

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No. 1545-0052

2011

For calendar year 2011 or tax year beginning , 2011, and ending , 20

Name of foundation DORIS DUKE CHARITABLE FOUNDATION		A Employer identification number 13-7043679
Number and street (or P.O. box number if mail is not delivered to street address) 650 FIFTH AVENUE, 19TH FLOOR		B Telephone number (see instructions) (908) 243-3619
Room/suite		C If exemption application is pending, check here <input type="checkbox"/>
City or town, state, and ZIP code NEW YORK, NY 10019		
G Check all that apply:	<input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change	D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
	<input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 1,616,679,160.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	86,517.			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	75,525.	75,525.		
	4 Dividends and interest from securities	15,150,152.	15,150,152.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	73,934,965.			
	b Gross sales price for all assets on line 6a 2,926,235,251.				
	7 Capital gain net income (from Part IV, line 2)		73,934,965.	ATCH 16	
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	1,083.	10,911,016.		ATCH 1	
12 Total. Add lines 1 through 11	89,248,242.	100,071,658.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	539,335.			637,396.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) ATCH 2	58,696.			46,075.
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule) *	5,550,911.	5,530,403.		2,960.
	17 Interest				
	18 Taxes (attach schedule) (see instructions) *	851,354.			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	19,052.			19,138.
	22 Printing and publications	2,632.			2,632.
	23 Other expenses (attach schedule) ATCH 5	8,170,073.			7,670,170.
	24 Total operating and administrative expenses. Add lines 13 through 23	15,192,053.	5,530,403.		8,378,371.
25 Contributions, gifts, grants paid	52,947,723.			76,354,261.	
26 Total expenses and disbursements. Add lines 24 and 25	68,139,776.	5,530,403.		84,732,632.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	21,108,466.				
b Net investment income (if negative, enter -0-)		94,541,255.			
c Adjusted net income (if negative, enter -0-)					

For Paperwork Reduction Act Notice, see instructions.

*ATCH 3 JSA ** ATCH 4

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year		End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing	4,284,472.	2,371,394.	2,371,394.	
	2	Savings and temporary cash investments	11,310,883.	18,372,068.	18,372,068.	
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶				
	5	Grants receivable	2,410,557.	1,994,687.	1,994,687.	
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges	32,083.	907,083.	907,083.	
	10 a	Investments - U.S. and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule) ATCH 6	307,691,335.	268,178,374.	268,178,374.	
	c	Investments - corporate bonds (attach schedule) ATCH 7	227,854,885.	207,219,209.	207,219,209.	
	11	Investments - land, buildings, and equipment: basis Less: accumulated depreciation (attach schedule) ▶				
	12	Investments - mortgage loans				
	13	Investments - other (attach schedule) ATCH 8	1,062,937,863.	1,075,772,901.	1,075,772,901.	
	14	Land, buildings, and equipment: basis Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶ ATCH 9)	99,949,913.	41,863,444.	41,863,444.		
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,716,471,991.	1,616,679,160.	1,616,679,160.		
Liabilities	17	Accounts payable and accrued expenses	1,248,964.	1,083,800.		
	18	Grants payable	67,349,055.	44,239,457.		
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ ATCH 10)	100,359,640.	78,458,336.		
23	Total liabilities (add lines 17 through 22)	168,957,659.	123,781,593.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted	1,547,514,332.	1,492,897,567.		
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds					
30	Total net assets or fund balances (see instructions)	1,547,514,332.	1,492,897,567.			
31	Total liabilities and net assets/fund balances (see instructions)	1,716,471,991.	1,616,679,160.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,547,514,332.
2	Enter amount from Part I, line 27a	2	21,108,466.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,568,622,798.
5	Decreases not included in line 2 (itemize) ▶ ATTACHMENT 11	5	75,725,231.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,492,897,567.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE - SEE ATTACHMENT 16				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	73,934,965.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	85,259,405.	1,507,779,999.	0.056546
2009	85,106,004.	1,386,461,656.	0.061384
2008	112,918,793.	1,768,728,530.	0.063842
2007	103,141,851.	1,976,949,571.	0.052172
2006	81,927,408.	1,781,506,487.	0.045988
2 Total of line 1, column (d)			2 0.279932
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.055986
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			4 1,596,811,002.
5 Multiply line 4 by line 3			5 89,399,061.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 945,413.
7 Add lines 5 and 6			7 90,344,474.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 84,732,632.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculation. Line 1: 1,890,825. Line 2: 2. Line 3: 1,890,825. Line 4: 0. Line 5: 1,890,825. Line 6a: 717,014. Line 6c: 1,100,000. Line 7: 1,817,014. Line 9: 73,811. Line 11: Refunded.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Line 1a: No. Line 1b: X. Line 1c: X. Line 2: X. Line 3: X. Line 4a: X. Line 4b: X. Line 5: X. Line 6: X. Line 7: X. Line 8a: NY. Line 8b: X. Line 9: X. Line 10: X.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?
Website address WWW.DDCF.ORG
14 The books are in care of EILEEN OBERLANDER Telephone no. 908-243-3619
Located at 80 ROUTE 206 SOUTH HILLSBOROUGH, NJ ZIP + 4 08876-1256
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here
16 At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?
Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?
If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propoganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **ATTACHMENT 12** Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 13		637,396.	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATTACHMENT 14		1,627,143.

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 SEE ATTACHMENTS 17 & 17A	233,768.
2 -----	
3 -----	
4 -----	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
2 -----	
All other program-related investments. See instructions.	
3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,594,959,243.
b	Average of monthly cash balances	1b	26,168,678.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	1,621,127,921.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,621,127,921.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	24,316,919.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,596,811,002.
6	Minimum investment return. Enter 5% of line 5	6	79,840,550.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	79,840,550.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	1,890,825.
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,890,825.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	77,949,725.
4	Recoveries of amounts treated as qualifying distributions	4	419,847.
5	Add lines 3 and 4	5	78,369,572.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	78,369,572.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	84,732,632.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	84,732,632.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	84,732,632.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				78,369,572.
2 Undistributed income, if any, as of the end of 2011:				
a Enter amount for 2010 only			14,850,388.	
b Total for prior years: 20 09, 20 08, 20 07				
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e				
4 Qualifying distributions for 2011 from Part XII, line 4: ▶ \$ <u>84,732,632.</u>				
a Applied to 2010, but not more than line 2a			14,850,388.	
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2011 distributable amount				69,882,244.
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				8,487,328.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a		0		
10 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with 5 columns: (a) 2011, (b) 2010, (c) 2009, (d) 2008, (e) Total. Rows include 2a (Adjusted net income), 2b (85% of line 2a), 2c (Qualifying distributions), 2d (Amounts used for active conduct), 2e (Qualifying distributions made directly), 3 (Alternative tests: Assets, Endowment, Support).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHMENT 18

c Any submission deadlines:

SEE ATTACHMENT 18

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHMENT 18

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHMENT 15				76,354,261.
Total ▶ 3a				76,354,261.
b Approved for future payment SEE ATTACHMENT 15				44,239,457.
Total ▶ 3b				44,239,457.

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Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include Program service revenue, Membership dues, Interest on savings, Dividends, Net rental income, Other investment income, Gain or loss from sales, and Subtotal.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No. and Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- a** Transfers from the reporting foundation to a noncharitable exempt organization of:
- (1) Cash 1a(1) Yes No
 - (2) Other assets 1a(2) Yes No
- b** Other transactions:
- (1) Sales of assets to a noncharitable exempt organization 1b(1) Yes No
 - (2) Purchases of assets from a noncharitable exempt organization 1b(2) Yes No
 - (3) Rental of facilities, equipment, or other assets 1b(3) Yes No
 - (4) Reimbursement arrangements 1b(4) Yes No
 - (5) Loans or loan guarantees 1b(5) Yes No
 - (6) Performance of services or membership or fundraising solicitations 1b(6) Yes No
- c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees 1c Yes No
- d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved N/A	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here Signature of officer or trustee: Edward P. Henry Date: 11-13-12 Title: President/CEO

May the IRS discuss this return with the preparer shown below (see instructions)? Yes No

Paid Preparer Use Only

Print/Type preparer's name Scott Thompsett	Preparer's signature <i>[Signature]</i>	Date 11/15/2012	Check <input type="checkbox"/> if self-employed	PTIN P00741490
Firm's name GRANT THORNTON LLP	Firm's EIN 36-605555B	10017-4057	Phone no. 212-599-0100	
Firm's address 666 THIRD AVENUE NEW YORK, NY				

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
TOTAL GAIN (LOSS)							<u>73934965.</u>	

Schedule of Contributors

2011

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

Name of the organization

DORIS DUKE CHARITABLE
FOUNDATION

Employer identification number

13-7043679

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **DORIS DUKE CHARITABLE FOUNDATION**

Employer identification number
13-7043679

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	WALTER P. INMAN JR. CRAT ----- C/O BANK OF AMERICA, TRUST OPERATIONS ----- DALLAS, TX 75823 -----	\$ 86,517.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
	----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
	----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
	----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
	----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
	----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization **DORIS DUKE CHARITABLE
FOUNDATION**

Employer identification number
13-7043679

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____

Name of organization **DORIS DUKE CHARITABLE FOUNDATION**

Employer identification number
13-7043679

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry.

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____ _____		_____ _____ _____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____ _____		_____ _____ _____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____ _____		_____ _____ _____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____ _____		_____ _____ _____	

FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
MISCELLANEOUS INCOME	1,083.	
FLOW THROUGH INCOME FROM INVESTMENTS		11,012,782.
IN LIMITED PARTNERSHIPS		-101,766.
LESS AMOUNTS REPORTED AS UNRELATED		
BUSINESS INCOME		

TOTALS

1,083.

10,911,016.

ATTACHMENT 2

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
HOLLAND & KNIGHT LLP	21,370.			21,370.
SHEARMAN & STERLING	14,747.			18,514.
SIMPSON THACHER & BARTLETT	16,388.			
FRANKFURT KURNIT	4,000.			4,000.
BAYS LUNG ROSE & HOLM	1,808.			1,808.
ROPE S & GRAY LLP	383.			383.
TOTALS	58,696.			46,075.

ATTACHMENT 3

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
CUSTODY FEES	504,037.	504,037.	
MANAGERS BASE FEES	1,731,753.	1,731,753.	
INVESTMENT ADVISORY FEES	300,920.	300,920.	
OTHER INVESTMENT EXPENSES	968,194.	968,194.	
INVESTMENT EXPENSE ALLOCATED FROM DDMF	1,996,571.	1,996,571.	
BANK FEES	8,714.	8,714.	
SECURITIES LENDING EXPENSE	37,762.	37,762.	
OUTSOURCE SERVICES	2,960.	-17,548.	2,960.
LESS INVESTMENT MANAGEMENT FEES ALLOCATED TO UNRELATED BUSINESS ACTIVITIES			
TOTALS	<u>5,550,911.</u>	<u>5,530,403.</u>	<u>2,960.</u>

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
STATE INCOME TAX - UBIT	1,354.
FEDERAL EXCISE TAX	850,000.
TOTALS	<u>851,354.</u>

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
MANAGEMENT FEES	8,101,449.	7,590,334.
INSURANCE	64,930.	64,930.
MISCELLANEOUS EXPENSES	3,694.	14,906.
TOTALS	<u>8,170,073.</u>	<u>7,670,170.</u>

ATTACHMENT 6

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
99-NTGI STRUCTURED SMALL CAP	5,471,736.	5,471,736.
98-NTGI EAFE ENHANCED FUND	20,195,753.	20,195,753.
42-GMO EMG MARKETS FUND III	60,187,456.	60,187,456.
37-CAP. INT'L EMG.MKTS.GROWTH	55,639,689.	55,639,689.
132-BGI FRONTIER FUND	12,516,870.	12,516,870.
36-CAPITAL GUARDIAN GLOBAL E	40,814,541.	40,814,541.
57-WALTER SCOTT & PARTNERS	59,421,636.	59,421,636.
100-BRANDES INVESTMENT PTRS		
163-LONE CASCADE	13,930,693.	13,930,693.
TOTALS	<u>268,178,374.</u>	<u>268,178,374.</u>

ATTACHMENT 7

FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
62-BLACK ROCK CORE BOND FUND	24,925,983.	24,925,983.
63-PIMCO COREPLUS BOND FUND	66,143,841.	66,143,841.
64-PIMCO REAL RETURN	43,215,055.	43,215,055.
83-FXD INCOME GLOBAL OPP FND		
134-CLIFTON GROUP	11,027,342.	11,027,342.
130-MESIROW FINANCIAL	396,574.	396,574.
144-PIMCO SHORT DURATION BOND	66,661,623.	66,661,623.
THIRD PARTY INVESTMENT IN DDCF	-5,151,209.	-5,151,209.
TOTALS	<u>207,219,209.</u>	<u>207,219,209.</u>

ATTACHMENT 8FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
41-FARALLON CAP INST. PTRS	18,454,767.	18,454,767.
30-AG PRINCESS LP	71,364,182.	71,364,182.
50-OCH-ZIFF OFFSEAS FUND	44,467,885.	44,467,885.
153-SEQUOIA CAPITAL 2010	3,459,664.	3,459,664.
78-BRIDGewater PURE ALPHA FN	112,384,054.	112,384,054.
103-OLD LANE CAYMAN HFF	41,486.	41,486.
110-RENAISSANCE INSTIT EQTYS	48,888,807.	48,888,807.
154-HIGH RISE CAPITAL LP		
35-BROOKSIDE CAP PARTNERS	12,706,474.	12,706,474.
52-PEQUOT ENDOWMENT FUND LP	1,216,421.	1,216,421.
45-HIGHLINE CAPITAL INT'L		
67-SOUTHPORT ENERGY PLUS OS	22,422,453.	22,422,453.
73-CHILDREN'S INVESTMENT FUND		
80-OSPARIE WINGSPAN		
87-PROSPECT HARBOR CR PTRS	1,509,128.	1,509,128.
90-CONVEXITY CAP OFFSHORE	40,913,890.	40,913,890.
104-KING STREET CAPITAL	25,412,079.	25,412,079.
112-GALLEON TECHNOLOGY FUND	1,285,532.	1,285,532.
43-HARBORVEST IPEP I LP	6,501,591.	6,501,591.
39-COMMONFUND CAP PE V LP	18,609,677.	18,609,677.
40-COMMONFUND CAP VP VI LP	17,393,754.	17,393,754.
54-SPO PARTNERS II LP	56,938,504.	56,938,504.
65-BAIN CAPITAL FUND VIII LP	7,546,171.	7,546,171.
68-HEARTWOOD FORESTRY FUND V	10,143,684.	10,143,684.
69-GMO FORESTRY FUND VIII	10,879,757.	10,879,757.
70-PORTFOLIO ADVISORS	20,772,353.	20,772,353.
72-KIRTLAND CAPITAL CO LP	1,534,290.	1,534,290.
75-OAK HILL CAP PART II	11,036,297.	11,036,297.
76-SANKATY CREDIT OPP II LP	5,857,444.	5,857,444.
81-SEQUOIA CAP GROWTH FUND III	5,047,466.	5,047,466.
82-SEQUOIA CAP CHINA I	3,642,631.	3,642,631.
88-BAY PARTNERS XI, LP	3,090,580.	3,090,580.
89-LYME FOREST FUND	5,360,520.	5,360,520.

