project to establish and evidence base for the effectiveness of a positive Indian parenting curriculum</i>	\$28,100 2015	PC	28,100
National Prevention Science Coalition To Improve Lives, Inc. c/o Neil Wollman 807 East Street North Manchester, IN 46962 <i>Capacity Building support</i>	\$100,000 2015	PC	100,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
<p>The Nemours Foundation 10140 Centurion Parkway North Jacksonville, FL 32256 <i>To support the Building Community Resilience collaborative to address the root causes of toxic stress and build community resilience</i></p>	\$800,000 2015	PC	333,330
<p>Teachers College Columbia University 525 West 120th Street New York, NY 10027 <i>Support for Child and Family Well-Being: A Randomized Experiment of Subsidized Housing in New York City</i></p>	\$1,000,000 2012	PC	315,000
<p>Thunder Valley Community Development Corporation 290 Empowerment Dr Porcupine, SD 57772 <i>To support Ecosystem of Opportunity: Creating a Model for Pathways to Resilience: enhancing and evaluating the model for replication in other communities</i></p>	\$1,700,000 2015	PC	245,800
<p>Regents University of California Los Angeles Office of Contract and Grant Administration 11000 Kinross Avenue, Suite 211 Los Angeles, CA 90095-1406 <i>To support and strengthen the Population Change Community and establish a rigorous measurement system to be implemented in place-based projects working to improve child well-being</i></p>	\$350,000 2014	PC	129,726
<p>Urban Institute 2100 M Street NW Washington, DC 20037 <i>To support expanding the Exploring Food Security Study to include the Magnolia community of Los Angeles</i></p>	\$25,000 2015	PC	25,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Zero To Three - National Center For Infants, Toddlers, & Families 1255 23rd Street, NW, Suite 350 <i>To support the delivery of positive parenting strategies to media partners and the viewing audience</i>	\$1,175,000 2014	PC	500,000
TOTAL Child Well-being			\$6,432,342

ENVIRONMENT

Central Park Conservancy Inc 14 East 60th Street New York, NY 10022 <i>To support the Central Park Conservancy Service Learning Programs, to inspire urban youth to pursue careers in conservation</i>	\$100,000 2015	PC	100,000
Consultative Group on Biological Diversity 1016 Torney Avenue San Francisco, CA 94129 <i>To support the Climate Energy Funders Group Climate Energy Funders Group</i>	\$40,000 2015	PC	40,000
Defenders of Wildlife 1130 17th St NW Washington, DC 20036 <i>To develop and inform a national policy expediting the sensitive siting of renewable energy resources</i>	\$4,066,750 2013	PC	1,040,280
Defenders of Wildlife 1130 17th St NW Washington, DC 20036 <i>To support the Endangered Species Act Emergency Defense Fund</i>	\$100,000 2015	PC	100,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Fund for Public Health in New York 22 Cortlandt Street, Suite 1103 New York, NY 10007 <i>To support "Improving the Nutritional Health of Young Children and Families in East Harlem," a joint project of FPHNY, the Council on the Environment, Inc. (aka GrowNYC), the NYC Dept of Health & Mental Hygiene and the New York Department of Education</i>	\$1,536,000 2015	PC	736,000
Growing Power Inc 5500 W. Silver Spring Drive Milwaukee, WI 53218 <i>To support Growing Power at Duke Farms - A Regional Training Center for Community Food Systems</i>	\$100,000 2015	PC	100,000
National Wildlife Federation 11100 Wildlife Center Drive Reston, VA 20190 <i>To support the Bioenergy, Wildlife and Biodiversity Project</i>	\$1,162,000 2014	PC	450,000
Natural Resources Defense Council Inc 40 W 20th St. New York, NY 10011 <i>To support the City Energy Project</i>	\$3,500,000 2015	PC	1,660,000
Nature Conservancy 4245 North Fairfax Drive, Suite 100 Arlington, VA 22203-1606 <i>To support the first phase of the Natural Climate Solutions Initiative: Transforming Land Use to Curb Climate Change</i>	\$3,970,000 2015	PC	2,394,142
New York City Energy Efficiency Corporation 1359 Broadway, 19th Floor New York, NY 10018 <i>General Operating Support</i>	\$540,000 2012	PC	190,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
<p>New York City Energy Efficiency Corporation 1359 Broadway, 19th Floor New York, NY 10018 <i>To support efforts to advance energy efficient retrofits of buildings in New York</i></p>	\$300,000 2015	PC	200,000
<p>New York City Street Tree Consortium Inc 51 Chambers Street #1412A New York, NY 10007 <i>To support the Young Urban Forester Summer Internship Program</i></p>	\$50,000 2015	PC	50,000
<p>North Star Fund Inc 520 8th Ave, Suite 1800 New York, NY 10018 <i>To support the Community Food Funders Group Group</i></p>	\$20,000 2015	PC	20,000
<p>Northern Arizona University Fdn Inc 624 S. Knoles, Old Main - Building #10 Flagstaff, AZ 86011 <i>To support the Doris Duke Conservation Scholars Program</i></p>	\$1,500,000 2013	PC	647,280
<p>Regional Plan Association Inc 4 Irving Place, 7th Floor New York, NY 10003 <i>To support Habitat Revitalization: Bold Actions for Adaptation in an era of Climate Change</i></p>	\$100,000 2015	PC	100,000
<p>Scenic Hudson, Inc One Civic Center Plaza, Suite 200 Poughkeepsie, NY 12601-3157 <i>To support a strategic plan for advancing farmland and wildlife habitat protection in the Hudson River Valley</i></p>	\$100,000 2015	PC	100,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Regents Of The University Of California (Santa Cruz) 1156 High Street Santa Cruz, CA 95060 <i>To support the Doris Duke Conservation Scholars Program</i>	\$2,000,000 2015	PC	937,500
University Of Florida Foundation Inc 1938 W. University Avenue Gainesville, FL 32604 <i>To support the Doris Duke Conservation Scholars Program</i> <i>Program</i>	\$1,500,000 2013	PC	520,900
University Of Florida Foundation Inc 1938 W. University Avenue Gainesville, FL 32604 <i>To support the Doris Duke Conservation Scholars Program</i> <i>Research Charrette</i>	\$15,000 2015	PC	15,000
University Of Florida Foundation Inc 1938 W. University Avenue Gainesville, FL 32604 <i>To support the Doris Duke Conservation Scholars Program</i>	\$1,855,000 2015	PC	884,300
Regents of the University of Michigan Office of Research and Sponsored Projects 3003 S. State Street Ann Arbor, MI 48109-1115 <i>To support the Doris Duke Conservation Scholars Program</i>	\$2,000,000 2015	PC	937,500
University of Washington Foundation 4333 Brooklyn Ave. NE, Box 359504 Seattle, WA 98195-9504 <i>To support the Doris Duke Conservation Scholars Program</i> <i>Program</i>	\$1,500,000 2013	PC	359,850

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
University of Washington Foundation 4333 Brooklyn Ave. NE, Box 359504 Seattle, WA 98195-9504 <i>To support the Doris Duke Conservation Scholars Program Scholars Program</i>	\$1,991,000 2015	PC	1,028,000
US Green Building Council New York Chapter 20 Broad Street, Suite 709 New York, NY 10005 <i>To support efforts to advance energy efficient retrofits in buildings in New York City</i>	\$700,000	PC	400,000
Wildlife Conservation Society 2300 Southern Boulevard Bronx, NY 10460 <i>To support renewal of the Climate Adaptation Fund Adaptation Fund Program</i>	\$5,050,000 2014	PC	3,550,000
TOTAL Environment			\$16,560,752

MEDICAL RESEARCH

American Physician Scientists Association, Inc. 111 Deer Lake Rd - Ste 100 Deerfield, IL 60015 <i>Support for the Annual Meeting</i>	\$ 5,000 2015	PC	5,000
American Society for Clinical Investigation, Inc. 15 Research Drive Ann Arbor, MI 48103 <i>To support the 2015 ASCI/AAP Joint Meeting</i>	\$20,000 2015	PC	20,000

