

Return of Private Foundation

2014

Department of the Treasury Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2014 or tax year beginning, 2014, and ending, 20

Name of foundation: DORIS DUKE CHARITABLE FOUNDATION
Employer identification number: 13-7043679
Telephone number: (908) 243-3619
City or town, state or province, country, and ZIP or foreign postal code: NEW YORK, NY 10019
Check type of organization: Section 501(c)(3) exempt private foundation
Fair market value of all assets at end of year: \$ 1,796,851,122.

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12), Operating and Administrative Expenses (13-26), and Summary (27).

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing	1,148,434.	3,853,887.	3,853,887.	
	2	Savings and temporary cash investments	49,159,744.	45,364,888.	45,364,888.	
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable	2,495,716.	2,669,967.	2,669,967.	
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges	797,364.	1,085,384.	1,085,384.	
	10a	Investments - U.S. and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule) ATCH 5	371,137,433.	390,816,885.	390,816,885.	
	c	Investments - corporate bonds (attach schedule) ATCH 6	124,926,668.	51,276,115.	51,276,115.	
	11	Investments - land, buildings, and equipment: basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments - mortgage loans					
13	Investments - other (attach schedule) ATCH 7	1,189,857,048.	1,263,991,225.	1,263,991,225.		
14	Land, buildings, and equipment: basis					
	Less: accumulated depreciation (attach schedule)					
15	Other assets (describe ATCH 8)	119,883,325.	37,792,771.	37,792,771.		
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,859,405,732.	1,796,851,122.	1,796,851,122.		
Liabilities	17	Accounts payable and accrued expenses	1,490,953.	1,055,819.		
	18	Grants payable	75,388,430.	63,619,965.		
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ATCH 9)	91,033,179.	28,301,644.		
	23	Total liabilities (add lines 17 through 22)	167,912,562.	92,977,428.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted	1,691,493,170.	1,703,873,694.		
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, <input type="checkbox"/> check here and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see instructions)	1,691,493,170.	1,703,873,694.			
31	Total liabilities and net assets/fund balances (see instructions)	1,859,405,732.	1,796,851,122.			

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1,691,493,170.
2	Enter amount from Part I, line 27a	-21,899,664.
3	Other increases not included in line 2 (itemize) ATCH 10	34,280,188.
4	Add lines 1, 2, and 3	1,703,873,694.
5	Decreases not included in line 2 (itemize)	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	1,703,873,694.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	66,534,592.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{ If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }		3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	83,234,494.	1,645,344,824.	0.050588
2012	86,576,154.	1,559,889,084.	0.055501
2011	84,732,632.	1,596,811,002.	0.053064
2010	85,259,405.	1,507,779,999.	0.056546
2009	85,106,004.	1,386,461,656.	0.061384
2 Total of line 1, column (d)			2 0.277083
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.055417
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5			4 1,754,808,008.
5 Multiply line 4 by line 3			5 97,246,195.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 822,555.
7 Add lines 5 and 6			7 98,068,750.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 88,040,166.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows and 2 columns. Rows include: 1a Exempt operating foundations, b Domestic foundations, 2 Tax under section 511, 3 Add lines 1 and 2, 4 Subtitle A (income) tax, 5 Tax based on investment income, 6 Credits/Payments (6a-6d), 7 Total credits and payments, 8 Enter any penalty, 9 Tax due, 10 Overpayment, 11 Enter the amount of line 10.

Part VII-A Statements Regarding Activities

Table with 10 rows and 3 columns. Rows include: 1a-1c During the tax year, did the foundation attempt to influence any national, state, or local legislation... 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? 3 Has the foundation made any changes, not previously reported to the IRS... 4a-4b Did the foundation have unrelated business gross income... 5 Was there a liquidation, termination, dissolution... 6 Are the requirements of section 508(e) satisfied either... 7 Did the foundation have at least \$5,000 in assets... 8a Enter the states to which the foundation reports... 8b If the answer is "Yes" to line 7... 9 Is the foundation claiming status as a private operating foundation... 10 Did any persons become substantial contributors during the tax year?

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions). 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.DDCF.ORG 14 The books are in care of EILEEN OBERLANDER Telephone no. 908-243-3619 Located at 1112 DUKES PARKWAY WEST HILLSBOROUGH, NJ ZIP+4 08844 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 16 At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.) 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?
(3) Provide a grant to an individual for travel, study, or other similar purposes?
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?
6b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?
7b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

Table with 5 columns: (a) Name and address, (b) Title, and average hours per week devoted to position, (c) Compensation (if not paid, enter -0-), (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. Row 1: ATCH 12, 589,093, 0, 0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

Table with 5 columns: (a) Name and address of each employee paid more than \$50,000, (b) Title, and average hours per week devoted to position, (c) Compensation, (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. Row 1: NONE.

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 13		2,145,147.

Total number of others receiving over \$50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SEE ATTACHMENTS 16 & 17 ----- -----	412,475.
2 ----- -----	
3 ----- -----	
4 ----- -----	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE ----- -----	
2 ----- -----	
All other program-related investments. See instructions.	
3 NONE ----- -----	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,742,818,957.
b	Average of monthly cash balances	1b	38,712,016.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	1,781,530,973.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,781,530,973.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	26,722,965.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,754,808,008.
6	Minimum investment return. Enter 5% of line 5	6	87,740,400.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	87,740,400.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	1,645,110.
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,645,110.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	86,095,290.
4	Recoveries of amounts treated as qualifying distributions	4	143,627.
5	Add lines 3 and 4	5	86,238,917.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	86,238,917.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	88,040,166.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	88,040,166.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	88,040,166.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				86,238,917.
2 Undistributed income, if any, as of the end of 2014:				
a Enter amount for 2013 only				
b Total for prior years: 20 <u>12</u> , 20 <u>11</u> , 20 <u>10</u>				
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012	1,487,150.			
e From 2013	2,764,142.			
f Total of lines 3a through e	4,251,292.			
4 Qualifying distributions for 2014 from Part XII, line 4: ▶ \$ <u>88,040,166.</u>				
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2014 distributable amount				86,238,917.
e Remaining amount distributed out of corpus	1,801,249.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	6,052,541.			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	6,052,541.			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012	1,487,150.			
d Excess from 2013	2,764,142.			
e Excess from 2014	1,801,249.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling
b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed
b 85% of line 2a
c Qualifying distributions from Part XII, line 4 for each year listed
d Amounts included in line 2c not used directly for active conduct of exempt activities
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c
3 Complete 3a, b, or c for the alternative test relied upon:
a "Assets" alternative test - enter:
(1) Value of all assets
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed
c "Support" alternative test - enter:
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)
(3) Largest amount of support from an exempt organization
(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
N/A
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
N/A
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here [] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.
a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
N/A
b The form in which applications should be submitted and information and materials they should include:
SEE ATTACHMENT 18
c Any submission deadlines:
SEE ATTACHMENT 18
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
SEE ATTACHMENT 18

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHMENT 15				79,932,538.
Total ▶ 3a				79,932,538.
b Approved for future payment SEE ATTACHMENT 15A				29,048,671.
Total ▶ 3b				29,048,671.

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		SEE ATTACHMENT 19					66534592.	
TOTAL GAIN (LOSS)							<u>66534592.</u>	

ATTACHMENT 1FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
STATE WITHHOLDING TAX REFUNDS	61,974.	
MISCELLANEOUS INCOME	561.	561.
FLOW THROUGH INCOME FROM INVESTMENTS IN LIMITED PARTNERSHIPS		8,259,072.
LESS INVESTMENT LOSSES REPORTED AS UNRELATED BUSINESS INCOME ON 990-T		36,390.
TOTALS	<u>62,535.</u>	<u>8,296,023.</u>

ATTACHMENT 2FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
CUSTODY FEES	548,366.	548,366.
MANAGERS BASE FEES	2,014,991.	2,014,991.
INVESTMENT ADVISORY FEES	430,513.	430,513.
OTHER INVESTMENT EXPENSES	3,098,879.	3,098,879.
INVESTMENT MGMT FEES ALLOCATED TO DDF (ON ITS HOLDINGS)	-29,401.	-29,401.
BANK FEES	20,389.	20,389.
SECURITIES LENDING EXPENSE	22,583.	22,583.
CONSULTING	20,040.	20,040.
TOTALS	<u>6,126,360.</u>	<u>6,126,360.</u>

ATTACHMENT 3

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
CURRENT & DEFERRED FEDERAL EXCISE TAX	1,343,327.
TOTALS	<u>1,343,327.</u>

ATTACHMENT 4FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
MANAGEMENT FEES	8,222,885.		7,430,159.
INSURANCE	78,321.		79,797.
FILING FEES	1,510.		1,510.
MISCELLANEOUS OFFICE EXPENSES	652.		665.
PENALTIES	5,355.		
FLOW-THROUGH EXPENSES FROM INVESTMENTS IN LIMITED PARTNERSHIPS		12,212,828.	
TOTALS	<u>8,308,723.</u>	<u>12,212,828.</u>	<u>7,512,131.</u>

ATTACHMENT 5FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
EQUITY INVESTMENTS	100,075,120.	100,075,120.
COMMINGLED INVESTMENTS	290,741,765.	290,741,765.
TOTALS	<u>390,816,885.</u>	<u>390,816,885.</u>

ATTACHMENT 6FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
FIXED INCOME INVESTMENTS	55,623,843.	55,623,843.
LESS FIXED INCOME INVESTMENT ALLOCATED TO DORIS DUKE FOUNDATION	-4,347,728.	-4,347,728.
TOTALS	<u>51,276,115.</u>	<u>51,276,115.</u>

ATTACHMENT 7FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
MULTI-STRATEGY ALTERNATIVES	399,004,945.	399,004,945.
PRIVATE EQUITY INVESTMENTS	306,330,675.	306,330,675.
DISTRESSED/HIGH YIELD	132,679,085.	132,679,085.
FUND OF FUNDS	83,967,574.	83,967,574.
BUYOUTS/GROWTH NON-MARKETABLE	124,852,228.	124,852,228.
VENTURE CAPITAL	114,233,271.	114,233,271.
DISTRESSED NON-MARKETABLE ALT	8,444,425.	8,444,425.
REAL ASSETS	94,479,022.	94,479,022.
TOTALS	<u>1,263,991,225.</u>	<u>1,263,991,225.</u>

ATTACHMENT 8FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SALES COMMITMENT RECEIVABLE	36,814,966.	36,814,966.
INTEREST RECEIVABLE	329,323.	329,323.
DIVIDENDS RECEIVABLE	175,759.	175,759.
TAX RECLAIM RECEIVABLE	55,214.	55,214.
OTHER INVESTMENT INCOME RECEIVABLE	10,273.	10,273.
DUE FROM DORIS DUKE FOUNDATION	2,232.	2,232.
DUE FROM DORIS DUKE FOUNDATION FOR ISLAMIC ART COLLECTIBLES	24,034.	24,034.
	380,970.	380,970.
TOTALS	<u>37,792,771.</u>	<u>37,792,771.</u>

ATTACHMENT 9FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>
DUE TO DORIS DUKE MANAGEMENT FOUNDATION	6,147,343.
DUE TO DUKE FARMS FOUNDATION	156,960.
DEFERRED FEDERAL & STATE EXCISE TAXES	10,811,640.
PURCHASE COMMITMENTS PAYABLE	11,181,593.
SECURITIES LENDING PAYABLE	4,108.
TOTALS	<u>28,301,644.</u>

ATTACHMENT 10FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
NET UNREALIZED GAIN ON SECURITIES	34,105,937.
CHANGE IN VALUE OF BENEFICIAL INTEREST IN TRUSTS HELD BY OTHERS	174,251.
TOTAL	<u>34,280,188.</u>

Doris Duke Charitable Foundation
Form 990-PF - Return of Private Foundation
FYE: 12/31/2014
Attachment 11

The Doris Duke Charitable Foundation maintained expenditure responsibility for five grants to:

Grantees

Doris Duke Foundation for Islamic Art

Duke Farms Foundation

Newport Restoration Foundation

What Is Missing Foundation

International Network for Cancer Treatment and Research, USA

The expenditure responsibility reports follow this attachment 11 cover sheet.

The Doris Duke Charitable Foundation also maintained expenditure responsibility for various grants that were approved in 2014, but were not actually disbursed until the following year.



October 21, 2015

Mr. Peter Simmons
Chief Operating Officer
Doris Duke Foundation for Islamic Art
650 Fifth Avenue, 19th Floor
New York, New York 10019

Dear Mr. Simmons:

The Doris Duke Charitable Foundation has made grants to the Doris Duke Foundation for Islamic Art in 2014 totaling \$7,490,876 to be used for the Doris Duke Foundation for Islamic Art's general operating expenses (referred to collectively as the "Grant"). Because the Doris Duke Foundation for Islamic Art is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from the Doris Duke Foundation for Islamic Art before making payment of the Grant funds. By signing this letter, you agree on behalf of the Doris Duke Foundation for Islamic Art to the following specific obligations with respect to this Grant:

- (1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant);
- (3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which we believe necessary to keep us fully apprised of the status or use of the Grant or income arising therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");

Mr. Peter Simmons
Page 2
October 21, 2015

- (5) To keep these records of receipts and expenditures and copies of reports submitted to the Doris Duke Charitable Foundation for at least four years after completion of the use of the Grant funds; and
- (6) Not to use any of the Grant or income arising therefrom,
 - a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
 - b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
 - c) To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
 - d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, or as defined in section 170(c)(2)(B) of the Code.

In the event that you violate the terms of this letter agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, you agree that you will refund to the Doris Duke Charitable Foundation the full amount of the Grant and pay over to the Doris Duke Charitable Foundation any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the Doris Duke Charitable Foundation to ask that more detailed reports be submitted or that other steps be taken. The Doris Duke Charitable Foundation will promptly inform you of any such changes, and by signing this letter you indicate your agreement to provide such additional information and comply with such requests.

The Doris Duke Foundation for Islamic Art also agrees that no later than the end of its first taxable year after the taxable year in which it receives the Grant, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant, and, within such time period, the Doris Duke Foundation for Islamic Art agrees to provide adequate records or other sufficient evidence to the Doris Duke Charitable Foundation to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (i) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details concerning the payment of any

Mr. Peter Simmons
Page 3
October 21, 2015

operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which the Doris Duke Foundation for Islamic Art elects pursuant to Treas. Reg. 53.4942(A)-3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if the Doris Duke Foundation for Islamic Art were not an operating foundation.

If this letter and its attachment correctly set forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Very truly yours,

The Doris Duke Charitable Foundation

By: Edward P. Henry
Edward P. Henry, President

Agreed to and accepted on behalf of the
Doris Duke Foundation for Islamic Art this 21st
day of October, 2015

By: Pt

Peter Simmons, Chief Operating Officer



October 23, 2015

Mr. Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, New York 10019

Dear Mr. Henry:

In 2014, the Doris Duke Charitable Foundation ("DDCF") made grants totaling \$7,490,876 to the Doris Duke Foundation for Islamic Art ("DDFIA"). By a letter dated October 21, 2015, I agreed on behalf of DDFIA to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. Since all of the grant funds were spent during 2014 the year in which they were granted, this is both a first and final report.

Name and address of grantee:
Doris Duke Foundation for Islamic Art
4055 Papu Circle
Honolulu, HI 96816

Purpose of Grants:

To be used for DDFIA's general operating expenses. Property owned by DDFIA is used for a charitable purpose since DDFIA facilitates the study and understanding of Middle Eastern Art and Culture.

The following table contains the date of payment of each part of the grant that was spent in 2014, and a description of how the grant was spent by DDFIA:

Mr. Edward P. Henry
Page 2
October 23, 2015

<u>Date of Payment</u>	<u>Amount of Grant</u>	<u>Purpose for which Grant was Used</u>
01/31/14	\$1,155,550	Employee Related Expenses; General Admin Expenses; Program Related Expenses; General Operating Expenses; Capital Expenditures.
02/28/14	\$429,647	“
03/31/14	\$524,903	“
04/30/14	\$527,480	“
05/31/14	\$350,620	“
06/30/14	\$844,666	“
07/31/14	\$412,369	“
08/31/14	\$276,050	“
09/30/14	\$481,907	“
10/31/14	\$882,381	“
11/30/14	\$475,134	“
12/31/14	\$1,130,169	“

All of the grant funds have been spent for DDFIA's operating expenses, and no portion of the grant funds has been diverted from such purpose.

In addition, in the letter dated October 21, 2015 I represented that before the end of 2014, DDFIA would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of \$7,490,876 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made.

As described above, all of the grant funds were spent for reasonable and necessary administration expenses to accomplish DDFIA's charitable purposes, which are qualifying distributions. Therefore, a qualifying distribution was made of the entire value of the grants in 2014.

Since DDFIA has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if DDFIA were not a private operating foundation.

Very truly yours,
Doris Duke Foundation for Islamic Art

By: 
Peter Simmons, Chief Operating Officer



October 21, 2015

Mr. Peter Simmons
Chief Operating Officer
Duke Farms Foundation
1112 Dukes Parkway West
Hillsborough, New Jersey 08844

Dear Mr. Simmons:

The Doris Duke Charitable Foundation has made grants to Duke Farms Foundation in 2014 totaling \$12,443,572 to be used for Duke Farms Foundation's general operating expenses (referred to collectively as the "Grant"). Because Duke Farms Foundation is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from Duke Farms Foundation before making payment of the Grant funds. By signing this letter, you agree on behalf of Duke Farms Foundation to the following specific obligations with respect to this Grant:

- (1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant);
- (3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which we believe necessary to keep us fully apprised of the status or use of the Grant or income arising therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");

Mr. Peter Simmons

Page 2

October 21, 2015

- (5) To keep these records of receipts and expenditures and copies of reports submitted to the Doris Duke Charitable Foundation for at least four years after completion of the use of the Grant funds; and
- (6) Not to use any of the Grant or income arising therefrom,
 - a. To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
 - b. To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
 - c. To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
 - d. To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, or as defined in section 170(c)(2)(B) of the Code.

In the event that you violate the terms of this letter agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, you agree that you will refund to the Doris Duke Charitable Foundation the full amount of the Grant and pay over to the Doris Duke Charitable Foundation any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the Doris Duke Charitable Foundation to ask that more detailed reports be submitted or that other steps be taken. The Doris Duke Charitable Foundation will promptly inform you of any such changes, and by signing this letter you indicate your agreement to provide such additional information and comply with such requests.

Duke Farms Foundation also agrees that no later than the end of its first taxable year after the taxable year in which it receives the Grant, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant, and, within such time period, Duke Farms Foundation agrees to provide adequate records or other sufficient evidence to the Doris Duke Charitable Foundation to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (1) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details concerning the payment of any operating expenses which are a part of such

Mr. Peter Simmons
Page 3
October 21, 2015

qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which Duke Farms Foundation elects pursuant to Treas. Reg. 53.4942(A) 3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if Duke Farms Foundation were not an operating foundation.

If this letter and its attachment correctly set forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

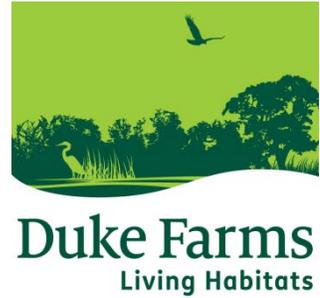
Very truly yours,
The Doris Duke Charitable Foundation

By: Edward P. Henry
Edward P. Henry, President

Agreed to and accepted on behalf of the
Duke Farms Foundation this 21st
day of October, 2015

By: Peter Simmons

Peter Simmons, Chief Operating Officer



October 23, 2015

Mr. Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, New York 10019

Dear Mr. Henry:

In 2014, the Doris Duke Charitable Foundation ("DDCF") made grants totaling \$12,443,572 to Duke Farms Foundation ("DFF"). By a letter dated October 21, 2015, I agreed on behalf of DFF to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. Since all of the grant funds were spent during 2014 the year in which they were granted, this is both a first and final report.

Name and address of grantee:
Duke Farms Foundation
1112 Dukes Parkway West
Hillsborough, NJ 08844

Purpose of Grants:

To be used for DFF's general operating expenses. Property owned by DFF is used for a charitable purpose since DFF is a regional center for environmental stewardship. DFF provides educational programs to visitors as well as serving as a critical habitat for protecting endangered species of all kinds, both flora and fauna.

Mr. Edward P. Henry

Page 2

October 23, 2015

The following table contains the date of payment of each part of the grant that was spent in 2014 and a description of how the grant was spent by DFF:

<u>Date of Payment</u>	<u>Amount of Grant</u>	<u>Purpose for which Grant was Used</u>
1/31/14	\$1,569,305	Employee Related Expenses; General Admin Expenses; Program Related Expenses; General Operating Expenses; Capital Expenditures.
2/28/14	\$653,459	“
3/31/14	\$1,181,020	“
4/30/14	\$681,651	“
5/31/14	\$823,554	“
6/30/14	\$1,444,333	“
7/31/14	\$1,624,030	“
8/31/14	\$939,557	“
9/30/14	\$1,048,662	“
10/31/14	\$829,049	“
11/30/14	\$779,868	“
12/31/14	\$869,084	“

All of the grant funds have been spent for DFF's operating expenses, and no portion of the grant funds has been diverted from such purpose.

In addition, in the letter dated October 21, 2015, I represented that before the end of 2014, DFF would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of \$12,443,572 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made. As described above, all of the grant funds were spent for reasonable and necessary administration expenses to accomplish DFF's charitable purposes, which are qualifying distributions. Therefore, a qualifying distribution was made of the entire value of the grants in 2014.

Since DFF has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if DFF were not a private operating foundation.

Very truly yours,
Duke Farms Foundation



By: _____
Peter Simmons, Chief Operating Officer



April 3, 2012
DDCF Grant No. 2012015

D O R I S D U K E
C H A R I T A B L E F O U N D A T I O N

Peter Simmons
Chief Operating Officer
Doris Duke Foundation
650 Fifth Avenue
New York, NY 10019

Dear Mr. Simmons:

At a meeting on February 14, 2012, the Trustees of the Doris Duke Charitable Foundation ("DDCF") approved a 5-year grant ("Grant") to the Doris Duke Foundation ("DDF") totaling \$5,500,000 to support the first cohort of Doris Duke Artists Award recipients selected for funding through the Doris Duke Performing Artists Awards Program (the "Awards Program").

This letter describes the terms and conditions for the Grant, including the specific "expenditure responsibility" obligations arising because DDF is a private foundation.

By signing this letter, you agree on behalf of DDF to comply with the following obligations with respect to this Grant:

Grant Requirements

Grants to Artists

- (1) DDF applied to the IRS to request advance approval of its grant procedures for the Awards Program on December 21, 2011. Pursuant to Treasury Regulation §53.4945-4(d)(3), since DDF did not receive a response from the IRS to its request for advance approval of the Grant Procedures within the forty-five days of the date of the request, DDF can consider the Grant Procedures approved unless and until DDF receives actual notice from the IRS that the Grant Procedures do not meet the requirements of Code §4945(g)(3). DDF agrees to notify DDCF immediately upon receipt of any written response to its request to the Internal Revenue Service (IRS). DDF represents that the procedures described in the IRS request will be used to select and monitor the Awards Program unless and until DDF receives actual notice from the IRS that the Grant Procedures do not meet the requirements of Code §4945(g)(3). DDF and agrees to notify DDCF immediately of any changes to the procedures.
- (2) DDF will provide grants to the individual artists selected to receive funding by the 2012 selection panels of the Awards Program. Each grant will total up to \$275,000, including:
 - o **\$225,000 of unrestricted funding**, to be used flexibly by the artist over three to five years, starting from the date the awards are announced. The intent of this funding is to allow the artists to take creative risks, explore new ideas, and pay for important needs.
 - o **Up to \$25,000 restricted for audience development or arts education activities**, contingent upon DDF's receipt and approval of a budget and work plan for expanding audiences for artistic work and/or arts education activities.
 - o **Up to \$25,000 restricted for the artist's retirement savings**, contingent upon the DDF's receipt and approval of documentation from the artist of amounts saved for retirement.

- (3) Each grant awarded shall have a grant agreement detailing the terms and conditions for use of the grant funds, including annual reporting on use of the grants. DDF agrees to submit a draft template of the grant agreement to DDCF for review and comment. In addition to other terms and conditions, the agreement template must also include the following language "[Grant Recipient] shall comply with all applicable State, City, and Federal laws, ordinances, codes, and regulations. In addition you shall comply with not-for-profit rules forbidding the carrying on of propaganda or otherwise attempting to influence legislation or intervention in (including the publishing or distribution of statements) any political campaign on behalf of any candidate for public office. [Grant Recipient] shall obtain all permits, licenses and approvals required and shall comply with all laws in connection therewith."
- (4) DDF will make payments to each individual artist in accordance with the grant agreement and the approved grant payout schedule determined with each artist.
- (5) DDF will maintain files on each grant awarded and monitor the programmatic and financial progress of each Artist Award recipient.

Collaboration with Program Partner

- (6) DDF agrees to work collaboratively with the Creative Capital Foundation (CCF), which has been selected and funded by DDCF to be the implementation partner for the Awards Program, to achieve the goals of the Awards Program. DDF further agrees to enter into an agreement with CCF that describes the responsibilities of each organization to each other with respect to the Awards Program.

Payment of Grant Funds

- (7) Grant payments will be linked with the payout schedules determined by DDF with each artist. No later than September 30, 2012 and by no later than June 30 of each subsequent year of the Grant, DDF will provide DDCF with a projection of its cash needs for current year and for remaining years of the Grant. Upon receipt and approval of the cash projections, DDCF will schedule a payment to DDF for the current year and update the payout schedule for remaining years of the Grant, and, assuming timely compliance with the terms and conditions of the Grant, DDCF will process the current year payment. In the event that additional cash is needed during the year, DDF may request additional payments from DDCF; DDCF will evaluate each such request within the context of its overall payout goals for the year and the Grant.

"Expenditure Responsibility Requirements"

- (8) DDF agrees to repay to DDCF any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (9) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, DDF agrees to submit full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of DDF or a certified public accountant, within a reasonable period of time after the close of DDF's annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant); including a listing of each grant awarded describing the grant recipient, amount awarded, project support, amount paid during the reporting period, and future payout scheduled by year.
- (10) DDF agrees to report the Grant separately on its books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.

- (11) DDF agrees to maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make DDF's books, records and other information available for DDCF's inspection at reasonable times, which DDCF believes necessary to keep DDCF fully appraised of the status or use of the Grant or income arising therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");
- (12) DDF agrees to keep these records of receipts and expenditures and copies of reports submitted to DDCF for at least four years after completion of the use of the Grant funds; and
- (13) DDF agrees not to use any of the Grant or income arising therefrom for any of the following purposes:
- a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
 - b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
 - c) To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
 - d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, all as defined in section 170(c)(2)(B) of the Code.

Qualifying Distribution

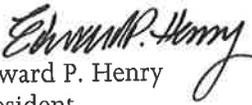
DDF also agrees that no later than the end of its first taxable year after the taxable year in which it receives a Grant payment from DDCF, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant payment, and, within such time period, DDF agrees to provide adequate records or other sufficient evidence to DDCF to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (i) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which DDF elects pursuant to Treas. Reg. 53.4942(A)3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if DDF were not an operating foundation.

In the event that DDF violates the terms of this Letter Agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, DDF agrees that it will refund to DDCF the full amount of the Grant and pay over to the DDCF any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the DDCF to ask that more detailed reports be submitted or that other steps be taken. The DDCF will promptly inform DDF of any such changes, and by signing this letter you indicate DDF's agreement to provide such additional information and comply with such requests.

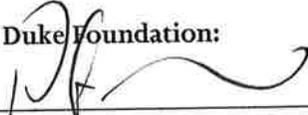
If this letter correctly sets forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Sincerely,


Edward P. Henry
President
The Doris Duke Charitable Foundation

Accepted and agreed this 3rd day of April 2012.

Doris Duke Foundation:

By: 
Peter Simmons, Chief Operating Officer



May 17, 2012
DDCF Grant No. 2012015

D O R I S D U K E
C H A R I T A B L E F O U N D A T I O N

Peter Simmons
Chief Operating Officer
Doris Duke Foundation
650 Fifth Avenue
New York, NY 10019

Dear Mr. Simmons:

At a meeting on May 8, 2012, the Trustees of the Doris Duke Charitable Foundation ("DDCF") approved a 5-year grant ("Grant") to the Doris Duke Foundation ("DDF") totaling \$275,000 to support an additional artist in the first cohort of Doris Duke Artists Award recipients selected for funding through the Doris Duke Performing Artists Awards Program (the "Awards Program"). Together with the \$5.5 million grant approved February 14, 2012, this grant brings DDCF's total funding for the first cohort of 21 Doris Duke Artists Awards to \$5,775,000.

This letter describes the terms and conditions for the Grant, including the specific "expenditure responsibility" obligations arising because DDF is a private foundation.

By signing this letter, you agree on behalf of DDF to comply with the following obligations with respect to this Grant:

Grant Requirements

Grants to Artists

- (1) DDF applied to the IRS to request advance approval of its grant procedures for the Awards Program on December 21, 2011. Pursuant to Treasury Regulation §53.4945-4(d)(3), since DDF did not receive a response from the IRS to its request for advance approval of the Grant Procedures within forty-five days of the date of the request, DDF can consider the Grant Procedures approved unless and until DDF receives actual notice from the IRS that the Grant Procedures do not meet the requirements of Code §4945(g)(3). DDF agrees to notify DDCF immediately upon receipt of any written response to its request to the Internal Revenue Service (IRS). DDF represents that the procedures described in the IRS request will be used to select and monitor the Awards Program unless and until DDF receives actual notice from the IRS that the Grant Procedures do not meet the requirements of Code §4945(g)(3). DDF and agrees to notify DDCF immediately of any changes to the procedures.
- (2) DDF will provide a grant to an individual artist selected to receive funding by the 2012 selection panels of the Awards Program totaling up to \$275,000, including:
 - **\$225,000 of unrestricted funding**, to be used flexibly by the artist over three to five years, starting from the date the awards are announced. The intent of this funding is to allow the artist to take creative risks, explore new ideas, and pay for important needs.
 - **Up to \$25,000 restricted for audience development or arts education activities**, contingent upon DDF's receipt and approval of a budget and work plan for expanding audiences for artistic work and/or arts education activities.