FORM 990PF, PART II - OTHER INVESTMENTSATTACHMENT 8 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING</u>	<u>ENDING</u>
	<u>BOOK VALUE</u>	<u>FMV</u>
91-DFJ ELEMENT	1,268,756.	1,268,756.
92-PORTFOLIO ADVISORS PE IV	17,159,558.	17,159,558.
94-TA ASSOCIATES X LP	3,340,048.	3,340,048.
95-BAIN CAPITAL FUND IX LP		
96-NGEN PARTNERS II	522,686.	522,686.
101-SEQUOIA CAPITAL XII	6,434,926.	6,434,926.
102-TPG PARTNERS V	2,880,527.	2,880,527.
105-OAK INVEST PTNRS XII	4,278,763.	4,278,763.
106-SEQUOIA CAP INDIA GRW I	2,156,494.	2,156,494.
107-PERMIRA IV	4,030,781.	4,030,781.
108-ASIA ALTERNATIVES CAP PTN	8,388,083.	8,388,083.
109-CERBERUS INSTTT PTNRS LP	5,538,326.	5,538,326.
121-TACONIC OPPORTUNITY FUND	20,918,597.	20,918,597.
114-STEEL PARTNERS OFFSHORE	498,279.	498,279.
115-STEEL PARTNERS JAPAN	476,177.	476,177.
169-ASIA ALT CAP PTNRS III	483,551.	483,551.
124-HIGHLINE SELECT LIMITED		
126-ALGEBRIS GLOBAL FINANCIAL		
111-AACP CHINA GROWTH		
113-SILVER LAKE III	3,083,269.	3,083,269.
117-GREENPARK INTERNATIONAL IN	2,714,954.	2,714,954.
119-HARBORVEST PARTNERS 07 DI	3,759,035.	3,759,035.
125-OAK HILL CAPITAL III	3,269,160.	3,269,160.
118-INSIGHT VENTURE PARTNERS	3,384,207.	3,384,207.
120-SEQUOIA CAPITAL CHINA II	7,054,030.	7,054,030.
123-SEQUOIA CAPITAL INDIA III	3,123,650.	3,123,650.
116-SANKATY CREDIT OPP III	2,023,319.	2,023,319.
127-PAULSON ADVANTAGE PLUS	4,383,889.	4,383,889.
129-BAUPOST VALUE PARTNERS IV	21,516,465.	21,516,465.
138-GSO SPECIAL SITUATIONS	71,250,655.	71,250,655.
128-ASIA ALTRNTVE CAP PTR III	25,873,571.	25,873,571.
131-TPG PARTNERS VI	3,619,252.	3,619,252.
133-NORDIC CAPITAL FUND VII	1,998,999.	1,998,999.

ATTACHMENT 8 (CONT'D)

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
135-INVESTCORP TECH PTNR III	3,253,848.	3,253,848.
136-RIVERSTONE/CARLYLE GBL	4,332,790.	4,332,790.
139-SANKATY CREDIT OPPOP IV	3,849,610.	3,849,610.
140-AUSTIN VENTURES X	3,029,621.	3,029,621.
141-TCV VII(A) LP	3,918,000.	3,918,000.
143-SEQUOIA CAP INDIA GROWTH	952,935.	952,935.
146-SEQUOIA CAP U.S. GROWTH FD	5,112,852.	5,112,852.
147-ADAGE CAPITAL PARTNERS	36,555,173.	36,555,173.
148-DIVERSIFIED OFFSHORE	10,747,325.	10,747,325.
151-CONATUS CAPITAL OVERSEAS	19,830,920.	19,830,920.
152-STEEL PARTNERS OFFSHORE	400.	400.
145-GMO MEAN REVERSION	14,579,111.	14,579,111.
149-WOODBINE CAPITAL FUND	9,111,939.	9,111,939.
150-FRONTPOINT OFFSHORE	10,118,135.	10,118,135.
168-CROSS HARBOR INST PTNRS II	587,881.	587,881.
160-HAMPSHIRE PTNRS REIT VIII	980,438.	980,438.
171-GOLDENTREE CLO DEBT 2011	6,000,000.	6,000,000.
172-DENHAM COMMODITY FUND	244,798.	244,798.
162-MERIT ENERGY PARTNERS H LP	1,422,262.	1,422,262.
159-FINE OFFSHORE FUND	21,987,800.	21,987,800.
157-WELLINGTON FUND	13,489,601.	13,489,601.
170-CENTERBRIDGE CREDIT PTNRS	24,932,358.	24,932,358.
164-SOROBAN CAYMAN FUND	21,182,250.	21,182,250.
158-CHILTON GLOBAL NATURAL RES	10,618,665.	10,618,665.
166-JAT CAPITAL	8,810,500.	8,810,500.
156-PFM MERITAGE OFFSHORE FUND	8,734,043.	8,734,043.
155-PORTFOLIO ADVISORS PE FUND	3,776,200.	3,776,200.
161-GARRISON REAL ESTATE FUND	1,549,997.	1,549,997.
165-PORTFOLIO ADVISORS REAL	926,977.	926,977.
167-SEQUOIA CAPITAL US GROWTH	824,924.	824,924.
TOTALS	<u>1,075,772,901.</u>	<u>1,075,772,901.</u>

ATTACHMENT 9

FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SALES COMMITMENT RECEIVABLE	39,791,795.	39,791,795.
INTEREST RECEIVABLE	1,445,924.	1,445,924.
DIVIDENDS RECEIVABLE	168,514.	168,514.
TAX RECLAIM RECEIVABLE	43,036.	43,036.
OTHER INVESTMENT INCOME RECEIVABLE	6,939.	6,939.
DUE FROM DORIS DUKE FOUNDATION	2,232.	2,232.
DUE FROM DORIS DUKE FOUNDATION FOR ISLAMIC ART	24,034.	24,034.
COLLECTIBLES	380,970.	380,970.
TOTALS	<u>41,863,444.</u>	<u>41,863,444.</u>

ATTACHMENT 10FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>
DUE TO DDMF	4,844,279.
DUE TO DUKE FARMS FOUNDATION	156,960.
DEFERRED FEDERAL & STATE EXCISE	4,542,394.
PURCHASE COMMITMENTS PAYABLE	67,811,929.
SECURITIES LENDING PAYABLE	2,774.
FEDERAL EXCISE TAX PAYABLE	1,100,000.
TOTALS	<u>78,458,336.</u>

ATTACHMENT 11FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
NET UNREALIZED LOSS ON SECURITIES	75,539,808.
CHANGE IN VALUE OF BENEFICIAL INTEREST IN TRUSTS HELD BY OTHERS	185,423.
TOTAL	<u>75,725,231.</u>

Doris Duke Charitable Foundation
Form 990-PF Return of Private Foundation
FYE: 12/31/2011
Direct Charitable Activities
Attachment 12

The Doris Duke Charitable Foundation maintained expenditure responsibility for four grants to:

Grantees

Doris Duke Foundation for Islamic Arts

Duke Farms Foundation

Newport Restoration Foundation

International Network for Cancer Treatment and Research, USA ("INCTR-USA")

The expenditure responsibility reports follow this Attachment 12 cover sheet.

The Doris Duke Charitable Foundation also maintained expenditure responsibility for various grants that were approved in 2011, but were not actually disbursed until the following year. The expenditure responsibility statements for those grants will be included with the 12/31/2012 Form 990-PF, Annual Filing.



October 17, 2012

Mr. Peter Simmons
Chief Operating Officer
Duke Farms Foundation
1112 Dukes Parkway West
Hillsborough, New Jersey 08844

D O R I S D U K E
C H A R I T A B L E F O U N D A T I O N

Dear Mr. Simmons:

The Doris Duke Charitable Foundation has made grants to Duke Farms Foundation in 2011 totaling \$8,545,098 to be used for Duke Farms Foundation's general operating expenses (referred to collectively as the "Grant"). Because Duke Farms Foundation is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from Duke Farms Foundation before making payment of the Grant funds. By signing this letter, you agree on behalf of Duke Farms Foundation to the following specific obligations with respect to this Grant:

- (1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant);
- (3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which we believe necessary to keep us fully apprised of the status or use of the Grant or income arising therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");

Mr. Peter Simmons

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October 17, 2012

- (5) To keep these records of receipts and expenditures and copies of reports submitted to the Doris Duke Charitable Foundation for at least four years after completion of the use of the Grant funds; and
- (6) Not to use any of the Grant or income arising therefrom,
 - a. To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
 - b. To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
 - c. To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
 - d. To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, or as defined in section 170(c)(2)(B) of the Code.

In the event that you violate the terms of this letter agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, you agree that you will refund to the Doris Duke Charitable Foundation the full amount of the Grant and pay over to the Doris Duke Charitable Foundation any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the Doris Duke Charitable Foundation to ask that more detailed reports be submitted or that other steps be taken. The Doris Duke Charitable Foundation will promptly inform you of any such changes, and by signing this letter you indicate your agreement to provide such additional information and comply with such requests.

Duke Farms Foundation also agrees that no later than the end of its first taxable year after the taxable year in which it receives the Grant, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant, and, within such time period, Duke Farms Foundation agrees to provide adequate records or other sufficient evidence to the Doris Duke Charitable Foundation to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (i) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details

Mr. Peter Simmons
Page 3
October 17, 2012

concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which Duke Farms Foundation elects pursuant to Treas. Reg. 53.4942(A) 3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if Duke Farms Foundation were not an operating foundation.

If this letter and its attachment correctly set forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Very truly yours,
The Doris Duke Charitable Foundation

By: Edward P. Henry
Edward P. Henry, President

Agreed to and accepted on behalf of
Duke Farms Foundation this 22nd
day of October, 2012

By: Peter Simmons
Peter Simmons, Chief Operating Officer



Duke Farms
Living Habitats

October 22, 2012

Mr. Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, New York 10019

Dear Mr. Henry:

In 2011, the Doris Duke Charitable Foundation ("DDCF") made grants totaling \$8,545,098 to Duke Farms Foundation ("DFF"). By a letter dated October 17, 2012, I agreed on behalf of DFF to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. Since all of the grant funds were spent during 2011 the year in which they were granted, this is both a first and final report.

Name and address of grantee:

Duke Farms Foundation
80 Route 206 South
Hillsborough, NJ 08844

Purpose of Grants:

To be used for DFF's general operating expenses. Property owned by DFF is used for a charitable purpose since DFF is transitioning to become a regional center for environmental stewardship. DFF provides educational programs to visitors as well as serving as a critical habitat for protecting endangered species of all kinds, both flora and fauna.

The following table contains the date of payment of each part of the grant that was spent in 2011 and a description of how the grant was spent by DFF:

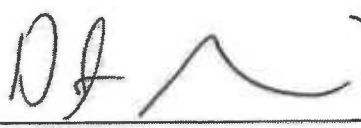
<u>Date of Payment</u>	<u>Amount of Grant</u>	<u>Purpose for which Grant was Used</u>
1/31/11	\$1,032,541	Employee Related Expenses; General Admin Expenses; Program Related Expenses; General Operating Expenses; Investment Expenses; Capital Expenditures.
2/28/11	\$771,424	"
3/31/11	\$499,367	"
4/30/11	(\$293,079)	"
5/31/11	\$639,255	"
6/30/11	\$1,337,071	"
7/31/11	\$673,191	"
8/31/11	\$705,774	"
9/30/11	\$726,781	"
10/31/11	\$581,807	"
11/30/11	\$732,916	"
12/31/11	\$1,138,050	"

All of the grant funds have been spent for DFF's operating expenses, and no portion of the grant funds has been diverted from such purpose.

In addition, in the letter dated October 17, 2012, I represented that before the end of 2011, DFF would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of \$8,545,098 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made. As described above, all of the grant funds were spent for reasonable and necessary administration expenses to accomplish DFF's charitable purposes, which are qualifying distributions. Therefore, a qualifying distribution was made of the entire value of the grants in 2011.

Since DFF has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if DFF were not a private operating foundation.

Very truly yours,
Duke Farms Foundation

By: 
Peter Simmons, Chief Operating Officer

October 22, 2012



Mr. Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, New York 10019

D O R I S D U K E
FOUNDATION FOR ISLAMIC ART

Dear Mr. Henry:

In 2011, the Doris Duke Charitable Foundation ("DDCF") made grants totaling \$6,490,676 to the Doris Duke Foundation for Islamic Art ("DDFIA"). By a letter dated October 17, 2012, I agreed on behalf of DDFIA to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. Since all of the grant funds were spent during 2011 the year in which they were granted, this is both a first and final report.

Name and address of grantee:
Doris Duke Foundation for Islamic Art
4055 Papu Circle
Honolulu, HI 96816

Purpose of Grants:

To be used for DDFIA's general operating expenses. Property owned by DDFIA is used for a charitable purpose since DDFIA facilitates the study and understanding of Middle Eastern Art and Culture.

Mr. Edward P. Henry
Page 2
October 22, 2012

The following table contains the date of payment of each part of the grant that was spent in 2011, and a description of how the grant was spent by DDFIA:

<u>Date of Payment</u>	<u>Amount of Grant</u>	<u>Purpose for which Grant was Used</u>
1/31/11	\$360,589	Employee Related Expenses; General Admin Expenses; Program Related Expenses; General Operating Expenses; Investment Expenses; Capital Expenditures.
2/28/11	\$302,874	"
3/31/11	\$819,562	"
4/30/11	\$248,737	"
5/31/11	\$251,514	"
6/30/11	\$957,437	"
7/31/11	\$305,110	"
8/31/11	\$468,109	"
9/30/11	\$638,812	"
10/31/11	\$393,597	"
11/30/11	\$328,725	"
12/31/11	\$1,415,610	"

All of the grant funds have been spent for DDFIA's operating expenses, and no portion of the grant funds has been diverted from such purpose.

In addition, in the letter dated October 17, 2012 I represented that before the end of 2011, DDFIA would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of \$6,490,676 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made.

As described above, all of the grant funds were spent for reasonable and necessary administration expenses to accomplish DDFIA's charitable purposes, which are qualifying distributions. Therefore, a qualifying distribution was made of the entire value of the grants in 2011.

Since DDFIA has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if DDFIA were not a private operating foundation.

Very truly yours,
Doris Duke Foundation for Islamic Art

By: 
Peter Simmons, Chief Operating Officer



October 17, 2012

Mr. Peter Simmons
Chief Operating Officer
Doris Duke Foundation for Islamic Art
650 Fifth Avenue, 19th Floor
New York, New York 10019

D O R I S D U K E
C H A R I T A B L E F O U N D A T I O N

Dear Mr. Simmons:

The Doris Duke Charitable Foundation has made grants to the Doris Duke Foundation for Islamic Art in 2011 totaling \$6,490,676 to be used for the Doris Duke Foundation for Islamic Art's general operating expenses (referred to collectively as the "Grant"). Because the Doris Duke Foundation for Islamic Art is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from the Doris Duke Foundation for Islamic Art before making payment of the Grant funds. By signing this letter, you agree on behalf of the Doris Duke Foundation for Islamic Art to the following specific obligations with respect to this Grant:

- (1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant);
- (3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which we believe necessary to keep us fully apprised of the status or use of the Grant or income arising therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");

Mr. Peter Simmons

Page 2

October 17, 2012

- (5) To keep these records of receipts and expenditures and copies of reports submitted to the Doris Duke Charitable Foundation for at least four years after completion of the use of the Grant funds; and
- (6) Not to use any of the Grant or income arising therefrom,
 - a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
 - b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
 - c) To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
 - d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, or as defined in section 170(c)(2)(B) of the Code.

In the event that you violate the terms of this letter agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, you agree that you will refund to the Doris Duke Charitable Foundation the full amount of the Grant and pay over to the Doris Duke Charitable Foundation any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the Doris Duke Charitable Foundation to ask that more detailed reports be submitted or that other steps be taken. The Doris Duke Charitable Foundation will promptly inform you of any such changes, and by signing this letter you indicate your agreement to provide such additional information and comply with such requests.