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Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
American Society Of Hematology Inc 2021 L St NW #900 Washington, DC 20036 <i>To support the ASH Sickle Cell Disease Summit</i>	\$ 5,000 2015	PC	5,000
Baylor College of Medicine One Baylor Plaza Houston, TX 77030 <i>Nitric oxide supplementation as a therapeutic intervention in argininosuccinic aciduria</i>	\$486,000 2013	PC	81,000
Baylor College of Medicine One Baylor Plaza Houston, TX 77030 <i>An integrated genomics approach to identifying causal differences between edematous and non-edematouse service childhood malnutrition</i>	\$486,000 2013	PC	81,000
Beth Israel Deaconess Medical Center Boston, MA 02215 <i>Molecular identification of Psickle in reticulocytes generated from HUDEP-2 cells gene edited to express Hemoglobin S.</i>	\$54,000 2015	PC	54,000
Boston University Medical Center One Medical Center Place Boston, MA 02118 <i>Atrial Fibrillation health Literacy Information Technology Trial</i>	\$486,000 2015	PC	243,000
Brigham and Women's Hospital Inc 75 Francis Street Boston, MA 02115 <i>Fine-Mapping Causal Genetic Alleles in Rheumatoid Arthritis</i>	\$486,000 2013	PC	81,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Brigham and Women's Hospital Inc 75 Francis Street Boston, MA 02115 <i>Examination of human samples with somatic mutations in hematopoietic stem cells to inform the biology of fetal hemoglobin induction</i>	\$486,000 2013	PC	162,000
Brigham and Women's Hospital Inc 75 Francis Street Boston, MA 02115 <i>Adrenal and Parathyroid Hormone Interactions in Human Health</i>	\$486,000 2015	PC	243,000
Broad Institute Inc 7 Cambridge Ctr Cambridge, MA 02142-1401 <i>Translating genetic discoveries to improve sickle cell disease prognosis and treatment</i>	\$486,000 2012	PC	81,000
Brown University Of Providence Providence, RI 02912 <i>Breaking Beta: Decoding and Manipulating Critical Neural State Transitions in Parkinson's Disease</i>	\$486,000 2014	PC	162,000
Cancer Research Institute Inc One Exchange Plaza, 55 Broadway, Suite 1802 New York, NY 10006 <i>To support the inaugural International Cancer Immunotherapy Conference</i>	\$25,000 2015	PC	25,000
Case Western Reserve University 10900 Euclid Avenue Cleveland, OH 44106 <i>Pharmacologic NK harness to seek and destroy the HIV reservoir</i>	\$486,000 2014	PC	162,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Case Western Reserve University 10900 Euclid Avenue Cleveland, OH 44106 <i>To support GRNDaD: Globin Regional Network for Database and Discovery</i>	\$100,000 2015	PC	100,000
Children's Hospital & Research Center at Oakland 747 52nd St Oakland, CA 94609 <i>To support the Clinical Research Experiences for High School Students 2015 Directors Meeting</i>	\$27,800 2015	PC	27,800
Childrens Hospital Corporation 300 Longwood Avenue Boston, MA 02115 <i>The Kisspeptin-Stimulation Test as a Novel Diagnostic Tool for Evaluation of Delayed Puberty: Addressing an Unmet Medical Need in Adolescents</i>	\$486,000 2013	PC	81,000
Childrens Hospital Corporation 300 Longwood Avenue Boston, MA 02115 <i>Genome editing of the GWAS-marked BCL11A enhancer: an approach to HbF reactivation in sickle cell disease</i>	\$486,000 2013	PC	162,000
Childrens Hospital Corporation 300 Longwood Avenue Boston, MA 02115 <i>High-resolution and high-throughput genome editing to determine minimal repressive sequences within the beta- globin gene cluster and establish lead compounds for therapeutic re-induction of fetal hemoglobin in SCD</i>	\$486,000 2015	PC	243,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Children's Hospital of Philadelphia 34th and Civic Center Blvd. Philadelphia, PA 19104 <i>Expanding genomic approaches to transfusion therapy for patients with sickle cell disease</i>	\$486,000 2015	PC	243,000
Childrens Research Institute 111 Michigan Ave NW Washington, DC 20010 <i>Feasibility and efficacy of a home-based, computerized cognitive training program in pediatric sickle cell disease</i>	\$486,000 2013	PC	162,000
Children's Hospital Medical Center 3333 Burnett Avenue Cincinnati, OH 45229 <i>CD38 bright CD8+ effector memory T-cells herald acute graft versus host disease</i>	\$486,000 2015	PC	243,000
Children's Hospital Medical Center 3333 Burnett Avenue Cincinnati, OH 45229 <i>Genetic variants influencing the phenotypic expression of sickle cell anemia</i>	\$486,000 2015	PC	243,000
Children's Hospital Medical Center 3333 Burnett Avenue Cincinnati, OH 45229 <i>Prospective analysis of the pharmacokinetics and pharmacodynamics of hydroxyurea treatment in Ugandan children with sickle cell anemia</i>	\$56,000 2015	PC	56,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
The Cleveland Clinic Foundation Cleveland, OH 44194 <i>Clinical Research Mentorship: The Microbiome in Breast Carcinogenesis</i>	\$64,800 2015	PC	64,800
Trustees Of Columbia University In The City of New York 60 Haven Avenue, B2 New York, NY 10032 <i>Advanced phenotypic and genotypic methods to predict TB treatment response and measure emergent TB drug-resistance</i>	\$486,000 2015	PC	243,000
Dana-Farber Cancer Institute 44 Binney St Boston, MA 02115 <i>Targeted derepression of fetal hemoglobin in sickle cell disease</i>	\$486,000 2012	PC	81,000
Duke University Durham, NC 27710 <i>Clinical Research Mentorship: Identifying maternal immune correlates of protection against perinatal mother-to-child transmission (MTCT) in a cohort of clade C HIV infected women who received ARV prophylaxis at the time of delivery prophylaxis at the time of delivery</i>	\$64,800 2015	PC	64,800
Duke University Durham, NC 27710 <i>High-throughput Metabolite Profiling and Genetic Analyses to identify novel predictive biomarkers of SCD-related complications</i>	\$486,000 2015	PC	243,000
Duke University Durham, NC 27710 <i>To support the Fund to Retain Clinical Scientists</i>	\$583,200 2015	PC	270,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
<p>Foundation for the National Institutes of Health, Inc. 9650 Rockville Pike Bethesda, MD 20814 <i>To support the National Institutes of Health Medical Research Scholars Program, 2015-16 and 2016-17</i></p>	\$1,040,000 2014	PC	149,663
<p>President and Fellows of Harvard College 50 Church Street, 4th Floor Cambridge, MA 02138 <i>To support the Annual Workshop of Advanced Clinical Care at the South Africa Medical Research Conference</i></p>	\$20,557 2015	PC	23,900
<p>Health Research Alliance Inc. 21 T. W. Alexander Drive Durham, NC 27709-3901 <i>General Support</i></p>	\$10,000 2015	PC	10,000
<p>Health Research Alliance Inc. 21 T. W. Alexander Drive Durham, NC 27709-3901 <i>Implementing significant functional enhancements to the gHRAsp database</i></p>	\$15,000 2015	PC	15,000
<p>Icahn School of Medicine at Mount Sinai One Gustave L Levy Place New York, NY 10029 <i>Ketamine Plus Lithium as a Novel Pharmacotherapeutic Strategy in Treatment-Resistant Depression</i></p>	\$486,000 2013	PC	81,000
<p>Icahn School of Medicine at Mount Sinai One Gustave L Levy Place New York, NY 10029 <i>Clinical Research Mentorship: Serum Biomarkers to Predict Development of Steroid Resistant GVHD</i></p>	\$64,800 2015	PC	64,800

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Indiana University 509 E. 3rd St. Indianapolis, IN 46634 <i>Novel use Of Hydroxyurea in an African Region with Malaria</i>	\$486,000 2013	PC	243,000
Indiana University 509 E. 3rd St. Indianapolis, IN 46634 <i>Clinical Research Mentorship: Iron deficiency in sickle cell anemia</i>	\$64,800 2015	PC	64,800
Johns Hopkins University Broadway Research Building, Suite 117 733 North Broadway Baltimore, MD 21205 <i>Blood Based Biomarkers for Hereditary Cancer Syndromes Using Neurofibromatosis 1 as a Model</i>	\$486,000 2014	PC	162,000
Johns Hopkins University Broadway Research Building, Suite 117 733 North Broadway Baltimore, MD 21205 <i>Clinical Research Mentorship: Practices Associated with the Ability to Rescue following Delayed Graft Function in Kidney Transplant Recipients</i>	\$64,800 2015	PC	64,800
Johns Hopkins University Broadway Research Building, Suite 117 733 North Broadway Baltimore, MD 21205 <i>Clinical Research Mentorship: Live donor kidney transplants capturing all sources costs and utilization</i>	\$64,800 2015	PC	64,800

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Johns Hopkins University Broadway Research Building, Suite 117 733 North Broadway Baltimore, MD 21205 <i>Support for the Fund to Retain Clinical Scientists</i>	\$583,200 2015	PC	270,000
Lincoln Center for the Performing Arts, Inc. 70 Lincoln Center Plaza, 9th Floor New York, NY 10023 <i>To support the Arts and Sciences session of the Lincoln Center Global Exchange 2015</i>	\$25,000 2015	PC	25,000
Loyola University of Chicago 2160 S First Ave Maywood, IL 60153 <i>Risk Stratification for Clinical Severity of Sickle Cell Disease in Nigeria and Assessment of Efficacy and Safety during Treatment with Hydroxyurea</i>	\$486,000 2013	PC	162,000
Loyola University of Chicago 2160 S First Ave Maywood, IL 60153 <i>To support the Africa Sickle Cell Research Network (AfrosickleNet) working conference: Team Science to Advance Sickle Cell Research in Africa</i>	\$50,000 2015	PC	50,000
General Hospital Corporation, dba Massachusetts General Hospital 55 Fruit Street Boston, MA 02114 <i>Improving Stroke Care by Predicting Atrial Fibrillation</i>	\$486,000 2014	PC	162,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
General Hospital Corporation, dba Massachusetts General Hospital 55 Fruit Street Boston, MA 02114 <i>Clinical Research Mentorship: Impact of HIV-Associated Changes in the Gut Microbiome on Disease Progressive</i>	\$64,800 2015	PC	64,800
General Hospital Corporation, dba Massachusetts General Hospital 55 Fruit Street Boston, MA 02114 <i>Neurobiological basis of clozapine response in schizophrenia patients</i>	\$486,000 2015	PC	243,000
Medical University Hospital Authority 169 Ashley Avenue Suite 203 Charleston, SC 29425-8905 <i>Program to Enhance the Retention of Clinicians at the the Medical University of South Carolina</i>	\$583,200 2015	PC	270,000
Memorial Sloan Kettering Cancer Center 1275 York Avenue New York, NY 10021 <i>Preclinical evaluation of globin gene transfer in mobilized SCD patient CD34+ cells</i>	\$486,000 2011	PC	81,000
Memorial Sloan Kettering Cancer Center 1275 York Avenue New York, NY 10021 <i>Targeting Disease-Initiating Cells in Acute Myeloid Leukemia and the Myelodysplastic Syndromes for Clinical Benefit</i>	\$486,000 2012	PC	81,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
National Academy of Sciences 500 Fifth Street, NW Washington, DC 20001 <i>To support the Institute of Medicine's 2015 Forum on Drug Discovery, Development and Translation</i>	\$10,000 2015	PC	10,000
New York University 550 First Avenue New York, NY 10016 <i>Hypermethylation as a microbiome-mediated epigenetic phenomenon in CIMP(+) colorectal cancers</i>	\$486,000 2014	PC	162,000
New York University 550 First Avenue New York, NY 10016 <i>Fund to Retain Clinical Scientists at NYU Langone Med Center</i>	\$540,000 2015	PC	270,000
Rector & Visitors Of The University Of Virginia Room 1709A Carter-Harrison Medical Research Building, 345 Crispell Drive, Charlottesville, VA 22901 <i>Early Predictors and Biomarkers of Cognition and Growth and Growth in Impoverished Children</i>	\$486,000 2014	PC	162,000
Research America 1101 King St, Suite 520 Alexandria, VA 22314 <i>Membership</i>	\$ 5,000 2015	PC	5,000
Research America 1101 King St, Suite 520 Alexandria, VA 22314 <i>To support a Sickle Cell Disease Fact Sheet</i>	\$ 7,800 2015	PC	7,800