- **Up to \$25,000 restricted for the artist's retirement savings**, contingent upon the DDF's receipt and approval of documentation from the artist of amounts saved for retirement.
- (3) The grant awarded shall have a grant agreement detailing the terms and conditions for use of the grant funds, including annual reporting on use of the grant. DDF agrees to submit a draft template of the grant agreement to DDCF for review and comment. In addition to other terms and conditions, the agreement template must also include the following language "[Grant Recipient] shall comply with all applicable State, City, and Federal laws, ordinances, codes, and regulations. In addition you shall comply with not-for-profit rules forbidding the carrying on of propaganda or otherwise attempting to influence legislation or intervention in (including the publishing or distribution of statements) any political campaign on behalf of any candidate for public office. [Grant Recipient] shall obtain all permits, licenses and approvals required and shall comply with all laws in connection therewith."
 - (4) DDF will make payments to the individual artist in accordance with the grant agreement and the approved grant payout schedule determined with the artist.
 - (5) DDF will maintain files on the grant awarded and monitor the programmatic and financial progress of the Artist Award recipient.

Collaboration with Program Partner

- (6) DDF agrees to work collaboratively with the Creative Capital Foundation (CCF), which has been selected and funded by DDCF to be the implementation partner for the Awards Program, to achieve the goals of the Awards Program. DDF further agrees to enter into an agreement with CCF that describes the responsibilities of each organization to each other with respect to the Awards Program.

Payment of Grant Funds

- (7) Grant payments will be linked with the payout schedule determined by DDF with the artist. No later than September 30, 2012 and by no later than June 30 of each subsequent year of the Grant, DDF will provide DDCF with a projection of its cash needs for current year and for remaining years of the Grant. Upon receipt and approval of the cash projections, DDCF will schedule a payment to DDF for the current year and update the payout schedule for remaining years of the Grant, and, assuming timely compliance with the terms and conditions of the Grant, DDCF will process the current year payment. In the event that additional cash is needed during the year, DDF may request additional payments from DDCF; DDCF will evaluate each such request within the context of its overall payout goals for the year and the Grant.

"Expenditure Responsibility Requirements"

- (8) DDF agrees to repay to DDCF any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (9) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, DDF agrees to submit full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of DDF or a certified public accountant, within a reasonable period of time after the close of DDF's annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant); including a listing of the grant awarded describing the grant recipient, amount awarded, project support, amount paid during the reporting period, and future payout scheduled by year.

- (10) DDF agrees to report the Grant separately on its books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (11) DDF agrees to maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make DDF's books, records and other information available for DDCF's inspection at reasonable times, which DDCF believes necessary to keep DDCF fully appraised of the status or use of the Grant or income arising therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");
- (12) DDF agrees to keep these records of receipts and expenditures and copies of reports submitted to DDCF for at least four years after completion of the use of the Grant funds; and
- (13) DDF agrees not to use any of the Grant or income arising therefrom for any of the following purposes:
 - a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
 - b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
 - c) ~~To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or~~
 - d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, all as defined in section 170(c)(2)(B) of the Code.

Qualifying Distribution

DDF also agrees that no later than the end of its first taxable year after the taxable year in which it receives a Grant payment from DDCF, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant payment, and, within such time period, DDF agrees to provide adequate records or other sufficient evidence to DDCF to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (i) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which DDF elects pursuant to Treas. Reg. 53.4942(A)3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if DDF were not an operating foundation.

In the event that DDF violates the terms of this Letter Agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, DDF agrees that it will refund to DDCF the full amount of the Grant and pay over to the DDCF any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the DDCF to ask that more detailed reports be submitted or that other steps be taken. The DDCF will promptly inform DDF of any such changes, and by signing this letter you indicate DDF's agreement to provide such additional information and comply with such requests.

If this letter correctly sets forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Sincerely,

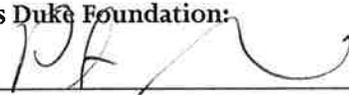


Edward P. Henry
President

The Doris Duke Charitable Foundation

-----Accepted and agreed this 18th day of May 2012.-----

Doris Duke Foundation:

By: 

Peter Simmons, Chief Operating Officer



October 23, 2015

Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2012015

Dear Mr. Henry:

In 2012, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation ("DDF") totaling \$5,775,000 (the "Grant").

By grant agreement executed April 3, 2012 and Amendment executed May 18, 2012 (collectively, the "Grant Agreement"), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF's fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those payments, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

Name and address of grantee:

Doris Duke Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Purpose of Grant: To support the first cohort of Doris Duke Artists Award recipients selected for funding through the Doris Duke Performing Artists Awards Program (the "Awards Program")

Use of Qualifying Distribution Grant funds by DDF: This is a report for calendar year 2014. DDF received grant payments from DDCF totaling \$1,193,249 in 2014. The grant funds were fully expended in 2014 toward the first cohort of Awards Program recipients, as shown on the following pages.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in blue ink, appearing to read 'Peter Simmons', written over a light blue horizontal line.

Peter Simmons
Chief Operating Officer
Doris Duke Foundation

Doris Duke Artist Awards - 2012 Cohort (21 Artists): Grants Paid 2014 from Grant No. 2012015

Recipient*	Purpose	Amount Paid 2014	Approved for Future Payment
Anne Bogart	<i>Doris Duke Artist Award 2012 - Unrestricted</i>	50,000	125,000
	<i>Doris Duke Artist Award 2012 - Incentive Funding</i>	8,514	11,486
Don Byron	<i>Doris Duke Artist Award 2012 - Unrestricted</i>	40,000	65,000
	<i>Doris Duke Artist Award 2012 - Audience Development</i>	0	15,000
	<i>Doris Duke Artist Award 2012 - Incentive Funding</i>	4,250	20,750
Wally Cardona	<i>Doris Duke Artist Award 2012 - Unrestricted</i>	50,000	80,000
	<i>Doris Duke Artist Award 2012 - Audience Development</i>		0
	<i>Doris Duke Artist Award 2012 - Incentive Funding</i>	8,500	8,000
Rinde Eckert	<i>Doris Duke Artist Award 2012 - Unrestricted</i>	50,000	85,000
	<i>Doris Duke Artist Award 2012 - Audience Development</i>	0	25,000
	<i>Doris Duke Artist Award 2012 - Incentive Funding</i>	0	15,000
Bill Frisell	<i>Doris Duke Artist Award 2012 - Unrestricted</i>	45,000	90,000
	<i>Doris Duke Artist Award 2012 - Audience Development</i>	0	25,000
	<i>Doris Duke Artist Award 2012 - Incentive Funding</i>	5,000	10,000
Deborah Hay	<i>Doris Duke Artist Award 2012 - Unrestricted</i>	80,000	65,000
	<i>Doris Duke Artist Award 2012 - Audience Development</i>	0	0
	<i>Doris Duke Artist Award 2012 - Incentive Funding</i>	7,500	5,000
John Hollenbeck	<i>Doris Duke Artist Award 2012 - Unrestricted</i>	0	115,000
	<i>Doris Duke Artist Award 2012 - Audience Development</i>	0	15,000
	<i>Doris Duke Artist Award 2012 - Incentive Funding</i>	13,750	250
Vijay Iyer	<i>Doris Duke Artist Award 2012 - Unrestricted</i>	22,500	112,500
	<i>Doris Duke Artist Award 2012 - Audience Development</i>	10,000	7,000
	<i>Doris Duke Artist Award 2012 - Incentive Funding</i>	0	0
Marc Bamuthi Joseph	<i>Doris Duke Artist Award 2012 - Unrestricted</i>	50,000	65,000
	<i>Doris Duke Artist Award 2012 - Audience Development</i>	0	15,000
	<i>Doris Duke Artist Award 2012 - Incentive Funding</i>	0	25,000
Elizabeth LeCompte	<i>Doris Duke Artist Award 2012 - Unrestricted</i>	75,000	0
	<i>Doris Duke Artist Award 2012 - Audience Development</i>	0	0
	<i>Doris Duke Artist Award 2012 - Incentive Funding</i>	5,000	14,000
Young Jean Lee	<i>Doris Duke Artist Award 2012 - Unrestricted</i>	22,499	112,501
	<i>Doris Duke Artist Award 2012 - Incentive Funding</i>	11,500	38
Ralph Lemon	<i>Doris Duke Artist Award 2012 - Unrestricted</i>	57,500	90,000
	<i>Doris Duke Artist Award 2012 - Audience Development</i>	0	0
	<i>Doris Duke Artist Award 2012 - Incentive Funding</i>	0	12,500
Richard Maxwell	<i>Doris Duke Artist Award 2012 - Unrestricted</i>	65,000	60,000
	<i>Doris Duke Artist Award 2012 - Audience Development</i>	0	0
	<i>Doris Duke Artist Award 2012 - Incentive Funding</i>	7,500	10,000

Doris Duke Artist Awards - 2012 Cohort (21 Artists): Grants Paid 2014 from Grant No. 2012015

Recipient*	Purpose	Amount Paid 2014	Approved for Future Payment
Sarah Michelson	<i>Doris Duke Artist Award 2012 - Unrestricted</i>	31,700	121,800
	<i>Doris Duke Artist Award 2012 - Audience Development</i>	0	0
	<i>Doris Duke Artist Award 2012 - Incentive Funding</i>	0	20,000
Bebe Miller	<i>Doris Duke Artist Award 2012 - Unrestricted</i>	45,000	0
	<i>Doris Duke Artist Award 2012 - Audience Development</i>	0	0
	<i>Doris Duke Artist Award 2012 - Incentive Funding</i>	17,000	0
Nicole Mitchell	<i>Doris Duke Artist Award 2012 - Unrestricted</i>	55,500	117,000
	<i>Doris Duke Artist Award 2012 - Audience Development</i>	0	25,000
	<i>Doris Duke Artist Award 2012 - Incentive Funding</i>	0	25,000
Meredith Monk	<i>Doris Duke Artist Award 2012 - Unrestricted</i>	33,750	33,750
	<i>Doris Duke Artist Award 2012 - Incentive Funding</i>	5,000	10,000
Eiko Otake	<i>Doris Duke Artist Award 2012 - Unrestricted</i>	55,000	110,000
	<i>Doris Duke Artist Award 2012 - Audience Development</i>	0	15,000
	<i>Doris Duke Artist Award 2012 - Incentive Funding</i>	4,583	17,140
Takashi Koma Otake	<i>Doris Duke Artist Award 2012 - Unrestricted</i>	65,000	115,000
	<i>Doris Duke Artist Award 2012 - Audience Development</i>	0	15,000
	<i>Doris Duke Artist Award 2012 - Incentive Funding</i>	4,123	15,877
Basil Twist	<i>Doris Duke Artist Award 2012 - Unrestricted</i>	0	100,000
	<i>Doris Duke Artist Award 2012 - Audience Development</i>	0	0
	<i>Doris Duke Artist Award 2012 - Incentive Funding</i>	0	25,000
Reggie Wilson	<i>Doris Duke Artist Award 2012 - Unrestricted</i>	50,000	95,000
	<i>Doris Duke Artist Award 2012 - Audience Development</i>		6,000
	<i>Doris Duke Artist Award 2012 - Incentive Funding</i>	7,500	17,500
Total Grants to Individuals		\$1,063,169	\$2,183,092

From Wallace Cardona's Audience Development funds (\$25,000):

Wcv Inc	PC	10,000	0
140 Second Ave #501 Brooklyn, NY 11218	<i>To support development and creation of public platforms for "The Set Up" project with the goal of expanding viewership and securing its accessibility to new audiences</i>		

From Deborah Hay's Audience Development funds (\$25,000):

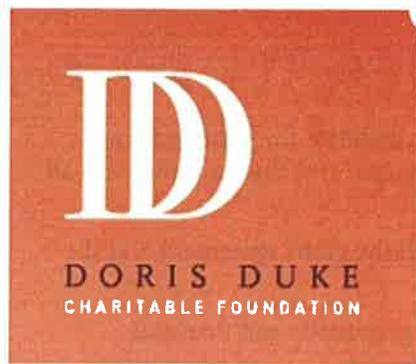
Deborah Hay Dance Co	PC	25,000	0
1703 Alta Vista Ave Austin, TX 78704	<i>To design and tour a 3-part seminar on Perception and Consciousness</i>		

From Elizabeth LeCompte's Audience Development funds (\$25,000):

Wooster Group, Inc.	PC	25,000	0
33 Wooster St. New York, NY 10013	<i>To increase the capability of the Wooster Group's website and digital tools for targeting, evaluating and</i>		

Doris Duke Artist Awards - 2012 Cohort (21 Artists): Grants Paid 2014 from Grant No. 2012015

Recipient*	Purpose	Amount Paid 2014	Approved for Future Payment
From Richard Maxwell's Audience Development funds (\$25,000):			
New York City Players 138 South Oxford Street #4C Brooklyn, NY 11217	PC <i>To explore cultivating, expanding and sustaining a visual arts audience through programming, marketing, outreach and vision</i>	20,000	0
New York City Players 138 South Oxford Street #4C Brooklyn, NY 11217	PC <i>To support a current education pilot program, Cultivating New Immigrants and English Language Learners, which will build a performance from personal stories with newcomer immigrant high school students and graduates</i>	5,000	0
From Sarah Michelson's Audience Development funds (\$25,000):			
Sarah Michelson Inc. 140 Second Ave, #501 New York, NY 10003	PC <i>To support the "NOT A LECTURE" project.</i>	25,000	0
From Bebe Miller's Audience Development funds (\$25,000):			
Gotham Dance Inc PO Box 82484 Columbus, OH 43202	PC <i>To further design and develop Dance Fort.</i>	11,080	0
From Reggie Wilson's Audience Development funds (\$25,000):			
Fist and Heel 476 Dean Street, #2 Brooklyn, NY 11217	PC <i>To complete the multi-cam video recording and editing of the full-length dance work, Moses(es)</i>	3,000	0
Fist and Heel 476 Dean Street, #2 Brooklyn, NY 11217	PC <i>To create a new website that reflects the Reggie Wilson/Fist & Heel aesthetic and vision, and edit recent raw camera footage of Moses(es),</i>	6,000	0
Total Grants to Organizations		\$130,080	\$0
TOTAL Grants Paid in 2014 from DDCF Grant #2012115		\$1,193,249	\$2,183,092



February 25, 2013

DDCF Grant No. 2013030

Peter Simmons
Chief Operating Officer
Doris Duke Foundation
650 Fifth Avenue
New York, NY 10019

Dear Mr. Simmons:

At a meeting on February 12, 2013, the Trustees of the Doris Duke Charitable Foundation ("DDCF") approved a 5-year grant ("Grant") to the Doris Duke Foundation ("DDF") totaling \$5,500,000 to support the second cohort of Doris Duke Artist Award recipients to be selected for funding through the Doris Duke Performing Artists Awards Program (the "Awards Program").

This letter describes the terms and conditions for the Grant, including the specific "expenditure responsibility" obligations arising because DDF is a private foundation.

By signing this letter, you agree on behalf of DDF to comply with the following obligations with respect to this Grant:

Grant Requirements

Grants to Artists

- (1) DDF will provide grants to the individual artists selected to receive funding by the 2013 selection panels of the Awards Program. Each grant will total up to \$275,000, including:
 - o **\$225,000 of unrestricted funding**, to be used flexibly by the artist over three to five years, starting from the date the awards are announced. The intent of this funding is to allow the artists to take creative risks, explore new ideas, and pay for important needs.
 - o **Up to \$25,000 restricted for audience development or arts education activities**, contingent upon DDF's receipt and approval of a budget and work plan for expanding audiences for artistic work and/or arts education activities.
 - o **Up to \$25,000 as an incentive for the artist to save for his/her artistic future.**
- (2) Each grant awarded shall have a grant agreement detailing the terms and conditions for use of the grant funds, including annual reporting on use of the grants. DDF agrees to submit a draft template of the grant agreement to DDCF for review and comment. In addition to other terms and conditions, the agreement template must also include the following language "[Grant Recipient] shall comply with all applicable state, city, and federal laws, ordinances, codes, and regulations. In addition you shall comply with not-for-profit rules forbidding the carrying on of propaganda or otherwise attempting to influence legislation or intervention in (including the publishing or

distribution of statements) any political campaign on behalf of any candidate for public office. [Grant Recipient] shall obtain all permits, licenses and approvals required and shall comply with all laws in connection therewith."

- (3) DDF will make payments to each individual artist in accordance with the grant agreement and the approved grant payout schedule determined with each artist.
- (4) DDF will maintain files on each grant awarded and monitor the programmatic and financial progress of each Artist Award recipient.

Collaboration with Program Partner

- (5) DDF agrees to work collaboratively with the Creative Capital Foundation (CCF), which has been selected and funded by DDCF to be the implementation partner for the Awards Program, to achieve the goals of the Awards Program. DDF further agrees to enter into an agreement with CCF that describes the responsibilities of each organization to each other with respect to the Awards Program.

Payment of Grant Funds

- (6) Grant payments will be linked with the payout schedules determined by DDF with each artist. DDF plans to make payments to artists on or about January 15, May 15, September 15, and December 1 each year. At least ten days prior to each payment date, DDF will provide DDCF with projections of its cash needs. Upon receipt and approval of the cash projections, DDCF will schedule a payment to DDF. In the event that additional cash is needed during the year, DDF may request additional payments from DDCF; DDCF will evaluate each such request within the context of its overall payout goals for the year and the Grant.

Expenditure Responsibility Requirements

- (7) DDF agrees to repay to DDCF any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (8) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, DDF agrees to submit full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of DDF or a certified public accountant, within a reasonable period of time after the close of DDF's annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant); including a listing of each grant awarded describing the grant recipient, amount awarded, project support, amount paid during the reporting period, and future payout scheduled by year.
- (9) DDF agrees to report the Grant separately on its books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (10) DDF agrees to maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make DDF's books, records and other information available for DDCF's inspection at reasonable times, which DDCF believes necessary to keep DDCF fully apprised of the status or use of the Grant or income arising therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");
- (11) DDF agrees to keep these records of receipts and expenditures and copies of reports submitted to DDCF for at least four years after completion of the use of the Grant funds; and

(12) DDF agrees not to use any of the Grant or income arising therefrom for any of the following purposes:

- a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
- b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
- c) To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
- d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, all as defined in section 170(c)(2)(B) of the Code.

Qualifying Distribution

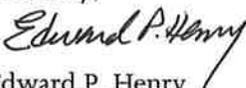
DDF also agrees that no later than the end of its first taxable year after the taxable year in which it receives a Grant payment from DDCF, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant payment, and, within such time period, DDF agrees to provide adequate records or other sufficient evidence to DDCF to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (i) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which DDF elects pursuant to Treas. Reg. 53.4942(A)3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if DDF were not an operating foundation.

In the event that DDF violates the terms of this Letter Agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, DDF agrees that it will refund to DDCF the full amount of the Grant and pay over to DDCF any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require DDCF to ask that more detailed reports be submitted or that other steps be taken. The DDCF will promptly inform DDF of any such changes, and by signing this letter you indicate DDF's agreement to provide such additional information and comply with such requests.

If this letter correctly sets forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Sincerely,


Edward P. Henry
President

Accepted and agreed for **Doris Duke Foundation:**


Peter Simmons, Chief Operating Officer

2/27/13
Date



October 23, 2015

Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2013030

Dear Mr. Henry:

In February 2013, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation (“DDF”) totaling \$5,500,000 (the “Grant”).

By grant agreement executed February 27, 2013 (the “Grant Agreement”), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF’s fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

Name and address of grantee:

Doris Duke Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Purpose of Grant: To support the second cohort of Doris Duke Artist Award recipients selected for funding through the Doris Duke Performing Artists Awards Program in 2013 (the “Awards Program”)

Use of Qualifying Distribution Grant funds by DDF: This is a report for calendar year 2014. DDF received payments from DDCF totaling \$1,517,224 in 2014. The grant funds were fully expended in 2014 toward the second cohort of Doris Duke Artist Award recipients, as shown on the following pages.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in blue ink, appearing to read 'Peter Simmons', written over a light blue horizontal line.

Peter Simmons
Chief Operating Officer
Doris Duke Foundation

Doris Duke Artist Awards - 2013 Cohort (20 Artists): Grants Paid 2014 from Grant No. 2013030

Recipient*	Purpose	Amount Paid 2014	Approved for Future Payment
Braxton, Anthony	<i>Doris Duke Artist Award 2013 - Unrestricted</i>	90,000	45,000
	<i>Doris Duke Artist Award 2013 - Audience Development</i>	0	0
	<i>Doris Duke Artist Award 2013 - Incentive Funding</i>	25,000	0
Childs, William	<i>Doris Duke Artist Award 2013 - Unrestricted</i>	67,500	67,500
	<i>Doris Duke Artist Award 2013 - Audience Development</i>	25,000	0
	<i>Doris Duke Artist Award 2013 - Incentive Funding</i>	10,000	15,000
Chong, John Ping	<i>Doris Duke Artist Award 2013 - Unrestricted</i>	75,000	75,000
	<i>Doris Duke Artist Award 2013 - Incentive Funding</i>	18,312	6,688
Copper, Kelly	<i>Doris Duke Artist Award 2013 - Unrestricted</i>	40,000	135,000
	<i>Doris Duke Artist Award 2013 - Audience Development</i>	0	25,000
	<i>Doris Duke Artist Award 2013 - Incentive Funding</i>	6,569	18,431
D'Amour, Lisa	<i>Doris Duke Artist Award 2013 - Unrestricted</i>	90,000	135,000
	<i>Doris Duke Artist Award 2013 - Audience Development</i>	25,000	0
	<i>Doris Duke Artist Award 2013 - Incentive Funding</i>	0	25,000
Dorvillier, Deanna	<i>Doris Duke Artist Award 2013 - Unrestricted</i>	50,000	125,000
	<i>Doris Duke Artist Award 2013 - Incentive Funding</i>	5,244	19,756
EISaffar, Amir	<i>Doris Duke Artist Award 2013 - Unrestricted</i>	50,500	114,500
	<i>Doris Duke Artist Award 2013 - Audience Development</i>	12,500	12,500
	<i>Doris Duke Artist Award 2013 - Incentive Funding</i>	7,500	17,500
Gordon, David	<i>Doris Duke Artist Award 2013 - Unrestricted</i>	90,000	45,000
	<i>Doris Duke Artist Award 2013 - Incentive Funding</i>	8,333	8,333
Graney, Patricia	<i>Doris Duke Artist Award 2013 - Unrestricted</i>	75,000	100,000
	<i>Doris Duke Artist Award 2013 - Audience Development</i>	0	25,000
	<i>Doris Duke Artist Award 2013 - Incentive Funding</i>	0	25,000
Klein, Stacy	<i>Doris Duke Artist Award 2013 - Unrestricted</i>	80,000	65,000
	<i>Doris Duke Artist Award 2013 - Incentive Funding</i>	15,250	9,750
Lang, David	<i>Doris Duke Artist Award 2013 - Unrestricted</i>	45,000	180,000
	<i>Doris Duke Artist Award 2013 - Audience Development</i>	25,000	0
	<i>Doris Duke Artist Award 2013 - Incentive Funding</i>	5,000	20,000
Liska, Pavol	<i>Doris Duke Artist Award 2013 - Unrestricted</i>	30,000	195,000
	<i>Doris Duke Artist Award 2013 - Audience Development</i>	0	0
	<i>Doris Duke Artist Award 2013 - Incentive Funding</i>	3,247	21,753
Mahanthappa, Rudresh	<i>Doris Duke Artist Award 2013 - Unrestricted</i>	67,500	67,500
	<i>Doris Duke Artist Award 2013 - Audience Development</i>	25,000	0
	<i>Doris Duke Artist Award 2013 - Incentive Funding</i>	5,000	20,000
Malpede, John	<i>Doris Duke Artist Award 2013 - Unrestricted</i>	40,000	160,000
	<i>Doris Duke Artist Award 2013 - Incentive Funding</i>	5,000	15,000
Masaoka, Miya	<i>Doris Duke Artist Award 2013 - Unrestricted</i>	45,000	135,000
	<i>Doris Duke Artist Award 2013 - Audience Development</i>	10,000	15,000
	<i>Doris Duke Artist Award 2013 - Incentive Funding</i>	0	25,000
Melford, Myra	<i>Doris Duke Artist Award 2013 - Unrestricted</i>	83,400	53,400
	<i>Doris Duke Artist Award 2013 - Audience Development</i>	0	25,000
	<i>Doris Duke Artist Award 2013 - Incentive Funding</i>	17,295	7,705
O'Connor, Tere	<i>Doris Duke Artist Award 2013 - Unrestricted</i>	41,250	123,750

Doris Duke Artist Awards - 2013 Cohort (20 Artists): Grants Paid 2014 from Grant No. 2013030

Recipient*	Purpose	Amount Paid 2014	Approved for Future Payment
	<i>Doris Duke Artist Award 2013 - Audience Development</i>	0	0
	<i>Doris Duke Artist Award 2013 - Incentive Funding</i>	0	25,000
Parker, William	<i>Doris Duke Artist Award 2013 - Unrestricted</i>	43,000	92,000
	<i>Doris Duke Artist Award 2013 - Audience Development</i>	25,000	0
	<i>Doris Duke Artist Award 2013 - Incentive Funding</i>	4,018	11,000
Streb, Elizabeth	<i>Doris Duke Artist Award 2013 - Unrestricted</i>	45,000	120,000
	<i>Doris Duke Artist Award 2013 - Incentive Funding</i>	5,000	10,000
Zollar, Willa Jo	<i>Doris Duke Artist Award 2013 - Unrestricted</i>	0	165,000
	<i>Doris Duke Artist Award 2013 - Incentive Funding</i>	5,806	19,194
Total Grants to Individuals		\$1,442,224	\$2,621,260

From Anthony Braxton's Audience Development funds (\$25,000):

Tri-Centric Foundation Inc		25,000	0
PO Box 22935 Brooklyn, NY 11202	<i>To mount an audience development, publicity, and outreach campaign supporting the work and legacy of Anthony Braxton.</i>		

From Tere O'Connor's Audience Development funds (\$25,000):

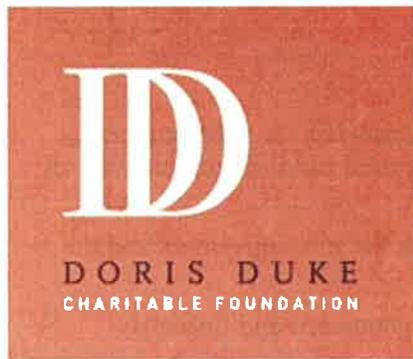
Big Tree Productions Inc		25,000	0
42 Horatio St #9 New York, NY 10014-1673	<i>To support the Gateways project to address audience development and communications aimed primarily toward funders and presenters</i>		

From Pavol Liska's Audience Development funds (\$25,000):

Fractured Atlas		25,000	0
248 W 35th St FL 10 New York, NY 10001	<i>To support the Audience Development/Arts Education project of the Nature Theater of Oklahoma to expand OK Radio and create OK Video</i>		

Total Grants to Organizations		\$75,000	\$0
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TOTAL Grants Paid in 2014 from DDCF Grant #2013030		\$1,517,224	\$2,621,260
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December 12, 2013

DDCF Grant No. 2013221

Peter Simmons
Chief Operating Officer
Doris Duke Foundation
650 Fifth Avenue
New York, NY 10019

Dear Mr. Simmons:

At a meeting on November 19, 2013, the Trustees of the Doris Duke Charitable Foundation ("DDCF") approved a 5-year grant ("Grant") to the Doris Duke Foundation ("DDF") totaling \$5,500,000 to support the third cohort of Doris Duke Artist Award recipients to be selected for funding through the Doris Duke Performing Artists Awards Program (the "Awards Program").

This letter describes the terms and conditions for the Grant, including the specific "expenditure responsibility" obligations arising because DDF is a private foundation.

By signing this letter, you agree on behalf of DDF to comply with the following obligations with respect to this Grant:

Grant Requirements

Grants to Artists

- (1) DDF will provide grants to the individual artists selected to receive funding by the 2014 selection panels of the Awards Program. Each grant will total up to \$275,000, including:
 - o **\$225,000 of unrestricted funding**, to be used flexibly by the artist over three to five years, starting from the date the awards are announced. The intent of this funding is to allow the artists to take creative risks, explore new ideas, and pay for important needs.
 - o **Up to \$25,000 restricted for audience development or arts education activities**, contingent upon DDF's receipt and approval of a budget and work plan for expanding audiences for artistic work and/or arts education activities.
 - o **Up to \$25,000 as an incentive for the artist to save for his/her artistic future.**
- (2) Each grant awarded shall have a grant agreement detailing the terms and conditions for use of the grant funds, including annual reporting on use of the grants. DDF agrees to submit a draft template of the grant agreement to DDCF for review and comment. In addition to other terms and conditions, the agreement template must also include the following language "[Grant Recipient] shall comply with all applicable state, city, and federal laws, ordinances, codes, and regulations. In addition you shall comply with not-for-profit rules forbidding the carrying on of propaganda or otherwise attempting to influence legislation or intervention in (including the publishing or

distribution of statements) any political campaign on behalf of any candidate for public office. [Grant Recipient] shall obtain all permits, licenses and approvals required and shall comply with all laws in connection therewith."

- (3) DDF will make payments to each individual artist in accordance with the grant agreement and the approved grant payout schedule determined with each artist.
- (4) DDF will maintain files on each grant awarded and monitor the programmatic and financial progress of each Artist Award recipient.