The Doris Duke Foundation for Islamic Art also agrees that no later than the end of its first taxable year after the taxable year in which it receives the Grant, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant, and, within such time period, the Doris Duke Foundation for Islamic Art agrees to provide adequate records or other sufficient evidence to the Doris Duke Charitable Foundation to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (1) the names and addresses of the recipients of any such qualifying distribution and the

Mr. Peter Simmons
Page 3
October 17, 2012

amounts paid to each, (ii) the details concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which the Doris Duke Foundation for Islamic Art elects pursuant to Treas. Reg. 53.4942(A)-3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if the Doris Duke Foundation for Islamic Art were not an operating foundation.

If this letter and its attachment correctly set forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Very truly yours,

The Doris Duke Charitable Foundation

By: Edward P. Henry
Edward P. Henry, President

Agreed to and accepted on behalf of the
Doris Duke Foundation for Islamic Art this 22nd
day of October, 2012

By: Peter Simmons
Peter Simmons, Chief Operating Officer



D O R I S D U K E
C H A R I T A B L E F O U N D A T I O N

October 17, 2012

Mr. David Mathias
Chief Operating Officer
Newport Restoration Foundation
51 Touro Street
Newport, Rhode Island 02840

Dear Mr. Mathias:

The Doris Duke Charitable Foundation has made grants to the Newport Restoration Foundation in 2011 totaling \$2,445,000 to be used for Rough Point's general operating expenses (referred to collectively as the "Grant"). Because the Newport Restoration Foundation is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from the Newport Restoration Foundation before making payment of the Grant funds. By signing this letter, you agree on behalf of the Newport Restoration Foundation to the following specific obligations with respect to this Grant:

- (1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant);
- (3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which we believe necessary to keep us fully apprised of the status or use of the Grant or income arising there from, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");

- (5) To keep these records of receipts and expenditures and copies of reports submitted to the Doris Duke Charitable Foundation for at least four years after completion of the use of the Grant funds; and
- (6) Not to use any of the Grant or income arising there from,
 - (a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
 - (b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
 - (c) To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
 - (d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, or as defined in section 170(c)(2)(B) of the Code.

In the event that you violate the terms of this letter agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, you agree that you will refund to the Doris Duke Charitable Foundation the full amount of the Grant and pay over to the Doris Duke Charitable Foundation any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the Doris Duke Charitable Foundation to ask that more detailed reports be submitted or that other steps be taken. The Doris Duke Charitable Foundation will promptly inform you of any such changes, and by signing this letter you indicate your agreement to provide such additional information and comply with such requests.

The Newport Restoration Foundation also agrees that no later than the end of its first taxable year after the taxable year in which it receives the Grant, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant, and, within such time period, the Newport Restoration Foundation agrees to provide adequate records or other sufficient evidence to the Doris Duke Charitable Foundation to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (i) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details concerning the payment of any operating expenses

Mr. David Mathias
Page 3
October 17, 2012

which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years, which the Newport Restoration Foundation elects pursuant to Treas. Reg. 53.4942(A)-3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if the Newport Restoration Foundation were not an operating foundation.

If this letter and its attachment correctly set forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Very truly yours,

The Doris Duke Charitable Foundation

By: Edward P. Henry
Edward P. Henry, President

Agreed to and accepted on behalf of the
Newport Restoration Foundation this
day of November, 2012

6th

By: David Mathias
David Mathias, Chief Operating Officer

NEWPORT RESTORATION FOUNDATION

Founded by Doris Duke, 1968



51 TOURO STREET

NEWPORT, RHODE ISLAND 02840-2932

Telephone 401.849.7300

Facsimile 401.849.0125

www.newportrestoration.org

October 22, 2012

Mr. Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, New York 10019

Dear Mr. Henry:

In 2011, the Doris Duke Charitable Foundation ("DDCF") made grants totaling \$2,445,000 to the Newport Restoration Foundation ("NRF") in support of Rough Point. By a letter dated October 17, 2012, I agreed on behalf of NRF to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. This is a report covering the portion of the grant funds spent in 2011.

Name and address of grantee:

Newport Restoration Foundation
51 Touro Street
Newport, Rhode Island 02840

Purpose of Grants:

To be used for Rough Point's general operating expenses. The Rough Point Property owned by NRF is used for a charitable purpose since it is a historic house, which is open to the public.

The following table contains the date of payment of each grant, the amount of the grant, and a description of how the grant was spent by NRF:

<u>Date of Payment</u>	<u>Amount of Grant</u>	<u>Purpose for which Grant was Used</u>
1/10/11	\$582,500	Employee Related Expenses; General Operating Expenses; General Administrative Expenses and Capital Expenses
3/07/11	\$671,875	" "
6/06/11	\$671,875	" "
9/12/11	\$268,750	" "
11/30/11	\$250,000	" "

All of the grant funds have been spent for Rough Point's general operating expenses, and no portion of the grant funds has been diverted from such purpose.

NEWPORT RESTORATION FOUNDATION

Founded by Doris Duke, 1968



51 TOURO STREET

NEWPORT, RHODE ISLAND 02840-2932

Telephone 401.849.7300

Facsimile 401.849.0125

www.newportrestoration.org

Mr. Edward P. Henry

Page 2

October 22, 2012

In addition, in the letter dated October 17, 2012, I represented that before the end of 2011 NRF would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of \$2,445,000 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made.

As described above, \$1,986,007 of the grant funds were spent for reasonable and necessary administration expenses to accomplish NRF's charitable purposes, which are qualifying distributions, and \$458,993 will be spent in 2012. Therefore, a qualifying distribution was made of \$1,986,007 of the grant funds in 2011. Since NRF has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if NRF were not a private operating foundation. NRF will make a qualifying distribution out of corpus of the balance of the grant funds in 2012.

Very truly yours,
Newport Restoration Foundation

By: 
David J Mathias
Chief Operating Officer

Adrienne A. Fisher
Director of Grants Management
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

February 2012

Re: Doris Duke Charitable Foundation Grant No. 2010129.

Dear Ms. Fisher:

In 2010, the Doris Duke Charitable Foundation (DDCF) made a grant to International Network for Cancer Treatment and Research, USA ("INCTR-USA") totalling \$100,000 (the "Grant").

By grant agreement dated November 14, 2010 (the "Grant Agreement"), INCTR-USA agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent.

Name and address of grantee:

International Network for Cancer Treatment and Research, USA 2000 Massachusetts Avenue, NW, Suite 100 Washington, DC 20036

Purpose of Grant: To enhance the effectiveness of cancer registration in Africa

Use of Qualifying Distribution Grant funds by INCTR-USA:

This is a report for calendar year 2011. \$89,612.65 was spent toward the cancer registration in Africa project, as detailed below:

Registry MoUs	
Nairobi Cancer Registry, Kenya Medical Research Institute	10,440.00
Kampala Cancer Registry, Makare University School	10,500.00
Zimbabwe National Cancer Registry	10,980.00
Subtotal	31,920.00
DMP Technical Support's expenses in each period	
Feb	3,781.72
Mar	5,549.83
May	1,714.05
Oct	8,274.50
Subtotal	19,320.10

Training Course (Advanced)	
Practical Training Course, Kenya Medical Research Institute	6,100.00
Travel for participants	3,906.53
Per diem	658.22
Purchase of ICD-O books	669.90
Subtotal	11,334.65
Training Course (Practical)	
Course fees for participants from Tanzania	1,433.00
Per diem for participants from Tanzania	1,085.00
Travelling cost - Mwakigonja	570.00
Subtotal	3,088.00
Miscellaneous	
Mr Chokunonga visit to Oxford	1,453.22
Mr Chokunonga consultancy fees, per diem, travel, living costs	3,668.00
AORTIC registration fee	880.00
AORTIC travel, visa fee (Dzamalala)	1,400.00
AORTIC travel (DMP)	335.96
Arusha meeting	5,340.00
Travelling JRO-NBO (DMP)	215.00
Travelling HRE-JRO-NBO (Chokunonga)	725.97
Travelling LLW-NBO (Dzamalala)	721.75
Miscellaneous – bank charges	120.00
Subtotal	14,859.90
Overheads (includes banking fees, accountant fees)	9,090.00
TOTAL	89,612.65

An extra expenditure of \$72.65 was covered by fund from INCTR at that time. No portion of the grant funds has been diverted from the approved purpose of the Grant.

As Grant Agreement the Network has made qualifying distributions out of corpus as defined in IRC §4942, equal to the value of the Grant, and here provides DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made. As stated in such letter, the grant has been used to enhance the effectiveness of cancer registration in Africa.

Very truly yours,

A handwritten signature in black ink that reads "D.M. Parkin". The signature is written in a cursive style with a period at the end.

By:

Dr Max Parkin
Coordinator, East African Registry Network

Doris Duke Charitable Foundation
 Form 990-PF - Return of Private Foundation
 FYE: 12/31/2011
 Attachment 13

Form 990-PF, Part VIII - List of Officers, Directors and Trustees

Name	Title	Hrs Worked	Comp	Employee Benefits	Expense Accounts
Edward P. Henry	President	28 hours per week	NONE	NONE	NONE
Peter Simmons	Chief Operating Officer	12 hours per week	NONE	NONE	NONE
Claire Baralt (thru 03/2011)	Communications Officer	6 hours per week	NONE	NONE	NONE
Elizabeth Fader	Chief Program Officer	26 hours per week	NONE	NONE	NONE
Nannerl O. Keohane (thru 06/2011)	Chairperson	160 hours per year	49,030	NONE	NONE
Anthony S. Fauci	Vice Chairperson	96 hours per year	NONE	NONE	NONE
Kathy Halbreich	Trustee	100 hours per year	98,061	NONE	NONE
Harry Demopoulos	Trustee	92 hours per year	98,061	NONE	NONE
Jide Zeitlin	Trustee	104 hours per year	NONE	NONE	NONE
James F. Gill	Trustee	112 hours per year	98,061	NONE	NONE
Anne Hawley	Trustee	112 hours per year	98,061	NONE	NONE
Peter A. Nadosy	Trustee	96 hours per year	NONE	NONE	NONE
William H. Schlesinger	Trustee	88 hours per year	98,061	NONE	NONE
John Zuccotti (began 06/2011)	Chairperson	120 hours per year	98,061	NONE	NONE
Erica Dziedzic (began 03/2011)	Assistant Secretary	12 hours per year	NONE	NONE	NONE
Totals			637,396	-	-

All Board of Directors members and officers provide services to five related entities (Doris Duke Charitable Foundation, Doris Duke Management Foundation, Duke Farms Foundation, Doris Duke Foundation for Islamic Art, Doris Duke Foundation), and may be reached at the Doris Duke Charitable Foundation's corporate address at 650 Fifth Avenue, 19th Floor, NY, NY, 10019. See Attachment 13(a), Note (1) and Note (2) for further information.

Doris Duke Charitable Foundation
Form 990-PF - Return of Private
Foundation
FYE: 12/31/2011
Attachment 13a

Notes:

(1) The officers are paid compensation by the Doris Duke Management Foundation (DDMF). The hours shown on the Form 990-PF are based on an allocation of time spent on matters related to the Doris Duke Charitable Foundation (DDCF) and are for informational purposes only. These officers provide services to other related entities (Doris Duke Foundation (DDF), Doris Duke Management Foundation (DDMF), Duke Farms Foundation (DFF), and Doris Duke Foundation for Islamic Art (DDFIA)) and in the aggregate, hours worked on matters related to these entities equal or exceed 40 hours a week. The allocation of compensation paid by the Doris Duke Management Foundation and allocated to other related entities is based on an annual survey.

(2) The trustees who receive compensation are paid by the Doris Duke Charitable Foundation, even though they provide services to each of the five foundations (DDCF, DDMF, DDF, DFF and DDFIA). The hours reported on Attachment 13 of the Form 990-PF represent an allocation of the trustees' time spent on matters related to DDCF including attendance at Board meetings, assorted committee meetings and various foundation-related events and discussions. These hour allocations are reported to comply with the Form 990-PF reporting requirement, and are for informational purposes only. Trustees have oversight responsibilities and perform multiple tasks across all five foundations; and thus, significant additional hours are reported on the DDF, DFF, DDMF and DDFIA tax returns.

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 14

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
NORTHERN TRUST COMPANY 50 S. LASALLA ST. CHICAGO, IL 60675	BANKING/INVESTMENTS	961,267.
CAMBRIDGE ASSOCIATES LLC 100 SUMMER STREET BOSTON, MA 02110	INVESTMENT FEES	665,876.
	TOTAL COMPENSATION	<u>1,627,143.</u>

DORIS DUKE CHARITABLE FOUNDATION

13-7043679

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
PROGRAM GRANTS			
NEW/PORT RESTORATION FOUNDATION (NRF) FOR ROUGH POINT		GENERAL SUPPORT	\$ 2,445,000
DUKE FARMS FOUNDATION	RELATED ENTITY	GENERAL SUPPORT	\$ 8,545,098
DORIS DUKE FOUNDATION FOR ISLAMIC ART DONATIONS	RELATED ENTITY	GENERAL SUPPORT	\$ 6,490,676
			\$ 65,000
			<u>\$ 76,354,261</u>

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
AFRICAN HEALTH INITIATIVE						
Brigham & Women's Hospital Inc 75 Francis Street Boston, MA 02115 <i>Strengthening and Studying Community-Based, Integrated Primary Health Care Systems in Rural Rwanda</i>	501(c)3	\$5,285,054	\$0	\$505,000	\$1,657,145	\$4,132,909
Brigham & Women's Hospital Inc 75 Francis Street Boston, MA 02115 <i>Capacity building for the PHIT partnership in Rwanda</i>	501(c)3	\$0	\$50,000	\$0	\$0	\$50,000
Trustees of Columbia University in the City of New York New York, NY <i>Accelerating Millennium Development Goal Progress by Exchanging Health System Innovations between Tanzania and Ghana</i>	501(c)3	\$9,683,736	\$0	\$1,417,900	\$5,025,740	\$6,075,896
Trustees of Columbia University in the City of New York New York, NY <i>Capacity building for the PHIT Partnerships in Ghana and Tanzania</i>	501(c)3	\$16,089,400 2009	\$0	\$100,000	\$0	\$100,000
Council On Health Research For Development Usa Inc 1072 Thomas Jefferson St NW Washington, DC 20007 <i>Nairobi data management training program, July 2011</i>	501(c)3	\$100,000 2011	\$0	\$15,000	\$0	\$15,000
			\$15,000 2011			\$0

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Friends of Ruwenzori Foundation 8 Altamont Dr Orinda, CA 94563-4104 <i>Installation of a solar power system for a rural community hospital in Western Uganda</i>	501(c)3	\$0	\$10,000	\$0	\$10,000	\$0
Health Alliance International 1107 NE 45th St., Suite 427 Seattle, WA 98105 <i>Strengthening Integrated Primary Health Care and Workforce Training in Sofala Province, Mozambique</i>	501(c)3	\$7,843,778	\$0	\$505,000	\$2,549,339	\$5,799,439
Health Alliance International 1107 NE 45th St., Suite 427 Seattle, WA 98105 <i>Capacity building for the PHIT Partnership in Mozambique</i>	501(c)3	\$0	\$50,000	\$0	\$0	\$50,000
J F Kapnek Charitable Trust 936 Dewing Ave Ste E3 Lafayette, CA 94549-4277 <i>Strengthening the Quality of Health Systems in Zimbabwe</i>	501(c)3	\$0	\$10,000	\$0	\$10,000	\$0
Board of Trustees for the University of Alabama Birmingham, AL 35233 <i>Clinical Mentoring and Community Engagement to Improve Millennium Development Goals Health Outcomes in Lusaka Province of Zambia</i>	501(c)3	\$8,060,779	\$0	\$237,300	\$2,198,900	\$6,099,179
		\$10,000 2011			\$11,337,300 2009	