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Research America 1101 King St, Suite 520 Alexandria, VA 22314 <i>To support the 11th edition of the Investment Report - a study of the economic impact on the investment of biomedical research in the United States</i>	\$50,000 2015	PC	50,000
Rockefeller University 1230 York Avenue New York, NY 10021 <i>Understanding the mechanisms behind the disparate actions of endogenous vitamin D vs. vitamin D supplements on the lipid metabolism: a rational step towards optimizing treatment of vitamin D deficiency</i>	\$486,000 2015	PC	243,000
The Board Of Trustees Of The Leland Stanford Junior University Stanford, CA 94305-6045 <i>Systems immunology to understand antiviral deficits during pregnancy</i>	\$486,000 2013	PC	81,000
The Board Of Trustees Of The Leland Stanford Junior University Stanford, CA 94305-6045 <i>Development of AAK1 and GAK Inhibitors for Combating Drug-Resistant HIV</i>	\$486,000 2013	PC	81,000
The Board Of Trustees Of The Leland Stanford Junior University Stanford, CA 94305-6045 <i>Rigorous Evaluations of Global Health Programs and Policies</i>	\$486,000 2014	PC	162,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
The Board Of Trustees Of The Leland Stanford Junior University Stanford, CA 94305-6045 <i>Clinical Research Mentorship: Modeling challenges and interventions for elimination of schistosomiasis and soil-transmitted helminths</i>	\$64,800 2015	PC	64,800
The Board Of Trustees Of The Leland Stanford Junior University Stanford, CA 94305-6045 <i>The role of iron and inflammation in Alzheimer's disease: from ex vivo to in vivo</i>	\$486,000 2015	PC	243,000
Universities Allied For Essential Medicines Corp 1611 Telegraph Ave, #550 Oakland, CA 94612 <i>To support the UAEM Annual North American Conference</i>	\$10,000 2015	PC	10,000
University Of Arizona Foundation 1111 N Cherry Ave Tucson, AZ 85721-0111 <i>New insights into molecular mechanisms driving pediatric movement disorders</i>	\$486,000 2014	PC	333,141
Regents of The University of California at San Diego 9500 Gilman Drive La Jolla, CA 92103-8756 <i>Human viral communities as vehicles for the spread of antibiotic resistance in the community</i>	\$486,000 2015	PC	243,000
Regents of The University of California at San Diego 9500 Gilman Drive La Jolla, CA 92103-8756 <i>Mapping the environmental landscape of human cardiovascular disease</i>	\$486,000 2015	PC	243,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
University Of California San Francisco 505 Parnassus Ave M696 San Francisco, CA 94118 <i>Electrocorticography based control of an anthropomorphic upper limb exoskeleton</i>	\$486,000 2013	PC	81,000
University Of California San Francisco 505 Parnassus Ave M696 San Francisco, CA 94118 <i>Personalizing Treatment for Patients with Pancreatic Cancer</i>	\$486,000 2013	PC	81,000
University Of California San Francisco 505 Parnassus Ave M696 San Francisco, CA 94118 <i>Dissecting Human Osteoprogenitor Function using iPS Cells</i>	\$486,000 2014	PC	162,000
University Of California San Francisco 505 Parnassus Ave M696 San Francisco, CA 94118 <i>Clinical Research Mentorship: Estimating the impact of indoor residual spraying of insecticide using a novel health facility-based malaria surveillance program in Uganda</i>	\$64,800 2015	PC	64,800
University Of California San Francisco 505 Parnassus Ave M696 San Francisco, CA 94118 <i>Improving cardiovascular risk stratification in kidney transplant recipients</i>	\$486,000 2015	PC	243,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
University Of California San Francisco 505 Parnassus Ave M696 San Francisco, CA 94118 <i>Enhanced therapeutic monitoring of multidrug resistant tuberculosis</i>	\$486,000 2015	PC	243,000
University Of California San Francisco 505 Parnassus Ave M696 San Francisco, CA 94118 <i>Fund to Retain Clinical Scientists at University of California, San Francisco</i>	\$583,200 2015	PC	270,000
Regents of the University of Colorado 4200 East Ninth Avenue Denver, CO 80262 <i>Targeting DOT1L in MNI-high Acute Myeloid Leukemia</i>	\$486,000 2014	PC	162,000
Regents of the University of Colorado 4200 East Ninth Avenue Denver, CO 80262 <i>Support for the Fund to Retain Clinical Scientists</i>	\$583,200 2015	PC	270,000
State University Of Iowa Foundation 1 West Park Road, PO Box 4550 Iowa City, IA 52244-4550 <i>Molecular Genetic Mechanisms of Calpain-5 Autoimmunity</i>	\$486,000 2013	PC	81,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Regents of the University of Michigan Office of Research and Sponsored Projects First Floor, Wolverine Tower, 3003 S. State Street Ann Arbor, MI 48109-1115 <i>The genetics of fibromuscular dysplasia and associated aneurysmal disease</i>	\$486,000 2013	PC	81,000
Regents of the University of Michigan Office of Research and Sponsored Projects First Floor, Wolverine Tower, 3003 S. State Street Ann Arbor, MI 48109-1115 <i>Viral mutant networks and effective influenza control</i>	\$486,000 2013	PC	81,000
Regents of the University of Michigan Office of Research and Sponsored Projects First Floor, Wolverine Tower, 3003 S. State Street Ann Arbor, MI 48109-1115 <i>Influence of risk alleles on the composition of the inflammatory network in psoriasis and prioritization for unctional studies</i>	\$486,000 2013	PC	81,000
Regents of the University of Michigan Office of Research and Sponsored Projects First Floor, Wolverine Tower, 3003 S. State Street Ann Arbor, MI 48109-1115 <i>Cancer-specific RNA Editing as a Novel Mechanism for Chemoresistance in Pancreatic Cancer</i>	\$486,000 2015	PC	243,000
Regents of the University of Michigan Office of Research and Sponsored Projects First Floor, Wolverine Tower, 3003 S. State Street Ann Arbor, MI 48109-1115 <i>Big Data in the Brain: Implementing and Characterizing a Novel Biomarker of Epilepsy</i>	\$402,000 2015	PC	243,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Regents of the University of Michigan Office of Research and Sponsored Projects First Floor, Wolverine Tower, 3003 S. State Street 3003 S. State Street Ann Arbor, MI 48109-1115 <i>To support the evaluation of the Fund to Retain Clinical Scientist Initiative</i>	\$380,000 2015	PC	255,470
University of North Carolina at Chapel Hill 134 East Franklin Street, Campus Box 3233 Chapel Hill, NC 27599 <i>The effects of hypoxia on red blood cell-dependent thrombin generation in sickle cell disease</i>	\$486,000 2013	PC	162,000
University of North Carolina at Chapel Hill 134 East Franklin Street, Campus Box 3233 Chapel Hill, NC 27599 <i>Targeting Neutrophil Extracellular Traps in Sickle Cell Disease</i>	\$486,000 2013	PC	162,000
University of North Carolina at Chapel Hill 134 East Franklin Street, Campus Box 3233 Chapel Hill, NC 27599 <i>Microfluidic Modeling of Sickle Cell Disease</i>	\$54,000 2015	PC	54,000
University of North Carolina at Chapel Hill 134 East Franklin Street, Campus Box 3233 Chapel Hill, NC 27599 <i>Support for the Fund to Retain Clinical Scientists</i>	\$583,200 2015	PC	270,000
Trustees Of The University Of Pennsylvania 3451 Walnut Street, Room P221 Philadelphia, PA 19104-6205 <i>A role for peripheral blood monocytes in regulating tumor biology in pancreatic cancer</i>	\$486,000 2013	PC	81,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Trustees Of The University Of Pennsylvania 3451 Walnut Street, Room P221 Philadelphia, PA 19104-6205 <i>Transcriptional Silencing of C9orf72 in Amyotrophic Lateral Sclerosis and Frontotemporal Degeneration</i>	\$486,000 2014	PC	162,000
Trustees Of The University Of Pennsylvania 3451 Walnut Street, Room P221 Philadelphia, PA 19104-6205 <i>Clinical Research Mentorship: Insights Into the Pathophysiology of Aortic Sclerosis and its Progression to Aortic Stenosis</i>	\$64,800 2015	PC	64,800
University Of Pittsburgh School of Medicine, Caife Hall 3550 Terrace Street Pittsburgh, PA 15261 <i>Targeting the TWIST1- E2A pathway in oncogene driven lung cancer</i>	\$486,000 2015	PC	243,000
University of Rochester AIR Box 695 601 Elmwood Ave Rochester, NY 14642 <i>Understanding how the initial encounter with influenza virus poises children for protective immunity</i>	\$486,000 2015	PC	243,000
University of Utah 1395 East Presidents Circle Room 190 Salt Lake City, UT 84112 <i>Humans as a model organism to study metabolic changes in heart failure and myocardial recovery</i>	\$486,000 2013	PC	81,000
University of Wisconsin Foundation 1848 University Ave Madison, WI 53708-8860 <i>Genetic Variants, Immune Dysregulation, & Rheumatoid Arthritis</i>	\$486,000 2015	PC	243,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Vanderbilt University Medical Center 1161 21St Ave South Ste D3300 Mcn Nashville, TN 37232-0011 <i>Racial Differences in Vagal Control of Glucose Homeostasis</i>	\$486,000 2014	PC	162,000
Vanderbilt University Medical Center 1161 21St Ave South Ste D3300 Mcn Nashville, TN 37232-0011 <i>Clinical Research Mentorship: Inhaled corticosteroid use o prevent acute chest syndrome recurrence in children between 1 and 4 with sickle cell disease: a multi-institutional trial</i>	\$64,800 2015	PC	64,800
Vanderbilt University Medical Center 1161 21St Ave South Ste D3300 Mcn Nashville, TN 37232-0011 <i>Support for the Fund to Retain Clinical Scientists</i>	\$583,200 2015	PC	270,000
Washington University 660 S. Euclid Ave St. Louis, MO 63110 <i>Functional characterization of deleterious germline variability in MLL3 in infant leukemia</i>	\$486,000 2014	PC	162,000
Washington University 660 S. Euclid Ave St. Louis, MO 63110 <i>Small intestinal Paneth cell phenotype in Crohn's Disease: Disease: clinical relevance and genetic associations genetic associations</i>	\$486,000 2014	PC	162,000
Washington University 660 S. Euclid Ave St. Louis, MO 63110 <i>Understanding How Different Therapies Reshape Brain Networks to Promote Stroke Recovery</i>	\$486,000 2014	PC	162,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Washington University 660 S. Euclid Ave St. Louis, MO 63110 <i>Novel Prediction Models for Patient-Centered Clinical Outcomes after Transcatheter Aortic Valve Replacement for Aortic Stenosis</i>	\$486,000 2014	PC	162,000
Washington University 660 S. Euclid Ave <i>Dysregulated Signaling Pathways in Myeloproliferative Neoplasms</i>	\$261,000 2014	PC	87,000
Washington University 660 S. Euclid Ave St. Louis, MO 63110 <i>Risk Alleles and Podocyte Endoplasmic Reticulum Stress in Focal Segmental Glomerulosclerosis</i>	\$486,000 2015	PC	243,000
Washington University 660 S. Euclid Ave St. Louis, MO 63110 <i>Support for the Fund to Retain Clinical Scientists</i>	\$583,200 2015	PC	270,000
William Marsh Rice University 6100 Main St, Houston, TX 77005 <i>Accurate and Inexpensive Point-of-Care Diagnosis of Sickle Cell Anemia</i>	\$486,000	PC	81,000
Yale University Grant & Contract Administration 47 College Street, Suite 203, PO Box 208047 New Haven, CT 06520-8047 <i>International Clinical Research Fellowships for Medical Students</i>	\$864,000 2012	PC	82,842