Collaboration with Program Partner

- (5) DDF agrees to work collaboratively with the Creative Capital Foundation (CCF), which has been selected and funded by DDCF to be the implementation partner for the Awards Program, to achieve the goals of the Awards Program. DDF further agrees to enter into an agreement with CCF that describes the responsibilities of each organization to each other with respect to the Awards Program.

Payment of Grant Funds

- (6) Grant payments will be linked with the payout schedules determined by DDF with each artist. DDF plans to make payments to artists on or about January 15, May 15, September 15, and December 1 each year. Approximately ten days prior to each payment date, DDF will provide DDCF with projections of its cash needs. Upon receipt and approval of the cash projections, DDCF will schedule a payment to DDF. In the event that additional cash is needed during the year, DDF may request additional payments from DDCF; DDCF will evaluate each such request within the context of its overall payout goals for the year and the Grant.

Expenditure Responsibility Requirements

- (7) DDF agrees to repay to DDCF any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (8) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, DDF agrees to submit full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of DDF or a certified public accountant, within a reasonable period of time after the close of DDF's annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant); including a listing of each grant awarded describing the grant recipient, amount awarded, project support, amount paid during the reporting period, and future payout scheduled by year.
- (9) DDF agrees to report the Grant separately on its books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (10) DDF agrees to maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make DDF's books, records and other information available for DDCF's inspection at reasonable times, which DDCF believes necessary to keep DDCF fully apprised of the status or use of the Grant or income arising therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");
- (11) DDF agrees to keep these records of receipts and expenditures and copies of reports submitted to DDCF for at least four years after completion of the use of the Grant funds; and

(12) DDF agrees not to use any of the Grant or income arising therefrom for any of the following purposes:

- a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
- b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
- c) To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
- d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, all as defined in section 170(c)(2)(B) of the Code.

Qualifying Distribution

DDF also agrees that no later than the end of its first taxable year after the taxable year in which it receives a Grant payment from DDCF, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant payment, and, within such time period, DDF agrees to provide adequate records or other sufficient evidence to DDCF to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (i) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which DDF elects pursuant to Treas. Reg. 53.4942(A)3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if DDF were not an operating foundation.

In the event that DDF violates the terms of this Letter Agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, DDF agrees that it will refund to DDCF the full amount of the Grant and pay over to DDCF any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require DDCF to ask that more detailed reports be submitted or that other steps be taken. The DDCF will promptly inform DDF of any such changes, and by signing this letter you indicate DDF's agreement to provide such additional information and comply with such requests.

If this letter correctly sets forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Sincerely,



Edward P. Henry
President

Accepted and agreed for **Doris Duke Foundation:**



Peter Simmons, Chief Operating Officer

12/16/17

Date



October 23, 2015

Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2013221

Dear Mr. Henry:

In November 2013, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation (“DDF”) totaling \$5,500,000 (the “Grant”).

By grant agreement executed December 16, 2013 (the “Grant Agreement”), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF’s fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

Name and address of grantee:

Doris Duke Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Purpose of Grant: To support the third cohort of Doris Duke Artists Award recipients selected for funding through the Doris Duke Performing Artists Awards Program in 2014.

Use of Qualifying Distribution Grant funds by DDF: This is a report for calendar year 2014. DDF received payments from DDCF totaling \$1,148,334 in 2014. The grant funds were fully expended in 2014 toward the second cohort of Doris Duke Artist Award recipients, as shown on the following pages. Note that only 19 artists were selected in 2014. Remaining funds will support an artist to be selected in 2015.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in blue ink, appearing to read 'Peter Simmons', written over a light blue horizontal line.

Peter Simmons
Chief Operating Officer
Doris Duke Foundation

Doris Duke Artist Awards - 2014 Cohort (19 Artists): Grants Paid 2014 from Grant No. 2013221

Recipient*	Purpose	Amount Paid 2014	Approved for Future Payment
Collins, John Charles	<i>Doris Duke Artist Award 2014 - Unrestricted</i>	90,000	135,000
	<i>Doris Duke Artist Award 2014 - Audience Development</i>	0	25,000
	<i>Doris Duke Artist Award 2014 - Incentive Funding</i>	0	25,000
Haigood, Joanna	<i>Doris Duke Artist Award 2014 - Unrestricted</i>	75,000	150,000
	<i>Doris Duke Artist Award 2014 - Audience Development</i>	0	25,000
	<i>Doris Duke Artist Award 2014 - Incentive Funding</i>	3,250	21,750
Hwang, David	<i>Doris Duke Artist Award 2014 - Unrestricted</i>	90,000	135,000
	<i>Doris Duke Artist Award 2014 - Audience Development</i>	0	25,000
	<i>Doris Duke Artist Award 2014 - Incentive Funding</i>	15,000	10,000
Jasperse, John R.	<i>Doris Duke Artist Award 2014 - Unrestricted</i>	40,000	185,000
	<i>Doris Duke Artist Award 2014 - Audience Development</i>	0	25,000
	<i>Doris Duke Artist Award 2014 - Incentive Funding</i>	0	25,000
Johnson, Emily	<i>Doris Duke Artist Award 2014 - Unrestricted</i>	0	225,000
	<i>Doris Duke Artist Award 2014 - Audience Development</i>	0	25,000
	<i>Doris Duke Artist Award 2014 - Incentive Funding</i>	0	25,000
Jones, William T.	<i>Doris Duke Artist Award 2014 - Unrestricted</i>	90,000	135,000
	<i>Doris Duke Artist Award 2014 - Audience Development</i>	0	25,000
	<i>Doris Duke Artist Award 2014 - Incentive Funding</i>	5,000	20,000
Joseph, Melanie	<i>Doris Duke Artist Award 2014 - Unrestricted</i>	40,000	185,000
	<i>Doris Duke Artist Award 2014 - Audience Development</i>	0	25,000
	<i>Doris Duke Artist Award 2014 - Incentive Funding</i>	0	25,000
Keystone, Nancy	<i>Doris Duke Artist Award 2014 - Unrestricted</i>	0	225,000
	<i>Doris Duke Artist Award 2014 - Audience Development</i>	0	25,000
	<i>Doris Duke Artist Award 2014 - Incentive Funding</i>	0	25,000
Kron, Lisa	<i>Doris Duke Artist Award 2014 - Unrestricted</i>	0	225,000
	<i>Doris Duke Artist Award 2014 - Audience Development</i>	0	25,000
	<i>Doris Duke Artist Award 2014 - Incentive Funding</i>	0	25,000
Lake, Oliver	<i>Doris Duke Artist Award 2014 - Unrestricted</i>	90,000	135,000
	<i>Doris Duke Artist Award 2014 - Audience Development</i>	0	25,000
	<i>Doris Duke Artist Award 2014 - Incentive Funding</i>	8,334	16,666
Lazar, Anne Parson	<i>Doris Duke Artist Award 2014 - Unrestricted</i>	90,000	135,000
	<i>Doris Duke Artist Award 2014 - Audience Development</i>	0	0
	<i>Doris Duke Artist Award 2014 - Incentive Funding</i>	11,750	13,250
Lehman, Stephen Hart	<i>Doris Duke Artist Award 2014 - Unrestricted</i>	90,000	135,000
	<i>Doris Duke Artist Award 2014 - Audience Development</i>	0	25,000
	<i>Doris Duke Artist Award 2014 - Incentive Funding</i>	0	25,000
McCraney, Tarell A	<i>Doris Duke Artist Award 2014 - Unrestricted</i>	0	225,000
	<i>Doris Duke Artist Award 2014 - Audience Development</i>	0	25,000
	<i>Doris Duke Artist Award 2014 - Incentive Funding</i>	0	25,000
Mitchell, Roscoe E	<i>Doris Duke Artist Award 2014 - Unrestricted</i>	90,000	135,000
	<i>Doris Duke Artist Award 2014 - Audience Development</i>	0	25,000
	<i>Doris Duke Artist Award 2014 - Incentive Funding</i>	8,333	16,667
Parkins, Elizabeth	<i>Doris Duke Artist Award 2014 - Unrestricted</i>	0	225,000
	<i>Doris Duke Artist Award 2014 - Audience Development</i>	0	25,000
	<i>Doris Duke Artist Award 2014 - Incentive Funding</i>	0	25,000
Ramaswamy, Ranee	<i>Doris Duke Artist Award 2014 - Unrestricted</i>	45,000	180,000
	<i>Doris Duke Artist Award 2014 - Audience Development</i>	0	25,000
	<i>Doris Duke Artist Award 2014 - Incentive Funding</i>	0	25,000
Shaw, Margaret	<i>Doris Duke Artist Award 2014 - Unrestricted</i>	90,000	135,000
	<i>Doris Duke Artist Award 2014 - Audience Development</i>	0	25,000
	<i>Doris Duke Artist Award 2014 - Incentive Funding</i>	8,333	16,667

Doris Duke Artist Awards - 2014 Cohort (19 Artists): Grants Paid 2014 from Grant No. 2013221

Recipient*	Purpose	Amount Paid 2014	Approved for Future Payment
Taborn, Craig	<i>Doris Duke Artist Award 2014 - Unrestricted</i>	45,000	180,000
	<i>Doris Duke Artist Award 2014 - Audience Development</i>	0	25,000
	<i>Doris Duke Artist Award 2014 - Incentive Funding</i>	0	25,000
Weston, Randolph	<i>Doris Duke Artist Award 2014 - Unrestricted</i>	90,000	135,000
	<i>Doris Duke Artist Award 2014 - Audience Development</i>	0	25,000
	<i>Doris Duke Artist Award 2014 - Incentive Funding</i>	8,334	16,666
Total Grants to Individuals		\$1,123,334	\$4,076,666

From Anne Parson Lazar's Audience Development funds (\$25,000):

Big Dance Theater, Inc. 303 Clinton Street Brooklyn, NY 11231	<i>To support the Digital Marketing Initiative</i>	25,000	0
Total Grants to Organizations		\$25,000	\$0

TOTAL Grants Paid in 2014 from DDCF Grant #2012115		\$1,148,334	\$4,076,666
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December 12, 2013

DDCF Grant No. 2013222

Peter Simmons
Chief Operating Officer
Doris Duke Foundation
650 Fifth Avenue
New York, NY 10019

Dear Mr. Simmons:

At a meeting on November 19, 2013, the Trustees of the Doris Duke Charitable Foundation ("DDCF") approved a 3-year grant ("Grant") to the Doris Duke Foundation ("DDF") totaling \$1,600,000 to support the first cohort of Doris Duke Impact Award recipients to be selected for funding through the Doris Duke Performing Artists Awards Program (the "Awards Program").

This letter describes the terms and conditions for the Grant, including the specific "expenditure responsibility" obligations arising because DDF is a private foundation.

By signing this letter, you agree on behalf of DDF to comply with the following obligations with respect to this Grant:

Grant Requirements

Grants to Artists

- (1) DDF will provide grants to the individual artists selected to receive funding by the 2014 selection panels of the Awards Program. Each grant will total up to \$80,000, including:
 - **\$60,000 of unrestricted funding**, to be used flexibly by the artist over three to five years, starting from the date the awards are announced. The intent of this funding is to allow the artists to take creative risks, explore new ideas, and pay for important needs.
 - **Up to \$10,000 restricted for audience development or arts education activities**, contingent upon DDF's receipt and approval of a budget and work plan for expanding audiences for artistic work and/or arts education activities.
 - **Up to \$10,000 as an incentive for the artist to save for his/her artistic future.**
- (2) Each grant awarded shall have a grant agreement detailing the terms and conditions for use of the grant funds, including annual reporting on use of the grants. DDF agrees to submit a draft template of the grant agreement to DDCF for review and comment. In addition to other terms and conditions, the agreement template must also include the following language "[Grant Recipient] shall comply with all applicable state, city, and federal laws, ordinances, codes, and regulations. In addition you shall comply with not-for-profit rules forbidding the carrying on of propaganda or otherwise attempting to influence legislation or intervention in (including the publishing or

distribution of statements) any political campaign on behalf of any candidate for public office. [Grant Recipient] shall obtain all permits, licenses and approvals required and shall comply with all laws in connection therewith."

- (3) DDF will make payments to each individual artist in accordance with the grant agreement and the approved grant payout schedule determined with each artist.
- (4) DDF will maintain files on each grant awarded and monitor the programmatic and financial progress of each Artist Award recipient.

Collaboration with Program Partner

- (5) DDF agrees to work collaboratively with the Creative Capital Foundation (CCF), which has been selected and funded by DDCF to be the implementation partner for the Awards Program, to achieve the goals of the Awards Program. DDF further agrees to enter into an agreement with CCF that describes the responsibilities of each organization to each other with respect to the Awards Program.

Payment of Grant Funds

- (6) Grant payments will be linked with the payout schedules determined by DDF with each artist. DDF plans to make payments to artists on or about January 15, May 15, September 15, and December 1 each year. Approximately ten days prior to each payment date, DDF will provide DDCF with projections of its cash needs. Upon receipt and approval of the cash projections, DDCF will schedule a payment to DDF. In the event that additional cash is needed during the year, DDF may request additional payments from DDCF; DDCF will evaluate each such request within the context of its overall payout goals for the year and the Grant.

Expenditure Responsibility Requirements

- (7) DDF agrees to repay to DDCF any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (8) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, DDF agrees to submit full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of DDF or a certified public accountant, within a reasonable period of time after the close of DDF's annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant); including a listing of each grant awarded describing the grant recipient, amount awarded, project support, amount paid during the reporting period, and future payout scheduled by year.
- (9) DDF agrees to report the Grant separately on its books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (10) DDF agrees to maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make DDF's books, records and other information available for DDCF's inspection at reasonable times, which DDCF believes necessary to keep DDCF fully apprised of the status or use of the Grant or income arising therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");
- (11) DDF agrees to keep these records of receipts and expenditures and copies of reports submitted to DDCF for at least four years after completion of the use of the Grant funds; and

(12) DDF agrees not to use any of the Grant or income arising therefrom for any of the following purposes:

- a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
- b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
- c) To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
- d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, all as defined in section 170(c)(2)(B) of the Code.

Qualifying Distribution

DDF also agrees that no later than the end of its first taxable year after the taxable year in which it receives a Grant payment from DDCF, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant payment, and, within such time period, DDF agrees to provide adequate records or other sufficient evidence to DDCF to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (i) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which DDF elects pursuant to Treas. Reg. 53.4942(A)3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if DDF were not an operating foundation.

In the event that DDF violates the terms of this Letter Agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, DDF agrees that it will refund to DDCF the full amount of the Grant and pay over to DDCF any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require DDCF to ask that more detailed reports be submitted or that other steps be taken. The DDCF will promptly inform DDF of any such changes, and by signing this letter you indicate DDF's agreement to provide such additional information and comply with such requests.

If this letter correctly sets forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

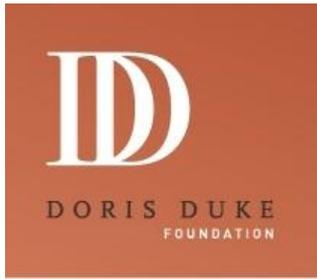
Sincerely,


Edward P. Henry
President

Accepted and agreed for **Doris Duke Foundation:**


Peter Simmons, Chief Operating Officer

12/16/13
Date



October 23, 2015

Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2013222

Dear Mr. Henry:

In November 2013, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation (“DDF”) totaling \$1,600,000 (the “Grant”).

By grant agreement executed December 16, 2013 (the “Grant Agreement”), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF’s fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

Name and address of grantee:

Doris Duke Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Purpose of Grant: To support the first cohort of Doris Duke Impact Award recipients selected for funding through the Doris Duke Performing Artists Awards Program in 2014.

Use of Qualifying Distribution Grant funds by DDF: This is a report for calendar year 2014. DDF received payments from DDCF totaling \$359,250 in 2014. The grant funds were fully expended in 2014 toward the first cohort of Doris Duke Impact Award recipients, as shown on the following pages.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in blue ink, appearing to read 'Peter Simmons', written over a light blue horizontal line.

Peter Simmons
Chief Operating Officer
Doris Duke Foundation

Doris Duke Impact Awards - 2014 Cohort (20 Artists): Grants Paid 2014 from Grant No. 2013222

Recipient*	Purpose	Amount Paid 2014	Approved for Future Payment
Abrams, Muhal Richard	<i>Doris Duke Impact Award 2014 - Unrestricted</i>	0	60,000
	<i>Doris Duke Impact Award 2014 - Audience Development</i>	0	10,000
	<i>Doris Duke Impact Award 2014 - Incentive Funding</i>	0	10,000
Akinmusire, Ambrose	<i>Doris Duke Impact Award 2014 - Unrestricted</i>	36,000	24,000
	<i>Doris Duke Impact Award 2014 - Audience Development</i>	0	10,000
	<i>Doris Duke Impact Award 2014 - Incentive Funding</i>	0	10,000
Coleman, Steven D	<i>Doris Duke Impact Award 2014 - Unrestricted</i>	0	60,000
	<i>Doris Duke Impact Award 2014 - Audience Development</i>	0	10,000
	<i>Doris Duke Impact Award 2014 - Incentive Funding</i>	0	10,000
Halprin, Anna	<i>Doris Duke Impact Award 2014 - Unrestricted</i>	36,000	24,000
	<i>Doris Duke Impact Award 2014 - Audience Development</i>	10,000	0
	<i>Doris Duke Impact Award 2014 - Incentive Funding</i>	5,000	5,000
Harrell, Trajal	<i>Doris Duke Impact Award 2014 - Unrestricted</i>	20,000	40,000
	<i>Doris Duke Impact Award 2014 - Audience Development</i>	0	10,000
	<i>Doris Duke Impact Award 2014 - Incentive Funding</i>	0	10,000
Jarcho, Julia K	<i>Doris Duke Impact Award 2014 - Unrestricted</i>	0	60,000
	<i>Doris Duke Impact Award 2014 - Audience Development</i>	0	10,000
	<i>Doris Duke Impact Award 2014 - Incentive Funding</i>	0	10,000
Lacey, Jennifer Megan	<i>Doris Duke Impact Award 2014 - Unrestricted</i>	20,000	40,000
	<i>Doris Duke Impact Award 2014 - Audience Development</i>	0	10,000
	<i>Doris Duke Impact Award 2014 - Incentive Funding</i>	0	10,000
Melnick, Jodi	<i>Doris Duke Impact Award 2014 - Unrestricted</i>	10,000	50,000
	<i>Doris Duke Impact Award 2014 - Audience Development</i>	0	10,000
	<i>Doris Duke Impact Award 2014 - Incentive Funding</i>	3,250	6,750
Monder, Ben	<i>Doris Duke Impact Award 2014 - Unrestricted</i>	0	60,000
	<i>Doris Duke Impact Award 2014 - Audience Development</i>	0	10,000
	<i>Doris Duke Impact Award 2014 - Incentive Funding</i>	0	10,000
Monson, Jennifer	<i>Doris Duke Impact Award 2014 - Unrestricted</i>	10,000	50,000
	<i>Doris Duke Impact Award 2014 - Audience Development</i>	0	10,000
	<i>Doris Duke Impact Award 2014 - Incentive Funding</i>	0	10,000
Moss, Richard Dean	<i>Doris Duke Impact Award 2014 - Unrestricted</i>	20,000	40,000
	<i>Doris Duke Impact Award 2014 - Audience Development</i>	0	5,000
	<i>Doris Duke Impact Award 2014 - Incentive Funding</i>	0	10,000
Neare, Lucia	<i>Doris Duke Impact Award 2014 - Unrestricted</i>	36,000	24,000
	<i>Doris Duke Impact Award 2014 - Audience Development</i>	0	10,000
	<i>Doris Duke Impact Award 2014 - Incentive Funding</i>	0	10,000
Ortiz Vizcay, Orlando Aruan	<i>Doris Duke Impact Award 2014 - Unrestricted</i>	30,000	30,000
	<i>Doris Duke Impact Award 2014 - Audience Development</i>	0	10,000
	<i>Doris Duke Impact Award 2014 - Incentive Funding</i>	0	10,000
Roberts, Matana	<i>Doris Duke Impact Award 2014 - Unrestricted</i>	0	60,000
	<i>Doris Duke Impact Award 2014 - Audience Development</i>	0	10,000
	<i>Doris Duke Impact Award 2014 - Incentive Funding</i>	0	10,000
Satter, Kristina Susan	<i>Doris Duke Impact Award 2014 - Unrestricted</i>	36,000	24,000
	<i>Doris Duke Impact Award 2014 - Audience Development</i>	0	10,000
	<i>Doris Duke Impact Award 2014 - Incentive Funding</i>	0	10,000
Shyu, Jennifer Lay	<i>Doris Duke Impact Award 2014 - Unrestricted</i>	36,000	24,000
	<i>Doris Duke Impact Award 2014 - Audience Development</i>	0	10,000
	<i>Doris Duke Impact Award 2014 - Incentive Funding</i>	0	10,000
Simons, John T.	<i>Doris Duke Impact Award 2014 - Unrestricted</i>	0	60,000
	<i>Doris Duke Impact Award 2014 - Audience Development</i>	0	10,000
	<i>Doris Duke Impact Award 2014 - Incentive Funding</i>	0	10,000

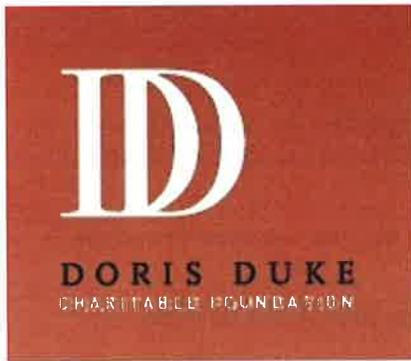
Doris Duke Impact Awards - 2014 Cohort (20 Artists): Grants Paid 2014 from Grant No. 2013222

Recipient*	Purpose	Amount Paid 2014	Approved for Future Payment
Sommers, Michael	<i>Doris Duke Impact Award 2014 - Unrestricted</i>	0	60,000
	<i>Doris Duke Impact Award 2014 - Audience Development</i>	0	10,000
	<i>Doris Duke Impact Award 2014 - Incentive Funding</i>	0	10,000
Truscott, Adrienne E.	<i>Doris Duke Impact Award 2014 - Unrestricted</i>	36,000	24,000
	<i>Doris Duke Impact Award 2014 - Audience Development</i>	5,000	5,000
	<i>Doris Duke Impact Award 2014 - Incentive Funding</i>	5,000	5,000
Truscott, Cristal Chanelle	<i>Doris Duke Impact Award 2014 - Unrestricted</i>	0	60,000
	<i>Doris Duke Impact Award 2014 - Audience Development</i>	0	10,000
	<i>Doris Duke Impact Award 2014 - Incentive Funding</i>	0	10,000
Total Grants Paid to Individuals		\$354,250	\$1,240,750

From Richard Dean Moss's Audience Development funds (\$25,000):

Gametophyte Inc 528 Hancock Street, #3 Brooklyn, NY 11233	<i>To create workshop and performance experiences for teenaged production assistants as part of the premiere and touring performances of johnbrown.</i>	5,000	0
Total Grants Paid to Organizations		\$5,000	\$0

TOTAL Grants Paid in 2014 from DDCF Grant #2013222		\$359,250	\$1,240,750
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December 19, 2014

DDCF Grant No. 2014206

Peter Simmons
Chief Operating Officer
Doris Duke Foundation
650 Fifth Avenue
New York, NY 10019

Dear Mr. Simmons:

At a meeting on November 17, 2014, the Trustees of the Doris Duke Charitable Foundation ("DDCF") approved a 3-year grant ("Grant") to the Doris Duke Foundation ("DDF") totaling \$1,600,000 to support the second cohort of Doris Duke Impact Award recipients to be selected for funding through the Doris Duke Performing Artists Awards Program (the "Awards Program").

This letter describes the terms and conditions for the Grant, including the specific "expenditure responsibility" obligations arising because DDF is a private foundation.

By signing this letter, you agree on behalf of DDF to comply with the following obligations with respect to this Grant:

Grant Requirements

Grants to Artists

- (1) DDF will provide grants to the individual artists selected to receive funding by the 2015 selection panels of the Awards Program. Each grant will total up to \$80,000, including:
 - **\$60,000 of unrestricted funding**, to be used flexibly by the artist over three to five years, starting from the date the awards are announced. The intent of this funding is to allow the artists to take creative risks, explore new ideas, and pay for important needs.
 - **Up to \$10,000 restricted for audience development or arts education activities**, contingent upon DDF's receipt and approval of a budget and work plan for expanding audiences for artistic work and/or arts education activities.
 - **Up to \$10,000 as an incentive for the artist to save for his/her artistic future.**
- (2) Each grant awarded shall have a grant agreement detailing the terms and conditions for use of the grant funds, including annual reporting on use of the grants. DDF agrees to submit a draft template of the grant agreement to DDCF for review and comment. In addition to other terms and conditions, the agreement template must also include the following language "[Grant Recipient] shall comply with all applicable state, city, and federal laws, ordinances, codes, and regulations. In addition you shall comply with not-for-profit rules forbidding the carrying on of propaganda or otherwise attempting to influence legislation or intervention in (including the publishing or

distribution of statements) any political campaign on behalf of any candidate for public office. [Grant Recipient] shall obtain all permits, licenses and approvals required and shall comply with all laws in connection therewith.”

- (3) DDF will make payments to each individual artist in accordance with the grant agreement and the approved grant payout schedule determined with each artist.
- (4) DDF will maintain files on each grant awarded and monitor the programmatic and financial progress of each Artist Award recipient.

Collaboration with Program Partner

- (5) DDF agrees to work collaboratively with the Creative Capital Foundation (CCF), which has been selected and funded by DDCF to be the implementation partner for the Awards Program, to achieve the goals of the Awards Program. DDF further agrees to enter into an agreement with CCF that describes the responsibilities of each organization to each other with respect to the Awards Program.

Payment of Grant Funds

- (6) Grant payments will be linked with the payout schedules determined by DDF with each artist. DDF plans to make payments to artists on or about January 15, May 15, September 15, and December 1 each year. Approximately ten days prior to each payment date, DDF will provide DDCF with projections of its cash needs. Upon receipt and approval of the cash projections, DDCF will schedule a payment to DDF. In the event that additional cash is needed during the year, DDF may request additional payments from DDCF; DDCF will evaluate each such request within the context of its overall payout goals for the year and the Grant.

Expenditure Responsibility Requirements

- (7) DDF agrees to repay to DDCF any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (8) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, DDF agrees to submit full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of DDF or a certified public accountant, within a reasonable period of time after the close of DDF's annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant); including a listing of each grant awarded describing the grant recipient, amount awarded, project support, amount paid during the reporting period, and future payout scheduled by year.
- (9) DDF agrees to report the Grant separately on its books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (10) DDF agrees to maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make DDF's books, records and other information available for DDCF's inspection at reasonable times, which DDCF believes necessary to keep DDCF fully apprised of the status or use of the Grant or income arising therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");
- (11) DDF agrees to keep these records of receipts and expenditures and copies of reports submitted to DDCF for at least four years after completion of the use of the Grant funds; and

(12) DDF agrees not to use any of the Grant or income arising therefrom for any of the following purposes:

- a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
- b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
- c) To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
- d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, all as defined in section 170(c)(2)(B) of the Code.

Qualifying Distribution

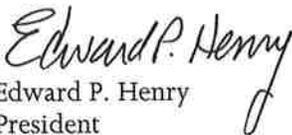
DDF also agrees that no later than the end of its first taxable year after the taxable year in which it receives a Grant payment from DDCF, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant payment, and, within such time period, DDF agrees to provide adequate records or other sufficient evidence to DDCF to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (i) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which DDF elects pursuant to Treas. Reg. 53.4942(A)3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if DDF were not an operating foundation.

In the event that DDF violates the terms of this Letter Agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, DDF agrees that it will refund to DDCF the full amount of the Grant and pay over to DDCF any additional amount which may be necessary to effect a correction under section 4945 of the Code.

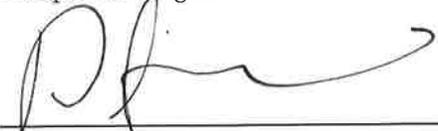
The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require DDCF to ask that more detailed reports be submitted or that other steps be taken. The DDCF will promptly inform DDF of any such changes, and by signing this letter you indicate DDF's agreement to provide such additional information and comply with such requests.

If this letter correctly sets forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

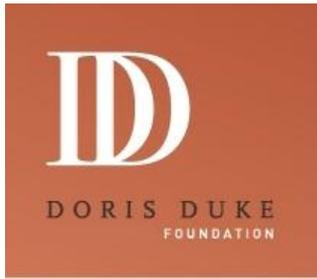
Sincerely,


Edward P. Henry
President

Accepted and agreed for **Doris Duke Foundation:**


Peter Simmons, Chief Operating Officer

12/19/14
Date



October 23, 2014

Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2014206

Dear Mr. Henry:

In November 2014, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation (“DDF”) totaling \$1,600,000 (the “Grant”).

By grant agreement executed December 19, 2014 (the “Grant Agreement”), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF’s fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

Name and address of grantee:

Doris Duke Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Purpose of Grant: To support the second cohort of Doris Duke Impact Award recipients, to be selected for funding through the Doris Duke Performing Artists Awards Program in 2015.

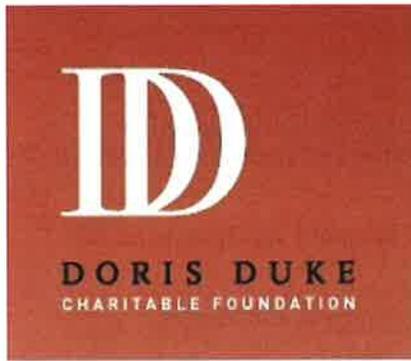
Use of Qualifying Distribution Grant funds by DDF: This is a report for calendar year 2014. No payments were received from DDCF and no funds were expended in 2014.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in blue ink, appearing to read 'Peter Simmons'.