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Association of Performing Arts Presenters 1211 Connecticut Ave NW Washington, DC 20036 <i>To provide operating support and cash reserves</i>	501(c)3	\$0	\$350,000	\$0	\$350,000	\$0
Association of Performing Arts Presenters 1211 Connecticut Ave NW Washington, DC 20036 <i>To provide partial support for APAP's chief executive search and transition plan.</i>	501(c)3	\$0	\$52,000	\$0	\$52,000	\$0
Association of Performing Arts Presenters 1211 Connecticut Ave NW Washington, DC 20036 <i>Support for the Arts Hive Project, an online resource for sharing knowledge and innovations in digital communications in the performing arts</i>	501(c)3	\$0	\$28,000	\$0	\$28,000	\$0
Association of Performing Arts Presenters 1211 Connecticut Ave NW Washington, DC 20036 <i>Creative Campus Innovations Grant Program</i>	501(c)3	\$243,500	\$0	\$0	\$243,500	\$0
Foundation For Dance Promotion Inc 27 W 120th St New York, NY 10027-6436 <i>The merger of The Bill T. Jones/Arnie Zane Dance Company with Dance Theater Workshop as a model for creating new homes for dance in NYC</i>	501(c)3	\$54,000	\$0	\$0	\$0	\$54,000

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
California Institute of the Arts 24700 McBean Parkway Valencia, CA 91355-2397 The National Interdisciplinary Initiative, to be held with an interdisciplinary edition of the RADAR LA Festival in the Fall of 2013	501(c)3	\$0	\$174,375	\$0	\$62,500	\$111,875
					\$174,375.00	
					2011	
Chamber Music America 305 Seventh Avenue New York, NY 10001 To provide operating support and cash reserves for programs, activities, and services for the jazz field	501(c)3	\$0	\$150,000	\$0	\$150,000	\$0
					\$150,000	
					2011	
Chamber Music America 305 Seventh Avenue New York, NY 10001 Doris Duke Jazz Ensembles Regranting Program	501(c)3	\$553,750	\$0	\$0	\$553,750	\$0
					\$2,215,000	
					2008	
Childsplay, Inc. P.O. Box 517 Tempe, AZ 85280 New Plays for Young Audiences Symposia	501(c)3	\$0	\$112,500	\$0	\$50,000	\$62,500
					\$112,500	
					2011	
Trustees of Columbia University in the City of New York New York, NY The Jazz Composers Orchestra Institute	501(c)3	\$0	\$150,000	\$0	\$96,000	\$54,000
					\$150,000	
					2011	

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Creative Capital Foundation 65 Bleeker Street, 7th Floor New York, NY 10012 <i>To provide cash reserves and operating support for programs, activities and services for performing artists</i>	501(c)3	\$0	\$200,000	\$0	\$200,000	\$0
Creative Capital Foundation 65 Bleeker Street, 7th Floor New York, NY 10012 <i>To continue support for the Performing Arts Program, which provides project re-grants and advisory services to Performing Arts grantees</i>	501(c)3	\$0	\$1,200,000	\$0	\$330,000	\$870,000
Creative Capital Foundation 65 Bleeker Street, 7th Floor New York, NY 10012 <i>To provide re-grants and professional development programs for performing artists in contemporary dance, jazz, theater and live interdisciplinary art</i>	501(c)3	\$300,000	\$0	\$0	\$300,000	\$0
Creative Capital Foundation 65 Bleeker Street, 7th Floor New York, NY 10012 <i>Support for Multi-Arts Production Fund, which supports new work in live performance</i>	501(c)3	\$2,115,000	\$0	\$0	\$1,000,000	\$1,115,000
Dance USA 1111 16th Street, NW Washington, DC 20036 <i>To provide operating support and cash reserves</i>	501(c)3	\$0	\$150,000	\$0	\$150,000	\$0
Dance USA 1111 16th Street, NW, Suite 300 Washington, DC 20036 <i>Round 2 of Engaging Dance Audiences</i>	501(c)3	\$0	\$1,748,000	\$0	\$921,577	\$826,423

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Dance USA 1111 16th Street, NW, Suite 300 Washington, DC 20036 <i>Dance USA's strategic planning process</i>	501(c)3	\$0	\$20,000	\$0	\$20,000	\$0
Dance Works Inc 246 W 38th St, FL 8 New York, NY 10018 <i>To assess, analyze and strengthen the administration and infrastructure of contemporary dance in the United States by expanding Help Desk</i>	501(c)3	\$25,000	\$0	\$0	\$25,000	\$0
Danspace Project Inc 131 E 10th St New York, NY 10003 <i>Theory & Practice: New Curatorial Connections for 21st Century Movement Based Work</i>	501(c)3	\$65,856	\$0	\$0	\$65,856	\$0
Denver Center For The Performing Arts 1101 13th St. Denver, CO 80204 <i>Off-Center - a new series of nontraditional programming</i>	501(c)3	\$0	\$127,150	\$0	\$82,150	\$45,000
DDCF Arts Program <i>Fund for National Projects</i>	501(c)3	441,388	0	0	0	441,388
Eastside Arts Alliance Po Box 177008 Oakland, CA 94601-7008 <i>To support a national dialogue to strengthen the infrastructure for jazz presenting</i>	501(c)3	\$0	\$67,500	\$0	\$33,750	\$33,750
		\$67,500				

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Emc Arts Inc 127 W 122nd St New York, NY 10027-5502 <i>Innovation Lab for the Performing Arts</i>	501(c)3	\$600,000	\$0	\$0	\$600,000	\$0
Fractured Atlas Productions Inc 248 W 35th St FL 10 New York, NY 10001 <i>A pilot program to implement a broad-based, strategic international export plan for American dance</i>	501(c)3	\$0	\$196,875	\$0	\$95,000	\$101,875
Fractured Atlas Productions Inc 248 W 35th St FL 10 New York, NY 10001 <i>To support the next phase of ATHENA Trx, an open source ticketing platform</i>	501(c)3	\$54,000	\$0	\$0	\$0	\$54,000
Future of Music Coalition Inc 1615 L Street N W Washington, DC 20036-5610 <i>To support an Artist Income Stream analysis with a focus on how jazz musicians are faring compared to other genres</i>	501(c)3	\$63,000	\$0	\$0	\$63,000	\$0
Gotham Arts Exchange Inc 506 Fort Washington Ave Apt 1H New York, NY 10033-2081 <i>FOCUS DANCE: a week-long celebration to promote American dance to the national and international community</i>	501(c)3	\$0	\$35,000	\$0	\$35,000	\$0

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Grantmakers in the Arts 4055 21st Ave W, Ste 100 Seattle, WA 98119-1247 <i>To support continuation and enhancement of the Information and Communication Initiative</i>	501(c)3	\$0	\$40,000	\$0	\$40,000	\$0
Home for Contemporary Theatre and Art Ltd 145 Sixth Ave. New York, NY 10013 <i>The Continuing Innovation cohort 1 convening</i>	501(c)3	\$0	\$91,050	\$0	\$91,050	\$0
Home for Contemporary Theatre and Art Ltd 145 Sixth Ave. New York, NY 10013 <i>To support expansion of HERE: On Demand, encouraging an ongoing dialogue between artists and audiences</i>	501(c)3	\$54,000	\$0	\$0	\$0	\$54,000
Jazz Arts Group Of Columbus 939 N High St Columbus, OH 43201-2406 <i>To launch and evaluate targeted theory to practice experiments based on the Jazz Audiences Initiative (JAI) research findings</i>	501(c)3	\$0	\$152,350	\$0	\$98,350	\$54,000
Mid Atlantic Arts Foundation Inc 201 N. Charles Street, Suite 401 Baltimore, MD 21201 <i>The second round of the French American Jazz Exchange</i>	501(c)3	\$0	\$72,400	\$0	\$72,400	\$0

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
National Assn of Latino Arts and Culture 1208 Buena Vista San Antonio, TX 78207 <i>To support core programs in FY 2012 and FY 2013</i>	501(c)3	\$0	\$50,000	\$0	\$50,000	\$0
National Performance Network Inc 866 Camp St New Orleans, LA 70130 <i>To provide operating support and cash reserves</i>	501(c)3	\$0	\$150,000	\$0	\$150,000	\$0
National Performance Network Inc 866 Camp St New Orleans, LA 70130 <i>Creation Fund; Performance Residency Program, Community Fund and Freight Fund; and the International Strategic Partnerships Program</i>	501(c)3	\$748,920	\$0	\$0	\$748,920	\$0
National Public Radio Inc 635 Massachusetts Ave NW Washington, DC 20001-3753 <i>NPR news programming, including coverage of the arts</i>	501(c)3	\$3,527,186 2008	\$0	\$50,000	\$50,000	\$0
National Public Radio Inc 635 Massachusetts Ave NW Washington, DC 20001-3753 <i>To support technological innovations to forward jazz appreciation, education, and innovation</i>	501(c)3	\$50,000 2011	\$0	\$0	\$54,000	\$0
Network of Ensemble Theaters 1709 N Ave 56 Los Angeles, CA 90042-1118 <i>To support the NET Touring and Exchange Network (NET/TEN)</i>	501(c)3	\$0	\$163,125	\$0	\$105,000	\$58,125

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
New England Foundation for the Arts 145 Tremont St Fl 7 Boston, MA 02111-1214 <i>National Dance Project</i>	501(c)3	\$1,670,000	\$0	\$0	\$1,670,000	\$0
\$3,330,000 2010						
New Jersey Performing Arts Center One Center Street Newark, NJ 07102 <i>New Jersey Dance Festival</i>	501(c)3	\$0	\$25,000	\$0	\$25,000	\$0
\$25,000 2011						
New York City Center Inc. 130 W 56th St New York, NY 10019 <i>Dance Residency Program</i>	501(c)3	\$0	\$50,000	\$0	\$50,000	\$0
\$50,000 2011						
New York Public Library, Astor, Lenox and 5th Ave and 42nd St New York, NY 10018 <i>To provide improved digital access to the NYPL's audio-visual dance collections</i>	501(c)3	\$30,612	\$0	\$0	\$30,612	\$0
\$198,612 2010						
Nonprofit Finance Fund 70 West 36th Street, 11th Floor New York, NY 10018 <i>To provide cash reserved and operating support for programs, services and activities for organizations in dance, theatre, jazz and/or presenting</i>	501(c)3	\$0	\$250,000	\$0	\$250,000	\$0
\$250,000 2011						
Nonprofit Finance Fund 70 West 36th Street, 11th Floor New York, NY 10018 <i>Leadership Arts Organizations Initiative</i>	501(c)3	\$2,590,000	\$0	\$0	\$1,508,157	\$1,081,843
\$15,125,000 2007						

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Northwestern University Settlement 1012 N. Noble St Chicago, IL 60642 <i>To support organizational transformation of integrating social service delivery with artistic practice</i>	501(c)3	\$0	\$152,050	\$0	\$98,050	\$54,000
On The Boards PO Box 19515 Seattle, WA 98109 <i>The Presenting Online Partnership Program (POPP) pilot project, expanding the online presentation of contemporary performance</i>	501(c)3	\$90,300	\$0	\$0	\$31,700	\$58,600
Pangea World Theater 711 W Lake St Ste 102 Minneapolis, MN 55408-3357 <i>To develop the National Directing and Ensemble Creation Institute</i>	501(c)3	\$44,820	\$0	\$0	\$20,998	\$23,822
Sapling Foundation 250 Hudson St Rm 1002 New York, NY 10001 <i>To support One Senior TED Fellow in the Performing Arts</i>	501(c)3	\$0	\$26,000	\$0	\$26,000	\$0
		\$75,000 2010				
		\$26,000 2011				

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
South Arts Inc 1800 Peachtree St NW STE 808 Atlanta, GA 30309 <i>ArtsReady; an online tool to help organizations in the arts sector plan for and respond to emergency situations</i>	501(c)3	\$0	\$225,000	\$0	\$120,000	\$105,000
STREB Inc. 51 North 1st St Brooklyn, NY 11211 <i>SLAM REMOTE a new presentation model to expand, extend and enrich how audiences experience dance</i>	501(c)3	\$0	\$150,000	\$0	\$96,000	\$54,000
Theatre Bay Area 870 Market St Ste 375 San Francisco, CA 94102-3009 <i>To support the Intrinsic Impact project</i>	501(c)3	\$0	\$152,250	\$0	\$98,250	\$54,000
Theatre Communications Group Inc. 520 8th Avenue, 24th floor New York, NY 10018-4156 <i>To provide operating support and cash reserves</i>	501(c)3	\$0	\$500,000	\$0	\$500,000	\$0
Theatre Communications Group Inc. 520 8th Avenue, 24th floor New York, NY 10018-4156 <i>New Audience Engagement for the 21st Century American Theatre, a project to synthesize what has been learned from the 12-year New Generations: Future Audiences program</i>	501(c)3	\$0	\$1,451,500	\$0	\$1,250,000	\$201,500
			\$1,451,500 2011			

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
University Musical Society 881 North University Ave Ann Arbor, MI 48109 <i>The Virtual Lobby: Connecting Artists and Audiences in New Spaces, Online and Off</i>	501(c)3	\$54,000	\$0	\$0	\$0	\$54,000
University of Chicago 6030 South Ellis Avenue, Room 114 Chicago, IL 60637 <i>CultureLab Planning Grant</i>	501(c)3	\$0	\$28,000	\$0	\$28,000	\$0
UBW, Inc 138 S. Oxford Street, #4B Brooklyn, NY 11217 <i>Project Next Generation: examining environmental conditions which impact the participation of young women of color in the field of modern and contemporary dance</i>	501(c)3	\$0	\$67,500	\$0	\$42,000	\$25,500
Wesleyan University Middletown, CT 06459 <i>Continuation of Feet to the Fire: Exploring Global Climate Change from Science to Art</i>	501(c)3	\$48,257	\$0	\$0	\$0	\$48,257
		\$67,500 2011				\$136,585 2010

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Woolly Mammoth Theatre Co 641 D St NW Washington, DC 20009 <i>To support new ways of connecting audiences to individual productions</i>	501(c)3	\$0	\$152,000	\$0	\$98,000	\$54,000
Wooster Group, Inc. PO Box 654, Canal Street Station New York, NY 10013 <i>Wooster Web - providing increased access to audiences</i>	501(c)3	\$0	\$150,000	\$0	\$96,000	\$54,000
Verba Buena Center for the Arts 701 Mission St San Francisco, CA 94103-3138 <i>YBCA: CONNECT, an Immersive visitor experience Innovation</i>	501(c)3	\$54,000	\$0	\$0	\$0	\$54,000
New Professional Theatre Inc 229 W. 42nd St. #501 New York, NY 10598 <i>General support</i>	501(c)3	\$152,300 2010	\$0	\$10,000	\$0	\$10,000
Playwrights Horizons Inc. 416 W 42nd St New York, NY 10036 <i>General support</i>	501(c)3	\$10,000 2011	\$0	\$43,060	\$0	\$43,060
Studio Museum In Harlem Inc 144 W 125th St New York, NY 10027 <i>General support</i>	501(c)3	\$43,060 2011	\$0	\$20,000	\$0	\$20,000
TOTAL Arts			\$10,075,333	\$8,985,185	\$0	\$13,148,060
						\$5,912,458

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
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ARTS INITIATIVE

Creative Capital Foundation 65 Bleecker Street, 7th Floor New York, NY 10012 <i>Doris Duke Performing Artist Awards Program</i>	501(c)3	\$0	\$986,537	\$0	\$370,000	\$616,537
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TOTAL Arts Initiative	2011	\$0	\$986,537	\$0	\$370,000	\$616,537
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CHILD ABUSE PREVENTION