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Yale University Grant & Contract Administration 47 College Street, Suite 203, PO Box 208047 New Haven, CT 06520-8047 <i>Genomic and Functional Analyses of Erythrocyte Hydration Pathways as Modifiers in Sickle Cell Disease</i>	\$486,000 2012	PC	81,000
Yale University Grant & Contract Administration 47 College Street, Suite 203, PO Box 208047 New Haven, CT 06520-8047 <i>Regulating Dendritic Cell Migration during Vaccination</i>	\$486,000 2013	PC	81,000
Yale University Grant & Contract Administration 47 College Street, Suite 203, PO Box 208047 New Haven, CT 06520-8047 <i>Defining Intercellular Metabolic Networks in Human Cutaneous Squamous Cell Carcinoma</i>	\$486,000 2015	PC	243,000
Yale University Grant & Contract Administration 47 College Street, Suite 203, PO Box 208047 New Haven, CT 06520-8047 <i>Support for the Fund to Retain Clinical Scientists</i>	\$583,200 2015	PC	270,000
TOTAL Medical Research			\$15,647,416
CROSS PROGRAM GRANTS			
The Cedar Cultural Center Inc 416 Cedar Ave S Minneapolis, MN 55454 <i>To support development of a framework and methodology for measuring the mental, social and emotional health impact for Midnimo project participants</i>	\$20,000 2015	PC	20,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Center for Cultural and Technical Interchange Between East and West (East-West Center) 1601 East-West Road Honolulu, HI 96848-1601 <i>To support the 2015 Senior Journalists Seminar</i>	\$36,354 2015	PC	63,165
Film Makers Collaborative Incorporated 6 Eastman Place #202 Melrose, MA 02176 <i>To support the production and online distribution of the series, "The Secret Life of Muslims"</i>	\$75,000 2015	PC	75,000
Fund for Public Health in New York 22 Cortlandt Street, Suite 1103 New York, NY 10007 <i>To support "Come See What's Cooking Kids!" implementation evaluation, and development of a long term sustainability plan</i>	\$100,000 2015	PC	100,000
International Society For The Performing Arts Foundation 630 9th Avenue, Suite 213 New York, NY 10036-4752 <i>To support participation of performing arts leaders from under-represented regions in ISPA's annual New York Congresses in 2016, 2017, and 2018</i>	\$37,500 2015	PC	37,500
National Academy of Sciences 500 Fifth Street, NW Washington, DC 20001 <i>To support the Forum on Investing in Young Children Globally</i>	\$100,000 2015	PC	100,000
New York Restoration Project 254 West 31 Street, 10th Floor New York, NY 10001 <i>To support the evaluation framework for the Haven Project,</i>	\$42,000 2015	PC	42,000
TOTAL Cross Program Grants			\$437,665

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
MEDIA FUND			
Food And Environment Reporting Network 576 Fifth Ave. Ste. 903 New York, NY 10036 <i>To support Environmental, Medical, and Biodiversity Food Reporting</i>	\$75,000 2015	PC	75,000
Greater Washington Educational Telecommunications Assn 3939 Campbell Ave Arlington, VA 22206 <i>To support PBS NewsHour programming related to DDCF Fields of Interest</i>	\$300,000 2014	PC	100,000
National Public Radio Inc 1111 North Capitol Street, NE Washington, DC 20002 <i>To support news programming in DDCF's areas of interest through general operating support funding</i>	\$1,000,000 2014	PC	478,945
Proteus Fund Inc 15 Research Drive, Suite B Amherst, MA 01002 <i>To support the Spokesperson Bureau of Muslim, Arab, and South Asian (MASA) Voices Voices</i>	\$25,000 2015	PC	25,000
Society of Environmental Journalists 115 West Ave., Suite 301 Jenkintown, PA 19046-2492 <i>To support the Fund for Environmental Journalism's coverage of projects on biodiversity, conservation and climate change impact in North America</i>	\$50,000 2015	PC	50,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
World Policy Institute Inc 426 5th Avenue, 4th Floor New York, NY 10011 <i>To support the production of Refugees Deeply, an in-depth website and social media hub about the global refugee crisis</i> <i>media hub about the global refugee crisis</i>	\$50,000 2015	PC	50,000
TOTAL Media Fund			\$778,945

PHILANTHROPIC SECTOR/OTHER

Center for Effective Philanthropy Inc 675 Massachusetts Ave., 7th fl Cambridge, MA 02139 <i>General Support</i>	\$10,000 2015	PC	10,000
Communication Network 1365 York Ave 28H New York, NY 10021 <i>General Support</i>	\$ 1,000 2015	PC	1,000
Council on Foundations Inc. 2121 Crystal Dr Ste 700 Arlington, VA 22202 <i>General Support</i>	\$25,000 2015	PC	25,000
Foundation Center 79 Fifth Avenue New York, NY 10003 <i>General Support</i>	\$25,000 2015	PC	25,000
Grants Managers Network Inc 1666 K St NW STE 440 Washington, DC 20006 <i>General Support</i>	\$ 7,000 2015	PC	7,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Independent Sector 1602 L St NW, Ste 900 Washington, DC 20036 <i>General Support</i>	\$17,500 2015	PC	17,500
Philanthropy New York Inc 1500 Broadway, 7th Fl New York, NY 10036 <i>To support implementation of the 2014-16 strategic plan through the Fund for 2025</i>	\$100,000 2014	PC	50,000
Philanthropy New York Inc 1500 Broadway, 7th Fl New York, NY 10036 <i>General Support</i>	\$28,000 2015	PC	28,000
Technology Affinity Group Inc 23 Briar Road Wayne, PA 19087 <i>General Support</i>	\$ 2,000 2015	PC	2,000
The Carl Schurz Park Conservancy Inc 1483 York Avenue New York, NY 10075 <i>General support</i>	\$37,819 2015	PC	37,819
Central Park Conservancy Inc 14 East 60th Street New York, NY 10022 <i>General Support</i>	\$ 5,000 2015	PC	5,000

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Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Common Ground Community Housing Development Fund Corporation, Inc. 505 Eighth Avenue, 5th Floor New York, NY 10018 <i>General Support</i>	\$25,000 2015	PC	25,000
Environmental Defense Fund Incorporated 257 Park Ave S New York, NY 10010 <i>General Support</i>	\$11,409 2015	PC	11,409
Grant Foundation dba, Hopital Albert Schweitzer Haiti 2840 Liberty Ave Ste 201 Pittsburgh, PA 15222-4775 <i>General support</i>	\$ 5,000 2015	PC	5,000
Grassroot Soccer Inc 198 Church St Norwich, VT 05055 <i>General support</i>	\$20,000 2015	PC	20,000
Maasai Wilderness Conservation Fund Attention Gail Young PO Box 1413 Santa Barbara, CA 93102 <i>General support</i>	\$10,000 2015	PC	10,000
Nature Conservancy 4245 North Fairfax Drive, Suite 100 Arlington, VA 22203-1606 <i>General Support</i>	\$50,000 2015	PC	50,000
Nest Inc 501 5th avenue, Suite 1608 New York, NY 10017 <i>General support</i>	\$10,000 2015	PC	10,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
New Professional Theatre Inc 229 W. 42nd St. #501 New York, NY 10598 <i>General Support</i>	\$10,000 2015	PC	10,000
Organ Alliance Inc 115 w 18th St 2nd Fl New York, New York 10011 <i>General support</i>	\$10,000 2015	PC	10,000
Oxfam-America Inc 226 Causeway St., 5th Floor Boston, MA 02114 <i>General Support</i>	\$12,500 2015	PC	12,500
Partners In Health A Nonprofit Corporation 888 Commonwealth Ave, 3 Boston, MA 02215 <i>General support</i>	\$12,500 2015	PC	12,500
Playwrights Horizons Inc. 416 West 42nd Street New York, NY 10036 <i>General Support</i>	\$40,000 2015	PC	40,000
Saint Anns School 129 Pierrepont Street Brooklyn, NY 11201 <i>General support for Scholarship Fund</i>	\$50,000 2015	PC	50,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2015

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2015
Studio Museum In Harlem Inc 144 W 125th St New York, NY 10027 <i>General Support</i>	\$22,819 2015	PC	22,819
Studio Museum In Harlem Inc 144 W 125th St New York, NY 10027 <i>General Support for the capital campaign</i>	\$10,000 2015	PC	10,000
United States Fund for UNICEF 125 Maiden Lane New York, NY 10038 <i>General Support</i>	\$12,500 2015	PC	12,500
TOTAL Philanthropic Sector/Other			\$520,046
GRAND TOTAL			\$65,559,056

**PART XV - GRANTS APPROVED FOR FUTURE
PAYMENT**

SUMMARY BY PROGRAM AREA

**Approved
for Future
Payment**

Recipient and/or Purpose

TOTAL ARTS \$7,422,532

TOTAL ARTS INITIATIVE \$8,827,831

TOTAL CHILD WELL-BEING \$7,844,930

TOTAL ENVIRONMENT \$8,674,558

TOTAL MEDICAL RESEARCH \$8,170,530

GRAND TOTAL \$40,940,381

PART XV, 3b - GRANTS APPROVED IN 2015 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
ARTS			
<p>Alvin Ailey Dance Foundation Inc The Joan Weill Center for Dance 405 West 55th Street New York, NY 10019 <i>Leadership Grants Program for Dance</i></p>	\$500,000	PC	\$131,250
<p>Appalshop, Inc. 91 Madison Ave. Whitesburg, KY 41858 <i>To support "Performing Our Future" helping communities tap their rich cuyltural assets for economic and civic development</i></p>	\$225,000	PC	\$ 80,875
<p>Arts Midwest 2908 Hennepin Ave, Suite 200 Minneapolis, MN 55408 <i>To support a series of engagement and messaging strategies that highlight the intersection of existing public values and the values inherent in the arts</i></p>	\$225,000	PC	\$ 58,750
<p>Association of Performing Arts Presenters, Inc. 1211 Connecticut Ave NW, Suite 200 Washington, DC 20036 <i>Core Support for National Organizations FY16 & FY17</i></p>	\$360,500	PC	\$180,250
<p>Association of Performing Arts Presenters, Inc. 1211 Connecticut Ave NW, Suite 200 Washington, DC 20036 <i>To support Building Bridges: Art, Culture and Identity</i></p>	\$1,280,000	PC	\$504,973
<p>AXIS Dance Company 1428 Alice St., Ste. 200 Oakland, CA 94612 <i>Leadership Grants Program for Dance</i></p>	\$250,000	PC	\$200,000