Peter Simmons
Chief Operating Officer
Doris Duke Foundation



December 19, 2014

DDCF Grant No. 2014207

Peter Simmons
Chief Operating Officer
Doris Duke Foundation
650 Fifth Avenue
New York, NY 10019

Dear Mr. Simmons:

At a meeting on November 17, 2014, the Trustees of the Doris Duke Charitable Foundation (“DDCF”) approved a 5-year grant (“Grant”) to the Doris Duke Foundation (“DDF”) totaling \$5,225,000 to support the fourth cohort of Doris Duke Artist Award recipients to be selected for funding through the Doris Duke Performing Artists Awards Program (the “Awards Program”).

This letter describes the terms and conditions for the Grant, including the specific "expenditure responsibility" obligations arising because DDF is a private foundation.

By signing this letter, you agree on behalf of DDF to comply with the following obligations with respect to this Grant:

Grant Requirements

Grants to Artists

- (1) DDF will provide grants to the individual artists selected to receive funding by the 2015 selection panels of the Awards Program. Each grant will total up to \$275,000, including:
 - **\$225,000 of unrestricted funding**, to be used flexibly by the artist over three to five years, starting from the date the awards are announced. The intent of this funding is to allow the artists to take creative risks, explore new ideas, and pay for important needs.
 - **Up to \$25,000 restricted for audience development or arts education activities**, contingent upon DDF’s receipt and approval of a budget and work plan for expanding audiences for artistic work and/or arts education activities.
 - **Up to \$25,000 as an incentive for the artist to save for his/her artistic future.**
- (2) Each grant awarded shall have a grant agreement detailing the terms and conditions for use of the grant funds, including annual reporting on use of the grants. DDF agrees to submit a draft template of the grant agreement to DDCF for review and comment. In addition to other terms and conditions, the agreement template must also include the following language “[Grant Recipient] shall comply with all applicable state, city, and federal laws, ordinances, codes, and regulations. In addition you shall comply with not-for-profit rules forbidding the carrying on of propaganda or otherwise attempting to influence legislation or intervention in (including the publishing or

distribution of statements) any political campaign on behalf of any candidate for public office. [Grant Recipient] shall obtain all permits, licenses and approvals required and shall comply with all laws in connection therewith.”

- (3) DDF will make payments to each individual artist in accordance with the grant agreement and the approved grant payout schedule determined with each artist.
- (4) DDF will maintain files on each grant awarded and monitor the programmatic and financial progress of each Artist Award recipient.

Collaboration with Program Partner

- (5) DDF agrees to work collaboratively with the Creative Capital Foundation (CCF), which has been selected and funded by DDCF to be the implementation partner for the Awards Program, to achieve the goals of the Awards Program. DDF further agrees to enter into an agreement with CCF that describes the responsibilities of each organization to each other with respect to the Awards Program.

Payment of Grant Funds

- (6) Grant payments will be linked with the payout schedules determined by DDF with each artist. DDF plans to make payments to artists on or about February 15, May 15, September 15, and December 15 each year. Approximately ten days prior to each payment date, DDF will provide DDCF with projections of its cash needs. Upon receipt and approval of the cash projections, DDCF will schedule a payment to DDF. In the event that additional cash is needed during the year, DDF may request additional payments from DDCF; DDCF will evaluate each such request within the context of its overall payout goals for the year and the Grant.

Expenditure Responsibility Requirements

- (7) DDF agrees to repay to DDCF any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (8) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, DDF agrees to submit full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of DDF or a certified public accountant, within a reasonable period of time after the close of DDF's annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant); including a listing of each grant awarded describing the grant recipient, amount awarded, project support, amount paid during the reporting period, and future payout scheduled by year.
- (9) DDF agrees to report the Grant separately on its books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (10) DDF agrees to maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make DDF's books, records and other information available for DDCF's inspection at reasonable times, which DDCF believes necessary to keep DDCF fully appraised of the status or use of the Grant or income arising therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");
- (11) DDF agrees to keep these records of receipts and expenditures and copies of reports submitted to DDCF for at least four years after completion of the use of the Grant funds; and

(12) DDF agrees not to use any of the Grant or income arising therefrom for any of the following purposes:

- a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
- b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
- c) To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
- d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, all as defined in section 170(c)(2)(B) of the Code.

Qualifying Distribution

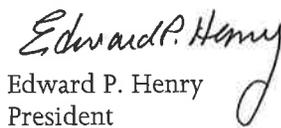
DDF also agrees that no later than the end of its first taxable year after the taxable year in which it receives a Grant payment from DDCF, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant payment, and, within such time period, DDF agrees to provide adequate records or other sufficient evidence to DDCF to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (i) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which DDF elects pursuant to Treas. Reg. 53.4942(A)3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if DDF were not an operating foundation.

In the event that DDF violates the terms of this Letter Agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, DDF agrees that it will refund to DDCF the full amount of the Grant and pay over to DDCF any additional amount which may be necessary to effect a correction under section 4945 of the Code.

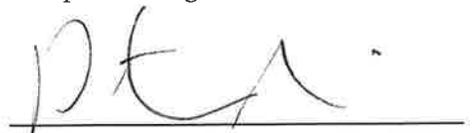
The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require DDCF to ask that more detailed reports be submitted or that other steps be taken. The DDCF will promptly inform DDF of any such changes, and by signing this letter you indicate DDF's agreement to provide such additional information and comply with such requests.

If this letter correctly sets forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Sincerely,


Edward P. Henry
President

Accepted and agreed for **Doris Duke Foundation:**


Peter Simmons, Chief Operating Officer

12/19/17
Date



October 23, 2015

Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2014207

Dear Mr. Henry:

In November 2014, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation (“DDF”) totaling \$5,225,000 (the “Grant”).

By grant agreement executed December 19, 2014 (the “Grant Agreement”), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF’s fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

Name and address of grantee:

Doris Duke Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Purpose of Grant: To support the fourth cohort of Doris Duke Artists Award recipients, to be selected for funding through the Doris Duke Performing Artists Awards Program in 2015.

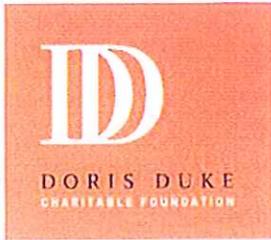
Use of Qualifying Distribution Grant funds by DDF: This is a report for calendar year 2014. No payments were received from DDCF and no funds were expended in 2014.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in blue ink, appearing to read 'Peter Simmons', written over a light blue horizontal line.

Peter Simmons
Chief Operating Officer
Doris Duke Foundation



October 20, 2015

Ms. Amy Winsor
Director of Finance
Newport Restoration Foundation
51 Touro Street
Newport, Rhode Island 02840

Dear Ms. Winsor:

The Doris Duke Charitable Foundation has made grants to the Newport Restoration Foundation in 2014 totaling \$2,450,000 to be used for Rough Point's general operating expenses (referred to collectively as the "Grant"). Because the Newport Restoration Foundation is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from the Newport Restoration Foundation before making payment of the Grant funds. By signing this letter, you agree on behalf of the Newport Restoration Foundation to the following specific obligations with respect to this Grant:

- (1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant);
- (3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which we believe necessary to keep us fully apprised of the status or use of the Grant or income arising there from, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");

- (5) To keep these records of receipts and expenditures and copies of reports submitted to the Doris Duke Charitable Foundation for at least four years after completion of the use of the Grant funds; and
- (6) Not to use any of the Grant or income arising there from,
 - (a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
 - (b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
 - (c) To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
 - (d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, or as defined in section 170(c)(2)(B) of the Code.

In the event that you violate the terms of this letter agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, you agree that you will refund to the Doris Duke Charitable Foundation the full amount of the Grant and pay over to the Doris Duke Charitable Foundation any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the Doris Duke Charitable Foundation to ask that more detailed reports be submitted or that other steps be taken. The Doris Duke Charitable Foundation will promptly inform you of any such changes, and by signing this letter you indicate your agreement to provide such additional information and comply with such requests.

The Newport Restoration Foundation also agrees that no later than the end of its first taxable year after the taxable year in which it receives the Grant, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant, and, within such time period, the Newport Restoration Foundation agrees to provide adequate records or other sufficient evidence to the Doris Duke Charitable Foundation to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (i) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details concerning the payment of any operating expenses

Ms. Amy Winsor
Page 3
October 20, 2015

which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years, which the Newport Restoration Foundation elects pursuant to Treas. Reg. 53.4942(A)-3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if the Newport Restoration Foundation were not an operating foundation.

If this letter and its attachment correctly set forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Very truly yours,

The Doris Duke Charitable Foundation

By: Edward P. Henry
Edward P. Henry, President

Agreed to and accepted on behalf of the
Newport Restoration Foundation this 21st
day of October, 2015

By: Amy Winsor
Amy Winsor, Director of Finance

NEWPORT RESTORATION FOUNDATION

Founded by Doris Duke, 1968



51 TOURO STREET

NEWPORT, RHODE ISLAND 02840-2932

Telephone 401.849.7300

Facsimile 401.849.0125

www.newportrestoration.org

October 21, 2015

Mr. Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, New York 10019

Dear Mr. Henry:

In 2014, the Doris Duke Charitable Foundation ("DDCF") made grants totaling \$2,450,000 to the Newport Restoration Foundation ("NRF") in support of Rough Point. By a letter dated October 20, 2015, I agreed on behalf of NRF to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. This is a report covering the portion of the grant funds spent in 2014.

Name and address of grantee:

Newport Restoration Foundation
51 Touro Street
Newport, Rhode Island 02840

Purpose of Grants:

To be used for Rough Point's general operating expenses. The Rough Point Property owned by NRF is used for a charitable purpose since it is a historic house, which is open to the public.

The following table contains the date of payment of each grant, the amount of the grant, and a description of how the grant was spent by NRF:

<u>Date of Payment</u>	<u>Amount of Grant</u>	<u>Purpose for which Grant was Used</u>
01/13/14	\$674,960	Employee Related Expenses; General Operating Expenses; General Administrative Expenses and Capital Expenses
03/10/14	\$674,960	" "
06/09/14	\$674,960	" "
09/08/14	\$425,120	" "

All of the grant funds have been spent for Rough Point's general operating expenses, and no portion of the grant funds has been diverted from such purpose.

Mr. Edward P. Henry

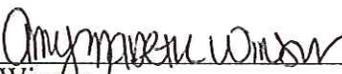
Page 2

October 21, 2015

In addition, in the letter dated October 20, 2015, I represented that before the end of 2014 NRF would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of \$2,450,000 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made.

As described above, \$2,281,573 of the grant funds were spent for reasonable and necessary administration expenses to accomplish NRF's charitable purposes, which are qualifying distributions, and \$168,427 will be spent in 2015. Therefore, a qualifying distribution was made of \$2,281,573 of the grant funds in 2014. Since NRF has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if NRF were not a private operating foundation. NRF will make a qualifying distribution out of corpus of the balance of the grant funds in 2015.

Very truly yours,
Newport Restoration Foundation

By: 
Amy Winsor
Director of Finance

Doris Duke Charitable Foundation
GRANT AGREEMENT
(Expenditure Responsibility)

This Grant Agreement sets forth the terms of the 16-month \$50,000 grant (the "Grant") by the Doris Duke Charitable Foundation ("DDCF") to What Is Missing Foundation ("GRANTEE") to cover the period September 1, 2014 through December 31, 2015. References to the "Grant Agreement" shall include this Agreement as well as the proposal and budget submitted June 19, 2014 ("the Grant Documentation"). The purpose of the Grant is to support development and implementation of phase three of *Greenprint for the Future*.

The Grant, which will be considered approved only after final execution of this document, is made subject to the following terms and conditions:

Program and Administrative Requirements

1. The Grant is to be used by GRANTEE in accordance with the Grant Agreement and may not be used for any other purpose or program of GRANTEE without the prior written approval of DDCF.
2. DDCF, through its designated program director, must be consulted in advance in the event that any aspect of the program is changed or redesigned.
3. GRANTEE shall ensure that the terms and conditions of all sub-agreements to support work with funds from this Grant (e.g., memoranda of understanding, major subcontract, re-granting agreements, etc.) are in accordance with the terms of this Grant Agreement. GRANTEE further agrees to provide DDCF with copies of such sub-agreements should DDCF request them for its monitoring purposes.
4. Advance notice of all major meetings, seminars and workshops relating to this project must be provided to DDCF.
5. GRANTEE agrees that not later than the end of its first taxable year after the taxable year in which it receives the DDCF grant payment, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Internal Revenue Code (IRC), equal to the value of the Grant, and within such time period the GRANTEE agrees to provide adequate records or other sufficient evidence to DDCF to demonstrate that this distribution has been made. Such records or evidence will also state that the qualifying distribution was a distribution out of corpus under section 4942 of the IRC.
6. No funds may be used to:
 - a) Carry on propaganda, or otherwise attempt to influence legislation (as defined by Section 4945 of the IRC);
 - b) influence the outcome of any specific public election, or carry on, directly or indirectly, any voter registration drive (as defined in IRC Section 4945);
 - c) make an individual grant or re-grant funds to another organization unless the requirements of IRC 4945 are met; or
 - d) advance a purpose that is not specified in Section 170(c)(2)(B) of the Internal Revenue Code.

Tax Exempt Status

1. DDCF is making the Grant in reliance on the determination by the Internal Revenue Service that GRANTEE is an organization described in section 501(c)(3) of the Internal Revenue Code of 1986, as amended (the "Code") and that it is a private foundation. Accordingly, GRANTEE certifies that there are no issues presently pending before any office of the Internal Revenue Service that could result in any change to the tax status determination and agrees to notify DDCF immediately in writing of any changes to its tax-exempt status or its status as a publicly supported organization.
2. No part of the Grant will be used for a purpose that is not specified in Section 170(c)(2)(B) of the Internal Revenue Code, and no part of the Grant will be used for any political lobbying activity.

Payment and Expenditure of Grant Funds

An ACH transfer for the full amount of the Grant will be made to GRANTEE's bank upon receipt by DDCF of the Grant Agreement signed by a duly authorized representative of GRANTEE.

All interest income earned on the investment of the Grant from the time of the award to expenditure is to be used for the purposes described in the Grant Documentation.

These grant funds are restricted to the uses as described above and are to be used as represented in the approved budget included in the Grant Documentation. These grant monies are not to be used in any other way or for any other purposes without the prior written approval of DDCF. Budget revisions of 10% or more of the total approved budget for the Grant and/or any significant changes in the original program plan must be reported and approved in writing in advance by DDCF.

Reporting and Record Keeping Requirements

1. GRANTEE shall submit to DDCF full and complete annual written reports in accordance with the schedule below, to cover (a) the use of the grant funds, (b) compliance with the terms of the grant, and (c) the progress made by the GRANTEE toward achieving the purposes for which the Grant was made. Annual reports must be provided for the year of receipt of the Grant, and for all subsequent years until the grant funds are expended in full or the grant is otherwise terminated.

Date Due	Report Type	Period Covered
2/1/2015	Annual Progress and Financial Report	9/1/2014 – 12/31/2014
2/1/2016	Final Progress and Financial Report	1/1/2015 – 12/31/2015

2. Reports shall containing the following components:
 - a. narrative and description of accomplishments, challenges and future plans of the funded project;
 - b. copies of representative materials, brochures, reports, and other materials relating to the project, as well as representative media coverage, if any;
 - c. GRANTEE's most recent audited financial statements *that have not already been submitted to DDCF*. If the audited statement is not available at the time of the report's submission,

- GRANTEE should submit a copy of the unaudited statement or 990PF tax return and follow up by submitting the audited statement as soon as it is available; and
- d. separate accounting for the project funding. The accounting should include a detailed comparison of actual expenses to the approved line-item budget. Include an explanation for any overages and/or unspent funds and any revised budget and budget narrative for the following year, where appropriate. Please note: if the approved budget indirect costs as a percent of direct program costs, the indirect rate should be applied to actual expenditures during each period covered by the report.
3. The GRANTEE is expected to maintain complete books and records of revenues and expenditures relating to the Grant, together with appropriate supporting documentation. These books and records are to be made available for inspection at reasonable times if deemed necessary by DDCF. DDCF, at its expense, may audit or have audited the records of the GRANTEE insofar as they relate to the disposition of the funds granted by DDCF, and the GRANTEE shall provide all necessary assistance in connection therewith. Records on the Grant must be kept for at least four (4) years after completion of the Grant.
 4. One copy of the report should be submitted to DDCF's Director of Grants Management. Please include your grant number (#2014139) on the cover page of the report.

Acknowledgment

Acknowledgment for the Grant should include:

1. A general acknowledgment of DDCF among GRANTEE's list of annual funders in all appropriate programs, publications and public announcements for the grant period.
2. Use of the complete Foundation name, "Doris Duke Charitable Foundation," in any public documents pertaining to the Grant. Prominence of acknowledgment should be commensurate with level of funding relative to other funding sources.

Publicity and Announcement

1. DDCF reserves the right to announce the Grant publicly in a manner and at a time of its choosing. DDCF further reserves the right to include information relating to the Grant in any materials issued by or on behalf of DDCF, including on its website. When practicable, DDCF will provide GRANTEE with an opportunity to review press releases prior to publication.
2. DDCF (through its Communications Officer, below) must be informed in advance of any public announcement about the Grant and must be given at least three business days prior to public release to review and approve a draft of each proposed announcement. Public announcement includes press releases, newsletters, website content, invitations to DDCF-sponsored events or events in which work funded by the Grant will be presented. If requested, DDCF will work with the GRANTEE to design a strategy for announcing the Grant.
3. Any press releases about the Grant must conform to the following guidelines:
 - a. DDCF should be named in the first paragraph, and provision should be made in the release for a quote from a senior representative of DDCF.
 - b. The following description of the DDCF should be included in the announcement:
"The mission of the Doris Duke Charitable Foundation is to improve the quality of people's lives through grants supporting the performing arts, environmental

conservation, medical research and child well-being, and through preservation of the cultural and environmental legacy of Doris Duke's properties."

4. Any marketing and educational materials that include mention of DDCF must be provided to DDCF for review and approval at least three business days prior to public release.
5. All inquiries regarding communications about the Grant as well as requests to use DDCF's logo shall be directed to DDCF's Communications Officer, Kristin Roth-Schrefer (kschrefer@ddcf.org).

Conditions Necessitating a Refund to DDCF or Withholding of Grant Funds

If any of the following circumstances occur, DDCF may, at its discretion, in addition to other remedies available to it, require that GRANTEE repay, upon 30-days written notice, the full amount of the Grant funds which were unspent or inappropriately or reversibly committed as of the date of the occurrence, and DDCF shall have no further obligation to distribute any unpaid portion of the Grant to GRANTEE:

1. The purpose of the Grant has been fully completed or the period of time indicated in the Grant Agreement for the completion of the purpose of the Grant has expired (without being extended with the consent of DDCF).
2. GRANTEE is no longer exempt from federal taxation as an organization described in Section 501(c)(3) of the Internal Revenue Code or becomes a Type III supporting organization as defined in Section 509(a) of the Code. GRANTEE agrees to notify DDCF immediately upon the occurrence of this circumstance.
3. If, in DDCF's judgment, GRANTEE, for any reason, becomes unable to carry out the purpose of the Grant stated in the Grant Agreement, or otherwise violates the terms of the Grant Agreement.
4. DDCF conveys to GRANTEE in writing any concerns about the stability of GRANTEE's operations or organization or its compliance with the terms of this Grant Agreement and the concerns are not addressed to DDCF's satisfaction within a 45-day period after notice.

No Assignment or Delegation

GRANTEE may not assign or otherwise transfer any of its rights or delegate any of its obligations under the Grant Agreement or with respect to the Grant.

Acceptance by the **Doris Duke Charitable Foundation:**

By: Edward P. Henry
Edward P. Henry, President

Accepted and agreed:

Aug 14, 2014

By: Maya Lin
Maya Lin (Aug 14, 2014)
Maya Lin
President

What Is Missing Foundation

WHAT IS MISSING?

October 20, 2015

Adrienne A. Fisher
Director of Grants Management
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2014139

Dear Ms. Fisher,

In 2014, the Doris Duke Charitable Foundation (DDCF) made a grant to the What is Missing? Foundation totaling \$50,000 (the "Grant").

By grant agreement dated August 14, 2014 (the "Grant Agreement"), the What is Missing? Foundation agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, the What is Missing? Foundation represented that before the end of 2015, it would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of the Grant, and that it would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

Name and address of grantee:

What is Missing? Foundation
39 Bond Street, 4th Floor
New York, NY 10012

Purpose of Grant: To support development and implementation of phase three of *Greenprint for the Future*

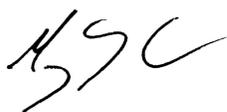
Use of Qualifying Distribution Grant funds by the What is Missing? Foundation:

This is a report for calendar year 2014. \$11,000 of the grant funds were expended in 2014 toward phase three of the *Greenprint for the Future* project, as described in the narrative report submitted to DDCF on February 2, 2015 and the financial report dated February 1, 2015.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

An expenditure responsibility report on project expenditures in 2015 from Grant No. 2014139 will be provided to DDCF in 2016.

Sincere thanks and appreciation,



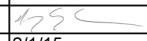
Maya Lin

**Doris Duke Charitable Foundation
Financial Report**

GRANTEE: What is Missing? Foundation **GRANT #:** 2014139 **Reporting Period:** 09/01/2014 - 12/31/2014

BUDGET CATEGORIES (add rows if needed)	Cumulative DDCF Expenditures Previously Reported through (Date)	Current Reporting Period - DDCF Support			Non-DDCF Support	
		Approved Budget 09/01/2014 - 12/31/2014	Actual Expenditures 09/01/2014 - 12/31/2014	Variance 09/01/2014 - 12/31/2014	Brief Explanation (use text box at bottom of page for additional space/comments)	In-Kind
A. PERSONNEL						
1. Staff Positions (add rows if needed)						
Staff - Research, Design, & Infographics		5,100	5,000	100		
Staff - In-House Topic Specific Researcher		5,100	4,800	300		
Staff - Research, Video, Infographics		765	800	(35)		
Staff - Outside Graphic Designer		765	0	765	still to be hired	
				-		
				-		
Total Salaries	\$	\$ 11,730	\$ 10,600	\$ 1,130		
2. Fringe benefits (Rate %)				-		
TOTAL PERSONNEL	\$	\$ 11,730	\$ 10,600	\$ 1,130		
					\$ -	\$ -
B. OTHER COSTS						
1. Office Supplies				-		
2. Printing				-		
3. Space Rental				-		
4. Equipment Rental or Purchase				-		
5. Postage/Mailing				-		
6. Travel				-		
7. Conferences				-		
8. Consultants		770	400	370		
9. Telephone				-		
10. Other (List below; add rows if needed)				-		
				-		
TOTAL OTHER COSTS	\$	\$ 770	\$ 400	\$ 370		
					\$ -	\$ -
C. Total Direct Costs	\$	\$ 12,500	\$ 11,000	\$ 1,500		
D. INDIRECT COSTS						
E. GRAND TOTAL	\$	\$ 12,500	\$ 11,000	\$ 1,500		
					\$ -	\$ -

FINANCIAL SUMMARY	
Total DDCF Payments to Date;	\$50,000
Total Interest Earned to Date;	\$3
Total Payments + Interest (auto-calculated)	\$50,003
Prior Expenditures Reported (auto-calculated from above)	\$0
Current Expenditures (auto-calculated from above)	\$11,000
Total Spent to Date (auto-calculated)	\$11,000
Funds Remaining (auto-calculated)	\$39,003

Financial Report Contact / Approval	
Name, Title:	Maya Lin, Founder, President of What is Missing?
Email:	maya@whatissmissing.net
Phone:	212.941.7463
Financial Officer Signature:	
Date:	2/1/15
Primary Contact for grant	
Print Name:	McKenna Cole
Signature:	
Date:	2/1/15

Report Date: February 1, 2015 Please provide both the excel sheet and signed PDF

Financial Report Narrative - additional variance explanation

As explained in the "Budget Revision Request" there have not been major deviations from the project's original financial budget proposal. Certain consultants (graphic and technical) will be hired in the second half of 2015 which is why we operated slightly under budget for the first few months of the project.

Doris Duke Charitable Foundation
GRANT AGREEMENT
(Expenditure Responsibility)

This Grant Agreement sets forth the terms of the one-year \$100,000 grant (the "Grant") by the Doris Duke Charitable Foundation ("DDCF") to International Network for Cancer Treatment and Research USA ("GRANTEE") to cover the period March 15, 2014 through March 14, 2015. References to the "Grant Agreement" shall include this Agreement as well as the proposal submitted February 24, 2014 and revised budget submitted March 7, 2014 (collectively, "the Grant Documentation"). The purpose of the Grant is to support the African Cancer Registry Network in enhancing cancer registration in sub-Saharan Africa.

The Grant, which will be considered approved only after final execution of this document, is made subject to the following terms and conditions:

Program and Administrative Requirements

1. The Grant is to be used by GRANTEE in accordance with the Grant Agreement and may not be used for any other purpose or program of GRANTEE without the prior written approval of DDCF.
2. DDCF, through its designated program director, must be consulted in advance in the event that any aspect of the program is changed or redesigned.
3. GRANTEE shall ensure that the terms and conditions of all sub-agreements to support work with funds from this Grant (e.g., memoranda of understanding, major subcontract, re-granting agreements, etc.) are in accordance with the terms of this Grant Agreement. GRANTEE further agrees to provide DDCF with copies of such sub-agreements should DDCF request them for its monitoring purposes. Advance notice of all major meetings, seminars and workshops relating to this project must be provided to DDCF.
4. GRANTEE agrees that not later than the end of its first taxable year after the taxable year in which it receives the DDCF grant payment, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Internal Revenue Code (IRC), equal to the value of the Grant, and within such time period the GRANTEE agrees to provide adequate records or other sufficient evidence to DDCF to demonstrate that this distribution has been made. Such records or evidence will also state that the qualifying distribution was a distribution out of corpus under section 4942 of the IRC.
5. No funds may be used to:
 - a) Carry on propaganda, or otherwise attempt to influence legislation (as defined by Section 4945 of the IRC);
 - b) influence the outcome of any specific public election, or carry on, directly or indirectly, any voter registration drive (as defined in IRC Section 4945);
 - c) make an individual grant or re-grant funds to another organization unless the requirements of IRC 4945 are met; or
 - d) advance a purpose that is not specified in Section 170(c)(2)(B) of the Internal Revenue Code, and no part of the Grant will be used for any political lobbying activity.

Tax Exempt Status

DDCF is making the Grant in reliance on the determination by the Internal Revenue Service that GRANTEE is an organization described in section 501(c)(3) of the Internal Revenue Code of 1986, as amended (the "Code") and that it is a private foundation. Accordingly, GRANTEE certifies that there are no issues presently pending before any office of the Internal Revenue Service that could result in any change to the tax status determination and agrees to notify DDCF immediately in writing of any changes to its tax-exempt status or its status as a publicly supported organization.

Payment and Expenditure of Grant Funds

An ACH transfer for the full amount of the Grant will be made to GRANTEE's bank upon receipt by DDCF of the Grant Agreement signed by a duly authorized representative of GRANTEE.

All interest income earned on the investment of the Grant from the time of the award to expenditure is to be used for the purposes described in the Grant Documentation.

These grant funds are restricted to the uses as described above and are to be used as represented in the approved budget included in the Grant Documentation. These grant monies are not to be used in any other way or for any other purposes without the prior written approval of DDCF. *Budget revisions of 10% or more of the total approved budget for the Grant and/or any significant changes in the original program plan must be reported and approved in writing in advance by DDCF.*

Reporting and Record Keeping Requirements

1. GRANTEE shall submit to DDCF full and complete annual written reports on: (a) the use of the grant funds, (b) compliance with the terms of the grant, and (c) the progress made by the GRANTEE toward achieving the purposes for which the Grant was made. Annual reports must be provided for the year of receipt of the Grant, and for all subsequent years until the grant funds are expended in full or the grant is otherwise terminated.
2. A final report on the Grant, containing the following components, will be due to DDCF on April 15, 2015:
 - a. narrative and description of accomplishments, challenges and future plans of the funded project;
 - b. copies of representative materials, brochures, reports, and other materials relating to the project, as well as representative media coverage, if any;
 - c. GRANTEE's most recent audited financial statement, if available, or the most recent 990PF tax return, in lieu of an audited financial statement; and
 - d. separate accounting for the project funding. The accounting should include a detailed comparison of actual expenses to the approved line-item budget. Include an explanation for any overages and/or unspent funds and any revised budget and budget narrative for the following year, where appropriate. Please note: if the approved budget indirect costs as a percent of direct program costs, the indirect rate should be applied to actual expenditures during each period covered by the report.
3. The GRANTEE is expected to maintain complete books and records of revenues and expenditures relating to the Grant, together with appropriate supporting documentation. These books and records are to be made available for inspection at reasonable times if deemed necessary by DDCF. DDCF, at its expense, may audit or have audited the records of the GRANTEE insofar as they relate to the disposition of the funds granted by DDCF, and the

GRANTEE shall provide all necessary assistance in connection therewith. Records on the Grant must be kept for at least four (4) years after completion of the Grant.

4. One copy of the report should be submitted to DDCF's Director of Grants Management within a reasonable period of time after the close of the Grantee's annual accounting period. Please include your grant number (#201468) on the cover page of the report.

Acknowledgment

1. A general acknowledgment of DDCF among GRANTEE's list of annual funders in all appropriate programs, publications and public announcements for the grant period.
2. Use of the complete Foundation name, "Doris Duke Charitable Foundation," in any public documents pertaining to the Grant. Prominence of acknowledgment should be commensurate with level of funding relative to other funding sources.