National Foundation for the Centers for Disease Control & Prevention 55 Park Pl Ste 400 Atlanta, GA 30303 <i>Building state-based public health leadership to prevent child maltreatment</i>	501(c)3	\$250,000	\$0	\$0	\$250,000	\$0
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National Foundation for the Centers for Disease Control & Prevention 55 Park Pl Ste 400 Atlanta, GA 30303 <i>To launch the Triple P (Positive Parenting Program) system through partnerships between Federally Qualified Health Centers and local public health agencies</i>	501(c)3	\$750,000	\$0	\$0	\$525,000	\$225,000
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Center for the Study of Social Policy 1575 Eye St NW, Ste 500 Washington, DC 20005 <i>2011 Strengthening Families Summit</i>	501(c)3	\$0	\$35,000	\$0	\$35,000	\$0
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Center for the Study of Social Policy 1575 Eye St NW, Ste 500 Washington, DC 20005 <i>Strengthening Families National Network</i>	501(c)3	\$590,973	\$0	\$0	\$590,973	\$0
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PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Chapin Hall Center For Children 1313 E 60th St Chicago, IL 60637-2830 <i>Doris Duke Fellowships for the Prevention of Child Abuse and Neglect</i>	501(c)3	\$1,897,791	\$0	\$0	\$930,000	\$967,791
Erikson Institute 451 N LaSalle St Chicago, IL 60654 <i>To expand the work of The Fussy Baby Network.</i>	501(c)3	\$2,397,791	\$0	\$0	\$334,812	\$0
President and Fellows of Harvard College Boston, MA <i>Building knowledge translation & communicating capacity about the science of early childhood development, particularly neglect and resilience, at the Center on the Developing Child</i>	501(c)3	\$1,384,812	\$0	\$0	\$383,730	\$567,070
National Alliance of Child Abuse Prevention Funds 5712 30th Avenue NE Seattle, WA 98105 <i>A new national initiative to prevent child maltreatment, with a focus on preventing child neglect</i>	501(c)3	\$950,800	\$0	\$0	\$700,000	\$1,050,000
National Center on Shaken Baby Syndrome 1433 N Hwy 89, Ste 110 Farmington, UT 84025 <i>Phase III of the Period of PURPLE Crying Program</i>	501(c)3	\$1,750,000	\$0	\$0	\$0	\$87,000
National Public Radio Inc 635 Massachusetts Ave NW Washington, DC 20001-3753 <i>NPR news programming, including coverage of children's issues</i>	501(c)3	\$367,000	\$0	\$0	\$50,000	\$0

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
The Pew Charitable Trusts 2005 Market St Ste 1700 Philadelphia, PA 19103-7017 <i>Home Visiting Research Initiative</i>	501(c)3	\$285,962	\$0	\$0	\$285,962	\$0
Seattle Childrens Hospital Foundation 6901 Sand Point Way Seattle, WA 98115 <i>A study on the efficacy of training pediatric residents to deliver the Triple P model</i>	501(c)3	\$0	\$100,000	\$0	\$100,000	\$0
University Of Maryland Baltimore Foundation 660 W Redwood St Ste 021 Baltimore, MD 21201-1541 <i>University of Maryland School of Medicine Department of Pediatrics project to disseminate the SEEK (A Safe Environment for Every Kid) model of pediatric primary care</i>	501(c)3	\$0	\$629,000	\$0	\$407,000	\$222,000
University of North Carolina at Chapel Hill Chapel Hill, NC 27599 <i>Disseminate Findings and Develop Recommendations from LONGSCAN (Longitudinal Study of Child Abuse and Neglect)</i>	501(c)3	\$126,000	\$0	\$0	\$0	\$126,000
Urban Institute 2100 M Street NW Washington, DC 20037 <i>Development and dissemination of well-grounded, practical options for policy and system reforms to prevent child abuse and neglect by linking low-income depressed mothers to effective services</i>	501(c)3	\$0	\$440,800	\$0	\$328,225	\$112,575
Zero to Three: National Center for Infants, Toddlers and Families	501(c)3	\$228,737	\$0	\$0	\$228,737	\$0

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Environmental And Energy Study Institute 1112 16th St NW, Ste 300 Washington, DC 20036 <i>To support the design and implementation of an energy efficiency loan program through rural electric cooperatives in South Carolina</i>	501(c)3	\$50,000	\$0	\$0	\$50,000	\$0
Environmental Defense Fund Incorporated 257 Park Ave S, FL 16 New York, NY 10010 <i>Design and testing of new standards for measuring savings from energy improvements through retrofits to attract private capital investment</i>	501(c)3	\$70,000	\$0	\$0	\$70,000	\$0
National Housing Trust 1101 30th St, NW, Ste 400 Washington, DC 20007 <i>Design and pilot of financing approach that combines multiple funding sources for the retrofit of multifamily affordable housing</i>	501(c)3	\$70,000	\$0	\$0	\$70,000	\$0
Natural Resources Defense Council Inc 40 W 20th St, FL 11 New York, NY 10011 <i>Research and analysis to scale energy efficiency retrofits in New York City and beyond</i>	501(c)3	\$150,000	\$0	\$0	\$150,000	\$0
New Buildings Institute Inc 142 E Jewett Blvd White Salmon, WA 98672 <i>Design of strategies and tools to achieve deep energy savings through retrofits in small to mid-size commercial buildings</i>	501(c)3	\$150,000	\$0	\$0	\$150,000	\$0
		\$550,000				

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Pecan Street Project Inc West Pickle Research Bldg Austin, TX 78759 <i>Study to document economic benefits and energy savings of energy efficiency retrofits of homes in Austin, Texas</i>	501(c)3	\$70,000	\$0	\$0	\$70,000	\$0
Stewards of Affordable Housing for the Future 555 11th St, NW, Ste 525 Washington, DC 20004 <i>Design of energy management systems that elevate the focus on energy efficiency for three large affordable housing providers in New York City</i>	501(c)3	\$35,269	\$0	\$0	\$35,269	\$0
Regents of the University of California <i>Research and design of whole building retrofit approaches for the multi-tenant light commercial buildings</i>	501(c)3	\$350,000	\$0	\$0	\$280,000	\$70,000
Vermont Energy Investment Corp 255 S Champlain St, Ste 7 Burlington, VT 05401 <i>Design and testing of models for integrating energy efficiency with rehabilitation projects for affordable housing in Vermont</i>	501(c)3	\$70,000	\$0	\$0	\$70,000	\$0
		\$350,000 2010				
		\$350,000 2010				
		\$135,269 2010				
TOTAL Climate Change		\$1,338,269	\$0	\$0	\$1,068,269	\$270,000

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
ENVIRONMENT						
Americas Wildlife Association For Resource 444 North Capitol Street NW Washington, DC 20001 <i>Improving State Wildlife Action Plan Implementation</i>	501(c)3	\$400,000	\$0	\$0	\$200,000	\$200,000
Conservation Resources Inc. 100 North Rd, Ste 2, PO Box 594 Chester, NJ 07930 <i>Continue and expand the Raritan Piedmont Wildlife Habitat Partnership Conservation Plan in the Central Piedmont Plains area of New Jersey.</i>	501(c)3	\$0	\$1,048,200	\$0	\$650,000	\$398,200
Consultative Group on Biological Diversity Presidio Building 1016, PO Box 29361 San Francisco, CA 94129-0361 <i>Membership renewal and support for annual meeting</i>	501(c)3	\$0	\$40,000	\$0	\$40,000	\$0
Defenders of Wildlife 1130 17th St NW Washington, DC 20036-4604 <i>Defenders' organizational capacity during a time of CEO transition</i>	501(c)3	\$0	\$25,000	\$0	\$25,000	\$0
Defenders of Wildlife 1130 17th St NW Washington, DC 20036-4604 <i>Promoting State Wildlife Actions Plans to address the impacts of global warming and develop a national network of conservation lands</i>	501(c)3	\$600,000	\$0	\$0	\$300,000	\$300,000
		\$1,200,001 2009				

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Defenders of Wildlife 1130 17th St NW Washington, DC 20036-4604 <i>To develop and inform a national policy to expedite the sensitive siting of renewable energy resources</i>	501(c)3	\$1,813,586	\$0	\$0	\$1,813,586	\$0
Ecological Society of America Inc 1990 M St NW, Ste 700 Washington, DC 20036-3415 <i>the February 2012 conference: Developing Ecologically-Based Conservation Targets Under Global Change</i>	501(c)3	\$0	\$25,000	\$0	\$25,000	\$0
Environmental Defense Fund Incorporated 257 Park Ave S, FL 16 New York, NY 10010 <i>Integrating State Wildlife Action Plans into implementation of conservation incentive programs for private lands</i>	501(c)3	\$1,000,000	\$0	\$0	\$500,000	\$500,000
Glynwood Center, Inc. 1 Glynwood Rd, (PO Box 157) Cold Spring, NY 10516 <i>Development of the Glynwood Farm Business Incubator and Food Hub project design</i>	501(c)3	\$0	\$19,400	\$0	\$19,400	\$0
Land Trust Alliance Incorporated 1660 L Street NW, Suite 1100 Washington, DC 20036 <i>To prepare land trusts for accreditation and to ensure the long term success of the accreditation program</i>	501(c)3	\$500,000	\$0	\$0	\$250,000	\$250,000
		\$19,400 2011				
		\$2,000,000 2009				
		\$1,000,000 2009				

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
The Nature Conservancy, Inc. 4245 North Fairfax Drive, Suite 100 Arlington, VA 22203-1606 <i>Test a new methodology to identify a climate resilient network of conservation lands in portions of the Southeast and Northwest</i>	501(c)3	\$0	\$549,000	\$0	\$400,000	\$149,000
NatureServe 4600 N Fairfax Dr 7th FL Arlington, VA 22203 <i>Creating a national wildlife conservation system by improving and promoting state wildlife action plans</i>	501(c)3	\$250,000	\$0	\$0	\$150,000	\$100,000
North Star Fund Inc 520 8th Ave Rm 2203 New York, NY 10018-6656 <i>Launching a Community Food Funders Group</i>	501(c)3	\$0	\$20,000	\$0	\$20,000	\$0
Regional Plan Association Inc 4 Irving Place, 7th Floor New York, NY 10003 <i>Implementing State Wildlife Action Plans into the Northeast Megaregion through Land Use Planning</i>	501(c)3	\$95,000	\$0	\$0	\$95,000	\$0
Theodore Roosevelt Conservation Partnership 1660 L St NW Ste 208 Washington, DC 20036-5603 <i>Educate sportsmen on how State Wildlife Action Plans will be a key factor in maintaining their future hunting and fishing opportunities</i>	501(c)3	\$199,690	\$0	\$0	\$100,000	\$99,690
		\$400,000				
		2009				
		\$399,690				
		2009				

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
The Trust for Public Land National Office., 116 New Montgomery St., 4th San Francisco, CA 94105 <i>Greenprinting as a strategy for implementing state wildlife action plan conservation priorities</i>	501(c)3	\$150,000	\$0	\$0	\$150,000	\$0
The Trust for Public Land National Office., 116 New Montgomery St., 4th San Francisco, CA 94105 <i>To further expand the sources and amounts of state and local public funding for the conservation of wildlife habitat areas.</i>	501(c)3	\$1,000,000	\$0	\$0	\$1,000,000	\$0
U S Green Building Council Inc 2101 L St Nw Ste 500 Washington, DC 20037-1599 <i>Leveraging the LEED Green Building Rating System to spur and accelerate wildlife habitat conservation</i>	501(c)3	\$0	\$100,000	\$0	\$100,000	\$0
US Green Building Council New York Chapter 40 Fulton St Ste 802 New York, NY 10038 <i>90 by 50 Research Study</i>	501(c)3	\$0	\$75,000	\$0	\$75,000	\$0
Wildlife Conservation Society 2300 Southern Boulevard Bronx, NY 10460 <i>Climate Adaptation Fund</i>	501(c)3	\$4,927,600	\$0	\$0	\$4,927,600	\$0

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Woodrow Wilson National Fellowship 5 Vaughn Drive, Suite 300 Princeton, NJ 08540	501(c)3	\$1,417,816	\$0	\$0	\$1,417,816	\$0
<i>Doris Duke Conservation Fellows Program</i>		\$1,417,816				
Yale University Grant & Contract Administration 47 College Street, Suite 203 New Haven, CT 06520-8047	501(c)3	\$100,000	\$0	\$0	\$100,000	\$0
<i>Climate Adaptation Mapping Framework</i>		\$500,000				
Doris Duke Monument Foundation 51 Touro St Providence, RI 02940	501(c)3	\$0	\$8,060	\$0	\$8,060	\$0
<i>General support</i>		\$8,060				
Environmental Defense Fund Incorporated 257 Park Ave S, FL 16 New York, NY 10010	501(c)3	\$0	\$10,000	\$0	\$10,000	\$0
<i>General Support</i>		\$10,000				
TOTAL Environment		\$13,516,673	\$2,147,060	\$0	\$13,116,862	\$2,546,871

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
MEDICAL RESEARCH						
American Society for Clinical Investigation Inc 35 Research Drive, Suite 300 Ann Arbor, MI 48103 <i>Mentoring activities and poster session at the 2011 American Society for Clinical Investigation Annual Meeting (ASCI)/Association of American Physicians (AAP) Meeting</i>	501(c)3	\$0	\$10,000	\$0	\$10,000	\$0
Arthur Ashe Institute For Urban Health Inc 450 Clarkson Avenue, 1232 Brooklyn, NY 11203 <i>Health Science Academy</i>	501(c)3	\$0	\$194,400	\$0	\$194,400	\$0
Baylor College of Medicine One Baylor Plaza Houston, TX 77030 <i>Characterization of neuropsychiatric phenotypes and therapeutic intervention in patients with copy number variants of CHRNA7</i>	501(c)3	\$0	\$486,000	\$0	\$243,000	\$243,000
Baylor College of Medicine One Baylor Plaza Houston, TX 77030 <i>Genetic Predictors of Cerebrovascular Disease in Sickle Cell Anemia</i>	501(c)3	\$162,000	\$0	\$0	\$0	\$162,000
Baylor College of Medicine One Baylor Plaza Houston, TX 77030 <i>Pediatric Glioblastoma Multiforme (GBM): Genomic Analysis and Clinical Correlation</i>	501(c)3	\$162,000	\$0	\$0	\$0	\$162,000
		\$486,000 2010			\$486,000 2010	

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Beth Israel Deaconess Medical Center 330 Brookline Avenue Boston, MA 02215 <i>APOL1 Variants and Renal Disease in African Americans</i>	501(c)3	\$0	\$486,000	\$0	\$243,000	\$243,000
Beth Israel Deaconess Medical Center 330 Brookline Avenue Boston, MA 02215 <i>Molecular Identification and Inhibition of the deoxygenation-activated, calcium-permeable cation channel of the sickle erythrocyte. Psickle, a novel therapeutic target for treatment of sickle disease.</i>	501(c)3	\$0	\$486,000	\$0	\$243,000	\$243,000
Bradley University 1501 W Bradley Ave Peoria, IL 61625 <i>Building Excellent Scientists for Tomorrow (BEST): Developing Medical Researchers through Bradley University's Summer Clinical Research Internships for High School Students</i>	501(c)3	\$0	\$194,400	\$0	\$194,400	\$0
Brigham & Women's Hospital Inc 75 Francis Street Boston, MA 02115 <i>Clinical development of histone deacetylase inhibitors for the treatment of sickle cell disease</i>	501(c)3	\$194,400 2011	\$0	\$0	\$162,000	\$0
Broad Institute Inc 7 Cambridge Ctr Cambridge, MA 02142-1401 <i>Whole-exome re-sequencing in sickle cell disease patients with extremely mild clinical courses</i>	501(c)3	\$486,000 2009	\$0	\$0	\$162,000	\$0