PART XV, 3b - GRANTS APPROVED IN 2015 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
<p>Ballet Hispanico of New York 167 West 89th Street New York, NY 10024 <i>Leadership Grants Program for Dance</i></p>	\$550,000	PC	\$500,000
<p>Brooklyn Academy of Music, Inc. Brooklyn Academy of Music Peter Jay Sharp Building 30 Lafayette Avenue Brooklyn, NY 11217-1486 <i>Leadership Grants Program for Dance</i></p>	\$500,000	PC	\$100,000
<p>Chamber Music America 12 West 32nd Street, 7th Floor New York, NY 10001-3813 <i>Core Support for National Organizations, FY 16 & FY 17</i></p>	\$150,000	PC	\$ 75,000
<p>Childrens Theater Company And School 2400 3rd Ave Minneapolis, MN 55404 <i>Theatre Commissioning and Production Program</i></p>	\$125,000	PC	\$125,000
<p>Creative Capital Foundation 15 Maiden Lane, 18th Floor New York, NY 10038 <i>Core Support for National Organizations, FY 16 & FY 17</i></p>	\$250,000	PC	\$125,000
<p>Dance USA 1029 Vermont Ave NW, Suite 400 Washington, DC 20005 <i>Core Support for National Organizations, FY 16 & FY 17</i></p>	\$220,000	PC	\$110,000
<p>Danspace Project Inc 131 East 10th Street New York, NY 10003 <i>Leadership Grants Program for Dance</i></p>	\$250,000	PC	\$200,000

PART XV, 3b - GRANTS APPROVED IN 2015 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
<p>Danspace Project Inc 131 East 10th Street New York, NY 10003 <i>To support the second phase of "Curatorial Practice in Performance: Practice, Documentation, Dissemination"</i></p>	\$112,500	PC	\$ 40,625
<p>Disalced, Inc 3 Lafayette Ave Brooklyn, NY 11217-1415 <i>Leadership Grants Program for Dance</i></p>	\$1,000,000	PC	\$594,300
<p>Emerson College 120 Boylston Street Boston, MA 02116 <i>Core Support for Center for the Theatre Commons, FY 16 & FY 17</i></p>	\$121,980	PC	\$ 60,990
<p>Fractured Atlas Inc 248 W 35th St FL 10 New York, NY 10001 <i>Core Support for National Organizations, FY 16 & FY 17</i></p>	\$470,000	PC	\$235,000
<p>Fractured Atlas Inc 248 W 35th St FL 10 New York, NY 10001 <i>To support the American Dance Abroad project, "GLOBAL EXPOSURE"</i></p>	\$225,000	PC	\$ 79,150
<p>Chicago Theatre Group Inc 170 N. Dearborn St. Chicago, IL 60601 <i>Theatre Commissioning and Production Program</i></p>	\$125,000	PC	\$ 25,000
<p>Hubbard Street Dance Chicago Inc 1147 W Jackson Blvd Chicago, IL 60607 <i>Leadership Grants Program for Dance</i></p>	\$500,000	PC	\$244,500

PART XV, 3b - GRANTS APPROVED IN 2015 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
<p>Jacob's Pillow Dance Festival, Inc. 358 George Carter Road Becket, MA 01223 <i>Leadership Grants Program for Dance</i></p>	\$500,000	PC	\$250,000
<p>Jazz at Lincoln Center Inc. 3 Columbus Circle, 12th Floor New York, NY 10019 <i>To support the Albert Murray Symposium on Jazz, Culture & Society</i></p>	\$225,000	PC	\$ 56,250
<p>Joyce Theater Foundation Inc 175 Eighth Avenue New York, NY 10011 <i>Leadership Grants Program for Dance</i></p>	\$500,000	PC	\$250,000
<p>Vivian Beaumont Theater Inc 150 West 65th Street New York, NY 10023 <i>Theatre Commissioning and Production Program</i></p>	\$125,000	PC	\$125,000
<p>Alonzo King Lines Ballet 26 Seventh St. San Francisco, CA 94103 <i>Leadership Grants Program for Dance</i></p>	\$550,000	PC	\$500,000
<p>Margaret Jenkins Dance Studio Inc 507 Polk Street Suite 320 San Francisco, CA 94102 <i>Leadership Grants Program for Dance</i></p>	\$250,000	PC	\$175,000
<p>Monterey Jazz Festival 9699 Blue Larkspur Lane, Suite 204 Monterey, CA 93940 <i>Leadership Grants Program for Jazz</i></p>	\$400,000	PC	\$350,000

PART XV, 3b - GRANTS APPROVED IN 2015 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
<p>National New Play Network 641 D Street NW Washington, DC 20004 <i>To support continued development of the New Play Exchange (NPX) an online tool to connect playwrights and theaters</i></p>	\$ 56,250	PC	\$ 18,440
<p>National Performance Network Inc 1024 Elysian Fields New Orleans, LA 70117 <i>Core Support for National Organizations, FY 16 & FY 17</i></p>	\$200,000	PC	\$100,000
<p>New England Foundation for the Arts 145 Tremont Street, 7th floor Boston, MA 02111 <i>To support the national convening, "Art in the Service of Understanding New Perspectives from Artists and the Military Community"</i></p>	\$101,250	PC	\$ 66,190
<p>ODC 351 Shotwell Street San Francisco, CA 94110 <i>Leadership Grants Program for Dance</i></p>	\$500,000	PC	\$312,575
<p>Paul Taylor Dance Foundation Inc 551 Grand Street New York, NY 10002 <i>Leadership Grants Program for Dance</i></p>	\$500,000	PC	\$187,500
<p>Ragamala Dance 711 W Lake St, Suite 309 Minneapolis, MN 55408 <i>Leadership Grants Program for Dance</i></p>	\$250,000	PC	\$200,000
<p>Roundabout Theatre Company, Inc. 231 West 39th Street, Suite 1200 New York, NY 10018 <i>Theatre Commissioning and Production Program</i></p>	\$125,000	PC	\$125,000

PART XV, 3b - GRANTS APPROVED IN 2015 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
<p>Stephen Petronio Dance Company Inc 140 Second Avenue, Suite 504 New York, NY 10003-8384 <i>Leadership Grants Program for Dance</i></p>	\$250,000	PC	\$150,000
<p>STREB Inc. 51 North 1st Street Brooklyn, NY 11249 <i>Leadership Grants Program for Dance</i></p>	\$450,000	PC	\$306,250
<p>Theatre Communications Group Inc. 520 Eighth Ave, Suite 801 New York, NY 10018-4156 <i>Core Support for National Organizations, FY 16 & FY 17</i></p>	\$470,000	PC	\$235,000
<p>Theatre Development Fund Inc 520 Eighth Ave, Suite 801 New York, NY 10018 <i>To support the Triple Play Project in finding ways to connect playwrights and generative theatre artists with audiences</i></p>	\$ 39,375	PC	\$ 6,345
<p>UBW, Inc 138 South Oxford Street, 4B Brooklyn, NY 11217 <i>Leadership Grants Program for Dance</i></p>	\$250,000	PC	\$160,000
<p>UBW, Inc 138 South Oxford Street, 4B Brooklyn, NY 11217</p>	\$ 29,869	PC	\$ 3,319
<p>To support the UBW Choreographic Center Victory Garden Theater 2433 N Lincoln Avenue Chicago, IL 60614 <i>Theatre Commissioning and Production Program</i></p>	\$125,000	PC	\$ 25,000

PART XV, 3b - GRANTS APPROVED IN 2015 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
White Bird 5620 SW Edgemont Place Portland, OR 97239 <i>Leadership Grants Program for Dance</i>	\$250,000	PC	\$150,000
TOTAL Arts			\$7,422,532

ARTS INITIATIVE

Creative Capital Foundation 15 Maiden Lane, 18th Floor New York, NY 10038 <i>To support the Doris Duke Performing Artists Awards Program</i>	\$1,058,873	PC	\$447,831
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108 <i>To support the fifth cohort of Doris Duke Artist Awards (DDA 2016)</i>	\$5,500,000	PF	\$5,500,000
United States Artists Inc 980 N. Michigan Ave, Suite 1300 Chicago, IL 60611 <i>Unmoted States Artists Fellowships in dance, jazz, and theatre</i>	\$3,600,000	PC	\$2,880,000
TOTAL Arts Initiative			\$8,827,831

CHILD WELL-BEING

Boston Community Capital Inc 600 Atlantic Avenue Boston, MA 02110 <i>To support expansion of the Working Cities Challenge Model to small and mid-sized cities in New England and other Federal Reserve districts</i>	\$1,500,000	PC	\$1,341,660
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PART XV, 3b - GRANTS APPROVED IN 2015 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
<p>Boston Medical Center Corporation One Boston Medical Center Place Boston, MA 02118 <i>To support and expand the Vital Village Community Engagement Network</i></p>	\$1,000,000	PC	\$1,000,000
<p>Child Trends, Incorporated 7315 Wisconsin Avenue, Suite 1200W Bethesda, MD 20814 <i>To support the project, "Promoting Child Well-Being through Improved Communication of Research to State and Local Policy-makers, Administrators, and Advocacy Organizations" Advocacy Organizations."</i></p>	\$400,000	PC	\$275,000
<p>Children's Bureau of Southern California 1910 Magnolia Ave. Los Angeles, CA 90007 <i>To support and expand the Magnolia Community Initiatives (MCI)</i></p>	\$1,000,000	PC	\$1,000,000
<p>Childrens Outing Assn 909 E North Ave Milwaukee, WI 53212 <i>To support a widespread community effort to improve health and well-being for children and families in two Milwaukee neighborhoods</i></p>	\$950,000	PC	\$950,000
<p>Community Solutions International Inc 125 Maiden Lane, Ste 16C New York, NY 10038 <i>To support and expand the Brownsville Partnership</i></p>	\$1,000,000	PC	\$1,000,000