Publicity and Announcement

1. DDCF reserves the right to announce the Grant publicly in a manner and at a time of its choosing. DDCF further reserves the right to include information relating to the Grant in any materials issued by or on behalf of DDCF, including on its website. When practicable, DDCF will provide GRANTEE with an opportunity to review press releases prior to publication.
2. DDCF (through its Communications Officer, below) must be informed in advance of any public announcement about the Grant and must be given at least three business days prior to public release to review and approve a draft of each proposed announcement. Public announcement includes press releases, newsletters, website content, invitations to DDCF-sponsored events or events in which work funded by the Grant will be presented. If requested, DDCF will work with the GRANTEE to design a strategy for announcing the Grant.
3. Any press releases about the Grant must conform to the following guidelines:
 - a. DDCF should be named in the first paragraph, and provision should be made in the release for a quote from a senior representative of DDCF.
 - b. The following description of the DDCF should be included in the announcement:

"The mission of the Doris Duke Charitable Foundation is to improve the quality of people's lives through grants supporting the performing arts, environmental conservation, medical research and child well-being, and through preservation of the cultural and environmental legacy of Doris Duke's properties."
4. Any marketing and educational materials that include mention of DDCF must be provided to DDCF for review and approval at least three business days prior to public release.
5. All inquiries regarding communications about the Grant as well as requests to use DDCF's logo shall be directed to DDCF's Communications Officer, Kristin Roth-Schrefer (kschrefer@ddcf.org).

Conditions Necessitating a Refund to DDCF

If any of the following circumstances occur, DDCF may, at its discretion, in addition to other remedies available to it, require that GRANTEE repay, upon 30-days written notice, the full amount of the Grant funds which were unspent, inappropriately spent, or reversibly committed as of the date of the occurrence, and DDCF shall have no further obligation to distribute any unpaid portion of the Grant to GRANTEE:

1. The purpose of the Grant has been fully completed or the period of time indicated in the Grant Agreement for the completion of the purpose of the Grant has expired (without being extended with the consent of DDCF).
2. GRANTEE is no longer exempt from federal taxation as an organization described in Section 501(c)(3) of the Internal Revenue Code. GRANTEE agrees to notify DDCF immediately upon the occurrence of this circumstance.
3. If, in DDCF's judgment, GRANTEE, for any reason, becomes unable to carry out the purpose of the Grant stated in the Grant Agreement, or otherwise violates the terms of the Grant Agreement.
4. DDCF conveys to GRANTEE in writing any concerns about the stability of GRANTEE's operations or organization or its compliance with the terms of this Grant Agreement and the concerns are not addressed to DDCF's satisfaction at the end of a reasonable amount of time after notice.

No Assignment or Delegation

GRANTEE may not assign or otherwise transfer any of its rights or delegate any of its obligations under the Grant Agreement or with respect to the Grant.

Acceptance by the **Doris Duke Charitable Foundation:**

By: Edward P. Henry
Edward P. Henry, President

Accepted and agreed on: Mar 19, 2014

International Network for Cancer Treatment and Research USA

By: Raj Shah
Raj Shah (Mar 19, 2014)
Printed Name: Raj Shah
Title: President



International Network for Cancer Treatment and Research
"Collaborating to Conquer Cancer and other NCD's"

October 23, 2015

Adrienne A. Fisher
Director of Grants Management
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2014068

Dear Ms. Fisher:

In 2014, the Doris Duke Charitable Foundation (DDCF) made a grant to International Network for Cancer Treatment and Research, USA ("INCTR-USA") totaling \$100,000 (the "Grant").

By grant agreement dated March 19, 2014 (the "Grant Agreement"), INCTR-USA agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, the Grant Agreement, INCTR-USA represented that before the end of 2015, INCTR-USA would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of the Grant, and that INCTR-USA would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

Name and address of grantee:

International Network for Cancer Treatment and Research, USA
INCTR USA, 1 Research Court, Suite 200
Rockville, MD 20850

Purpose of Grant: To support the African Cancer Registry Network in enhancing cancer registration in sub-Saharan Africa

Use of Qualifying Distribution Grant funds by INCTR-USA:

This is a report for calendar year 2014. The grant funds were fully expended toward the cancer registration in African Cancer Registry Network project, as described in the narrative report submitted to DDCF on March 9, 2015 and the financial report dated March 9, 2015.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

This is our final expenditure responsibility report for Grant No. 2014068.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Connie Dalton Ezerski', is written over a printed name.

Connie Dalton Ezerski
Chief Administrative Officer
International Network for Cancer Treatment and Research, USA

**Doris Duke Charitable Foundation
Financial Report
Program Fund Grant**

Organization: <i>INCTR USE</i>								
Full Grant Term: <i>1JAN2014-31DEC2014</i>		DDCF Grant #: <i>2014068</i>						
		DDCF Funds Approved Budget	Actual DDCF Expenditures	Variance	Actual NON-DDCF Expenditures		Revised Projected Budget (if applicable)	
BUDGET CATEGORIES		3/19/2014	12/21/2014	(Enter Dates)	In-Kind Support	Other Funding	TOTAL PROJECT Expenditures	(Enter Dates)
A. PERSONNEL								
1.	Staff Positions (add additional rows, if more space is needed)	\$0	\$ -			\$ 25,600.00	\$ 25,600	
	PI: Dr Max Parkin	\$ 7,200.00	\$ 7,200.00	-		\$ 32,051.00	\$ 39,251	
	Admin: Mrs Biying Liu	\$ 14,000.00	\$ 14,000.00	-		\$ 4,934.00	\$ 18,934	
	Research Asst: Dr Louise Mous, Mrs Cathrien Okello			-			\$ -	
				-			\$ -	
				-			\$ -	
	Total Salaries	\$ 21,200	\$ 21,200.00	\$ -	\$ -	\$ 62,585.00	\$ 83,785	\$ -
2.	Fringe benefits (Rate ____%)			-			\$ -	
	SALARIES & BENEFITS	\$ 21,200	\$ 21,200.00	\$ -	\$ -	\$ 62,585.00	\$ 83,785	\$ -
B. OTHER THAN PERSONNEL SERVICES (OTPS)								
1.	Sonsultant visits	18,000	\$ 18,000.00	-		\$ 21,779.00	\$ 39,779	
2.	MoUs (sub-contracts)	18,000	\$ 18,000.00	-		\$ 15,998.00	\$ 33,998	
3.	Annual meeting	17,000	\$ 17,000.00	-		\$ 42,200.00	\$ 59,200	
4.	Training (Basic level, in French)	10,000	\$ 10,000.00	-		\$ 3,480.00	\$ 13,480	
5.	Training (Basic trainings in English, Protugese)	4,800	\$ 4,800.00	-		\$ 5,440.00	\$ 10,240	
6.	Technical support (CanReg)	4,000	\$ 4,000.00	-		\$ 8,669.00	\$ 12,669	
7.	Fellowship	2,700	\$ 2,700.00	-		\$ 4,802.00	\$ 7,502	
8.	Other (postage/pringting etc)	4,300	\$ 4,300.00	-		\$ 10,820.00	\$ 15,120	
9.				-			\$ -	
10.	Other (List below; add rows if needed)			-			\$ -	
				-			\$ -	
				-			\$ -	
				-			\$ -	
	OTPS	\$ 78,800	\$ 78,800	\$ -	\$ -	\$ 113,188	\$ 191,988	\$ -
	SUBTOTAL	\$ 100,000	\$ 100,000	\$ -	\$ -	\$ 175,773	\$ 275,773	\$ -
C.	INDIRECT COSTS (if applicable)							
D.	GRAND TOTAL	\$ 100,000	\$ 100,000	\$ -	\$ -	\$ 175,773	\$ 275,773	\$ -

FINANCIAL SUMMARY	
Total DDCF Payments to Date;	\$100,000
Prior Expenditures Reported	\$0
Current Expenditures	\$100,000
Total Spent to Date	\$100,000
Funds Remaining	\$0

Financial Report Contact / Approval	
Name, Title:	Mrs Biying Liu
Email:	bliu@afcm.org
Phone:	0044 1865 743842
Primary Contact for grant	
Print Name:	BIYING LIU
Signature:	<i>Biying Liu</i>
Date:	3/9/2015

Financial Report Narrative - variance explanation

Exchange rate at 1GBP=1.6USD for this report

Doris Duke Charitable Foundation
Form 990-PF - Return of Private Foundation
FYE: 12/31/2014
Attachment 12

Form 990-PF, Part VIII - List of Officers, Directors and Trustees

Name	Title	Hrs Worked	Comp	Employee Benefits	Expense Accounts
John Zuccotti	Chairperson	132 hours per year	\$ 48,909	NONE	NONE
Anthony S. Fauci	Vice Chairperson	96 hours per year	NONE	NONE	NONE
Kathy Halbreich	Trustee	84 hours per year	\$ 97,819	NONE	NONE
Harry Demopoulos	Trustee	96 hours per year	\$ 97,819	NONE	NONE
Jide Zeitlin	Trustee	108 hours per year	NONE	NONE	NONE
James F. Gill	Trustee	120 hours per year	\$ 97,819	NONE	NONE
Peter A. Nadosy	Trustee	120 hours per year	NONE	NONE	NONE
William H. Schlesinger	Trustee	120 hours per year	\$ 97,819	NONE	NONE
Nannerl O. Keohane	Trustee	120 hours per year	\$ 48,909	NONE	NONE
Angela K. Mwanza	Trustee	96 hours per year	\$ 50,000	NONE	NONE
Nicholas Scoppetta	Trustee	84 hours per year	\$ 50,000	NONE	NONE
Edward P. Henry	Chief Executive Officer/President	28 hours per week	NONE	NONE	NONE
Peter Simmons	Chief Operating Officer/Treasurer	12 hours per week	NONE	NONE	NONE
Erica Dziedzic	Secretary/Counsel	12 hours per week	NONE	NONE	NONE
Total Compensation			\$ 589,093	-	-

All trustees and officers provide services to five related entities (Doris Duke Charitable Foundation, Doris Duke Management Foundation, Duke Farms Foundation, Doris Duke Foundation for Islamic Art, Doris Duke Foundation), and may be reached at the Doris Duke Charitable Foundation's corporate address at 650 Fifth Avenue, 19th Floor, NY, NY, 10019. See Attachment 12(a) Note (1), Note (2) and Note (3) for further information.

Doris Duke Charitable Foundation
Form 990-PF - Return of Private Foundation
FYE: 12/31/2014
Attachment 12A

Notes:

(1) Compensation reported for the trustees disclosed on this schedule reflects payment for each individual's board service in 2013. The actual cash payments were made in January of 2014 (and the amounts have been reported on the Form 990-PF in Part I, Line 13 (d)) as part of the Foundation's current year charitable disbursements.

(2) The officers are paid compensation by the Doris Duke Management Foundation (DDMF). The hours shown on the Form 990-PF are based on an allocation of time spent on matters related to the Doris Duke Management Foundation (DDMF) and are for informational purposes only. These officers provide services to other related entities (Doris Duke Foundation (DDF), Doris Duke Management Foundation (DDMF), Duke Farms Foundation (DFF), and Doris Duke Foundation for Islamic Art (DDFIA)) and in the aggregate, hours worked on matters related to these entities equal or exceed 40 hours a week. The allocation of compensation paid by the Doris Duke Management Foundation and allocated to other related entities is based on an annual survey and is included in the management fee shown on Line 23, Part I, Other Expenses.

(3) The trustees who receive compensation are paid by the Doris Duke Charitable Foundation, even though they provide services to each of the five foundations (DDCF, DDMF, DDF, DFF, and DDFIA). The hours reported on Attachment 12 of the Form 990-PF represent an allocation of the trustees' time spent on matters related to DDCF including attendance at Board meetings and various foundation-related events and discussions. These hour allocations are reported to comply with the Form 990-PF reporting requirement, and are for informational purposes only. Trustees have oversight responsibilities and perform multiple tasks across all five foundations; and thus, significant additional hours are reported on the DDMF, DDF, DFF, DDFIA tax returns.

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 13

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
NORTHERN TRUST 50 LASALLE STREET CHICAGO, IL 60675	INVESTMENT CUSTODY	753,026.
OBERWEIS ASSET MANAGEMENT 3333 WARRENVILLE RD. LISLE, IL 60532	INVESTMENT MGMT FEES	372,804.
WALTER SCOTT & PARTNERS ONE CHARLOTTE SQUARE EH2 4DR EDINBURGH LONDON UNITED KINGDOM	INVESTMENT MGMT FEES	350,585.
SILCHESTER INTERNATIONAL 780 THIRD AVENUE, 42ND FL. NEW YORK, NY 10017	INVESTMENT MGMT FEES	337,314.
PACIFIC INVESTMENT MANAGEMENT COMPANY P.O. BOX 512129 LOS ANGELES, CA 90051	INVESTMENT MGMT FEES	331,418.
	TOTAL COMPENSATION	<u>2,145,147.</u>

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 14

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>AMOUNT</u>	<u>EXCLUSION CODE</u>	<u>AMOUNT</u>	<u>RELATED OR EXEMPT FUNCTION INCOME</u>
STATE WITHHOLDING TAX REFUNDS			01	61,974.	
MISCELLANEOUS INCOME			01	561.	
TOTALS				<u>62,535.</u>	

Doris Duke Charitable Foundation
Form 990-PF - Return of Private Foundation
FYE: 12/31/2014
Attachment 15

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	GRANTS PAID
PROGRAM GRANTS	No Relationship	63,915,616
NEWPORT RESTORATION FOUNDATION(NRF)	No Relationship	2,450,000
DUKE FARMS FOUNDATION	Related Entity	12,443,573
DORIS DUKE FOUNDATION FOR ISLAMIC ART	Related Entity	7,490,876
DONATIONS TO DUKE UNIVERSITY	No Relationship	21,600
Less DDF Pass Through Artist Recipient Grants	Related Entity	(4,218,057)
Less DDFIA Pass Through Qualifying Distributions	Related Entity	(2,171,070)
TOTAL		<u>79,932,538</u>

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING 2014

SUMMARY BY PROGRAM AREA Recipient and/or Purpose	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
TOTAL AFRICAN HEALTH INITIATIVE	7,189,299	285,000	-	6,037,341
TOTAL ARTS	16,782,640	8,326,954	(30,000)	14,377,500
TOTAL ARTS INITIATIVE	16,640,888	82,500	-	6,098,917
TOTAL CHILD WELL-BEING	8,617,856	2,701,250	-	5,376,666
TOTAL ENVIRONMENT	8,816,870	12,252,000	-	15,226,529
TOTAL MEDICAL RESEARCH	11,093,255	11,098,600	(81,000)	14,389,492
TOTAL CROSS PROGRAM GRANTS	-	1,030,000	-	1,030,000
TOTAL MEDIA FUND	-	1,658,125	-	788,125
TOTAL PHILANTHROPIC SECTOR/OTHER	-	591,046	-	591,046
GRAND TOTAL	69,140,808	38,025,475	(111,000)	63,915,616

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
AFRICAN HEALTH INITIATIVE					
Brigham and Women's Hospital Inc 75 Francis Street Boston, MA 02115 <i>Strengthening and Studying Community-based, integrated primary Health Care Systems in Rural Rwanda</i> \$8,505,000 2009	PC	802,748	-	-	802,748
Brigham and Women's Hospital Inc 75 Francis Street Boston, MA 02115 <i>To support participation in the 3rd Global Symposium on Health Systems Research</i> \$5,000 2014	PC	-	5,000	-	5,000
Brigham and Women's Hospital Inc 75 Francis Street Boston, MA 02115 <i>To support project staff to strengthen the qualitative data collection to inform the PHIT project</i> \$30,000 2014	PC	-	30,000	-	30,000
Catholic Medical Mission Board Inc 10 West 17th St New York, NY 10011 <i>To support oncology training workshops in Uganda and Ghana, in partnership with the Africa Oxford Cancer Foundation (AfrOx)</i> \$100,000 2014	PC	-	100,000	-	100,000
Trustees Of Columbia University In The City Of New York 630 West 168th Street New York, NY 10032 <i>Accelerating Millennium Development Goal Progress by Exchanging Health</i>	PC	1,000,000	-	-	1,000,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
<i>System Innovations between Tanzania and Ghana</i> \$17,512,911 2009					
Trustees Of Columbia University In The City Of New York 630 West 168th Street New York, NY 10032 <i>To support participation in the 3rd Global Symposium Health Systems Research</i> \$5,000 2014	PC	-	5,000	-	5,000
Trustees Of Columbia University In The City Of New York 630 West 168th Street New York, NY 10032 <i>To support participation in the 3rd Global Symposium in Health Systems Research</i> \$5,000 2014	PC	-	5,000	-	5,000
Council On Health Research For Development, USA 1072 Thomas Jefferson Street, NW, Washington, DC 20007 <i>To support workshops on data analysis and scientific writing to help strengthen the ability of junior investigators at INDEPTH Network member centers to publish their research</i> \$100,000 2014	PC	-	100,000	-	100,000
Health Alliance International 1107 NE 45th St Ste 350 Seattle, WA 98105 <i>Strengthening Integrated Primary Health Care and Workforce Training in Sofala Province, Mozambique</i> \$11,880,000 2009	PC	3,264,595	-	-	2,115,247
Health Alliance International	PC	-	5,000	-	5,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
1107 NE 45th St Ste 350 Seattle, WA 98105 <i>To support participation in the 3rd Global Symposium on Health Systems Research</i> \$5,000 2014					
University of Cape Town Fund, Inc. 132 East 43rd St New York, NY 10017 <i>To support Implementing large-scale health system health system strengthening interventions: experience from the DDCF' African Health Initiative</i> \$644,700 2013	PC	394,700	-	-	230,000
University of North Carolina at Chapel Hill 134 East Franklin Street, Campus Box 3233 Chapel Hill, NC 27599 <i>Clinical Mentoring and Community Engagement to Improve Millenium Development Goals Health Outcomes in Lusaka Province of Zambia</i> \$12,837,300 2009	PC	1,727,256	-	-	1,604,346
University of North Carolina at Chapel Hill 134 East Franklin Street, Campus Box 3233 Chapel Hill, NC 27599 <i>To support qualitative research to be conducted in collaboration with the University of Cape Town's Understanding Implementation" evaluation of the Zambia PHIT project</i> \$30,000 2014	PC	-	30,000	-	30,000
University of North Carolina at Chapel Hill 134 East Franklin Street, Campus Box 3233 Chapel Hill, NC 27599 <i>To support participation in the 3rd Global Symposium on Health Systems Research,</i>	PC	-	5,000	-	5,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
\$5,000 2014					
TOTAL African Health		7,189,299	285,000	-	6,037,341
ARTS					
Alliance of Resident Theatres New York, Inc. 520 Eighth Avenue, Suite 319 New York, NY 10018 <i>To support a rental subsidy fund designed to make theatre presentations in Manhattan's Midtown theatre district affordable for small and mid-sized theatres</i> \$500,000 2014	PC	-	500,000	-	500,000
American Composers Orchestra Inc 244 W 54 St Ste 805 New York, NY 10019 <i>To support the Jazz Composers Orchestra Institute</i> \$63,250 2014	PC	-	63,250	-	33,690
American Repertory Theatre 64 Brattle Street Cambridge, MA 02138 <i>Adaptive Capacity Initiative</i> \$1,000,000 2013	PC	950,000	-	-	510,000
American Theatre Wing Inc 230 West 41st Street, Suite 1101 New York, NY 10036 <i>To support the use of new media practices that engage audiences on their computers and mobile devices</i> \$225,000 2014	PC	-	225,000	-	118,750
Appalshop, Inc. 91 Madison Ave. Whitesburg, KY 41858	PC	-	50,000	-	50,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
<i>To support a strategic investment plan for Appalshop to sustain its mission under leadership transition</i> \$50,000 2014					
Arts Midwest 2908 Hennepin Ave, Suite 200 Minneapolis, MN 55408 <i>To support a public will building effort that will connect the arts to the closely held values of Americans - resulting in an increase in support for, appreciation of, and access to the arts.</i> \$152,576 2012	PC	73,740	-	-	73,740
Association of Performing Arts Presenters, Inc. 1211 Connecticut Ave NW., Suite 200 Washington, DC 20036 <i>To support the Artists and Audience Engagement Think Tank</i> \$28,000 2014	PC	-	28,000	-	28,000
AXIS Dance Company 1428 Alice St., Ste. 200 Oakland, CA 94612 <i>To support a national convening in 2016 focusing on best practices and the next stage of evolution for the field of physically integrated dance</i> \$101,250 2014	PC	-	101,250	-	28,238
Chamber Music America 12 W 32nd St. New York, NY 10001 <i>To support four rounds of the Doris Duke Jazz Ensembles Project</i> \$2,645,370 2013	PC	90,370	-	-	90,370
Chamber Music America	PC	25,430	-	-	25,430

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
12 W 32nd St. New York, NY 10001 <i>To support a research project toward alleviating overwhelming administrative burdens faced by jazz musicians and presenters nationwide</i> \$67,500 2013					
Childsplay, Inc. 900 S. Mitchell Dr Tempe, AZ 85281 <i>To support New Plays for Young Audiences Symposia</i> \$112,500 2011	PC	50,000	-	-	50,000
Creative Capital Foundation 15 Maiden Lane, 18th Floor New York, NY 10038 <i>To support grants and advisory services to Performing Artists</i> \$1,200,000 2013	PC	1,200,000	-	-	466,434
Creative Capital Foundation 15 Maiden Lane, 18th Floor New York, NY 10038 <i>Support for three rounds of The Multi-Arts Production Fund (MAP Fund)</i> \$4,085,052 2013	PC	4,085,052	-	-	1,615,130
Cultural Data Project 400 Market Street, Suite 600 Philadelphia, PA 19106 <i>To support the development of educational curriculum to build nonprofit dance, presenting, theatre, and music organizations' data literacy as a means of strengthening heir vitality, performance, and public impact</i> \$135,000	PC	-	135,000	-	71,250

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
2014 Cleveland Modern Dance Association 13110 Shaker Sq Ste 106 Cleveland, OH 44120-2381 <i>To support the exploration and assessment of the feasibility of establishing a National Center for Choreography in Northeast Ohio and two pilot residency projects</i> \$73,125	PC	-	73,125	-	46,100
2014 Dance USA 1111 16th Street NW, Suite 300 Washington, DC 20036 <i>To support round three of Engaging Dance Audiences in addition to refining practice, diversifying reach, measuring results, and sharing resources of the program</i> \$1,873,000	PC	-	1,873,000	-	654,500
2014 Denver Center For The Performing Arts 1101 13th Street Denver, CO 80202-9874 <i>Theatre Commissioning and Production Program</i> \$125,000	PC	-	125,000	-	100,000
2014 Emc Arts Inc 127 West 122nd Street New York, NY 10027 <i>To support "Arts Leaders as Cultural Innovators" accelerating adaptive change in the arts sector</i> \$186,581	PC	103,656	-	-	103,656
2013 Emc Arts Inc 127 West 122nd Street New York, NY 10027 <i>To support a final round of the Innovation Lab Program</i>	PC	-	1,588,450	-	1,084,225

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
<i>benefitting both performing arts organizations and service organizations in theatre, dance, jazz and presenting</i>					
\$1,588,450 2014					
Emerson College Office of the Arts 120 Boylston Street Boston, MA 02116 <i>to support a shared, open-access, global, and collaboratively produced and governed livestreaming channel and video repository for multiple not-for-profit arts disciplines</i>	PC	-	50,000	-	50,000
\$50,000 2014					
Emerson College Office of the Arts 120 Boylston Street Boston, MA 02116 <i>To support the Latino Theater Commons ongoing efforts to address a need for more production and presentation opportunities for new works for the stage by Latina/o artists</i>	PC	-	34,500	-	34,500
\$34,500 2014					
Eugene O'Neill Memorial Theater Center, Inc. 305 Great Neck Rd Waterford, CT 06385 <i>To support the National Directors Institute to advance 25 early career directors through a professional development program focused on new American work</i>	PC	-	225,000	-	62,500
\$225,000 2014					
Fractured Atlas Inc 248 W 35th St FL 10 New York, NY 10001	PC	93,750	-	-	93,750

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
<p><i>To support and further develop free ticketing and donor management software</i> \$168,750 2013</p>					
<p>Fractured Atlas Inc 248 W 35th St FL 10 New York, NY 10001</p>	PC	122,645	-	-	122,645
<p><i>To support American Dance Abroad in implementing four strategic projects to expand opportunities for American dance and companies</i> \$225,000 2013</p>					
<p>Fractured Atlas Inc 248 W 35th St FL 10 New York, NY 10001</p>	PC	-	50,000	-	50,000
<p><i>To support designing a unique microphilanthropy crowdfunding platform for the arts</i> \$50,000 2014</p>					
<p>Future of Music Coalition Inc 1615 L Street NW Washington, DC 20036-5610</p>	PC	55,457	-	-	55,457
<p><i>To support a 2-year curriculum development project to educate musicians about music careers and artist income</i> \$174,494 2012</p>					
<p>Greater Philadelphia Cultural Alliance 1315 Walnut St Ste 732 Philadelphia, PA 19107</p>	PC	-	176,569	-	176,569
<p><i>To support "Culture Across Communities," examining the cultural sector's health, breadth, and diversity across 11 regions and disseminating findings nationally to peer organizations, civic leaders, and funders</i> \$176,569</p>					

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
2014 Jazz at Lincoln Center Inc. 3 Columbus Circle, 12th Floor New York, NY 10019 <i>To support the campaign to secure JALC's Future, with funds for programs, including commissioning and performance of new work and related educational initiatives</i> \$500,000	PC	-	500,000	-	500,000
2014 Latino Theater Company 514 S Spring St Los Angeles, CA 90013 <i>To support the launch of a National Latina/o Theater Encuentro ("Encounter") as part of the Latino Theater Commons</i> \$225,000	PC	100,000	-	-	100,000
2013 Lookingglass Theatre Company 875 North Michigan Avenue, Suite 1430 Chicago, IL 60611 <i>Theatre Commissioning and Production Program</i> \$125,000	PC	-	125,000	-	100,000
2014 Lower Manhattan Cultural Council 125 Maiden Lane, 2nd FL New York, NY 10038 <i>To support research on Sustainable Arts Planning: Fostering Investment, Inspiring Attachment and Catalyzing Community Resilience</i> \$48,260	PC	-	48,260	-	48,260
2014 Mapp International Productions Inc 140 Second Avenue, Suite 502 New York, NY 10003 <i>To support leadership transition and</i>	PC	-	34,000	-	34,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
<i>organizational restructuring of MAPP International</i> \$34,000 2014					
Mapp International Productions Inc 140 Second Avenue, Suite 502 New York, NY 10003 <i>To support activation of the expanded Africa Contemporary Arts Consortium network</i> \$34,500 2014	PC	-	34,500	-	17,250
National New Play Network 641 D Street NW Washington, DC 20004 <i>To support work by a consortium of the field to create an online tool, the New Play Exchange, to revolutionize how playwrights and theaters connect in the nonprofit arena</i> \$123,835 2012	PC	24,767	-	-	24,767
National Performance Network Inc 1024 Elysian Fields New Orleans, LA 70117 <i>To support NPN's Performing Arts Programs</i> \$2,826,720 2013	PC	2,826,720	-	-	1,177,800
Network of Ensemble Theaters 1709 N Avenue 56 Los Angeles, CA 90042 <i>To support an organizational assessment and planning during a period of rapid growth</i> \$50,000.00 2014	PC	-	50,000	-	50,000
Network of Ensemble Theaters 1709 N Avenue 56 Los Angeles, CA 90042 <i>To support expansion of the NET/TEN Program, which provides ensembles with</i>	PC	-	83,375	-	47,155

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
<i>support for collaborations focused on initiating and developing relationships</i> \$83,375 2014					
New England Foundation for the Arts 145 Tremont Street, 7th floor Boston, MA 02111 <i>To support the National Dance Project</i> \$5,184,758 2013	PC	4,186,124	-	-	2,889,937
New England Foundation for the Arts 145 Tremont Street, 7th floor Boston, MA 02111 <i>Contribution to the Rebecca Blunk Fund, in support of artistic creation and connection</i> \$10,000 2014	PC	-	10,000	-	10,000
Newport Festivals Foundation, Inc. 150 East 69th Street #27K New York, NY 10021 <i>To support artistic programming and the creation of the the George Wein/Doris Duke artistic programming reserve fund for the Newport Jazz Festival</i> \$270,000 2013	PF	290,000	-	(30,000)	260,000
New York City Center Inc. 130 W. 56th Street New York, NY 10019 <i>To support free performances at the Delecorte Theatre during the Fall for Dance Festival</i> \$100,000 2014	PC	-	100,000	-	100,000
Nonprofit Finance Fund 70 W 36th St, 11th Floor New York, NY 10018 <i>To support the 2015 State of the Sector Suruvey with a</i>	PC	-	57,650	-	57,650

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
<i>focus on providing data and solutions for the arts sector</i> \$57,650 2014					
On the Boards 100 W. Roy Street Seattle, WA 98119 <i>Adaptive Capacity Initiative</i> \$300,000 2013	PC	270,000	-	-	119,750
On the Boards 100 W. Roy Street Seattle, WA 98119 <i>To support the growth of OntheBoards.tv, providing high-quality filmic versions of new contemporary performances</i> \$84,525 2014	PC	-	84,525	-	41,485
Oregon Shakespeare Festival Association 15 S. Pioneer Street Ashland, OR 97520 <i>Adaptive Capacity Initiative</i> \$1,000,000 2013	PC	950,000	-	-	441,950
Pangea World Theater 711 West Lake Street Minneapolis, MN 55408 <i>To support the launch of the first National Institute for Directing and Ensemble Creation, including a special convening of Native American Theater artists</i> \$112,500 2014	PC	-	112,500	-	89,375
Partners For Sacred Places Inc 1700 Sansom Street Philadelphia, PA 19103 <i>To create a scalable, replicable model for matching small to mid-size dance and</i>	PC	-	112,500	-	75,375