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Charles Drew University Of Medicine & Science 1731 E. 120th St Los Angeles, CA 90059 <i>Project STRIDE (Student Training in Research Involving Disparity Elimination)</i>	501(c)3	\$0	\$194,400	\$0	\$194,400	\$0
Children's Hospital & Research Center 747 52nd St Oakland, CA 94609 <i>Effect of Simvastatin Treatment on Vaso-occlusive Pain in Sickle Cell Disease</i>	501(c)3	\$0	\$486,000	\$0	\$243,000	\$243,000
Children's Hospital & Research Center 747 52nd St Oakland, CA 94609 <i>CHORI Summer Research Program for High School Students</i>	501(c)3	\$0	\$194,400	\$0	\$194,400	\$0
Childrens Hospital Corporation 300 Longwood Avenue Boston, MA 02115 <i>Modeling Sickle Cell Anemia with Induced Pluripotent Stem Cells</i>	501(c)3	\$0	\$486,000	\$0	\$243,000	\$243,000

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Childrens Hospital Los Angeles 4650 Sunset Blvd Los Angeles, CA 90027 <i>Latino & African American High School Internship Program at Saban Research Institute</i>	501(c)3	\$0	\$194,400	\$0	\$194,400	\$0
Children's Hospital of Philadelphia 34th and Civic Center Blvd. Philadelphia, PA 19104 <i>Genomic Approaches to Prevent Red Blood Cell Alloimmunization in Patients with Sickle Cell Disease</i>	501(c)3	\$0	\$486,000	\$0	\$243,000	\$243,000
Clinical Research Foundation Inc 1350 Connecticut Ave NW, Ste 850 Washington, DC 20036 <i>Clinical Research Forum Annual Meeting</i>	501(c)3	\$0	\$10,000	\$0	\$10,000	\$0
Trustees of Columbia University in the City of New York, NY <i>Summer Program for Underrepresented Students (SPURS) at Columbia University</i>	501(c)3	\$0	\$25,000	\$0	\$25,000	\$0
Trustees of Columbia University in the City of New York New York, NY <i>Novel Approaches to Bacterial Vaginosis: Diagnostics and Risk Factors</i>	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
Trustees of Columbia University in the City of New York New York, NY <i>Language Deficits in Autism: Image-Guided Non-Invasive Brain Stimulation</i>	501(c)3	\$135,000	\$0	(\$135,000)	\$0	\$0

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvable 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Trustees of Columbia University in the City of New York New York, NY <i>Clinical Research Fellowships for Medical Students 2010</i>	501(c)3	\$248,243	\$0	\$0	\$248,243	\$0
Dana-Farber Cancer Institute 44 Binney St Boston, MA 02115 <i>Selective Inhibition of HDAC1 and HDAC2 in Sickle Cell Disease</i>	501(c)3	\$162,000	\$0	\$0	\$162,000	\$0
Duke University 108 Seeley G. Mudd Building Durham, NC 27710 <i>NRF2 Induction as novel treatment for sickle cell disease</i>	501(c)3	\$486,000 2011	\$0	\$0	\$243,000	\$243,000
Duke University 108 Seeley G. Mudd Building Durham, NC 27710 <i>A New Locus for Familial FSGS & GWAS Study of Childhood Nephrotic Syndrome</i>	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
Duke University 108 Seeley G. Mudd Building Durham, NC 27710 <i>Maternal immune correlates of protection against HIV transmission via breast milk</i>	501(c)3	\$405,000 2009	\$0	\$0	\$0	\$162,000
Emory University Atlanta, GA 30322 <i>Reversal of Sickle Cell-Related Chronic Kidney Disease</i>	501(c)3	\$486,000 2010	\$0	\$0	\$243,000	\$243,000

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Foundation for the National Institutes of Health, Inc. 9650 Rockville Pike Bethesda, MD 20814 <i>the Annual Clinical Investigator Student Trainee (CIST) Forum</i>	501(c)3	\$0	\$28,000	\$0	\$28,000	\$0
Friends of the National Library of Medicine 7900 Wisconsin Ave, Ste 200 Bethesda, MD 20814 <i>the FNLM 2011 Conference, "Clinical Trials: New Challenges and Opportunities"</i>	501(c)3	\$0	\$10,000	\$0	\$10,000	\$0
President and Fellows of Harvard College Boston, MA <i>Consequences of population Heterogeneity in Mycobacterium Tuberculosis in the transmission bottleneck</i>	501(c)3	\$162,000	\$0	\$0	\$0	\$162,000
President and Fellows of Harvard College Boston, MA <i>Clinical and Economic Benefits of Medicare Prescription Drug Coverage</i>	501(c)3	\$486,000 2010	\$0	\$0	\$0	\$162,000
Health Research Alliance Inc. 21 T. W. Alexander Drive Durham, NC 27709-3901 <i>Membership</i>	501(c)3	\$0	\$5,000	\$0	\$5,000	\$0
Health Research Alliance Inc. 21 T. W. Alexander Drive Durham, NC 27709-3901 <i>New Frontiers in Science Distinguished Lectureship Program at the FDA</i>	501(c)3	\$0	\$6,000	\$0	\$6,000	\$0

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Johns Hopkins University Broadway Research Building, Suite 117 733 North Broadway Baltimore, MD 21205 <i>HIV and HSV-2 shedding and transmission in recently circumcised men</i>	501(c)3	\$0	\$486,000	\$0	\$243,000	\$243,000
<i>Frailty in Elderly Patients Considering Kidney Transplantation</i>	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
Johns Hopkins University Broadway Research Building, Suite 117 733 North Broadway Baltimore, MD 21205 <i>Advanced Glycation End Products Induce Endothelial Mesenchymal Transform.</i>	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
General Hospital Corporation, dba Massachusetts General Hospital 55 Fruit Street Boston, MA 02114 <i>Innate immunity in HIV-1 infection</i>	501(c)3	\$375,000	\$0	\$0	\$375,000	\$0
General Hospital Corporation, dba Massachusetts General Hospital 55 Fruit Street Boston, MA 02114 <i>Stem cell characteristics of HIV-1-specific T cells</i>	501(c)3	\$1,500,000	\$0	\$0	\$1,500,000	\$0
The Medical College Of Wisconsin Inc 8701 Watertown Plank Rd Milwaukee, WI 53045 <i>Effects of the Adenosine 2A Receptor Agonist Regadenoson on Sickle Cell Vaso-occlusion and Inflammation</i>	501(c)3	\$0	\$486,000	\$0	\$243,000	\$243,000
			\$486,000		\$486,000	

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Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Memorial Sloan-Kettering Cancer Center 1275 York Avenue New York, NY 10021 <i>Preclinical evaluation of globin gene transfer in mobilized SCD patient CD34+ cells</i>	501(c)3	\$0	\$486,000	\$0	\$243,000	\$243,000
Memorial Sloan-Kettering Cancer Center 1275 York Avenue New York, NY 10021 <i>Analysis of the phosphatase PTPRD, a widely inactivated tumor suppressor in glioma</i>	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
Miltons S Hershey Medical Center 500 University Dr Hershey, PA 17033 <i>MicroRNAs as Biomarkers and Therapeutic Targets in Allergy</i>	501(c)3	\$0	\$486,000	\$0	\$243,000	\$243,000
Minnesota Medical Foundation Minneapolis, MN <i>Role of NK Cell Receptors in Improving Outcomes after Umbilical Cord Blood Transplantation for Hematologic Malignancies</i>	501(c)3	\$0	\$486,000	\$0	\$243,000	\$243,000

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
National Academy of Sciences 500 5th Street NW, Attn: Anne Clalborne, Keck 834 Washington, DC 20001 <i>Institute of Medicine's Forum on Drug Discovery, Development and Translation</i>	501(c)3	\$0	\$10,000	\$0	\$10,000	\$0
National Academy of Sciences 500 5th Street NW, Attn: Anne Clalborne, Keck 834 Washington, DC 20001 <i>the Institute of Medicine's 2012 Forum on Drug Discovery, Development, and Translation</i>	501(c)3	\$0	\$10,000	\$0	\$10,000	\$0
National Public Radio Inc 635 Massachusetts Ave NW Washington, DC 20001-3753 <i>NPR news programming, including coverage of science and biomedical research issues</i>	501(c)3	\$0	\$50,000	\$0	\$50,000	\$0
New York Stem Cell Foundation Inc 1995 Broadway, Suite 600 New York, NY 10023 <i>Generation and maintenance of iPS cell lines at the NYSCF Personalized Medicine Bank</i>	501(c)3	\$0	\$50,000	\$0	\$50,000	\$0
New York University NYU Midtown Center 11 West 42nd Street New York, NY 10036 <i>Platelet Activity in Cardiovascular Disease</i>	501(c)3	\$162,000	\$0	\$0	\$0	\$162,000
New York University NYU Midtown Center 11 West 42nd Street New York, NY 10036 <i>Functional Genomics of the Host: Defining Macrophage Susceptibility to Tuberculosis</i>	501(c)3	\$162,000	\$0	\$0	\$0	\$162,000

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Northwestern University Office of Sponsored Research 710 North Lake Shore Drive Chicago, IL 60611 <i>A Minimally-Invasive Brain-Machine Interface for Grasp</i>	501(c)3	\$0	\$486,000	\$0	\$243,000	\$243,000
The Pop Tech Institute 21 Elm St. PO Box 1405 Camden, ME 04843 <i>Science and Public Leadership Fellows Program</i>	501(c)3	\$0	\$25,000	\$0	\$25,000	\$0
Rocketfeller University 1230 York Avenue New York, NY 10021 <i>Interplay between non-homologous end joining pathway and DNA crosslink repair in Fanconi anemia</i>	501(c)3	\$0	\$486,000	\$0	\$243,000	\$243,000
Society for Clinical and Translational Science 2025 M St NW, Ste 800 Washington, DC 20036 <i>Membership</i>	501(c)3	\$0	\$10,000	\$0	\$10,000	\$0
Board of Trustees of Southern Illinois 801 N Rutledge St RM 2077 Springfield, IL 62702 <i>Identification of optimal vector design for gene addition in sickle cell disease</i>	501(c)3	\$0	\$54,000	\$0	\$54,000	\$0
Southwestern Medical Foundation 3963 Maple Ave Ste 100 Dallas, TX 75219 <i>Investigator Meeting and Supplement to PFAST: Patent Foramen Ovale and Stroke In SCD</i>	501(c)3	\$0	\$20,000	\$0	\$20,000	\$0

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Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Southwestern Medical Foundation 3963 Maple Ave Ste 100 Dallas, TX 75219 <i>Determining cellular immune responses against aquaporin 4 in patients with neuromyelitis optica</i>	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
Southwestern Medical Foundation 3963 Maple Ave Ste 100 Dallas, TX 75219 <i>PFAST: Patent Foramen Ovale and Stroke in Sickle Cell Disease</i>	501(c)3	\$162,000	\$0	\$0	\$162,000	\$0
St. Jude Children's Research Hospital 501 St. Jude Place Memphis, TN 38105 <i>Identification of Novel Factors and Mechanisms Influencing Expression of Fetal Hemoglobin</i>	501(c)3	\$162,000	\$0	\$0	\$0	\$162,000
Board of Trustees of the Leland Stanford Junior University Stanford, CA <i>Genomic Approaches For Targeting Risk In Hematological Malignancies</i>	501(c)3	\$0	\$486,000	\$0	\$243,000	\$243,000

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Board of Trustees of the Leland Stanford Junior University Stanford, CA <i>Stanford Institutes of Medicine Summer Research Program (SIM/R) - Clinical Research Experiences</i>	501(c)3	\$0	\$183,400	\$0	\$183,400	\$0
Board of Trustees of the Leland Stanford Junior University Stanford, CA <i>Prognostic Genetics of Chromosome Arm 18q Aberrations in Colorectal Cancer</i>	501(c)3	\$0	\$0	\$0	\$135,000	\$0
Board of Trustees of the Leland Stanford Junior University Stanford, CA <i>Investigating the Role of Breast Tumor Initiating Cells in Treatment Resistance</i>	501(c)3	\$162,000	\$0	\$0	\$0	\$162,000
Temple University 3500 N Broad St Philadelphia, PA 19140 <i>Building Health Career Skills (BHCS)</i>	501(c)3	\$0	\$194,400	\$0	\$194,400	\$0
Universities Allied For Essential Medicines 2625 Alcatraz Ave #180 Berkeley, CA 94618 <i>2011 Annual Intl Conference: Enhancing University Global Health Research, Developing the Next Generation of Global Health Leaders</i>	501(c)3	\$0	\$10,000	\$0	\$10,000	\$0
					\$10,000	
					2011	

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Board of Trustees for Univ of Alabama Birmingham, AL 35233 Abnormalities of glutamate transporter localization in schizophrenia	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
Regents Univ of California Los Angeles Los Angeles, CA 90095 Disorders of Sex Development	501(c)3	\$375,000	\$0	\$0	\$375,000	\$0
Regents Univ of California Los Angeles Los Angeles, CA 90095 Beta-globin gene correction in hematopoietic stem cells for sickle cell disease	501(c)3	\$162,000	\$0	\$0	\$162,000	\$0
Regents of the University of California at Berkeley 2150 Shattuck Ave Ste 313 Berkeley, CA 94704 Mobile monitoring technology to improve patient outcomes in Sub-Saharan Africa: Evaluation of remote biosensors and wireless adherence monitors to detect early morbidity and treatment failure among HIV-infected patients in rural Uganda.	501(c)3	\$0	\$486,000	\$0	\$243,000	\$243,000
Regents of the University of California Neuroimaging of Locus Coeruleus Modulation for Cognitive Disability in Schizophrenia	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
Regents of The University of California at San Diego 9500 Gilman Drive La Jolla, CA Proteasome inhibition as subtype-specific therapeutic intervention in glioblastoma: A step toward personalized oncologic care	501(c)3	\$162,000	\$0	\$0	(\$162,000)	\$324,000

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Regents of The University of California at San Diego 9500 Gilman Drive La Jolla, CA A Novel Marker and Therapeutic Target in Liver Fibrosis	501(c)3	\$162,000	\$0	\$0	\$0	\$162,000
University Of California San Francisco San Francisco, CA 94118 Impact of chemoprevention on humoral antimalarial immunity	501(c)3	\$0	\$486,000	\$0	\$243,000	\$243,000
State University Of Iowa Foundation Po Box 4550 Iowa City, IA 52244-4550 Molecular Biology of Skeletal Muscle Atrophy	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
University of Kentucky Research Foundation 301 Peterson Service Building Lexington, KY 40506-0001 Short non-interfering RNAs as novel therapies for age-related macular degeneration	501(c)3	\$375,000	\$0	\$0	\$375,000	\$0
Regents of the University of Michigan 2074 Fleming Building Ann Arbor, MI 48109-1115 Epigenetic characterization of progressive vs. stable myelodysplastic syndromes (MDS)	501(c)3	\$0	\$486,000	\$0	\$243,000	\$243,000
	2008	\$1,500,000				
	2011				\$486,000	

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Regents of the University of Michigan 2074 Fleming Building Ann Arbor, MI 48109-1115 <i>Searching for Recurrent Gene Fusions and Translocations in Common Solid Tumors</i>	501(c)3	\$375,000	\$0	\$0	\$375,000	\$0
University of North Carolina at Chapel Hill Chapel Hill, NC 27599 <i>Myeloid Derived Suppressor Cells in Type 1 Diabetes Mellitus</i>	501(c)3	\$0	\$486,000	\$0	\$243,000	\$243,000
Trustees of the University of Pennsylvania 3451 Walnut Stree, Room P221 Philadelphia, PA 19104-6205 <i>Parkinson's disease biomarkers: Finding and understanding clinically useful markers for PD and endophenotypes within PD</i>	501(c)3	\$0	\$486,000	\$0	\$243,000	\$243,000
Trustees of the University of Pennsylvania 3451 Walnut Stree, Room P221 Philadelphia, PA 19104-6205 <i>Quality of cardiac arrest resuscitation care and effects on post-reperfusion pathophysiology</i>	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
University of Pittsburgh School of Medicine, Scaife Hall, 3550 Terrace Street Pittsburgh, PA 15261 <i>The Doris Duke Foundation Academy for Clinical Research (DDF-ACR)</i>	501(c)3	\$405,000 2009	\$0	\$0	\$194,400	\$0
University of Pittsburgh School of Medicine, Scaife Hall, 3550 Terrace Street Pittsburgh, PA 15261 <i>Junior Scholar Awards program</i>	501(c)3	\$194,400 2011	\$72,500	\$0	\$72,500	\$0