PART XV, 3b - GRANTS APPROVED IN 2015 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
<p>National Governors Association Center for Best Practices 444 North Capitol Street, Suite 267 Washington DC, DC 20001-1512 <i>To support the Two-Generation State Policy Learning and Action Development, in partnership with the Center for Law and Social Policy</i></p>	\$600,000	PC	\$357,400
<p>The Nemours Foundation 10140 Centurion Parkway North Jacksonville, FL 32256 <i>To support the Building Community Resilience collaborative</i></p>	\$800,000	PC	\$466,670
<p>Thunder Valley Community Development Corporation 290 Empowerment Dr Porcupine, SD 57772 <i>To support Ecosystem of Opportunity: Creating a Model for Pathways to Resilience; enhancing and evaluating the Thunder Valley CDC model for replication in other communities</i></p>	\$1,700,000	PC	\$1,454,200
TOTAL Child Well-being			\$7,844,930

ENVIRONMENT

<p>Fund for Public Health in New York 22 Cortlandt Street, Suite 1103 New York, NY 10007 <i>To support "Improving the Nutritional Health of Young Children and Families in East Harlem," a joint project of FPHNY, the Council on the Environment, Inc. (GrowNYC), the NYP Dept of Health & Mental Hygiene, and the NYC Dept of Education</i></p>	\$1,536,000	PC	\$800,000
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PART XV, 3b - GRANTS APPROVED IN 2015 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
<p>Natural Resources Defense Council Inc 40 W 20th St. New York, NY 10011 <i>To support the City Energy Project</i></p>	\$3,500,000	PC	\$1,840,000
<p>Nature Conservancy 4245 North Fairfax Drive, Suite 100 Arlington, VA 22203-1606 <i>To support the first phase of the Natural Climate Solutions Initiative: Transforming Land Use to Curb Climate Change, a joint effort of the Nature Conservancy and the World Resources Institute</i></p>	\$3,970,000	PC	\$1,575,858
<p>New York City Energy Efficiency Corporation 1359 Broadway, 19th Floor New York, NY 10018 <i>To support efforts to advance energy efficient retrofits of buildings in New York City</i></p>	\$300,000	PC	\$100,000
<p>Regents of The University of California UC Santa Cruz 1156 High Street Santa Cruz, CA 95060 <i>To support the Doris Duke Conservation Scholars Program</i></p>	\$2,000,000	PC	\$1,062,500
<p>University Of Florida Foundation Inc 1938 W. University Avenue Gainesville, FL 32604 <i>To support the Doris Duke Conservation Scholars Program</i></p>	\$1,855,000	PC	\$970,700
<p>Regents of the University of Michigan Office of Research and Sponsored Projects First Floor, Wolverine Tower 3003 S. State Street Ann Arbor, MI 48109-1115 <i>To support the Doris Duke Conservation Scholars Program</i></p>	\$2,000,000	PC	\$1,062,500

PART XV, 3b - GRANTS APPROVED IN 2015 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
<p>University of Washington Foundation 4333 Brooklyn Ave. NE Box 359504 Seattle, WA 98195-9504 Seattle, WA 98105 <i>To support the Doris Duke Conservation Scholars Program</i></p>	\$1,991,000	PC	\$963,000
<p>US Green Building Council New York Chapter 20 Broad Street, Suite 709 New York, NY 10005 <i>To support efforts to advance energy efficient retrofits of buildings in New York City</i></p>	\$700,000	PC	\$300,000
TOTAL Environment			\$8,674,558

MEDICAL RESEARCH

<p>Boston University Medical Center One Medical Center Place Boston, MA 02118 <i>Atrial Fibrillation health Literacy Information Technology Trial (AF-LITT)</i></p>	\$486,000	PC	\$243,000
<p>Brigham and Women's Hospital Inc 75 Francis Street Boston, MA 02115 <i>Adrenal and Parathyroid Hormone Interactions in Human Health</i></p>	\$486,000	PC	\$243,000
<p>Childrens Hospital Corporation 300 Longwood Avenue Boston, MA 02115 <i>High-resolution and high-throughput genome editing to determine minimal repressive sequences within the beta-globin gene cluster and establish lead compounds for therapeutic re-induction of fetal hemoglobin in SCD</i></p>	\$486,000	PC	\$243,000

PART XV, 3b - GRANTS APPROVED IN 2015 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
Children's Hospital of Philadelphia 34th and Civic Center Blvd. Philadelphia, PA 19104 <i>Expanding genomic approaches to transfusion therapy for patients with sickle cell disease</i>	\$486,000	PC	\$243,000
Children's Hospital Medical Center 3333 Burnett Avenue Cincinnati, OH 45229 <i>CD38 bright CD8+ effector memory T-cells herald acute graft versus host disease</i>	\$486,000	PC	\$243,000
Children's Hospital Medical Center 3333 Burnett Avenue Cincinnati, OH 45229 <i>Genetic variants influencing the phenotypic expression of sickle cell anemia</i>	\$486,000	PC	\$243,000
Trustees Of Columbia University In The City of New York 60 Haven Avenue, B2 New York, NY 10032 <i>Advanced phenotypic and genotypic methods to predict TB treatment response and measure emergence TB drug-resistance</i>	\$486,000	PC	\$243,000
Duke University Durham, NC 27710 <i>High-throughput Metabolite Profiling and Genetic Analyses to Identify Novel Predictive Biomarkers of SCD-related Complications</i>	\$486,000	PC	\$243,000
Duke University Durham, NC 27710 <i>Fund to Retain Clinical Scientists</i>	\$583,200	PC	\$270,000

PART XV, 3b - GRANTS APPROVED IN 2015 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
<p>Johns Hopkins University Broadway Research Building, Suite 117 733 North Broadway Baltimore, MD 21205 <i>Johns Hopkins School of Medicine Doris Duke Clinical Investigator Support Program</i></p>	\$583,200	PC	\$270,000
<p>General Hospital Corporation, dba Massachusetts General Hospital 55 Fruit Street Boston, MA 02114 <i>Neurobiological basis of clozapine response in schizophrenia patients</i></p>	\$486,000	PC	\$243,000
<p>Medical University Hospital Authority 169 Ashley Avenue Suite 203 Charleston, SC 29425-8905 <i>Program to Enhance the Retention of Clinicians at the the Medical University of South Carolina</i></p>	\$583,200	PC	\$270,000
<p>New York University 550 First Avenue New York, NY 10016 <i>Fund to Retain Clinical Scientists at NYU Langone Med Center</i></p>	\$583,200	PC	\$270,000
<p>Rockefeller University 1230 York Avenue New York, NY 10021 <i>Understanding the mechanisms behind the disparate actions of endogenous vitamin D vs. vitamin D supplements on the lipid metabolism: a rational step towards optimizing treatment of vitamin D deficiency</i></p>	\$486,000	PC	\$243,000
<p>The Board Of Trustees Of The Leland Stanford Junior University Stanford, CA 94305-6045 <i>The role of iron and inflammation in Alzheimer's disease: from ex vivo to in vivo</i></p>	\$486,000	PC	\$243,000

PART XV, 3b - GRANTS APPROVED IN 2015 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
<p>Regents of The University of California at San Diego 9500 Gilman Drive La Jolla, CA 92103-8756 <i>Human viral communities as vehicles for the spread of antibiotic resistance in the community</i></p>	\$486,000	PC	\$243,000
<p>Regents of The University of California at San Diego 9500 Gilman Drive La Jolla, CA 92103-8756 <i>Mapping the environmental landscape of human cardiovascular disease</i></p>	\$486,000	PC	\$243,000
<p>University Of California San Francisco University of California, San Francisco 505 Parnassus Ave M696 San Francisco, CA 94118 <i>Improving cardiovascular risk stratification in kidney transplant recipients</i></p>	\$486,000	PC	\$243,000
<p>University Of California San Francisco University of California, San Francisco 505 Parnassus Ave M696 San Francisco, CA 94118 <i>Enhanced therapeutic monitoring of multidrug resistant</i></p>	\$486,000	PC	\$243,000
<p>University Of California San Francisco University of California, San Francisco 505 Parnassus Ave M696 San Francisco, CA 94118 <i>Fund to Retain Clinical Scientists</i></p>	\$583,200	PC	\$270,000
<p>Regents of the University of Colorado 4200 East Ninth Avenue Denver, CO 80262 <i>Fund to Retain Clinical Scientists</i></p>	\$583,200	PC	\$270,000

PART XV, 3b - GRANTS APPROVED IN 2015 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
<p>Regents of the University of Michigan Office of Research and Sponsored Projects First Floor, Wolverine Tower 3003 S. State Street Ann Arbor, MI 48109-1115 <i>Cancer-specific RNA Editing as a Novel Mechanism for for Chemoresistance in Pancreatic Cancer</i></p>	\$486,000	PC	\$243,000
<p>Regents of the University of Michigan Office of Research and Sponsored Projects First Floor, Wolverine Tower 3003 S. State Street Ann Arbor, MI 48109-1115 <i>Big Data in the Brain: Implementing and Characterizing a a Novel Biomarker of Epilepsy</i></p>	\$402,000	PC	\$243,000
<p>Regents of the University of Michigan Office of Research and Sponsored Projects First Floor, Wolverine Tower 3003 S. State Street Ann Arbor, MI 48109-1115 <i>To support the evaluation of the Fund to Retain Clinical Scientist Initiative</i></p>	\$380,000	PC	\$124,530
<p>University of North Carolina at Chapel Hill 134 East Franklin Street, Campus Box 3233 Chapel Hill, NC 27599 <i>Fund to Retain Clinical Scientists</i></p>	\$583,200	PC	\$270,000

PART XV, 3b - GRANTS APPROVED IN 2015 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
University Of Pittsburgh School of Medicine Scaife Hall 3550 Terrace Street Pittsburgh, PA 15261 <i>Targeting the TWIST1- E2A pathway in oncogene driven lung cancer</i>	\$486,000	PC	\$243,000
University of Rochester AIR Box 695 601 Elmwood Ave Rochester, NY 14642 <i>Understanding how the initial encounter with influenza virus poises children for protective immunity</i>	\$486,000	PC	\$243,000
University of Wisconsin Foundation 1848 University Ave Madison, WI 53708-8860 <i>Genetic Variants, Immune Dysregulation, & Rheumatoid Arthritis</i>	\$486,000	PC	\$243,000
Vanderbilt University Medical Center 1161 21st Ave South Ste D3300 Mcn Nashville, TN 37232-0011 <i>Fund to Retain Clinical Scientists</i>	\$583,200	PC	\$270,000
Washington University 660 S. Euclid Ave St. Louis, MO 63110 <i>Risk Alleles and Podocyte Endoplasmic Reticulum Stress in Focal Segmental Glomerulosclerosis</i>	\$243,000	PC	\$243,000