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
<i>theater companies with space needs with historic sacred places with available space</i> \$112,500 2014					
QCC-The Center for Lesbian Gay Bisexual Transgender Art & Culture Queer Cultural Center c/o African American Art & Culture Complex 762 Fulton Street San Francisco, CA 94102 <i>To support the Queer Arts Summit in San Francisco</i> \$67,500 2013	PC	56,250	-	-	56,250
Southern Methodist University PO Box 750402 Dallas, TX 75275 <i>To support a Postdoctoral Fellow at SMU's National Center for Arts Research</i> \$75,000 2014	PC	-	75,000	-	75,000
Theatre Communications Group Inc. 520 Eighth Avenue 24th Floor New York, NY 10018-4156 <i>To support Round 2 of the Audience (R)Evolution program, which studies, promotes and supports successful audience engagement and community development models across the country.</i> \$1,451,500 2014	PC	-	1,451,500	-	1,135,324
Theatre Development Fund Inc 520 Eighth Ave, Suite 801 New York, NY 10018 <i>To support a project to find new ways to connect playwrights and generative theatre artists with audiences</i>	PC	16,488	-	-	16,488

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
\$78,750 2013 Two River Theatre Company 21 Bridge Avenue Red Bank, NJ 07701 <i>Theatre Commissioning and Production Program</i> \$125,000 2014	PC	-	125,000	-	100,000
Wesleyan University 237 High Street Middletown, CT 06459 <i>Adaptive Capacity Initiative</i> \$400,000 2013	PC	370,000	-	-	217,559
Woolly Mammoth Theatre Company 641 D Street NW Washington, DC 20004 <i>Adaptive Capacity Initiative</i> \$800,000 2013	PC	760,000	-	-	190,000
Wooster Group, Inc. 33 Wooster Street New York, NY 10013 <i>To assess the artistic and audience-engagement impact "Wooster Web" and implement a retooled video platform platform</i> \$25,000 2014	PC	-	25,000	-	25,000
Youth Speaks Inc 1663 Mission Street Suite 604 San Francisco, CA 94103 <i>To support two convenings to address the emerging aesthetic, demographic, and political trends in the field of theater and performance</i> \$123,191 2013	PC	82,191	-	-	82,191

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
TOTAL Arts		16,782,640	8,326,954	(30,000)	14,377,500
ARTS INITIATIVE					
Kings Majestic Corporation 1000 Dean Street, Suite 232 Brooklyn, NY 11238 <i>To support an exploration of new approaches to building demand for theater.</i> \$20,000 2013	PC	20,000	-	-	20,000
Bric Arts Media Bklyn Inc 45 Main St, Ste 530 Brooklyn, NY 11201 <i>To support a performing artist residency to build demand for contemporary dance among the residents of public housing developments surrounding BRIC Arts Media House in Brooklyn</i> \$155,000 2013	PC	92,500	-	-	55,000
Brooklyn Arts Exchange Inc 421 Fifth Avenue Brooklyn, NY 11217 <i>To support an exploration of new approaches to building demand for theater and contemporary dance</i> \$20,000 2013	PC	20,000	-	-	20,000
Casita Maria Inc 928 Simpson Street Bronx, NY 10459 <i>To support an exploration of new approaches to building demand for jazz</i> \$20,000 2013	PC	20,000	-	-	20,000
Childsplay, Inc. 900 S. Mitchell Dr	PC	84,000	-	-	60,975

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
Tempe, AZ 85281 <i>To support a performing artist residency to build demand for theatre among young Latino families</i> \$155,000 2013					
Columbia College 1306 S Michigan Ave Chicago, IL 60605 <i>To support an exploration of new approaches to building demand for contemporary dance and jazz music</i> \$40,000 2013	PC	40,000	-	-	40,000
Cornerstone Theater Company 708 Traction Ave Los Angeles, CA 90013 <i>To support an exploration of new approaches to building demand for theater</i> \$40,000 2013	PC	40,000	-	-	40,000
Counterpulse 1310 Mission Street San Francisco, CA 94103 <i>To support an exploration of new approaches to building demand for dance and theater</i> \$40,000 2013	PC	40,000	-	-	40,000
Creative Capital Foundation 15 Maiden Lane, 18th Floor New York, NY 10038 <i>To support the Doris Duke Performing Artists Awards Program</i> \$1,071,222 2013	PC	440,767	-	-	440,767
Danspace Project Inc 131 East 10th Street New York, NY 10003 <i>To support an exploration of new approaches to</i>	PC	40,000	-	-	40,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
<i>building demand for contemporary dance</i> \$40,000 2013					
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108 <i>To support the first cohort of Doris Duke Artist Awards</i> \$5,775,000 2012	PF	3,376,341	-	-	1,193,249
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108 <i>To support the second cohort of Doris Duke Artist Awards</i> \$5,500,000 2013	PF	4,138,484	-	-	1,517,224
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108 <i>To support the third cohort of Doris Duke Artist Awards</i> \$5,500,000 2013	PF	5,500,000	-	-	1,148,334
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108 <i>To support the first cohort of Doris Duke Impact Awards</i> \$1,600,000 2013	PF	1,600,000	-	-	359,250
East West Players 120 Judge John Aiso St. Los Angeles, CA 90012 <i>To support an exploration of new approaches to building demand for theater</i> \$20,000 2013	PC	20,000	-	-	20,000
Epic Theatre Center Inc 55 West 39th Street, Suite 302 New York, NY 10018	PC	97,976	-	-	57,488

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
<p><i>To support a performing artist residency to build demand for theater among NYC's Arab-American community through a series of workshops</i></p> <p>\$155,000 2013</p>					
<p>Epic Theatre Center Inc 55 West 39th Street, Suite 302 New York, NY 10018 <i>To support the Building Demand for the Arts Residency</i> <i>Partners extended learning community</i></p> <p>\$82,500 2014</p>	PC	-	82,500	-	82,500
<p>Flea Theater 41 White St New York, NY 10013 <i>To support an exploration of new approaches to building demand for live theater</i></p> <p>\$40,000 2013</p>	PC	40,000	-	-	40,000
<p>Georgia Tech Research Corporation Atlanta, GA 30332 <i>To support a performing artist residency to build demand for dance choreography through an app that enable groups to participate through their mobile phones</i></p> <p>\$155,000 2013</p>	PC	61,250	-	-	61,250
<p>Illusion Theater and School Inc 528 Hennepin Avenue, #704 Minneapolis, MN 55403 <i>To support an exploration of new approaches to building demand for theater</i></p> <p>\$40,000 2013</p>	PC	40,000	-	-	40,000
<p>Institute of Contemporary Art 100 Northern Avenue Boston, MA 02210</p>	PC	40,000	-	-	40,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
<i>To support an exploration of new approaches to building demand for contemporary dance</i> \$40,000 2013					
Interact Inc 2030 Sansom Street Philadelphia, PA 19103	PC	20,000	-	-	20,000
<i>To support an exploration of new approaches to building demand for Asian American artists and audiences</i> \$20,000 2013					
Lookingglass Theatre Company 875 North Michigan Avenue, Suite 1430 Chicago, IL 60611	PC	98,388	-	-	55,823
<i>To support a performing artist residency to transform how Lookingglass engages constituencies and develops stakeholders</i> \$155,000 2013					
Lower Manhattan Cultural Council 125 Maiden Lane, 2nd FL New York, NY 10038	PC	40,000	-	-	40,000
<i>To support an exploration of new approaches to building demand for contemporary dance at the East River Waterfront program.</i> \$40,000 2013					
The National Jazz Museum In Harlem 104 East 126th Street, #2D New York, NY 10035	PC	20,000	-	-	20,000
<i>To explore new approaches to building demand for jazz</i> \$20,000 2013					
New Group Inc 410 W 42nd St New York, NY 10036	PC	40,000	-	-	40,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
<i>To support an exploration of new approaches to building demand for theater by collaborating with students from diverse backgrounds</i> \$40,000 2013					
On the Boards 100 W. Roy Street Seattle, WA 98119	PC	40,000	-	-	40,000
<i>To support an exploration of new approaches to building demand for dance</i> \$40,000 2013					
Open Channels New York Inc 161A Chrystie Street, Ground Floor New York, NY 10002	PC	40,000	-	-	40,000
<i>To support an exploration of new approaches to building for live, alternative theater and interdisciplinary performance</i> \$40,000 2013					
Painted Bride Art Center Inc 230 Vine Street Philadelphia, PA 19106	PC	40,000	-	-	40,000
<i>To support an exploration of new approaches to building demand across disciplines</i> \$40,000 2013					
Performance Space 122 67 West Street Unit 315 Brooklyn, NY 11222	PC	40,000	-	-	40,000
<i>To support an exploration of new approaches to building demand for contemporary dance and theater</i> \$40,000 2013					
Studio At 620 Inc 620 First Ave S	PC	23,105	-	-	23,105

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
<p>St. Petersburg, FL 33701 <i>To support a performing artist residency to increase demand for jazz, theater, and/or contemporary dance among Gen Y audiences</i> \$80,000 2013</p>					
<p>Two River Theatre Company 21 Bridge Avenue Red Bank, NJ 07701 <i>To support an exploration of new approaches to building demand for theater among Latino audiences</i> \$40,000 2013</p>	PC	40,000	-	-	40,000
<p>Regents University of California Los Angeles Office of Contract and Grant Administration 11000 Kinross Avenue, Suite 211 Los Angeles, CA 90095-1406 <i>To support an exploration of new approaches to building demand for contemporary dance</i> \$40,000 2013</p>	PC	40,000	-	-	40,000
<p>Board of Trustees of the University of Illinois 1501 S Oak St Champaign, IL 61820 <i>To support a performing artist residency to shift the paradigm of contemporary expectations about what art means through an expanded sense of community</i> \$155,000 2013</p>	PC	85,140	-	-	71,965
<p>University of Minnesota Foundation 210 Oak Street, SE. Suite 500 Minneapolis, MN 55455-2010 <i>To support a performing artist residency to increase demand for contemporary dance in Native Communities</i> \$155,000 2013</p>	PC	89,187	-	-	55,737

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
University of Texas Foundation 204 W 21st st. F9400 Austin, TX 78767-0250 <i>To support an exploration of new approaches to building demand through development of a Summer Institute for Contemporary and Experimental Black Performance at the University of Texas at Austin</i> \$20,000 2013	PC	20,000	-	-	20,000
Woolly Mammoth Theatre Company 641 D Street NW Washington, DC 20004 <i>To support an exploration of new approaches to theatre among African Americans age 18-25</i> \$40,000 2013	PC	40,000	-	-	40,000
Wooster Group, Inc. 33 Wooster Street New York, NY 10013 <i>To support a performing artist residency to increase demand</i> \$155,000 2013	PC	92,500	-	-	55,000
Yard Inc 1 The Yard Chilmark, MA 02535 <i>To support an exploration of new approaches to building demand for contemporary dance, including components of tap/percussive dance, musical theater and applied media</i> \$20,000 2013	PC	20,000	-	-	20,000
Yerba Buena Center for the Arts 701 Mission St San Francisco, CA 94103-3138 <i>To support a performing artist residency to</i>	PC	61,250	-	-	61,250

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
<i>increase demand for jazz programs</i> \$155,000 2013					
TOTAL Arts Initiative		16,640,888	82,500	-	6,098,917
CHILD WELL-BEING					
Boston Medical Center Corporation One Boston Medical Center Place Boston, MA 02118 <i>To support the implementation of the Vital Village Community Engagement Network</i> \$1,300,000 2013	PC	1,300,000	-	-	496,013
Center for the Study of Social Policy 1575 Eye St, NW, Ste 500 Washington, DC 20005 <i>Support for Institutionalizing the Strengthening Families Approach</i> \$750,000 2013	PC	330,000	-	-	330,000
National Foundation for the Centers for Disease Control & Prevention 55 Park Place, Suite 400 Atlanta, GA 30303 <i>To support engaging public health and community organizations in raising awareness about early childhood and health inequities</i> \$150,000 2013	PC	56,250	-	-	56,250
Chapin Hall Center For Children 1313 E. 60th Street Chicago, IL 60637 <i>To renew support for the Doris Duke Fellowships for the Promotion of Child Well-Being (formerly DD Fellowships for</i>	PC	1,425,256	-	-	754,483

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
<i>the Prevention of Child Abuse)</i> \$2,071,000 2012					
Children and Family Futures Inc 25371 Commercentre Dr Ste 140 Lake Forest, CA 92630 <i>To support integration of parent training and additional family services in family drug courts</i>	PC	2,010,350	-	-	804,280
\$2,500,000 2013					
Children's Bureau of Southern California 1910 Magnolia Ave. Los Angeles, CA 90007 <i>To support expansion of the Magnolia Community Initiative approach to a broader network</i>	PC	600,000	-	-	200,000
\$600,000 2013					
Childrens Outing Assn 909 E North Ave Milwaukee, WI 53212 <i>To support strengthening families to reduce child abuse and neglect</i>	PC	350,000	-	-	200,000
\$600,000 2013					
Common Ground Communities Inc 125 Maiden Lane, Ste 16C New York, NY 10038 <i>To support a "backbone" infrastructure for coordinated service delivery to drive accountability for program implementation and evaluate activities to measure improvements in the lives of children and families</i>	PC	1,000,000	-	-	333,344
\$1,000,000 2013					
Fund for Public Health in New York 22 Cortlandt Street, Suite 1103	PC	896,000	-	-	896,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
New York, NY 10007 <i>Support for Adolescent Pregnancy Prevention in Schools</i> \$2,636,000 2012					
President and Fellows of Harvard College 50 Church Street, 4th Floor Cambridge, MA 02138 <i>To support enhancing the Center's communications capacity, products, and platforms for science-based knowledge dissemination</i> \$950,000 2014	PC	-	950,000	-	325,000
National Alliance of Child Abuse Prevention Funds 5712 30th Ave. NE Seattle, WA 98105 <i>To support strategic planning: Building on the Success of the Past to Support the Well-being of Children, Families and Communities in the Future</i> \$76,250 2014	PC	-	76,250	-	76,250
Teachers College Columbia University 525 West 120th Street New York, NY 10027 <i>Support for Child and Family Well-Being: A Randomized Experiment of Subsidized Housing in New York City</i> \$1,000,000 2012	PC	650,000	-	-	335,000
Regents University of California Los Angeles Office of Contract and Grant Administration 11000 Kinross Avenue, Suite 211 Los Angeles, CA 90095-1406 <i>To support "Identifying Measures and Methods for Evaluating and Driving Change in Community System"</i> \$100,000 2014	PC	-	100,000	-	100,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
Regents University of California Los Angeles Office of Contract and Grant Administration 11000 Kinross Avenue, Suite 211 Los Angeles, CA 90095-1406 <i>To support and strengthen the Population Change Community and establish a rigorous measurement system to be implemented in place-based projects working to improve child well-being</i> \$350,000 2014	PC	-	350,000	-	145,046
University Of Maryland Baltimore Foundation 660 W Lexington Street Baltimore, MD 21201 <i>To support the Safe Environment for Every Kid (SEEK) Model: Improving Systems of Care to Improve Child Well-Being</i> \$50,000 2014	PC	-	50,000	-	50,000
Zero To Three - National Center For Infants Toddlers And Families 1255 23rd Street, NW, Suite 350 Washington, DC 20037 <i>To support the delivery of positive parenting strategies to media partners and the viewing audience</i> \$1,175,000 2014	PC	-	1,175,000	-	275,000
TOTAL Child Well-being		8,617,856	2,701,250	-	5,376,666

ENVIRONMENT

American Farmland Trust 1150 Connecticut Avenue, Suite 600 Washington, DC 20036	PC	-	425,000	-	325,000
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PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
<i>To support the launch of the Hudson Valley Farmlink Network</i> \$425,000 2014					
American Museum of Natural History Central Park West At 79th St New York, NY 10024-5192 <i>Supports augmentation of the existing Science Research Mentoring Program to generate interest among students in conservation science and conservation careers</i> \$832,000 2012	PC	197,000	-	-	197,000
City Parks Foundation 830 5th Avenue NY, NY 10065 <i>To support launch of the Natural Areas Conservancy</i> \$1,000,000 2012	PC	500,000	-	-	500,000
Conserve Wildlife Foundation Of New Jersey, Inc. 501 East State Street, P.O. Box 420 Mailcode 501-03E Trenton, NJ 08625-0420 <i>To support the Delaware Bay Horseshoe Crab Mortality Project</i> \$85,000 2014	PC	-	85,000	-	85,000
Consultative Group on Biological Diversity Presidio Building 1016 PO Box 29361 San Francisco, CA 94129-0361 <i>To renew membership and support the Climate and Energy Funders Group</i> \$40,000 2014	PC	-	40,000	-	40,000
Council On The Environment Inc	PC	50,000	-	-	50,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
51 Chambers Street, Suite 1231 New York, NY 10007 <i>To support the launch of Greenmarket Wholesale</i> \$200,000 2012					
Council On The Environment Inc 51 Chambers Street, Suite 1231 New York, NY 10007 <i>To support the FARMRoots technical assistance program for Greenmarket farmers</i> \$300,000 2014	PC	-	300,000	-	200,000
Defenders of Wildlife 1130 17th St NW Washington, DC 20036 <i>To develop and inform a national policy expediting the sensitive siting of renewable energy resources</i> \$4,066,750 2013	PC	2,658,870	-	-	1,190,050
Design Trust for Public Space 40 Worth St, Suite 603 New York, NY 10013 <i>To support a final phase of the Five Borough Farm Project</i> \$50,000 2014	PC	-	50,000	-	50,000
Fund for the City of New York 121 Avenue of the Americas, 6th floor New York, NY 10013 <i>To support Strategic Planning for Food Systems Network NYC</i> \$5,000 2014	PC	-	5,000	-	5,000
Glynwood Center, Inc. PO Box 157 Cold Spring, NY 10516 <i>To support the Farm Business Incubator project</i>	PC	150,000	-	-	150,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
\$350,000 2012					
Land Trust Alliance Incorporated 1660 L Street NW, Suite 1100 Washington, DC 20036 <i>To support increased capacity of the Land Trust Accreditation Commission to meet the demand for land trust accreditation</i>	PC	-	100,000	-	100,000
\$100,000 2014					
Metropolitan Waterfront Alliance Inc 217 Water St Suite 300 New York, NY 10038 <i>To support the development and national roll-out of Waterfront Edge Design Guidelines (WEDG)</i>	PC	-	100,000	-	100,000
\$100,000 2014					
National Wildlife Federation 11100 Wildlife Center Drive Reston, VA 20190 <i>To renew support for the Bioenergy, Wildlife and Biodiversity project</i>	PC	-	1,162,000	-	550,000
\$1,162,000 2014					
Natural Resources Defense Council Inc 40 W 20th St. New York, NY 10011 <i>To support the Mayors' Energy Initiative</i>	PC	1,885,000	-	-	1,885,000
\$3,230,000 2012					
The Nature Conservancy, Inc. 4245 North Fairfax Drive Arlington, VA 22203 <i>to support the application of resilience science in ecoregions located in Eastern</i>	PC	276,000	-	-	276,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
<i>North America, Northern California, and the Pacific Northwest</i> \$776,000 2013					
The Nature Conservancy, Inc. 4245 North Fairfax Drive Arlington, VA 22203 <i>To support the application of resilience science to the Great Lakes and Great Plains regions of the US</i> \$650,000 2014	PC	-	650,000	-	545,000
The Nature Conservancy, Inc. 4245 North Fairfax Drive Arlington, VA 22203 <i>To support the Pacific Northwest Resilient Landscapes Initiative</i> \$6,500,000 2014	PC	-	6,500,000	-	4,043,479
New Venture Fund 1201 Connecticut Ave., NW Suite 300 Washington, DC 20036 <i>To support the activities of the Sustainable Agriculture and Food Systems Funders</i> \$5,000 2014	PC	-	5,000	-	5,000
North Star Fund Inc 520 8th Ave Suite 2203 New York, NY 10018 <i>To support the Community Food Funders Group</i> \$20,000 2014	PC	-	20,000	-	20,000
Open Space Conservancy Inc 1350 Broadway, Suite 201 New York, NY 10018	PC	3,100,000	-	-	2,100,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
<i>To support the Southeastern Resilient Landscapes Initiative</i> \$6,203,000 2013					
Ridge and Valley Conservancy Inc 16 Main Street Blairstown, NJ 07825	PC	-	60,000	-	60,000
<i>To support the Foodshed Alliance in creating a Regional Foodshed Resiliency Plan for northern New Jersey</i> \$60,000 2014					
Sustainability Accounting Standards Board 1045 Sansome Street, Suite 450 San Francisco, CA 94111	PC	-	500,000	-	500,000
<i>To support the development of sustainability accounting standards for public companies in the United States</i> \$500,000 2014					
The Trust for Public Land 101 Montgomery Street, Suite 900 San Francisco, CA 94104	PC	-	2,200,000	-	2,200,000
<i>To renew support for the Conservation Finance Initiative, a joint effort of Trust for Public Land and The Nature Conservancy, that aims to increase public funding for wildlife habitat conservation in the United States</i> \$2,200,000 2014					
What Is Missing Foundation 112 Prince St New York, NY 10012	POF	-	50,000	-	50,000
<i>To support development and implementation of phase three of Greenprint for the Future</i> \$50,000 2014					

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
TOTAL Environment		8,816,870	12,252,000	-	15,226,529
MEDICAL RESEARCH					
American Federation for Medical Research 500 Cummings Center, Suite 4550 Beverly, MA 01915 <i>To support the AFMR Symposium Program at the 2014 Experimental Biology Meeting</i> \$10,000 2014	PC	-	10,000	-	10,000
American Federation for Medical Research 500 Cummings Center, Suite 4550 Beverly, MA 01915 <i>To support the 2014 AFMR Travel and Research Awards Program</i> \$25,000 2014	PC	-	25,000	-	25,000
American Federation for Medical Research 500 Cummings Center, Suite 4550 Beverly, MA 01915 <i>To support the 2015 AFMR Travel and Research Awards Program</i> \$25,000 2014	PC	-	25,000	-	25,000
American Physician Scientists Association, Inc. 111 Deer Lake Rd - Ste 100 Deerfield, IL 60015 <i>To support the American Physician Scientists Association Annual Meeting</i> \$5,000 2014	PC	-	5,000	-	5,000
American Society for Clinical Investigation, Inc. 15 Research Drive Ann Arbor, MI 48103 <i>To support the 2014 ASCI/AAP Joint Meeting</i> \$20,000	PC	-	20,000	-	20,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
2014 Arthur Ashe Institute For Urban Health, Inc. 450 Clarkson Avenue, 1232 Brooklyn, NY 11203 <i>To support the Health Science Academy's CREHSS Program, Arthur Ashe Institute for Urban Health</i> \$140,400	PC	-	140,400	-	140,400
2014 Baylor College of Medicine One Baylor Plaza Houston, TX 77030 <i>Nitric oxide supplementation as a therapeutic intervention in argininosuccinic aciduria</i> \$486,000	PC	243,000	-	-	162,000
2013 Baylor College of Medicine One Baylor Plaza Houston, TX 77030 <i>An integrated genomics approach to identifying causal differences between edematous and non-edematous severe childhood malnutrition</i> \$486,000	PC	243,000	-	-	162,000
2013 Beth Israel Deaconess Medical Center Boston, MA 02215 <i>Molecular identification and inhibition of the deoxygenation-activated, calcium-permeable cation channel of the sickle erythrocyte Psickle, a novel therapeutic target for treatment of sickle disease</i> \$486,000	PC	81,000	-	-	81,000
2011 Bradley University 1501 W Bradley Ave Peoria, IL 61625 <i>To support Building Excellent Scientists for Tomorrow</i>	PC	-	140,400	-	140,400

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
<i>through Clinical Research (CREST) Internships</i> \$140,400 2014					
Brigham and Women's Hospital Inc 75 Francis Street Boston, MA 02115 <i>Fine-Mapping Causal Genetic Alleles in Rheumatoid Arthritis</i> \$486,000 2013	PC	243,000	-	-	162,000
Broad Institute Inc 7 Cambridge Ctr Cambridge, MA 02142-1401 <i>Translating genetic discoveries to improve sickle cell disease prognosis and treatment</i> \$486,000 2012	PC	243,000	-	-	162,000
Brown University Of Providence Providence, RI 02912 <i>Breaking Beta: Decoding and Manipulating Critical Neural State Transitions in Parkinson's Disease</i> \$486,000 2014	PC	-	486,000	-	243,000
Case Western Reserve University 10900 Euclid Avenue Cleveland, OH 44106 <i>SCD Biochip': Towards a Simple and Reliable Way to Monitor Sickle Cell Disease</i> \$486,000 2013	PC	243,000	-	-	162,000
Case Western Reserve University 10900 Euclid Avenue Cleveland, OH 44106 <i>Pharmacologic NK harness to seek and destroy the HIV reservoir</i> \$486,000 2014	PC	-	486,000	-	243,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
Charles Drew University Of Medicine & Science 1731 E. 120th St Los Angeles, CA 90059 <i>To support Project STRIDE-Students Training in Research Involving Disparity Elimination</i> \$140,400 2014	PC	-	140,400	-	140,400
Children's Hospital & Research Center at Oakland 747 52nd St Oakland, CA 94609 <i>Effect of Simvastatin Treatment on Vaso-occlusive Pain in Sickle Cell Disease</i> \$486,000 2011	PC	81,000	-	-	81,000
Children's Hospital & Research Center at Oakland 747 52nd St Oakland, CA 94609 <i>To support the Children's Hospital Oakland Research Institute (CHORI) Summer Student Research Program</i> \$140,400 2014	PC	-	140,400	-	140,400
Childrens Hospital Corporation 300 Longwood Avenue Boston, MA 02115 <i>Modeling Sickle Cell Anemia with Induced Pluripotent Stem Cells</i> \$486,000 2011	PC	81,000	-	-	81,000
Childrens Hospital Corporation 300 Longwood Avenue Boston, MA 02115 <i>The Kisspeptin-Stimulation Test as a Novel Diagnostic Tool for the Evaluation of Delayed Puberty: Addressing an Unmet Medical Need in Adolescents</i>	PC	243,000	-	-	162,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
\$486,000 2013 Children's Hospital of Philadelphia 34th and Civic Center Blvd. Philadelphia, PA 19104 <i>Genomic Approaches to Prevent Red Blood Cell Alloimmunization in Patients with Sickle Cell Disease</i>	PC	81,000	-	-	81,000
\$486,000 2011 Children's Hospital of Philadelphia 34th and Civic Center Blvd. Philadelphia, PA 19104 <i>HDAC8, Cohesin and Human Disease</i>	PC	81,000	-	-	81,000
\$486,000.00 2012 Childrens Hospital Of Los Angeles 4650 Sunset Blvd Los Angeles, CA 90027 <i>To support the Latino & African American High School Internship Program at The Saban Research Institute of Children's Hospital Los Angeles</i>	PC	-	140,400	-	140,400
\$140,400 2014 Children's Hospital Medical Center 3333 Burnett Avenue Cincinnati, OH 45229 <i>Gene Therapy for Sickle Cell Anemia</i>	PC	324,000	-	-	243,000
\$486,000 2013 The Cleveland Clinic Foundation Cleveland, OH 44194 <i>Clinical Research Mentorship: Metagenomic profiling of oral polymicrobial flora in mobile tongue squamous cell carcinoma</i>	PC	-	64,800	-	64,800

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
\$64,800 2014 Trustees Of Columbia University In The City Of New York 630 West 168th Street New York, NY 10032 <i>Immune Response To The Airway Microbiome in Cystic Fibrosis: A Longitudinal Study On The Etiology Of Pulmonary Exacerbation</i>	PC	81,000	-	-	81,000
\$486,000 2012 Trustees Of Columbia University In The City Of New York 630 West 168th Street New York, NY 10032 <i>Clinical Research Mentorship: The vaginal microbiota: a new target for prevention of Group B Streptococcus colonization and disease</i>	PC	-	64,800	-	64,800
\$64,800 2014 Cornell University 1300 York Avenue, C620D New York, NY 10065 <i>Identification of prognostic markers and novel therapeutic targets in non-Hodgkin lymphoma (NHL) patients by comprehensive metabolomic profiling</i>	PC	81,000	-	-	81,000
\$486,000 2012 Dana-Farber Cancer Institute 44 Binney St Boston, MA 02115 <i>Targeting SOX2-Driven Squamous Cell Carcinoma</i>	PC	81,000	-	-	81,000
\$486,000 2012 Dana-Farber Cancer Institute 44 Binney St	PC	243,000	-	-	162,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
Boston, MA 02115 <i>Targeted derepression of fetal hemoglobin in sickle cell disease</i> \$486,000.00 2012 Dana-Farber Cancer Institute 44 Binney St Boston, MA 02115	PC	-	64,800	-	64,800
<i>Clinical Research Mentorship: Elucidation of DNA repair pathway changes responsible for controlling tumor development, progression, and treatment response in anal squamous cell carcinoma</i> \$64,800 2014 Duke University 108 Seeley G. Mudd Building Durham, NC 27710	PC	81,000	-	-	81,000
<i>NRF2 induction as novel treatment for sickle cell disease</i> \$486,000 2011 Duke University 108 Seeley G. Mudd Building Durham, NC 27710	PC	540,000	-	-	324,000
<i>International Clinical Research Fellowships for Medical Students</i> \$864,000 2012 Duke University 108 Seeley G. Mudd Building Durham, NC 27710	PC	-	64,800	-	64,800
<i>Clinical Research Mentorship: Identification of New Non-invasive Diagnostic Tools for Vesicoureteric Reflux (VUR)</i> \$64,800					