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
University of Texas Foundation PO Box 250 Austin, TX 78767-0250 <i>Immunogenetic rheostats of HIV-1 transmission, keys for vaccine development</i>	501(c)3	\$375,000	\$0	\$0	\$375,000	\$0
University of Washington Foundation Seattle, WA <i>Exome Sequencing of Melioidosis Patients to Illuminate Mechanisms of Host Susceptibility to Severe Sepsis</i>	501(c)3	\$0	\$486,000	\$0	\$295,600	\$190,400
University of Wisconsin Foundation 1848 University Ave, PO Box 8860 Madison, WI 53708-8860 <i>Surgery Clinical Research Experiences for High School Students</i>	501(c)3	\$0	\$100,400	\$0	\$100,400	\$0
Vanderbilt University 2201 West End Ave Nashville, TN 37240 <i>MicroRNAs in Myelodysplastic Syndrome</i>	501(c)3	\$0	\$486,000	\$0	\$243,000	\$243,000
Vanderbilt University 2201 West End Ave Nashville, TN 37240 <i>the Harrison Visiting Professorship</i>	501(c)3	\$0	\$10,000	\$0	\$10,000	\$0
Vanderbilt University 2201 West End Ave Nashville, TN 37240 <i>Fogarty International Clinical Research Scholars Global Health Research Trainee Orientation</i>	501(c)3	\$0	\$54,000	\$0	\$54,000	\$0

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Vanderbilt University 2201 West End Ave Nashville, TN 37240 <i>Neurogenesis in Tuberous Sclerosis Complex</i>	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
Washington University 660 S. Euclid Ave St. Louis, MO 63110 <i>Understanding brain injury and development in at risk infants to improve outcomes</i>	501(c)3	\$375,000	\$0	\$0	\$375,000	\$0
Washington University 660 S. Euclid Ave St. Louis, MO 63110 <i>Noninvasive quantification of the pulmonary anti-inflammatory effect of rosiglitazone</i>	501(c)3	\$162,000	\$0	\$0	\$0	\$162,000
Washington University 660 S. Euclid Ave St. Louis, MO 63110 <i>Essential Isoprenoids in Human Malaria</i>	501(c)3	\$486,000	\$0	\$0	\$0	\$486,000
Yale University Grant & Contract Administration 47 College Street, Suite 203 P. O. Box 208047 New Haven, CT 06520-8047 <i>Genetics and pathobiology of disorders of keratinization.</i>	501(c)3	\$0	\$486,000	\$0	\$243,000	\$243,000
					\$486,000	2011

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Yale University Grant & Contract Administration 47 College Street, Suite 203 P.O. Box 208047 New Haven, CT 06520-8047 <i>Nanoparticle-mediated correction of the sickle cell disease mutation.</i>	501(c)3	\$0	\$486,000	\$0	\$243,000	\$243,000
Yale University Grant & Contract Administration 47 College Street, Suite 203 P.O. Box 208047 New Haven, CT 06520-8047 <i>Regulation of Fc gamma receptors in immune thrombocytopenic purpura</i>	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
Yale University Grant & Contract Administration 47 College Street, Suite 203 P.O. Box 208047 New Haven, CT 06520-8047 <i>Erythrocyte Hydration Pathways as Modifiers in Sickle Cell Disease</i>	501(c)3	\$162,000	\$0	\$0	\$0	\$162,000
Yale University Grant & Contract Administration 47 College Street, Suite 203 P.O. Box 208047 New Haven, CT 06520-8047 <i>Molecular Genetics of Endocrine Tumor Disease</i>	501(c)3	\$87,000	\$0	\$0	\$0	\$87,000
Oxfam-America Inc 226 Causeway St., 5th Floor Boston, MA 02114 <i>General support</i>	501(c)3	\$0	\$14,030	\$0	\$14,030	\$0

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
Technology Affinity Group Inc 23 Briar Road Wayne, PA 19087 Philanthropic Sector Membership 2011	501(c)3	\$0	\$1,500	\$0	\$1,500	\$0
Barnard College 3009 Broadway New York, NY 10027-6909 General support	501(c)3	\$0	\$45,000	\$0	\$45,000	\$0
President and Fellows of Harvard College Boston, MA General support for Harvard College	501(c)3	\$0	\$45,000	\$0	\$45,000	\$0
Natl Sept 11 Memorial And Museum At The World Trade Center Fdn Inc One Liberty Plaza 20Th Flr New York, NY 10006-1404 General support	501(c)3	\$0	\$98,060	\$0	\$98,060	\$0
Wellesley College 106 Central Street Wellesley, MA 02481 General support	501(c)3	\$0	\$15,000	\$0	\$15,000	\$0
TOTAL Strategy and Planning		\$200,000	\$557,760	\$0	\$757,760	\$0

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2011	Approvals 2011	Amended 2011	Amount Paid 2011	Approved for Future Payment
CROSS PROGRAM FUND						
International Network For Cancer Treatment And Research USA 2000 Massachusetts Avenue, NW, Suite 100 Washington, DC 20036 Supporting the East African Registry Network (EARN), which is working to enhance cancer registration in East Africa	501(c)3	\$0	\$100,000	\$0	\$100,000	\$0
J F Kapnek Charitable Trust 936 Dewing Ave Ste E3 Lafayette, CA 94549-4277 Rehabilitating derelict primary school classrooms for the establishment of early childhood development centers	501(c)3	\$0	\$100,000	\$0	\$100,000	\$0
Sapling Foundation 250 Hudson St Rm 1002 New York, NY 10001 Three TED Fellows from Sub-Saharan Africa in 2012	501(c)3	\$0	\$30,000	\$0	\$30,000	\$0
Lower Manhattan Cultural Council 125 Maiden Lane, 2nd floor New York, NY 10038 Sustainable event management planning at the annual River to River Festival in lower Manhattan	501(c)3	\$0	\$100,000	\$0	\$100,000	\$0
		\$100,000 2011			\$100,000 2011	

**Doris Duke Charitable Foundation
Donations
For the Year Ended December 31, 2011**

VENDOR	CASH AMT	Addr 2	City	State	Zip	PURPOSE OF GIFT
DUKE UNIVERSITY		65,000 BOX 90202; SMITH WAREHOUSE	DURHAM	NC	27708	Donation from Archives
Total Donations	\$65,000					

Doris Duke Charitable Foundation

FYE: 12/31/2011

Form 990-PF, Part I, Line 6(a) and Part IV Statement

DESCRIPTION	REALIZED GAINS	REALIZED (LOSSES)	NET REALIZED GAIN/(LOSS)
EQUITY INVESTMENTS			
<u>US LARGE CAP MANAGERS</u>			
None	-	-	-
TOTAL US LARGE CAP MANAGERS	-	-	-
<u>US SMALL CAP MANAGERS</u>			
99-NTGI STRUCTURED SMALL CAP	125,681	(265,865)	(140,184)
TOTAL US SMALL CAP MANAGERS	125,681	(265,865)	(140,184)
<u>NON-US DEVELOPED</u>			
98-NTGI EAFE ENHANCED FUND	46	(3,769)	(3,723)
TOTAL NON-US DEV. MANAGERS	46	(3,769)	(3,723)
<u>NON-US EMERGING MANAGERS</u>			
42-GMO EMG MARKETS FUND III	8,848,383	-	8,848,383
37-CAP.INT'L EMG.MKTS.GROWTH	1,867,898	-	1,867,898
132-BGI FRONTIER FUND	1,181,713	(3,912,415)	(2,730,702)
TOTAL NON-US EMERGING MANAGERS	11,897,994	(3,912,415)	7,985,579
<u>GLOBAL EQUITY</u>			
36-CAPITAL GUARDIAN GLOBAL E	9,235,164	(5,559,904)	3,675,260
57-WALTER SCOTT & PARTNERS	413,087	(1,688,887)	(1,275,800)
100-BRANDES INVESTMENT PTRS	320	(115)	205
163-LONE CASCADE	-	-	-
TOTAL GLOBAL EQUITY	9,648,571	(7,248,906)	2,399,665
TOTAL EQUITY INVESTMENTS	21,672,292	(11,430,955)	10,241,337
MARKETABLE ALTERNATIVE INVST			
<u>MULTI-STRATEGY</u>			
41-FARALLON CAP INST. PTRS	4,009,028	-	4,009,028
30-AG PRINCESS LP	-	-	-
50-OCH-ZIFF OFFSEAS FUND	-	-	-
78-BRIDGewater PURE ALPHA FN	-	-	-
103-OLD LANE CAYMAN HFF	33,936	-	33,936
121-TACONIC OPPORTUNITY FUND	-	-	-
127-PAULSON ADVANTAGE PLUS	-	-	-
129-BAUPOST VALUE PTNRS IV	-	-	-
138-GSO SPECIAL SITUATIONS	-	-	-
145-GMO MEAN REVERSION	-	-	-
149-WOODBINE CAPITAL FUND	-	-	-
150-FRONTPOINT OFFSHORE	-	-	-

Doris Duke Charitable Foundation

FYE: 12/31/2011

Form 990-PF, Part I, Line 6(a) and Part IV Statement

DESCRIPTION	REALIZED GAINS	REALIZED (LOSSES)	NET REALIZED GAIN/(LOSS)
157-WELLINGTON FUND	214	(1,718)	(1,504)
TOTAL MULTI-STRATEGY	4,043,178	(1,718)	4,041,460
<u>LONG/SHORT EQUITY</u>			
35-BROOKSIDE CAP PARTNERS	11,476,064	-	11,476,064
52-PEQUOT ENDOWMENT FUND LP	1,356,130	-	1,356,130
45-HIGHLINE CAPITAL INT'L	8,520,720	-	8,520,720
67-SOUTHPORT ENERGY PLUS OS	4,988,961	-	4,988,961
73-CHILDREN'S INVESTMENT FND	7,048,522	-	7,048,522
80-OSPARIE WINGSPAN	-	(828,522)	(828,522)
87-PROSPECT HARBOR CR PTRS	1,295,424	-	1,295,424
90-CONVEXITY CAPITAL OFFSH	-	-	-
104-KING STREET CAPITAL	-	-	-
110-RENAISSANCE INSTIT EQTYS	-	(3,799,008)	(3,799,008)
112-GALLEON TECHNOLOGY FUND	-	-	-
114-STEEL PARTNERS OFFSHORE	64,361	(10,288)	54,073
152-STEEL PTNRS OFFSH (INTL)	-	-	-
115-STEEL PARTNERS JAPAN	-	(1,116,780)	(1,116,780)
124-HIGHLINE SELECT LIMITED	-	-	-
126-ALGEBRIS GLOBAL FNCL FND	282,219	(3,616,627)	(3,334,408)
147-ADAGE CAPITAL PARTNERS	-	-	-
148-DIVERSIFIED OFFSHORE	-	-	-
151-CONATUS CAPITAL OVERSEAS	-	-	-
154-HIGH RISE CAPITAL, LP	2,901,344	(1,021,672)	1,879,672
156-PFM MERITAGE OFFSHR FND	-	-	-
158-CHILTON GLBL NTRL RESOURC	-	-	-
159-FINE OFFSHORE FUND	-	-	-
164-SOROBAN CAYMAN FUND	-	-	-
166-JAT CAPITAL	-	-	-
170-CENTERBRIDGE CREDIT	-	-	-
171-GOLDENTREE CLO DEBT	-	-	-
TOTAL LONG/SHORT EQUITY	37,933,745	(10,392,897)	27,540,848
TOTAL MARKETABLE ALT INVSTMNTS	41,976,923	(10,394,615)	31,582,308
<u>NON-MARKETABLE ALTERNATIVE AST</u>			
43-HARBOUR VEST IPEP I LP	1,377,381	-	1,377,381
39-COMMONFUND CAP PE V LP	2,649,877	-	2,649,877
40-COMMONFUND CAP VP VI LP	788,359	-	788,359
54-SPO PARTNERS II LP	-	-	-
65-BAIN CAPITAL FUND VIII LP	982,727	-	982,727
68-HEARTWOOD FORESTRY FUND V	93,522	-	93,522

Doris Duke Charitable Foundation

FYE: 12/31/2011

Form 990-PF, Part I, Line 6(a) and Part IV Statement

DESCRIPTION	REALIZED GAINS	REALIZED (LOSSES)	NET REALIZED GAIN/(LOSS)
69-GMO FORESTRY FUND VIII	2,451,579	-	2,451,579
70-PORTFOLIO ADVISORS	770,177	-	770,177
72-KIRTLAND CAPITAL CO LP	-	-	-
75-OAK HILL CAP PART. II	1,409,198	-	1,409,198
76-SANKATY CREDIT OPP II LP	-	-	-
81-SEQUOIA CAP GROWTH FNDIII	820,095	(101,927)	718,168
82-SEQUOIA CAP CHINA I	117,424	-	117,424
88-BAY PARTNERS XI, LP	930,050	-	930,050
89-LYME FOREST FUND	-	-	-
91-DFJ ELEMENT	-	-	-
92-PORTFOLIO ADVISORS PE IV	324,222	-	324,222
94-TA ASSOCIATES X LP	278,725	(37,500)	241,225
95-BAIN CAPITAL FUND IX LP	-	-	-
96-NGEN PARTNERS II	-	-	-
101-SEQUOIA CAPITAL XII	195,425	-	195,425
102-TPG PARTNERS V	26,453	-	26,453
105-OAK INVEST PTNRS XII	124,722	-	124,722
106-SEQUOIA CAP INDIA GRW I	97,510	-	97,510
107-PERMIRA IV	161,053	(180,997)	(19,944)
108-ASIA ALTERNATIVES CAP PTN	380,130	-	380,130
109-CERBERUS INSTIT PTNRS LP	-	-	-
111-AACP CHINA GROWTH INVESTR	84,655	-	84,655
113-SILVER LAKE III	1,078,527	-	1,078,527
116-SANKATY CRDT OPPOR III	-	-	-
117-GREENPARK INTL INVST III	83,785	(11,128)	72,657
118-INSIGHT VENTURE PTNRS VI	623,751	-	623,751
119-HARBOURVEST PTNRS 2007	410,287	-	410,287
120-SEQUOIA CAPITAL CHINA II	-	-	-
123-SEQUOIA CAPITAL INDIA III	-	-	-
125-OAK HILL CAP PTNR III	-	-	-
128-ASIA ALTRNTVE CAP PTR II	9,504	-	9,504
131-TPG PARTNERS VI	-	-	-
133-NORDIC CAPITAL FUND VII	1,144	(2,854)	(1,710)
135-INVESTCORP TECH PTNR III	-	-	-
136-RIVERSTONE/CARLYLE GBL	293,805	-	293,805
139-SANKATY CREDIT OPPOR IV	549,991	-	549,991
140-AUSTIN VENTURES X	-	-	-
141-TCV VII(A) LP	-	-	-
143-SEQUOIA CAP INDIA GRW II	-	-	-
146-SEQUOIA CAP US GRW FND IV	121,925	-	121,925
153-SEQUOIA CAPITAL 2010	-	-	-
155-PORTFOLIO ADVISORS PE VI	32,533	-	32,533

Doris Duke Charitable Foundation

FYE: 12/31/2011

Form 990-PF, Part I, Line 6(a) and Part IV Statement

DESCRIPTION	REALIZED GAINS	REALIZED (LOSSES)	NET REALIZED GAIN/(LOSS)
160-HAMPSHIRE PTNRS REIT VIII	-	-	-
161-GARRISON REAL ESTATE II	-	-	-
162-MERIT ENERGY PTNRS H, LP	-	-	-
165-PORT ADV REAL ESTATE IV	-	-	-
167-SEQUOIA CAP US GRWTH V	-	-	-
168-CROSSHARBOR INST PTNR II	-	-	-
169-ASIA ALTN CAP PTNRS III	-	-	-
172-DENHAM COMMODITY FUND	-	-	-
TOTAL NON MKTBL ALTER. ASSE	17,268,536	(334,406)	16,934,130
FIXED INCOME/MISC INVESTMENTS			
62-BLACK ROCK CORE BOND FUND	4,347,114	(3,315,693)	1,031,421
63-PIMCO COREPLUS BOND FUND	22,895,236	(20,685,684)	2,209,552
64-PIMCO REAL RETURN	12,847,623	(4,051,010)	8,796,613
83-BLACKROCK FXD INCOME GBL	3,944,140	-	3,944,140
144-PIMCO SHORT DURATION BOND	2,220,816	(2,621,069)	(400,253)
134-CLIFTON GROUP	81,130,472	(78,286,382)	2,844,090
130-MESIROW FINANCIAL	63,887,365	(67,015,057)	(3,127,692)
TOTAL FIXED INC./MISC INVESTS	191,272,766	(175,974,895)	15,297,871
TOTAL INVESTMENT MANAGERS	272,190,517	(198,134,871)	74,055,646
CASH AND OTHER			
1-INVESTMENT CASH	62,154	-	62,154
1178-INVESTMENT ADMIN	-	-	-
1800-CORPORATE ADMINISTRATIO	-	-	-
TOTAL CASH AND OTHER	62,154	-	62,154
TOTAL 100-DDCF	272,252,671	(198,134,871)	74,117,800
		LESS DDF ALLOCATIONS	(182,837)
		ROUNDING	2
			73,934,965

**All gains and losses reported on Part IV of the Form 990-PF are from publicly traded securities; accordingly, additional detail about those gains and losses are not required (per the 990-PF instructions).