PART XV, 3b - GRANTS APPROVED IN 2015 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
Washington University 660 S. Euclid Ave St. Louis, MO 63110 <i>Fund to Retain Clinical Scientists at Washington University School of Medicine</i>	\$583,200	PC	\$270,000
Yale University Grant & Contract Administration 47 College Street, Suite 203 P.O. Box 208047 New Haven, CT 06520-8047 <i>Defining Intercellular Metabolic Networks in Human Cutaneous Squamous Cell Carcinoma</i>	\$486,000	PC	\$243,000
Yale University Grant & Contract Administration 47 College Street, Suite 203 P.O. Box 208047 New Haven, CT 06520-8047 <i>Fund to Retain Clinical Scientists at Yale School of Medicine</i>	\$583,200	PC	\$270,000
TOTAL Medical Research			\$8,170,530
GRAND TOTAL			\$40,940,381

Doris Duke Charitable Foundation
Form 990-PF - Return of Private Foundation
FYE: 12/31/2015
Direct Charitable Activities
Attachment 17

	<u>2015</u>
2015 AFRICAN HEALTH INITIATIVE PHIT MEETING	\$71,077
AFRICAN HEALTH INITIATIVE GLOBAL HEALTH FUNDERS MEETING	\$3,972
AFRICAN HEALTH INITIATIVE GRANTEE MEETING- VANCOUVER	\$100,000
AFRICAN HEALTH INITIATIVE PHASE II MEASUREMENT MEETING	\$21,757
2015 AFRICAN HEALTH INITIATIVE SITE VISITS	\$21,441
FUND FOR NATIONAL PROJECTS MEETING	\$298
ARTS EXPLORATION PANEL MEETING 9/13/15	\$12,908
FUND FOR NATIONAL PROJECTS MEETING 7/13/15	\$10,585
ARTIST IMPLEMENTATION PANEL MEETING 11/12/15	\$40,854
ARTS NATIONAL SECTOR MEETING 9/13/15	\$7,978
ARTS THEATER PANEL MEETING 11/11/15	\$4,677
ARTS PROGRAM SITE VISITS	\$57,307
CHILD WELL BEING WELFARE FUNDERS COLLABORATIVE 6/8/15	\$920
CHILD WELL BEING POLICY FELLOWSHIP LEADERS MEETING 6/17/15	\$2,924
ENVIRONMENT DDCSP PLANNING & LEADERSHIP MEETINGS 11/6/15	\$12,110
ENVIRONMENT DDCSP EXPANSION ADVISORY PANEL 3/27/15	\$2,500
THE NATURE CONSERVANCY NATURAL CLIMATE WORKSHOP	\$41,331
CLINICAL RESEARCH MENTORSHIP MEETING 5/28/15	\$8,112
CLINICAL SCIENTIST MEETING 4/22/15	\$52,126
INTERNATIONAL CLINICAL RESEARCH FELLOWSHIP MEETING 6/8/15	\$42,458
Total	<u><u>\$515,334</u></u>

Doris Duke Charitable Foundation
Form 990-PF
Part IX-A: Summary of Direct Charitable Activities
FYE: 12/31/2015

Environment Activities

Doris Duke was a lifelong environmentalist with a keen interest in conservation. In her will, she expressed her interest in "the preservation of wildlife, both flora and fauna" and in supporting "ecological endeavors."

The mission of the Environment Program is to enable communities to protect and manage wildlife habitat and create efficient built environments. It supports environmental initiatives and partners with other tax-exempt organizations, such as The Nature Conservancy, in the pursuit of land conservation, and strives to accomplish its mission through the following strategies:

- Accelerate land conservation in an era of climate change through the protection of landscapes that exhibit climate change resilience and help wildlife adapt to the impacts of climate change.
- Reduce impacts on the landscape from increased energy development and energy demand by facilitating the sensitive siting of energy infrastructure and reducing energy demand through increasing energy efficiency in the buildings sector.
- Encourage land stewardship and sustainability in the Tri-State area by supporting local efforts to manage wildlife habitat and create efficient, healthy, and vibrant built and natural environments in the greater New York City area.
- Strengthen the conservation field by diversifying and increasing the representation of under-represented communities in the conservation workforce. Build the overall capacity of the community focused on the permanent protection of high-priority wildlife habitat.

Medical Research Activities

Doris Duke was a significant supporter of medical research, making contributions to hospitals and research centers throughout her life. In her will, she expressed her interest in supporting "medical research designed to effectuate cures of major diseases."

The mission of the Medical Research Program is to support the prevention, diagnosis and treatment of human disease. The Medical Research Program strives to accomplish its mission through the following strategies:

- Encourage and develop clinical research careers that build the clinical research career ladder by supporting physician scientists in the United States at different stages of their career.
- Advance biomedical research and innovation through the support of innovative approaches to clinical research in targeted disease areas.

African Health Activities

The foundation also seeks to spur significant advances in strengthening health systems in sub-Saharan Africa through a special 10-year grant-making initiative. The African Health Initiative supports partnerships based at

U.S. institutions that design, implement and evaluate large-scale models of care that link implementation research and workforce training directly to the delivery of primary healthcare in sub-Saharan Africa.

Child Well-Being Activities

Doris Duke took a special interest in the needs of children, supporting nearly 85 child welfare organizations during her life. Her support included contributions to orphanages, camps, mentorship programs, little league teams, hospitals, and boys and girls clubs. In her will, Doris Duke expressed her interest in "the prevention of cruelty to children."

The mission of the Child Well-being Program is to promote children's healthy development and protect them from abuse and neglect. To accomplish this mission, the program favors a public health approach and is especially interested in place-based, whole-community approaches that seek to engage a range of participants from various disciplines to ensure that family well-being is supported by strong communities. It uses the following strategies to do so:

- Build a repertoire of prevention strategies through the examination and support of place-based interventions that ensure that a community, not only a parent, provides a setting that promotes child well-being.
- Expand the capacity of existing systems by working within existing systems that engage with groups characterized as high risk for child abuse and neglect, for the purpose of targeting at-risk individuals more effectively and efficiently, particularly children from Native American/Alaska Native communities, youth in or transitioning out of foster care, and low-income families.
- Develop and disseminate knowledge by building a broader audience for the ongoing research and investigation of child well-being. Support doctoral students engaged in the multidisciplinary work of child well-being.

Performing Arts Activities

Doris Duke, in her will, expressed an interest in assisting "actors, dancers, singers, musicians and other artists of the entertainment world in fulfilling their ambitions and providing opportunities for the public presentation of their arts and talents." The Arts Program supports this interest by focusing its support on contemporary dance, jazz and theater artists, and the organizations that nurture, present and produce them.

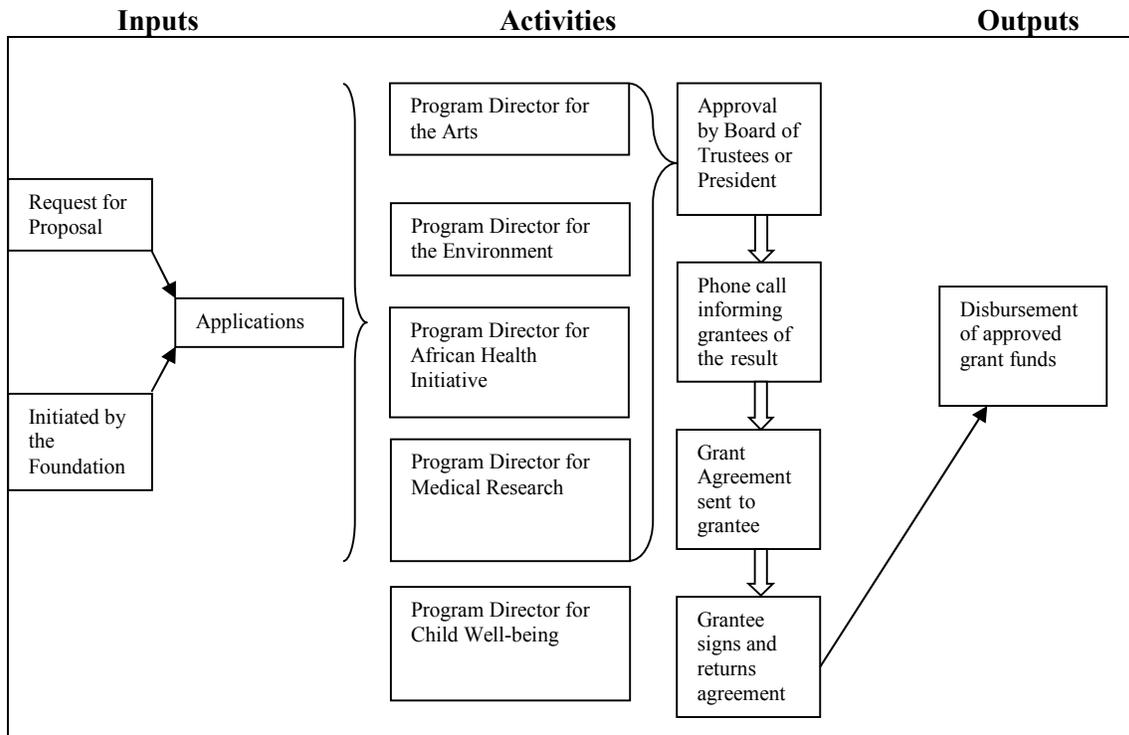
The mission of the Arts Program is to support performing artists with the creation and public performance of their work. The Arts Program accomplishes this mission through the following strategies:

- Support artistic creation and distribution through national competitive initiatives administered by intermediary organizations that support the commissioning, production and presentation of new work in dance, jazz and theatre. Typically these re-granting programs offer additional benefits to supported artists, including professional development, networking opportunities, and participation in conferences and other meetings.
- Strengthen organizations that serve performing artists through grants that support their planning, technical assistance and implementation for bold, innovative approaches addressing current issues affecting the performing arts: the impact of technology, loss of audiences and/or changes in leadership.