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
2014 Eastern Virginia Medical School Center for Pediatric Research 855 West Brambleton Ave Norfolk, VA 23510 <i>Comparison of the Immunogenicity of Various Inactivated Polio Vaccine Booster Doses by Intradermal vs. Intramuscular Routes in HIV-Infected Subjects</i> \$486,000	PC	81,000	-	-	81,000
2012 Emory University Atlanta, GA 30322 <i>Reversal of Sickle Cell-Related Chronic Kidney Disease</i> \$486,000	PC	81,000	-	-	81,000
2011 Foundation for the National Institutes of Health, Inc. 9650 Rockville Pike Bethesda, MD 20814 <i>To support the National Institutes of Health Medical Research Scholars Program, 2015-16 and 2016-17</i> \$1,040,000	PC	-	1,040,000	-	890,337
2014 Georgetown University 600 New Jersey Avenue NW Washington, DC 20001 <i>Can enhancing left lateralization using transcranial direct current stimulation improve recovery from post-stroke aphasia?</i> \$486,000	PC	81,000	-	-	81,000
2012 President and Fellows of Harvard College 50 Church Street, 4th Floor	PC	540,000	-	-	324,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
Cambridge, MA 02138 <i>International Clinical Research Fellowships for Medical Students</i> \$864,000 2012					
Health Research Alliance Inc. 21 T. W. Alexander Drive Durham, NC 27709-3901 <i>2014 membership and general support</i> \$10,000 2014	PC	-	10,000	-	10,000
Henry M Jackson Foundation For The Advancement Of Military Medicine 6720-A Rockledge Drive, Suite 100 Bethesda, MD 20817 <i>Clinical Research Mentorship: Defining Phenotypes of Mosaic mTORopathies</i> \$64,800 2014	PC	-	64,800	-	64,800
Icahn School of Medicine at Mount Sinai One Gustave L. Levy Place New York, NY 10029 <i>Ketamine Plus Lithium as a Novel Pharmacotherapeutic Strategy in Treatment-Resistant Depression</i> \$486,000 2013	PC	243,000	-	-	162,000
Indiana University 509 E. 3rd St. Indianapolis, IN 46634 <i>Novel use Of Hydroxyurea in an African Region with Malaria</i> \$486,000 2013	PC	324,000	-	-	81,000
Johns Hopkins University Broadway Research Building, Suite 117	PC	-	486,000	-	243,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
733 North Broadway Baltimore, MD 21205 <i>Blood Based Biomarkers for Hereditary Cancer Syndromes Using Neurofibromatosis 1 as a Model</i> \$486,000 2014 General Hospital Corporation, dba Massachusetts General Hospital 55 Fruit Street Boston, MA 02114 <i>Development of new tools to examine host-microbe interactions in the reproductive tract</i> \$486,000 2012	PC	81,000	-	-	81,000
General Hospital Corporation, dba Massachusetts General Hospital 55 Fruit Street Boston, MA 02114 <i>Clinical Research Mentorship: A Rapid Diagnostic Tool for Infectious Diseases and Antibiotic Resistance</i> \$64,800 2014	PC	-	64,800	-	64,800
General Hospital Corporation, dba Massachusetts General Hospital 55 Fruit Street Boston, MA 02114 <i>Improving Stroke Care by Predicting Atrial Fibrillation</i> \$486,000 2014	PC	-	486,000	-	243,000
The Medical College Of Wisconsin Inc 8701 Watertown Plank Rd Milwaukee, WI 53045 <i>Effects of the Adenosine 2A Receptor Agonist Regadenoson</i>	PC	81,000	-	-	81,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
<i>on Sickle Cell Vaso-occlusion and Inflammation</i> \$486,000 2011					
Memorial Sloan Kettering Cancer Center 1275 York Avenue New York, NY 10021	PC	81,000	-	-	81,000
<i>Evaluating the predictive potential of signature-based molecular subclasses in malignant glioma</i> \$486,000 2012					
Miltons S Hershey Medical Center 500 University Dr Hershey, PA 17033	PC	81,000	-	-	81,000
<i>Longitudinal study of the determinants of mental health outcomes in rural women exposed to intimate partner violence (IPV)</i> \$486,000 2012					
Minnesota Medical Foundation 1342 Minneapolis, MN	PC	540,000	-	-	324,000
<i>International Clinical Research Fellowships for Medical Students</i> \$864,000 2012					
National Academy of Sciences 500 Fifth St., NW Washington, DC 20001	PC	-	10,000	-	10,000
<i>To support the Institute of Medicine's 2014 Forum on Drug Discovery, Development and Translation</i> \$10,000 2014					
National Academy of Sciences 500 Fifth St., NW Washington, DC 20001	PC	-	50,000	-	50,000
<i>To support the Institute of Medicine's Committee on Strengthening the Disaster</i>					

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
<i>Resilience of Academic Biomedical Research</i> \$50,000 2014					
New York Stem Cell Foundation Inc 1995 Broadway, Suite 600 New York, NY 10023 <i>To support a convening of participants from the Initiative in Women in Science and Engineering (IWISE), to develop an institutional report card for gender equality</i> \$25,000 2014	PC	-	25,000	-	25,000
New York University New York, NY 10036 <i>Hypermethylation as a microbiome-mediated epigenetic phenomenon in CIMP(+) colorectal cancers</i> \$486,000 2014	PC	-	486,000	-	243,000
Rector & Visitors Of The University Of Virginia Room 1709A Carter-Harrison Medical Research Building, 345 Crispell Drive, University of Virginia School of Medicine Charlottesville, VA 22901 <i>Early Predictors and Biomarkers of Cognition and Growth in Impoverished Children</i> \$486,000 2014	PC	-	486,000	-	243,000
Rockefeller University 1230 York Avenue New York, NY 10021 <i>Clinical Research Mentorship: Identification and functional analysis of genomic alterations in anogenital and head and neck squamous cell carcinomas from Fanconi anemia patients</i> \$64,800 2014	PC	-	64,800	-	64,800

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
Sanford Research 2301 E 60th St N Sious Falls, ND 57104 <i>New insights into molecular mechanisms driving pediatric movement disorders</i> \$486,000 2014	PC	-	486,000	-	243,000
Society for Clinical and Translational Science, Inc. 2025 M St NW, Suite 800 Washington, DC 20036 <i>Membership 2014</i> \$7,500 2014	PC	-	7,500	-	7,500
Society for Clinical and Translational Science, Inc. 2025 M St NW, Suite 800 Washington, DC 20036 <i>Membership 2015</i> \$7,500 2014	PC	-	7,500	-	7,500
The Board Of Trustees Of The Leland Stanford Junior University Stanford, CA 94305-6045 <i>Systems immunology to understand antiviral deficits during pregnancy</i> \$486,000 2013	PC	243,000	-	-	162,000
The Board Of Trustees Of The Leland Stanford Junior University Stanford, CA 94305-6045 <i>Development of AAK1 and GAK Inhibitors for Combating Drug-Resistant HIV</i> \$486,000 2013	PC	243,000	-	-	162,000
The Board Of Trustees Of The Leland Stanford Junior University Stanford, CA 94305-6045	PC	-	486,000	-	243,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
<i>Rigorous Evaluations of Global Health Programs and Policies</i> \$486,000 2014					
The Board Of Trustees Of The Leland Stanford Junior University Stanford, CA 94305-6045 <i>To support Stanford University- SIMR Clinical Research Experiences</i> \$140,400 2014	PC	-	140,400	-	140,400
Temple University 3500 N Broad St Philadelphia, PA 19140 <i>The Influence of Postpartum Sleep Duration on Adiposity and Cardiometabolic Risk Factors in Urban Low-Income Mothers</i> \$486,000 2012	PC	81,000	-	-	81,000
Universities Allied For Essential Medicines Corp 1611 Telegraph Ave, #550 Oakland, CA 94612 <i>To support a second and improved University Report Card for meeting neglected global health needs</i> \$50,000 2014	PC	-	50,000	-	50,000
Regents University of California Los Angeles Office of Contract and Grant Administration 11000 Kinross Avenue, Suite 211 Los Angeles, CA 90095-1406 <i>Site-Specific Gene Modification in Hematopoietic Stem Cells for Sickle Cell Disease</i> \$486,000 2013	PC	486,000	-	-	405,000
Regents of the University Of California San Francisco	PC	81,000	-	-	81,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
University of California, San Francisco 505 Parnassus Ave M696 San Francisco, CA 94118 <i>Discovery of Determinants of Response to Targeted Therapy in Lung Cancer</i> \$486,000 2012 Regents of the University Of California San Francisco	PC	540,000	-	-	324,000
University of California, San Francisco 505 Parnassus Ave M696 San Francisco, CA 94118 <i>International Clinical Research Fellowships for Medical Students</i> \$864,000 2012 Regents of the University Of California San Francisco	PC	243,000	-	-	162,000
University of California, San Francisco 505 Parnassus Ave M696 San Francisco, CA 94118 <i>Electrocorticography based control of an anthropomorphic upper limb exoskeleton</i> \$486,000 2013 Regents of the University Of California San Francisco	PC	243,000	-	-	162,000
University of California, San Francisco 505 Parnassus Ave M696 San Francisco, CA 94118 <i>Personalizing Treatment for Patients with Pancreatic Cancer</i> \$486,000 2013 Regents of the University Of California San Francisco	PC	-	486,000	-	243,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
University of California, San Francisco 505 Parnassus Ave M696 San Francisco, CA 94118 <i>Dissecting Human Osteoprogenitor Function using iPS Cells</i> \$486,000 2014					
Regents of the University of Colorado 4200 East Ninth Avenue Denver, CO 80262 <i>Targeting DOT1L in MNI-high Acute Myeloid Leukemia</i> \$486,000 2014	PC	-	486,000	-	243,000
State University Of Iowa Foundation One West Park Road Iowa City, IA 52242 <i>Molecular Genetic Mechanisms of Calpain-5 Autoimmunity</i> \$486,000 2013	PC	243,000	-	-	162,000
Regents of the University of Michigan Office of Research and Sponsored Projects First Floor, Wolverine Tower 3003 S. State Street Ann Arbor, MI 48109-1115 <i>Genetic Epidemiology Studies of Nonalcoholic Fatty Liver Disease in Diverse Ancestries</i> \$486,000 2012	PC	81,000	-	-	81,000
Regents of the University of Michigan Office of Research and Sponsored Projects First Floor, Wolverine Tower 3003 S. State Street Ann Arbor, MI 48109-1115	PC	243,000	-	-	162,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
<i>The genetics of fibromuscular dysplasia and associated aneurysmal disease</i> \$486,000 2013 Regents of the University of Michigan Office of Research and Sponsored Projects First Floor, Wolverine Tower 3003 S. State Street Ann Arbor, MI 48109-1115	PC	243,000	-	-	162,000
<i>Viral mutant networks and effective influenza control</i> \$486,000 2013 Regents of the University of Michigan Office of Research and Sponsored Projects First Floor, Wolverine Tower 3003 S. State Street Ann Arbor, MI 48109-1115	PC	243,000	-	-	162,000
<i>Influence of risk alleles on the composition of the inflammatory network in psoriasis and prioritization for functional studies</i> \$486,000 2013 University of North Carolina at Chapel Hill 134 East Franklin Street, Campus Box 3233 Chapel Hill, NC 27599	PC	540,000	-	-	324,000
<i>International Clinical Research Fellowships for Medical Students</i> \$864,000 2012 Trustees Of The University Of Pennsylvania 3451 Walnut Street, Room P221 Philadelphia, PA 19104-6205	PC	81,000	-	-	81,000
<i>Targeting Evolution by Inhibition of an Error-Prone Polymerase in Drug-Resistant Pseudomonas aeruginosa</i> \$486,000					

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
2012 Trustees Of The University Of Pennsylvania 3451 Walnut Street, Room P221 Philadelphia, PA 19104-6205 <i>A role for peripheral blood monocytes in regulating tumor biology in pancreatic cancer</i> \$486,000	PC	243,000	-	-	162,000
2013 Trustees Of The University Of Pennsylvania 3451 Walnut Street, Room P221 Philadelphia, PA 19104-6205 <i>Transcriptional Silencing of C9orf72 in Amyotrophic Lateral Sclerosis and Frontotemporal Degeneration</i> \$486,000	PC	-	486,000	-	243,000
2014 Trustees Of The University Of Pennsylvania 3451 Walnut Street, Room P221 Philadelphia, PA 19104-6205 <i>To support the Women in Science Symposium</i> \$5,400	PC	-	5,400	-	5,400
2014 University Of Pittsburgh School of Medicine. Scaife Hall 3550 Terrace Street Pittsburgh, PA 15261 <i>To support the Doris Duke Foundation Academy for Clinical Research</i> \$140,400	PC	-	140,400	-	70,200
2014 University of Southern California 1333 San Pablo Street Los Angeles, CA 90033 <i>Effects of sugar ingestion on brain reward and energy signaling pathways in humans</i>	PC	81,000	-	-	81,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
\$486,000 2012 University of Texas Foundation 204 W 21st st. F9400 Austin, TX 78767-0250 <i>Clinical Research Mentorship: Uncovering Determinants of House Dust Mite Pathogenesis by Use of an Allergen Challenge Chamber, Conditionally Reprogrammed Cells and Cas9 nuclease technology</i>	PC	-	64,800	-	64,800
\$64,800 2014 University of Utah 1395 East Presidents Circle Room 190 Salt Lake City, UT 84112 <i>Identification of Novel Genetic Variants in Patients with Congenital Heart Disease</i>	PC	81,000	-	(81,000)	-
\$317,176 2012 University of Utah 1395 East Presidents Circle Room 190 Salt Lake City, UT 84112 <i>Humans as a model organism to study metabolic changes in heart failure and myocardial recovery</i>	PC	243,000	-	-	162,000
\$486,000 2013 University of Wisconsin Foundation 1848 University Ave PO Box 8860 Madison, WI 53708-8860 <i>To support Surgery Clinical Research Experiences for High School Students</i>	PC	-	140,400	-	140,400
\$140,400 2014 Vanderbilt University 2201 West End Ave Nashville, TN 37240	PC	-	64,800	-	64,800

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
<i>Clinical Research Mentorship: Inhaled corticosteroid use to prevent acute chest syndrome recurrence in children between 1 and 4 with sickle cell disease: a feasibility trial</i> \$64,800 2014					
Vanderbilt University 2201 West End Ave Nashville, TN 37240	PC	-	486,000	-	243,000
<i>Racial Differences in Vagal Control of Glucose Homeostasis</i> \$486,000 2014					
Washington University 660 S. Euclid Ave St. Louis, MO 63110	PC	-	261,000	-	130,500
<i>Dysregulated Signaling Pathways in Myeloproliferative Neoplasms</i> \$261,000 2014					
Washington University 660 S. Euclid Ave St. Louis, MO 63110	PC	-	486,000	-	243,000
<i>Functional characterization of deleterious germline variability in MLL3 in infant leukemia</i> \$486,000 2014					
Washington University 660 S. Euclid Ave St. Louis, MO 63110	PC	-	486,000	-	243,000
<i>Small intestinal Paneth cell phenotype in Crohn's Disease: clinical relevance and genetic associations</i> \$486,000 2014					
Washington University 660 S. Euclid Ave St. Louis, MO 63110	PC	-	486,000	-	243,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
<i>Understanding How Different Therapies Reshape Brain Networks to Promote Stroke Recovery</i> \$486,000 2014 Washington University 660 S. Euclid Ave St. Louis, MO 63110	PC	-	486,000	-	243,000
<i>Novel Prediction Models for Patient-Centered Clinical Outcomes after Transcatheter Aortic Valve Replacement for Aortic Stenosis</i> \$486,000 2014 William Marsh Rice University 6100 Main Street, Houston, TX 77005	PC	243,000	-	-	81,000
<i>Accurate and Inexpensive Point-of-Care Diagnosis of Sickle Cell Anemia</i> \$486,000 2013 Yale University Grant & Contract Administration 47 College Street, Suite 203 P.O. Box 208047 New Haven, CT 06520-8047	PC	81,000	-	-	81,000
<i>Nanoparticle-mediated correction of the sickle cell disease mutation.</i> \$486,000 2011 Yale University Grant & Contract Administration 47 College Street, Suite 203 P.O. Box 208047 New Haven, CT 06520-8047	PC	293,255	-	-	77,255
<i>International Clinical Research Fellowships for Medical Students</i> \$864,000 2012					

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
Yale University Grant & Contract Administration 47 College Street, Suite 203 P.O. Box 208047 New Haven, CT 06520-8047 <i>Genomic and Functional Analyses of Erythrocyte Hydration Pathways as Modifiers in Sickle Cell Disease</i> \$486,000 2012	PC	243,000	-	-	162,000
Yale University Grant & Contract Administration 47 College Street, Suite 203 P.O. Box 208047 New Haven, CT 06520-8047 <i>Regulating Dendritic Cell Migration During Vaccination</i> \$486,000 2013	PC	243,000	-	-	162,000
Yale University Grant & Contract Administration 47 College Street, Suite 203 P.O. Box 208047 New Haven, CT 06520-8047 <i>Clinical Research Mentorship: Genetics and pathobiology of cutaneous-skeletal hypophosphatemic syndrome and erythrokeratoderma variabilis</i> \$64,800 2014	PC	-	64,800	-	64,800
TOTAL Medical Research		11,093,255	11,098,600	(81,000)	14,389,492
CROSS PROGRAM GRANTS					
Amherst H Wilder Foundation 451 Lexington Parkway North St. Paul, MN 55104 <i>To support the Doris Duke Leaders in the</i>	PC	-	100,000	-	100,000

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Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
<i>Arts and Environment Fund of the James P. Shannon Leadership Institute</i> \$100,000 2014					
Boys and Girls Harbor 1 East 104th Street New York, NY 10029 <i>To support the Harbor's Preschool Design</i> \$150,000 2014	PC	-	150,000	-	150,000
Cities at Peace, Inc. 104 W. 27th Street, 12th Floor New York, NY 10001 <i>To support evaluation of the impact of The Possibility Project's Foster Care Program</i> \$50,000 2014	PC	-	50,000	-	50,000
Conquer Cancer Fdn of the American Society of Clinical Oncology 2318 Mill Rd, Ste 800 Alexandria, VA 22314 <i>To support high quality and public access to research published in the new Journal of Global Oncology</i> \$50,000 2014	PC	-	50,000	-	50,000
Council on Health Research for Development, USA, Inc 1072 Thomas Jefferson Street, NW, Washington, DC 20007 <i>To support Phase 2 of the fair research contracting initiative</i> \$100,000 2014	PC	-	100,000	-	100,000
Discalced, Inc 3 Lafayette Ave Brooklyn, NY 11217-1415 <i>To support the Brownsville Dance Project</i>	PC	-	100,000	-	100,000

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Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
\$100,000 2014 Discalced, Inc 3 Lafayette Ave Brooklyn, NY 11217-1415 <i>To support planning phase of the Dance for PD Clinical Research Project</i>	PC	-	80,000	-	80,000
\$80,000 2014 Fund for Public Health in New York 22 Cortlandt Street, Suite 1103 New York, NY 10007 <i>To support continued implementation, expansion and valuation of the project: Come See What's Cookin' Kids!</i>	PC	-	100,000	-	100,000
\$100,000 2014 International Network for Cancer Treatment and Research, USA 1 Research Court Rockville, MD 20850 <i>Supporting the African Cancer Registry Network in enhancing cancer registration in sub-Saharan Africa</i>	PF	-	100,000	-	100,000
\$100,000 2014 New York Restoration Project 254 West 31st Street, 10th Floor New York, NY 10001 <i>To support planning and development of a project to foster social and environmental resilience through the public realm</i>	PC	-	100,000	-	100,000
\$100,000 2014 Research Foundation of City University of NY 230 W 41 St New York, NY 10036 <i>To support the extension of survey data Collection for the 2013 New York City Health and Nutrition Examination</i>	PC	-	100,000	-	100,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
<i>Survey (NYC HANES)</i>					
\$100,000					
2014					
TOTAL Cross Program Grants		-	1,030,000	-	1,030,000
MEDIA FUND					
Community Tampa Bay Inc	PC	-	33,125	-	33,125
2727 Ulmerton Road, Suite 200					
Clearwater, FL 33762					
<i>To support Pathways to Understanding: Exploring</i>					
<i>Muslim cultures in Tampa Ba</i>					
\$33,125					
2014					
Greater Washington Educational	PC	-	300,000	-	100,000
Telecommunications Association, Inc.					
3939 Campbell Ave					
Arlington, VA 22206					
<i>To support PBS NewsHour programming</i>					
<i>related to DDCF fields of interest</i>					
\$300,000					
2014					
Koahnic Broadcast Corporation	PC	-	100,000	-	100,000
3600 San Jeronimo Dr., Suite 480					
Anchorage, AK 99508					
<i>To support the Recovery Outreach Initiative</i>					
\$100,000					
2014					
National Public Radio Inc	PC	-	1,000,000	-	330,000
1111 North Capitol Street, NE					
Washington, DC 20002					
<i>To support news programming in DDCF's areas of</i>					
<i>interest through general operating funding</i>					
\$1,000,000					
2014					

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
National Public Radio Inc 1111 North Capitol Street, NE Washington, DC 20002 <i>To support NPR Special Series on Islamic Arts</i> \$75,000 2014	PC	-	75,000	-	75,000
New York Public Radio 160 Varick Street New York, NY 10013 <i>To support completion of the documentary film "Jazz Loft"</i> \$50,000 2014	PC	-	50,000	-	50,000
Research America 1101 King St, Suite 520 Alexandria, VA 22314 <i>To support the workshop entitled: "Connecting the Dots: Effectively Communicating Science to Non-Scientists"</i> \$82,170 2014	PC	-	100,000	-	100,000
TOTAL Media Fund		-	1,658,125	-	788,125
PHILANTHROPIC SECTOR/OTHER					
Center for Effective Philanthropy Inc 675 Massachusetts Ave., 7th fl Cambridge, MA 02139 <i>Philanthropic Support for 2014</i> \$10,000 2014	PC	-	10,000	-	10,000
Communication Network 1365 York Ave 28H New York, NY 10021 <i>Philanthropic Sector Support 2014</i> \$1,000 2014	PC	-	1,000	-	1,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
Council on Foundations Inc. 2121 Crystal Dr Ste 700 Arlington, VA 22202 <i>Philanthropic Sector Support 2014</i> \$25,000 2014	PC	-	25,000	-	25,000
Foundation Center 79 Fifth Avenue New York, NY 10003 <i>Philanthropic Sector Support 2014</i> \$25,000 2014	PC	-	25,000	-	25,000
Foundation Center 79 Fifth Avenue New York, NY 10003 <i>To support the Foundation Center's build-out and move to new headquarters space</i> \$50,000 2014	PC	-	50,000	-	50,000
Grantmakers for Effective Organizations 1725 DeSales St NW Ste 404 Washington, DC 20036 <i>General Support</i> \$7,500 2014	PC	-	7,500	-	7,500
Grants Managers Network Inc 1666 K St NW STE 440 Washington, DC 20006 <i>Philanthropic Sector Support 2014</i> \$5,000 2014	PC	-	5,000	-	5,000
Independent Sector 1602 L St NW, Ste 900 Washington, DC 20036 <i>Philanthropic Sector Support 2014</i> \$17,500 2014	PC	-	17,500	-	17,500

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
Philanthropy New York Inc 1500 Broadway, 7th Fl New York, NY 10036 <i>Philanthropic Sector Support 2014</i> \$28,000 2014	PC	-	28,000	-	28,000
Technology Affinity Group Inc 23 Briar Road Wayne, PA 19087 <i>Philanthropic Support for 2014</i> \$2,500 2014	PC	-	2,500	-	2,500
Artists Striving To End Poverty Inc 165 W 46Th St Ste 1303 New York, NY 10036-2514 <i>General Support</i> \$10,000 2014	PC	-	10,000	-	10,000
The Carl Schurz Park Conservancy Inc 1562 First Avenue Suite 331 New York, NY 10028 <i>General Support</i> \$50,000 2014	PC	-	50,000	-	50,000
Common Ground Community Housing Development Fund Corporation Inc 505 Eighth Ave New York, NY 10018 <i>General Support</i> \$25,000 2014	PC	-	25,000	-	25,000
Common Ground Communities Inc 125 Maiden Lane, Ste 16C New York, NY 10038 <i>General Support</i> \$5,000	PC	-	5,000	-	5,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
2014 Environmental Defense Fund Incorporated 257 Park Ave S FL 16 New York, NY 10010 <i>General Support</i> \$9,909	PC	-	9,909	-	9,909
2014 Grassroot Soccer Inc 198 Church St Norwich, VT 05055 <i>General Support</i> \$20,000	PC	-	20,000	-	20,000
2014 Historic Hudson Valley 639 Bedford Road Pocantico Hills, NY 10591 <i>General Support</i> \$2,819	PC	-	2,819	-	2,819
2014 Metropolitan Museum Of Art 1000 Fifth Avenue New York, NY 10028 <i>General Support</i> \$25,000	PC	-	25,000	-	25,000
2014 The Nature Conservancy, Inc. 4245 North Fairfax Drive Arlington, VA 22203 <i>General Support</i> \$40,000	PC	-	40,000	-	40,000
2014 New Professional Theatre Inc 229 W. 42nd St. #501 New York, NY 10598 <i>General Support</i> \$10,000	PC	-	10,000	-	10,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
2014 New Yorkers For Children Inc 450 Seventh Avenue Suite 403 New York, NY 10123 <i>General Support</i> \$50,000	PC	-	50,000	-	50,000
2014 Organ Alliance Inc 344 W 23RD St Apt 1A New York, NY 10011 <i>General Support</i> \$10,000	PC	-	10,000	-	10,000
2014 Oxfam-America Inc 226 Causeway St., 5th Floor Boston, MA 02114 <i>General Support</i> \$15,000	PC	-	15,000	-	15,000
2014 Partners In Health A Nonprofit Corporation 888 Commonwealth Ave 3rd FL Boston, MA 02215 <i>General Support</i> \$15,000	PC	-	15,000	-	15,000
2014 Playwrights Horizons Inc. 416 W 42nd St New York, NY 10036 <i>General Support</i> \$40,000	PC	-	40,000	-	40,000
2014 Studio Museum In Harlem Inc 144 W 125th St New York, NY 10027 <i>General Support</i> \$22,819	PC	-	22,819	-	22,819

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2014

Recipient and/or Purpose	Tax Status	Beginning Balance 2014	Approvals 2014	Amended 2014	Amount Paid 2014
2014 United Nations Foundation Inc 1800 Massachusetts Ave NW 4 Flr Washington, DC 20036-1806 <i>To support the Global Entrepreneurs Council refugee project Entrepreneurs Without Borders: Supporting Entrepreneurship & Innovation at UNHCR's Nakivale Refugee Settlement</i> \$10,000	PC	-	10,000	-	10,000
2014 United States Fund for UNICEF 125 Maiden Lane New York, NY 10038 <i>General Support</i> \$9,000	PC	-	9,000	-	9,000
2014 Yale University Grant & Contract Administration 47 College Street, Suite 203 P.O. Box 208047 New Haven, CT 06520-8047 <i>Yale Law School Fund</i> \$50,000	PC	-	50,000	-	50,000
TOTAL Philanthropic Sector/Other		-	591,046	-	591,046
GRAND TOTAL		69,140,808	38,025,475	(111,000)	63,915,616

PART XV - GRANTS APPROVED FOR FUTURE PAYMENT

SUMMARY BY PROGRAM AREA

Recipient and/or Purpose	Approved for Future Payment
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TOTAL AFRICAN HEALTH INITIATIVE	-
TOTAL ARTS	3,151,508
TOTAL ARTS INITIATIVE	6,825,000
TOTAL CHILD WELL-BEING	5,440,279
TOTAL ENVIRONMENT	8,423,521
TOTAL MEDICAL RESEARCH	4,238,363
TOTAL CROSS PROGRAM GRANTS	-
TOTAL MEDIA FUND	870,000
TOTAL PHILANTHROPIC SECTOR/OTHER	100,000
GRAND TOTAL	29,048,671

PART XV, 3b - GRANTS APPROVED IN 2014 FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Approved for Future Payment
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AFRICAN HEALTH INITIATIVE

TOTAL African Health	\$0
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ARTS

<p>American Composers Orchestra Inc 244 W 54 St Ste 805 New York, NY 10019 <i>To support the Jazz Composers Orchestra Institute</i> \$63,250</p>	PC	29,560
<p>American Theatre Wing Inc 230 West 41st Street, Suite 1101 New York, NY 10036 <i>To support the use of new media practices that engage audiences on their computers and mobile devices</i> \$225,000</p>	PC	106,250
<p>AXIS Dance Company 1428 Alice St., Ste. 200 Oakland, CA 94612 <i>To support a national convening in 2016 focusing on best practices and the next stage of evolution for the field of physically integrated dance</i> \$101,250</p>	PC	73,012
<p>Cultural Data Project 400 Market Street, Suite 600 Philadelphia, PA 19106 <i>To support the development of educational curriculum to build nonprofit dance, presenting, theatre, and music organizations' data literacy as a means of strengthening their vitality, performance, and public impact</i> \$135,000</p>	PC	63,750

PART XV, 3b - GRANTS APPROVED IN 2014 FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Approved for Future Payment
<p>Cleveland Modern Dance Association 13110 Shaker Sq Ste 106 Cleveland, OH 44120-2381 <i>To support the exploration and assessment of the feasibility of establishing a National Center for Choreography in Northeast Ohio and two pilot residency projects</i> \$73,125</p>	PC	27,025
<p>Dance USA 1111 16th Street NW, Suite 300 Washington, DC 20036 <i>To support round three of Engaging Dance Audiences in addition to refining practice, diversifying reach, measuring results, and sharing resources of the program</i> \$1,873,000</p>	PC	1,218,500
<p>Denver Center For The Performing Arts 1101 13th Street Denver, CO 80202-9874 <i>Theatre Commissioning and Production Program</i> \$125,000</p>	PC	25,000
<p>Emc Arts Inc 127 West 122nd Street New York, NY 10027 <i>To support a final round of the Innovation Lab program, benefitting both performing arts organizations and service organizations in theatre, dance, jazz and presenting</i> \$1,588,450</p>	PC	504,225
<p>Eugene O'Neill Memorial Theater Center, Inc. 305 Great Neck Rd</p>	PC	162,500

PART XV, 3b - GRANTS APPROVED IN 2014 FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Approved for Future Payment
<p>Waterford, CT 06385 <i>To support the National Directors Institute to advance 25 early career directors through a professional development program focused on new American work</i> \$225,000</p>		
<p>Lookingglass Theatre Company 875 North Michigan Avenue, Suite 1430 Chicago, IL 60611 <i>Theatre Commissioning and Production Program</i> \$125,000</p>	PC	25,000
<p>Mapp International Productions Inc 140 Second Avenue, Suite 502 New York, NY 10003 <i>To support activation of the expanded Africa Contemporary Arts Consortium network</i> \$34,500</p>	PC	17,250
<p>Network of Ensemble Theaters 1709 N Avenue 56 Los Angeles, CA 90042 <i>To support expansion of the NET/TEN Program, which provides ensembles with support for collaborations focused on initiating and developing relationships</i> \$83,375</p>	PC	36,220
<p>On the Boards 100 W. Roy Street Seattle, WA 98119 <i>To support the growth of OntheBoards.tv, providing high-quality filmic versions of new contemporary performances</i> \$84,525</p>	PC	43,040

PART XV, 3b - GRANTS APPROVED IN 2014 FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Approved for Future Payment
<p>Oregon Shakespeare Festival Association 15 S. Pioneer Street Ashland, OR 97520 <i>Theatre Commissioning and Production Program</i> \$125,000</p>	PC	125,000
<p>Pangea World Theater 711 West Lake Street Minneapolis, MN 55408 <i>To support the launch of the first National Institute for Directing and Ensemble Creation, including a special convening of Native American Theater artists</i> \$112,500</p>	PC	23,125
<p>Partners For Sacred Places Inc 1700 Sansom Street Philadelphia, PA 19103 <i>To create a scalable, replicable model for matching small to mid-size dance and theater companies with space needs with historic sacred places with available space</i> \$112,500</p>	PC	37,125
<p>New York Shakespeare Festival 425 Lafayette Street New York, NY 10003 <i>Theatre Commissioning and Production Program</i> \$125,000</p>	PC	125,000
<p>Theatre Communications Group Inc. 520 Eighth Avenue, 24th Floor New York, NY 10018-4156 <i>To support Round 2 of the Audience (R)Evolution program, which studies, promotes and supports successful audience</i></p>	PC	316,176

PART XV, 3b - GRANTS APPROVED IN 2014 FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Approved for Future Payment
<i>engagement and community development models across the country.</i>		
\$1,451,500		
Two River Theatre Company 21 Bridge Avenue Red Bank, NJ 07701 <i>Theatre Commissioning and Production Program</i>	PC	25,000
\$125,000		
University of Minnesota Foundation 210 Oak Street, SE. Suite 500 Minneapolis, MN 55455-2010 <i>To support the African American Theater History Project, a free online search tool that brings together archival materials documenting African American theater and its cultural and historical context</i>	PC	168,750
\$168,750		
TOTAL Arts		\$3,151,508

ARTS INITIATIVE		
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108 <i>To support the fourth cohort of Doris Duke Artists Awards:</i>	PF	5,225,000
\$5,225,000		
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108 <i>To support the second cohort of Doris Duke Impact Awards:</i>	PF	1,600,000

PART XV, 3b - GRANTS APPROVED IN 2014 FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Approved for Future Payment
\$1,600,000		
TOTAL Arts Initiative		\$6,825,000

CHILD WELL-BEING

<p>American Academy of Pediatrics 141 Northwest Point Blvd Elk Grove Village, IL 60007 <i>To support the Community Pediatrics Training Initiative</i> \$300,000</p>	PC	300,000
<p>Chapin Hall Center For Children 1313 E. 60th Street Chicago, IL 60637 <i>To support the Doris Duke Fellows for the Promotion of Child Well-being</i> \$3,410,325</p>	PC	3,410,325
<p>President and Fellows of Harvard College 50 Church Street, 4th Floor Cambridge, MA 02138 <i>To support enhancing the Center's communications capacity, products, and platforms for science-based knowledge dissemination</i> \$950,000</p>	PC	625,000
<p>Regents University of California Los Angeles Office of Contract and Grant Administration 11000 Kinross Avenue, Suite 211 Los Angeles, CA 90095-1406 <i>To support and strengthen the Population Change Community and establish a rigorous measurement system to be implemented in place-based projects working to improve child well-being</i> \$350,000</p>	PC	204,954

PART XV, 3b - GRANTS APPROVED IN 2014 FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Approved for Future Payment
<p>Zero To Three - National Center For Infants Toddlers And Families 1255 23rd Street, NW, Suite 350 Washington, DC 20037 <i>To support the delivery of positive parenting strategies to media partners and the viewing audience</i> \$1,175,000</p>	PC	900,000
TOTAL Child Well-being		\$5,440,279

ENVIRONMENT

<p>American Farmland Trust 1150 Connecticut Avenue, Suite 600 Washington, DC 20036 <i>To support the launch of the Hudson Valley Farmlink Network</i> \$425,000</p>	PC	100,000
<p>Council On The Environment Inc 51 Chambers Street, Suite 1231 New York, NY 10007 <i>To support the FARMRoots technical assistance program for Greenmarket farmers</i> \$300,000</p>	PC	100,000
<p>National Wildlife Federation 11100 Wildlife Center Drive Reston, VA 20190 <i>To renew support for the Bioenergy, Wildlife and Biodiversity project</i> \$1,162,000</p>	PC	612,000
<p>The Nature Conservancy, Inc. 4245 North Fairfax Drive</p>	PC	105,000

PART XV, 3b - GRANTS APPROVED IN 2014 FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Approved for Future Payment
Arlington, VA 22203 <i>To support the application of resilience science to the Great Lakes and Great Plains regions of the US</i> \$650,000	PC	2,456,521
The Nature Conservancy, Inc. 4245 North Fairfax Drive Arlington, VA 22203 <i>To support the Pacific Northwest Resilient Landscapes Initiative</i> \$6,500,000	PC	5,050,000
Wildlife Conservation Society 2300 Southern Boulevard Bronx, NY 10460 <i>To support renewal of the Climate Adaptation Fund Program</i> \$5,050,000	PC	
TOTAL Environment		\$8,423,521

MEDICAL RESEARCH

Brown University Of Providence Providence, RI 02912 <i>Breaking Beta: Decoding and Manipulating Critical Neural State Transitions in Parkinson's Disease</i> \$486,000	PC	243,000
Case Western Reserve University 10900 Euclid Avenue Cleveland, OH 44106 <i>Pharmacologic NK harness to seek and destroy the HIV reservoir</i> \$486,000	PC	243,000
Foundation for the National Institutes of Health, Inc.	PC	149,663

PART XV, 3b - GRANTS APPROVED IN 2014 FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Approved for Future Payment
9650 Rockville Pike Bethesda, MD 20814 <i>To support the National Institutes of Health Medical Research Scholars Program, 2015-16 and 2016-17</i> \$1,040,000		
Johns Hopkins University Broadway Research Building, Suite 117 733 North Broadway Baltimore, MD 21205 <i>Blood Based Biomarkers for Hereditary Cancer Syndromes Using Neurofibromatosis 1 as a Model</i> \$486,000	PC	243,000
General Hospital Corporation, dba Massachusetts General Hospital 55 Fruit Street Boston, MA 02114 <i>Improving Stroke Care by Predicting Atrial Fibrillation</i> \$486,000	PC	243,000
New York University New York, NY 10036 <i>Hypermethylation as a microbiome-mediated epigenetic phenomenon in CIMP(+) colorectal cancers</i> \$486,000	PC	243,000
Rector & Visitors Of The University Of Virginia Room 1709A Carter-Harrison Medical Research Building, 345 Crispell Drive, University of Virginia School of Medicine Charlottesville, VA 22901 <i>Early Predictors and Biomarkers of Cognition and Growth in Impoverished Children</i> \$486,000	PC	243,000

PART XV, 3b - GRANTS APPROVED IN 2014 FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Approved for Future Payment
<p>Sanford Research 2301 E 60th St N Sious Falls, ND 57104 <i>New insights into molecular mechanisms driving pediatric movement disorders</i> \$486,000</p>	PC	243,000
<p>The Board Of Trustees Of The Leland Stanford Junior University Stanford, CA 94305-6045 <i>Rigorous Evaluations of Global Health Programs and Policies</i> \$486,000</p>	PC	243,000
<p>Regents of the University Of California San Francisco University of California, San Francisco 505 Parnassus Ave M696 San Francisco, CA 94118 <i>Dissecting Human Osteoprogenitor Function using iPS Cells</i> \$486,000</p>	PC	243,000
<p>Regents of the University of Colorado 4200 East Ninth Avenue Denver, CO 80262 <i>Targeting DOTIL in MNI-high Acute Myeloid Leukemia</i> \$486,000</p>	PC	243,000
<p>Trustees Of The University Of Pennsylvania 3451 Walnut Street, Room P221 Philadelphia, PA 19104-6205 <i>Transcriptional Silencing of C9orf72 in Amyotrophic Lateral Sclerosis and Frontotemporal Degeneration</i> \$486,000</p>	PC	243,000

PART XV, 3b - GRANTS APPROVED IN 2014 FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Approved for Future Payment
<p>University Of Pittsburgh School of Medicine, Scaife Hall 3550 Terrace Street Pittsburgh, PA 15261 <i>To support the Doris Duke Foundation Academy for Clinical Research</i> \$140,400</p>	PC	70,200
<p>Vanderbilt University 2201 West End Ave Nashville, TN 37240 <i>Racial Differences in Vagal Control of Clucose Homeostatisis</i> \$486,000</p>	PC	243,000
<p>Washington University 660 S. Euclid Ave St. Louis, MO 63110 <i>Dysregulated Signaling Pathways in Myeloproliferative Neoplasms</i> \$261,000</p>	PC	130,500
<p>Washington University 660 S. Euclid Ave St. Louis, MO 63110 <i>Functional characterization of deleterious germline variability in MLL3 in infant leukemia</i> \$486,000</p>	PC	243,000
<p>Washington University 660 S. Euclid Ave St. Louis, MO 63110 <i>Small intestinal Paneth cell phenotype in Crohn's Disease: clinical relevance and genetic associations</i> \$486,000</p>	PC	243,000
<p>Washington University 660 S. Euclid Ave</p>	PC	243,000

PART XV, 3b - GRANTS APPROVED IN 2014 FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Approved for Future Payment
St. Louis, MO 63110 <i>Understanding How Different Therapies Reshape Brain Networks to Promote Stroke Recovery</i> \$486,000	PC	243,000
Washington University 660 S. Euclid Ave St. Louis, MO 63110 <i>Novel Prediction Models for Patient-Centered Clinical Outcomes after Transcatheter Aortic Valve Replacement for Aortic Stenosis</i> \$486,000		

TOTAL Medical Research	\$4,238,363
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MEDIA FUND

Greater Washington Educational Telecommunications Association, Inc. 3939 Campbell Ave Arlington, VA 22206 <i>To support PBS NewsHour programming related to DDCF fields of interest</i> \$300,000	PC	200,000
National Public Radio Inc 1111 North Capitol Street, NE Washington, DC 20002 <i>To support news programming in DDCF'sm areas of interest through general operating funding</i> \$1,000,000	PC	670,000

TOTAL Media Fund	\$870,000
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PHILANTHROPIC SECTOR/OTHER

PART XV, 3b - GRANTS APPROVED IN 2014 FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Approved for Future Payment
Philanthropy New York Inc 1500 Broadway, 7th Fl New York, NY 10036 <i>To support implementation of PNY's 2014-16 strategic plan through the Fund for 2025</i> \$100,000	PC	100,000
TOTAL Philanthropic Sector/Other		\$100,000
GRAND TOTAL		\$29,048,671

Doris Duke Charitable Foundation
Form 990-PF - Return of Private Foundation
FYE: 12/31/2014
Direct Charitable Activities
Attachment 16

	<u>2014</u>
2014 AFRICAN HEALTH INITIATIVE PHIT MEETING	236,647
2014 AFRICAN HEALTH INITIATIVE SITE VISITS	28,278
2014 CHILD WELL BEING LISTENING PANEL MEETING	7,317
2014 CLINICAL RESEARCH HIGH SCHOOL STUDENTS MEETING	1,879
2014 CLINICAL SCIENTIST MEETING 2014	45,610
7/9/2014 FUND FOR NATIONAL PROJECTS MEETING	9,249
2014 INNOVATIONS IN CLINICAL RESEARCH MEETING	43,840
2014 INTERNATIONAL CLINICAL RESEARCH FELLOWSHIP MEETING	13,375
3/18/14 NPN	280
2014 SCIENTIFIC ADVISORY COUNCIL	26,000
Total	<u><u>412,475</u></u>

Doris Duke Charitable Foundation
Form 990-PF
Part IX-A: Summary of Direct Charitable Activities
FYE: 12/31/2014

Environment Activities

Doris Duke was a lifelong environmentalist with a keen interest in conservation. In her will, she expressed her interest in "the preservation of wildlife, both flora and fauna" and in supporting "ecological endeavors."

The mission of the Environment Program is to enable communities to protect and manage wildlife habitat and create efficient built environments. It supports environmental initiatives and partners with other tax-exempt organizations, such as The Nature Conservancy, in the pursuit of land conservation, and strives to accomplish its mission through the following strategies:

- Accelerate land conservation in an era of climate change through the protection of landscapes that exhibit climate change resilience and help wildlife adapt to the impacts of climate change.
- Reduce impacts on the landscape from increased energy development and energy demand by facilitating the sensitive siting of energy infrastructure and reducing energy demand through increasing energy efficiency in the buildings sector.
- Encourage land stewardship and sustainability in the Tri-State area by supporting local efforts to manage wildlife habitat and create efficient, healthy, and vibrant built and natural environments in the greater New York City area.
- Strengthen the conservation field by diversifying and increasing the representation of under-represented communities in the conservation workforce. Build the overall capacity of the community focused on the permanent protection of high-priority wildlife habitat.

Medical Research Activities

Doris Duke was a significant supporter of medical research, making contributions to hospitals and research centers throughout her life. In her will, she expressed her interest in supporting "medical research designed to effectuate cures of major diseases."

The mission of the Medical Research Program is to support the prevention, diagnosis and treatment of human disease. The Medical Research Program strives to accomplish its mission through the following strategies:

- Encourage and develop clinical research careers that build the clinical research career ladder by supporting physician scientists in the United States at different stages of their career.
- Advance biomedical research and innovation through the support of innovative approaches to clinical research in targeted disease areas.

African Health Activities

The foundation also seeks to catalyse significant advances in strengthening health systems in sub-Saharan Africa through a special 10-year grant-making initiative. The African Health Initiative supports partnerships based at U.S. institutions that design, implement and evaluate large-scale models of care that link

implementation research and workforce training directly to the delivery of primary healthcare in sub-Saharan Africa.

Child Well-Being Activities

Doris Duke took a special interest in the needs of children, supporting nearly 85 child welfare organizations during her life. Her support included contributions to orphanages, camps, mentorship programs, little league teams, hospitals, and boys and girls clubs. In her will, Doris Duke expressed her interest in "the prevention of cruelty to children."

The mission of the Child Well-being Program is to promote children's healthy development and protect them from abuse and neglect. To accomplish this mission, the program favors a public health approach and is especially interested in place-based, whole-community approaches that seek to engage a range of participants from various disciplines to ensure that family well-being is supported by strong communities. It uses the following strategies to do so:

- Build a repertoire of prevention strategies through the examination and support of place-based interventions that ensure that a community, not only a parent, provides a setting that promotes child well-being.
- Expand the capacity of existing systems by working within existing systems that engage with groups characterized as high risk for child abuse and neglect, for the purpose of targeting at-risk individuals more effectively and efficiently.
- Develop and disseminate knowledge by building a broader audience for the ongoing research and investigation of child well-being. Support doctoral students engaged in the multidisciplinary work of child well-being.

Performing Arts Activities

Doris Duke, in her will, expressed an interest in assisting "actors, dancers, singers, musicians and other artists of the entertainment world in fulfilling their ambitions and providing opportunities for the public presentation of their arts and talents." The Arts Program supports this interest by focusing its support on contemporary dance, jazz and theatre artists, and the organizations that nurture, present and produce them.

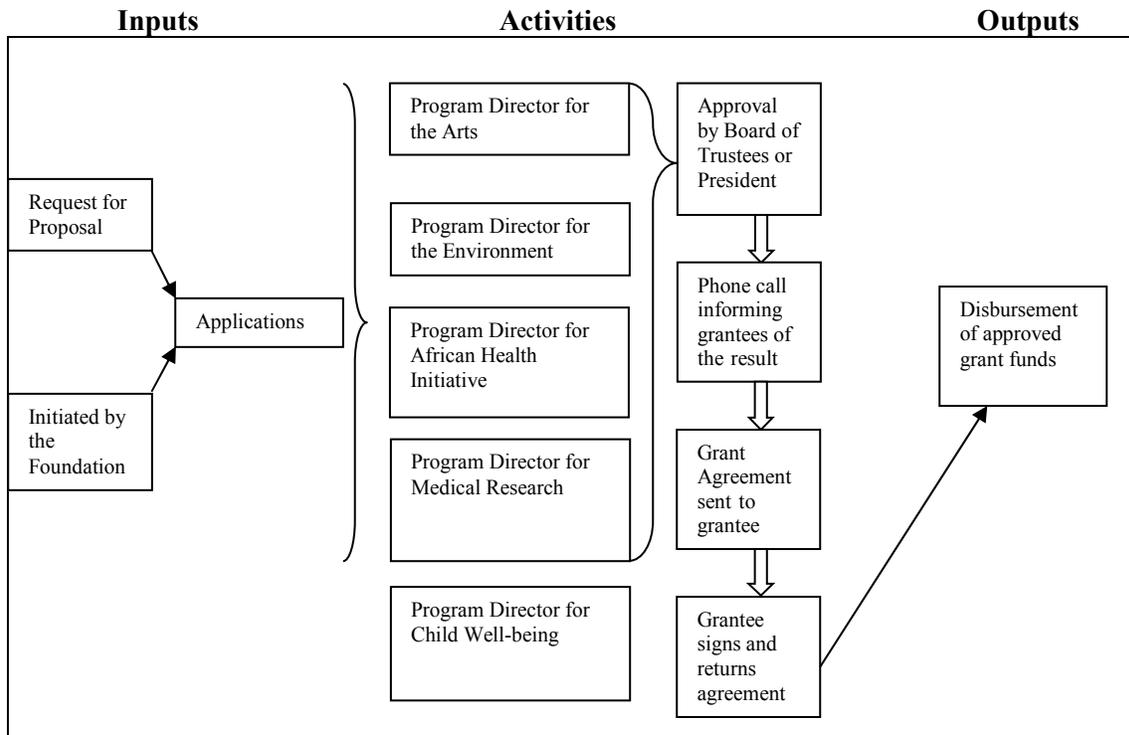
The mission of the Arts Program is to support performing artists with the creation and public performance of their work. The Arts Program accomplishes this mission through the following strategies:

- Support artistic creation and distribution through national competitive initiatives administered by intermediary organizations that support the commissioning, production and presentation of new work in dance, jazz and theatre. Typically these re-granting programs offer additional benefits to supported artists, including professional development, networking opportunities and participation in conferences and other meetings.
- Strengthen organizations that serve performing artists through grants that support their planning, technical assistance and implementation for bold, innovative approaches addressing current issues affecting the performing arts: the impact of technology, loss of audiences and/or changes in leadership.

- Build the national sector by supporting activities that strengthen national organizations critical to the health of dance, jazz, presenting and/or theatre fields, as well as national projects that have the potential to improve the health of a given field.
- Empower, invest in and celebrate artists by offering flexible, multi-year funding for artists in contemporary dance, theatre, jazz and related interdisciplinary work. This strategy is pursued through the Arts Program's Doris Duke Performing Artist Initiative, a 10-year initiative that was launched in 2011.

The \$412,475 in direct charitable expenditures listed in Part IX-A represent amounts paid by the Doris Duke Charitable Foundation to support various meetings, conferences, seminars and other climate, conservation and/or medical research endeavours. Further information about each individual program listed in the attached schedule is available upon request.

**Doris Duke Charitable Foundation
Grants Process
December 31, 2014**



The mission of the Doris Duke Charitable Foundation (DDCF) is to improve the quality of people’s lives through grants supporting the performing arts, environmental conservation, medical research and child well-being, and through preservation of the cultural and environmental legacy of Doris Duke’s properties.

Grant Development and Approval

Grants are either competitively awarded through Request for Proposal (RFP) processes or are initiated by the Foundation. Grants and proposed grant competitions are presented to the Board of Trustees at one of the four meetings that take place during the year. All grants must be approved by the Board of Trustees with the exception of the Program Fund, Cross-Program Fund, Trustee recommended grants, and grants selected through competitive, peer-reviewed program initiatives. In these cases, the Board approves a total amount for the program initiative or fund and delegates to DDCF’s President Edward Henry the authority to approve grants. Authority for Program Fund and Cross-Program Fund grants is sought from the Board each November for the following year. Program Fund and Cross-Program Fund grants must be for purposes that are in keeping with the mission of the Foundation; the amount of these grants is limited both on a per-grant basis (\$100,000 or less) and cumulative annual basis for each program (up to 5% of each Program’s annual payout budget may be awarded as Program Fund grants). Additionally, the Board authorized the President to approve up to \$500,000 in Program Fund grants for the African Health Initiative (with individual grants totaling no more than \$100,000), with funding to come from the initiative’s annual grants budget. All Program Fund and Cross-Program Fund grants are developed by staff, undergo routine due diligence review, and are approved by the President.

Each Program Fund and Cross-Program Fund grant totaling more than \$50,000 is discussed at a Staff Program Review meeting prior to approval. Finally, in 2014, six Trustees recommended grants totaling \$419,546; the grant purpose and organizations are vetted by staff and approved by the Board Chair prior to grant award.

Grants solicited by the Foundation. Edward Henry (President) is actively involved in the entire grants cycle. Prior to performing all the research on a potential grantee, the Program Director discusses the organization or proposed project with Mr. Henry. Based on this discussion, the research will begin or the project will be set aside.

Once a completed grant application is returned to DDCF, the Program Director and staff members review it to ensure that the organization meets DDCF requirements and that the program description is in line with DDCF's mission and program objectives. The application is also reviewed by the Program Associate for Grants Administration to ensure that all the proper documentation has been received and the organization is a qualified not-for-profit. Each proposed grant is entered into GIFTS, the Foundation's grants management database.

Once the submitted application has been reviewed and approved, the Program Director compiles a docket for presentation to the Board of Directors that describes the potential grantee organization and its proposed use of funds. Prior to the Board meeting, the Program Director presents the information to senior management and program staff at a Program Review session and at a "practice" session for the Board meeting. During the meeting, the senior staff critiques the written summary and the presentation, and appropriate changes are made, as necessary.

At the next Board meeting, the docket will be presented to the Board for their approval. The Board's decisions regarding proposed grants are documented in the Board of Director Minutes. After a grant has been approved by the board, Grants Administration staff records the grant as "Approved" in GIFTS and the GIFTS system assigns a grant number. Grants Administration sends a monthly report detailing grants approved to Finance and the Accounting Manager prepares a journal entry to record the liability.

Grants awarded through competitive Requests for Proposals. Once the Board approves funds for a grant competition and delegates authority to approve specific grants identified through that competition to the DDCF President, program staff release a Request for Proposals (RFP). The RFP specifies the purpose of the funding available through the award program, the timeline for application, the selection process, and expectations of projects selected. Competitions may involve one or two stages of application (i.e., letter of interest followed by a full proposal or just a full proposal) with staff and/or panel review at the first stage and panel review at the second stage. Applications are brought into GIFTS via on-line forms. Review panels score applications in accordance with the criteria specific to the grant program and recommend a roster of grants to the Foundation. With authority delegated from the Board, the President approves grants to the projects selected via the competition. Grants Administration staff conducts a standard pre-grant agreement due diligence of each institution approved for funding.

Grant Award

Once the Board or President approves a grant, the appropriate DDCF program staff member notifies the organization by telephone. The Program Associate for Grants Administration generates a draft grant agreement using the appropriate GIFTS template (grant agreement templates are stored in GIFTS and merged with the GIFTS grant data to create the grant agreement). The Program Director and Director of Grants Management review and refine the grant agreement until they are satisfied that it is complete. Once the Director of Grants Management has approved the grant agreement and award letter and verified that all grant

documentation is in order, the agreement and award letter is forwarded to the President for signature.

After the President signs the award letter and the grant agreement, they are sent to the grantee organization for electronic signature using Adobe’s EchoSign product. The grant agreement specifies the total funds awarded, payment schedule, use of the funds, performance and financial reporting requirements, and various other disclosures regarding DDCF’s rights (for example, to publicly announce the grant). If the grantee organization agrees to the terms of the agreement, an authorized representative of the organization will sign electronically and return the agreement to the DDCF via EchoSign. When the fully executed grant agreement is received by the Foundation, the first grant payment is initiated by Grants Administration and forwarded to Finance for processing.

Grant Payment Process

Once the Grants Manager has determined that all requirements for a grant payment have been met, the grant payment process is initiated.

The Grants Associate confirms that ACH instructions are still correct and updates the GIFTS record if necessary. Then, Grants Administration exports the grantee data from GIFTS to an Excel spreadsheet which is emailed to the Accounting Manager for upload to Great Plains (GP). The information is uploaded into GP where it is reviewed and approved as per the signing authority below. Once the approvals have been made, an ACH encrypted file is generated and transmitted to Bank of America via a secured transmission website. The transmission is then verified with the bank via a phone call to an ACH automated phone system. Once the payment has been sent, the grantee and appropriate program staff are alerted by email that the ACH has been executed.

Grant signing authority requirements:

<u>Grant Payment Amount</u>	<u>Requirements</u>	<u>Signatories</u>
between \$0 and \$9,999.99	Require any one signer listed	Peter Simmons Eileen Oberlander Edward Henry Deborah Close Jeffrey Heil
between \$10,000 and \$99,999.99	Require any two signers listed	Peter Simmons Eileen Oberlander Edward Henry Deborah Close Jeffrey Heil
Equal to or over \$100,000	Require two of the following individuals	Peter Simmons Edward Henry Eileen Oberlander

Grant Monitoring

Within each grant agreement, the grantee agrees to provide DDCF with certain interim and final financial and program performance information. The time period for this submission varies from grant to grant. To ensure that the DDCF funds are being used in accordance with the grant agreement, the grantee is required to submit the following information:

- A narrative describing program accomplishments, challenges, and future plans
- An assessment of the project to date (have the original goals been achieved, were any program refinements necessary?)
- Copies of any literature, reports, or media coverage that was generated as a result of the grant
- A copy of the most recent audited financial statements
- A budget to actual comparison of use of the grant funds (indicating any budget modifications and explanations for budget variances)
- If a multi-year grant, a revised budget and budget narrative for the upcoming year (if warranted)
- Notification to DDCF of any changes to their board, senior staff, or tax-exempt status.

This information is required whether the grant period is one year or multi-year. If the grant is multi-year, the subsequent disbursements will not be made until this information has been received, reviewed, and approved by DDCF staff.

This information will be submitted to DDCF and reviewed by the Program Director and Grants Administration staff to ensure that the grantee has been using DDCF's funds for the purpose outlined in their grant agreement. This includes determining if certain project milestones have been met, whether funds have been spent reasonably and within program guidelines, and whether the project has benefited the items/population targeted in the agreement. Additionally, the financial information is reviewed by the Director of Grants Management or Program Associate for Grants Management, and either the Program Director or Program Officer – and, as necessary, by a member of the Finance Department – to ensure that the grantee is not experiencing any financial difficulties.

Once this information has been evaluated and approved by DDCF, the payment request is prepared for multi-year grants or, for single year grants, the file will be closed.

Computer information systems

The Foundation uses GIFTS, a grants management database system purchased from MicroEdge, Inc. to track detailed information about each application for funding and each grant approved. Applications are received through an internet grants application module and retrieved into the database and their status is tracked according to their progress through the review, approval or declination, and disbursement and reporting process. The database is also used to generate grant related documentation, including (but not limited to) grant award letters and grant agreements, payment request memoranda, and reporting review checklists. GIFTS also provides reports that enable the user to project the upcoming disbursements, determine when financial or other reporting information is required, track grant expiration, and track activities related to each grant.

Doris Duke Charitable Foundation
Form 990-PF
FYE 12/31/2014

Form 990-PF, Part I, Line 6(a) & Part IV Statement
Attachment 19

Asset Class	Realized Gains
Short Term Investments	40,753
Fixed Income Investments	4,490,089
Marketable Equity Alternative Investments	3,522,546
Marketable Commingled Equity Investments	(3,045,738)
Marketable Distressed/High Yield Alternatives	12,072
Marketable Long/Short Equity Alternatives	6,428,637
Marketable Multi-Strategy Alternatives	3,871,087
Non-Exchange traded Buyout/Growth Funds	9,118,618
Non-Exchange Traded Distressed Funds	302,725
Non-Exchange Traded Fund of Funds	12,015,170
Non-Exchange Traded Real Assets	2,376,295
Non-Exchange Traded Venture Capital Funds	21,402,460
Allocation to Doris Duke Foundation	(147,342)
Capital Gains/Losses Per Books	<u>60,387,372</u>
Limited Partnership Investments	6,147,220
Total Capital Gains - Part I, Line 6a	<u><u>66,534,592</u></u>

A detailed schedule of the Foundation's capital gains and losses by specific funds held is available on request.