- Build the national sector by supporting activities that strengthen national organizations critical to the health of dance, jazz, presenting and/or theater fields, as well as national projects that have the potential to improve the health of a given field.
- Empower, invest in and celebrate artists by offering flexible, multi-year and unrestricted funding for artists in contemporary dance, theater, jazz and related interdisciplinary work. This strategy is pursued primarily through the Arts Program's Doris Duke Performing Artist Initiative, a 10-year initiative that was launched in 2011.

The \$515,334 in direct charitable expenditures listed in Part IX-A represent amounts paid by the Doris Duke Charitable Foundation to support various meetings, conferences, seminars and other climate, conservation and/or medical research endeavours. Further information about each individual program listed in the attached schedule is available upon request.

**Doris Duke Charitable Foundation
Grants Process
December 31, 2015**



The mission of the Doris Duke Charitable Foundation (DDCF) is to improve the quality of people’s lives through grants supporting the performing arts, environmental conservation, medical research and child well-being, and through preservation of the cultural and environmental legacy of Doris Duke’s properties.

Grant Development and Approval

Grants are either competitively awarded through Request for Proposal (RFP) processes or are initiated by the Foundation. Grants and proposed grant competitions are presented to the Board of Trustees at one of the four meetings that take place during the year. All grants must be approved by the Board of Trustees with the exception of the Program Fund, Cross-Program Fund, Trustee recommended grants, and grants selected through competitive, peer-reviewed program initiatives. In these cases, the Board approves a total amount for the program initiative or fund and delegates to DDCF’s President Edward Henry the authority to approve grants. Authority for Program Fund and Cross-Program Fund grants is sought from the Board each November for the following year. Program Fund and Cross-Program Fund grants must be for purposes that are in keeping with the mission of the Foundation; the amount of these grants is limited both on a per-grant basis (\$100,000 or less) and cumulative annual basis for each program (up to 5% of each Program’s annual payout budget may be awarded as Program Fund grants). Additionally, the Board authorized the President to approve up to \$500,000 in Program Fund grants for the African Health Initiative (with individual grants totaling no more than \$100,000), with funding to come from the initiative’s annual grants budget. All Program Fund and Cross-Program Fund grants are developed by staff, undergo routine due diligence review, and are approved by the President.

Each Cross-Program Fund grant and Program Fund grants that total more than \$50,000 are discussed at a Staff Program Review meeting prior to approval. Finally, in 2015, seven Trustees recommended grants totaling \$404,546; the grant purpose and organizations are vetted by staff and approved by the Board Chair prior to grant award.

Grants solicited by the Foundation. Edward Henry (President) is actively involved in the entire grants cycle. Prior to performing all the research on a potential grantee, the Program Director discusses the organization or proposed project with Mr. Henry. Based on this discussion, the research will begin or the project will be set aside.

Once a completed grant application is returned to DDCF, the Program Director and staff members review it to ensure that the organization meets DDCF requirements and that the program description is in line with DDCF's mission and program objectives. The application is also reviewed by the Program Associate for Grants Administration to ensure that all the proper documentation has been received and the organization is a qualified not-for-profit. Each proposed grant is entered into GIFTS, the Foundation's grants management database.

Once the submitted application has been reviewed and approved, the Program Director compiles a docket for presentation to the Board of Directors that describes the potential grantee organization and its proposed use of funds. Prior to the Board meeting, the Program Director presents the information to senior management and program staff at a Program Review session and at a "practice" session for the Board meeting. During the meeting, the senior staff critiques the written summary and the presentation, and appropriate changes are made, as necessary.

At the next Board meeting, the docket will be presented to the Board for their approval. The Board's decisions regarding proposed grants are documented in the Board of Director Minutes. After a grant has been approved by the board, Grants Administration staff records the grant as "Approved" in GIFTS and the GIFTS system assigns a grant number. Grants Administration sends a monthly report detailing grants approved to Finance and the Accounting Manager prepares a journal entry to record the liability.

Grants awarded through competitive Requests for Proposals. Once the Board approves funds for a grant competition and delegates authority to approve specific grants that will be identified through that competition to the DDCF President, program staff release a Request for Proposals (RFP). The RFP specifies the purpose of the funding available through the award program, the timeline for application, the selection process, and expectations of projects selected. Competitions may involve one or two stages of application (i.e., letter of interest followed by a full proposal or just a full proposal) with staff and/or panel review at the first stage and panel review at the second stage. Applications are brought into GIFTS via on-line forms. Review panels score applications in accordance with the criteria specific to the grant program and recommend a roster of grants to the Foundation. With authority delegated from the Board, the President approves grants to the projects selected via the competition. Grants Administration staff conducts a standard pre-grant agreement due diligence of each institution approved for funding.

Grant Award

Once the Board or President approves a grant, the appropriate DDCF program staff member notifies the organization by telephone. The Program Associate for Grants Administration generates a draft grant agreement using the appropriate GIFTS template (grant agreement templates are stored in GIFTS and merged with the GIFTS grant data to create the grant agreement). The Program Director and Director of Grants Management review and refine the grant agreement until they are satisfied that it is complete. Once the Director of Grants

Management has approved the grant agreement and award letter and verified that all grant documentation is in order, the Director of Grants Managements notifies the President via e-mail that the grant is ready for approval and requests the President’s approval to affix his signature (jpeg) to the agreement and award letter.

After the President replies that the grants are approved and his jpeg signature may be used, the approval e-mail is stored in the GIFTS grant record and the agreement is sent to the grantee organization for electronic signature using Adobe’s EchoSign product. The grant agreement specifies the total funds awarded, payment schedule, use of the funds, performance and financial reporting requirements, and various other disclosures regarding DDCF’s rights (for example, to publicly announce the grant). If the grantee organization agrees to the terms of the agreement, an authorized representative of the organization will sign electronically and return the agreement to the DDCF via EchoSign. When the fully executed grant agreement is received by the Foundation, the first grant payment is initiated by Grants Administration and forwarded to Finance for processing.

Grant Payment Process

Once the Grants Manager has determined that all requirements for a grant payment have been met, the grant payment process is initiated.

The Grants Associate confirms that ACH instructions are still correct and updates the GIFTS record if necessary. Then, Grants Administration exports the grantee data from GIFTS to an Excel spreadsheet which is emailed to the Accounting Manager for upload to Great Plains (GP). The information is uploaded into GP where it is reviewed and approved as per the signing authority below. Once the approvals have been made, an ACH encrypted file is generated and transmitted to Bank of America via a secured transmission website. The transmission is then verified with the bank via a phone call to an ACH automated phone system. Once the payment has been sent, the grantee and appropriate program staff are alerted by email that the ACH has been executed.

Grant signing authority requirements:

<u>Grant Payment Amount</u>	<u>Requirements</u>	<u>Signatories</u>
between \$0 and \$9,999.99	Require any one signer listed	Peter Simmons Eileen Oberlander Edward Henry Jeffrey Heil
between \$10,000 and \$99,999.99	Require any two signers listed	Peter Simmons Eileen Oberlander Edward Henry Jeffrey Heil
Equal to or over \$100,000	Require two of the following individuals	Peter Simmons Edward Henry Eileen Oberlander

Grant Monitoring

Within each grant agreement, the grantee agrees to provide DDCF with certain interim and final financial and program performance information. The time period for this submission varies from

grant to grant. To ensure that the DDCF funds are being used in accordance with the grant agreement, the grantee is required to submit the following information:

- A narrative describing program accomplishments, challenges, and future plans
- An assessment of the project to date (have the original goals been achieved, were any program refinements necessary?)
- Copies of any literature, reports, or media coverage that was generated as a result of the grant
- A copy of the most recent audited financial statements
- A budget to actual comparison of use of the grant funds (indicating any budget modifications and explanations for budget variances)
- If a multi-year grant, a revised budget and budget narrative for the upcoming year (if warranted)
- Notification to DDCF of any changes to their board, senior staff, or tax-exempt status.

This information is required whether the grant period is one year or multi-year. If the grant is multi-year, the subsequent disbursements will not be made until this information has been received, reviewed, and approved by DDCF staff.

The grantee submits the required information and documentation to DDCF via on-line reporting forms. Once received, the Program staff and Grants Administration staff review the reports to ensure that the grantee has been using DDCF's funds for the purpose outlined in their grant agreement. This includes determining if certain project milestones have been met, whether funds have been spent reasonably and within program guidelines, and whether the project has benefited the items/population targeted in the agreement. Additionally, the financial information is reviewed by the Director of Grants Management or Program Associate for Grants Management, and either the Program Director or Program Officer – and, as necessary, by a member of the Finance Department – to ensure that the grantee is not experiencing any financial difficulties.

Once this information has been evaluated and approved by DDCF, the payment request is prepared for multi-year grants or, for single year grants, the file will be closed.

Computer information systems

The Foundation uses GIFTS, a grants management database system purchased from MicroEdge, Inc., to track detailed information about each application for funding and each grant approved. Applications are received through an internet grants application module and retrieved into the database and their status is tracked according to their progress through the review, approval or declination, and disbursement and reporting process. The database is also used to generate grant related documentation, including (but not limited to) grant award letters and grant agreements, payment request memoranda, and reporting review checklists. GIFTS also provides reports that enable the user to project the upcoming disbursements, determine when financial or other reporting information is required, track grant expiration, and track activities related to each grant.

Doris Duke Charitable Foundation
 Form 990-PF
 FYE 12/31/2015

Form 990-PF, Part I, Line 6(a) & Part IV Statement
 Attachment 20

<u>Asset Class</u>	<u>Realized Gains</u>
Short Term Investments	101,530
Fixed Income Investments	2,409,964
Marketable Equity Alternative Investments	-
Marketable Commingled Equity Investments	2,440,118
Marketable Distressed/High Yield Alternatives	3,092,880
Marketable Long/Short Equity Alternatives	325,972
Marketable Multi-Strategy Alternatives	3,701,157
Non-Exchange traded Buyout/Growth Funds	11,129,474
Non-Exchange Traded Distressed Funds	-
Non-Exchange Traded Fund of Funds	4,683,120
Non-Exchange Traded Real Assets	13,551,240
Non-Exchange Traded Venture Capital Funds	47,844,751
Allocation to Doris Duke Foundation (DDF)	(210,691)
Capital Gains/Losses Per Books - Part I, Line 6a	<u>\$89,069,515</u>
Limited Partnership Investments	6,196,101
Less: Short-Term Capital Gain from UBTI	(2,484)
Less: Long-Term Capital Gain from UBTI	(237,257)
Less: 1231 Gain from UBTI	(2,449,164)
Total Capital Gains - Part I, Line 7b	<u><u>\$92,576,712</u></u>

A detailed schedule of the Foundation's capital gains and losses by specific funds held is available on request.