Doris Duke Charitable Foundation
FYE: 12/31/2011
Direct Charitable Activities

	<u>2011</u>
2011 AHI Annual Meeting	119,697
2011 Clinical Scientist Development Meeting	627
2011 DCSV Meeting	12,258
2011 Career Development Conference	240
2011 Clinical Research Fellows Meeting	57,625
2011 MRP Needs Assessment Meeting	11,261
2011 Scientific Advisory Committee	32,060
Total Direct Charitable Activities	<u><u>233,768</u></u>

Doris Duke Charitable Foundation
Form 990-PF
Part IX-A: Summary of Direct Charitable Activities
FYE: 12/31/2011

Environment Activities

Doris Duke was a lifelong environmentalist with a keen interest in conservation. In her will, she expressed her interest in "the preservation of wildlife, both flora and fauna" and in supporting "ecological endeavors." The Doris Duke Charitable Foundation Environment Program is committed to enabling communities to protect and manage wildlife habitat and create efficient built environments. The Foundation supports environmental initiatives and partners with other tax-exempt organizations like The Nature Conservancy to protect public land.

The Environment Program strives to accomplish its mission through the following strategies:

- Accelerate Wildlife Habitat Conservation in an Era of Climate Change - Protect important wildlife habitat and help wildlife adapt to the impacts of climate change.
- Wildlife and Energy Development- Expedite the sensitive siting of energy infrastructure and reduce energy demand by increasing energy efficiency in the buildings sector.
- Strengthening the Conservation Field - Strengthen organizations focused on the conservation and management of wildlife habitat by diversifying the workforce, increasing public conservation funding and building the capacity of the land trust community.
- Environmental Stewardship in the Tri-State Area – Support local efforts to manage wildlife habitat and create efficient, healthy, and vibrant built and natural environments

Medical Research Activities

Doris Duke was a significant supporter of medical research, making contributions to hospitals and research centers throughout her life. In her will, she expressed her interest in supporting "medical research designed to effectuate cures of major diseases."

The mission of the Medical Research Program is to support the prevention, treatment and cure of human disease. The Medical Research Program strives to accomplish its mission through the following strategies:

- Clinical Research Career Ladder

This strategy supports physician-scientists in the United States at different stages of their careers through competitive awards programs..

- Innovations in Clinical Research

This strategy supports innovative and multidisciplinary approaches to clinical research through competitive awards programs.

African Health Activities

As the Doris Duke Charitable Foundation approached its 10th anniversary in 2007, the foundation's board challenged the staff to identify a compelling and urgent need that fit the mandate of Doris Duke's will, and for which a well-timed and large infusion of the foundation's resources (above and beyond its normal grant-making activities) had the potential to make a significant change. Extensive research and interviews with dozens of experts revealed that despite the recent growth of health investments in Africa, efforts to improve access to essential medical care remain seriously hindered by fragile health systems, health worker shortages and a lack of integrated care. In response to these challenges, the foundation launched the multi-million dollar African Health Initiative in the fall of 2007.

The African Health Initiative seeks to catalyze significant advances in strengthening health systems by supporting partnerships that will design, implement and evaluate large-scale models of care that link implementation research and workforce training directly to the delivery of integrated primary health care in sub-Saharan Africa.

Central to the initiative was the establishment of large-scale Populations Health Implementation & Training Partnerships that link implementation research and training to health care delivery. In 2009, the foundation awarded four grants ranging from \$8 million to \$15 million each to support these partnerships working in Ghana, Mozambique, Rwanda, Tanzania and Zambia. Over a period of five to seven years, each Partnership will aim to:

- Provide integrated primary health care and achieve measurable health improvements for underserved communities of 300,000 to 1.6 million people;
- Strengthen health systems in a manner that enables local and national governments to sustain improvements beyond the grant period;
- Increase the knowledge available for evidence-based health systems planning through rigorous operations and implementation research.

Child Abuse Prevention Activities

Doris Duke took a special interest in the needs of children, supporting nearly 85 child welfare organizations during her life. Her support included contributions to orphanages, camps, mentor programs, little league teams, hospitals, and boys and girls clubs. In her will, Doris Duke expressed her interest in "the prevention of cruelty to children."

The Child Abuse Prevention Program promotes the healthy development of children in order to protect them from abuse and neglect.

The program seeks to accomplish its mission by making grants that develop and infuse best prevention practices into normal, non-stigmatizing systems that routinely serve large numbers of families with young children (birth to age six), such as the early education and child care systems. The foundation supports prevention and early intervention efforts that educate, support, and/or assist families **before** an incident of abuse or neglect occurs.

Arts Activities

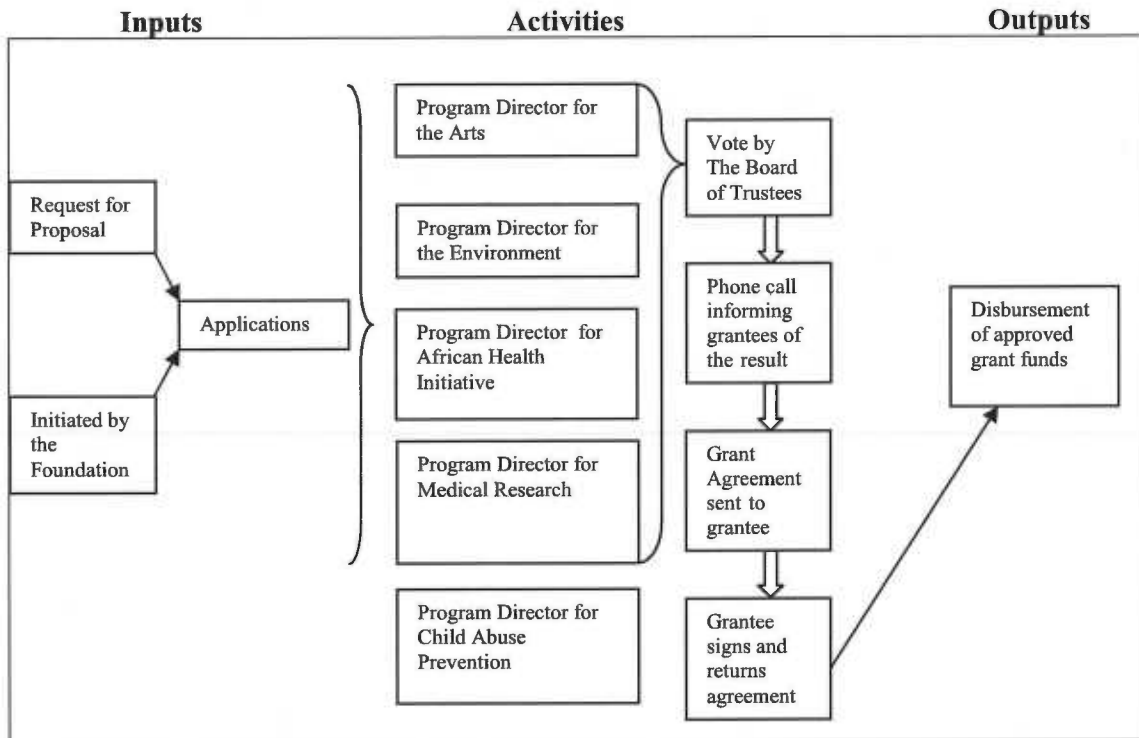
Doris Duke, in her will, expressed an interest in assisting “actors, dancers, singers, musicians and other artists of the entertainment world in fulfilling their ambitions and providing opportunities for the public presentation of their arts and talents.” The Doris Duke Charitable Foundation supports this interest by focusing its support on contemporary dance, jazz and theatre artists, and the organizations that nurture, present and produce them.

The mission of the Arts Program is to support performing artists with the creation and public performance of their work. The Arts Program accomplishes this mission through the following strategies:

- Artistic Creation & Distribution – Through national competitive initiatives administered by intermediary organizations, the foundation supports commissioning, production and presentation of new work in each of its fields of interest. Typically these re-granting programs offer additional benefits to supported artists, including professional development, networking opportunities and participation in conferences and other meetings.
- Organizational Transformation – To complement its support for artistic creation and distribution, the Arts Program works to build strong organizations to serve performing artists. Through competitive initiatives administered by intermediary organizations, the foundation offers grants to support bold, innovative approaches to addressing current issues affecting the performing arts: the impact of technology, loss of audiences and/ or changes in leadership.
- National Sector Building – Recognizing DDCF's role as a national foundation, the Arts Program supports activities that will build strong national performing arts fields. The Arts Program directly supports national organizations critical to the health of dance, jazz, presenting and theatre, as well as national projects that have the potential to improve the health of a given field.

The \$233,768 in direct charitable expenditures listed in Part IX-A represent amounts paid by the Doris Duke Charitable Foundation to support various meetings, conferences, seminars and other climate, conservation and/or medical research endeavors. Further information about each individual program listed in the attached schedule is available upon request.

**Doris Duke Charitable Foundation
Grants Process
December 31, 2011**



The mission of the Doris Duke Charitable Foundation (DDCF) is to improve the quality of people’s lives through grants supporting the performing arts, environmental conservation, medical research and prevention of child maltreatment, and through preservation of the cultural and environmental legacy of Doris Duke’s properties.

Grant Development and Approval

Grants are either competitively awarded through Request for Proposal (RFP) processes or are initiated by the Foundation. Grants and proposed grant competitions are presented to the Board of Trustees at one of the four meetings that take place during the year. All grants must be approved by the Board of Trustees with the exception of the Program Fund, Cross-Program Fund, Trustee recommended grants, and grants selected through competitive, peer-reviewed program initiatives. In these cases, the Board approves a total amount for the program initiative or fund and delegates to DDCF’s President Edward Henry the authority to approve grants. Authority for Program Fund (PF) and Cross-Program Fund grants is sought from the Board each November for the following year. PF and Cross-Program Fund grants must be for purposes that are in keeping with the mission of the Foundation; the amount of these grants is limited both on a per-grant basis (\$100,000 or less) and cumulative annual basis for each program (up to 5% of each Program’s annual payout budget may be awarded as Program Fund grants). Additionally, the Board authorized the President to approve up to \$500,000 in Program Fund grants for the Climate Change Initiative and for the African Health Initiative (with individual grants totaling no more than \$100,000), with funding to come from each initiative’s annual grants budgets. All Program Fund and Cross-Program Fund grants are developed by staff, undergo routine due diligence

review, and are approved by the President. Each Program Fund and Cross-Program Fund grant totaling more than \$50,000 discussed at a Staff Program Review meeting prior to approval. Finally, in 2011, four Trustees recommended grants totaling \$343,210; the grant purpose and organizations must be vetted by staff and approved by the Board Chair prior to grant award.

Edward Henry (President) is actively involved in the entire grants cycle. Prior to performing all the research on a potential grantee, the Program Director discusses the organization or proposed project with Mr. Henry. Based on this discussion, the research will begin or the project will be set aside.

Once a completed grant application is returned to DDCF, the Program Director and staff members review it to ensure that the organization meets DDCF requirements and that the program description is in line with DDCF's mission and program objectives. The application is also reviewed by the Grants Manager and Program Associate for Grants Administration to ensure that all the proper documentation has been received and the organization is a qualified not-for-profit. Each proposed grant is entered into GIFTS for Windows, the Foundation's grants management database.

Once the submitted application has been reviewed and approved, the Program Director compiles a docket for presentation to the Board of Directors that describes the potential grantee organization and its proposed use of funds. Prior to the Board meeting, the Program Director presents the information to senior management and program staff at a Program Review session and at a "practice" session for the Board meeting. During the meeting the senior staff critiques the written summary and the presentation, and appropriate changes are made, as necessary.

At the next Board meeting, the docket will be presented to the Board for their approval. The Board's decisions regarding proposed grants are documented in the Board of Director Minutes. After a grant has been approved by the board, Grants Administration staff records the grant as "Approved" in GIFTS and the GIFTS system assigns a grant number. Grants Administration then sends a report detailing the grants approved to Finance and the Accounting Manager prepares a journal entry to record the liability.

Grant Award

Once the Board approves the grant, the appropriate DDCF staff member notifies the organization by telephone. The Program Associate for Grants Administration generates a draft grant agreement using the appropriate GIFTS template (grant agreement templates are stored in GIFTS and merged with the GIFTS grant data to create the grant agreement). The Program Director and Grants Manager review and refine the grant agreement until they are satisfied that it is complete. Once the Grants Manager has approved the grant agreement and award letter and verified that all grant documentation is in order, the agreement and award letter is forwarded to the President for signature.

After the President signs the award letter and the grant agreement, the award package is sent to the grantee organization. The grant agreement specifies the total funds awarded, payment schedule, use of the funds, performance and financial reporting requirements, and various other disclosures regarding DDCF's rights (for example, to publicly announce the grant). If the grantee organization agrees to the terms of the agreement an authorized representative of the organization will sign and return the agreement to the DDCF (two originals of the agreement are sent and the grantee organization retains one original for their records). When the fully executed grant agreement is received by the Foundation, the first grant payment is initiated by Grants Administration and forwarded to Finance for processing.

Grant Payment Process

Once the Grants Manager has determined that all requirements for a grant payment have been met, the grant payment process is initiated.

The Grants Assistant confirms that ACH instructions are still correct and updates the GIFTS record if necessary. Then, Grants Administration exports the grantee data from GIFTS to an Excel spreadsheet which is emailed to the Accounting Manager for upload to the Lawson Process Flow. Once the information is uploaded into Lawson and released (with links to the fully executed grant agreement & the payment authorization) an e-mail is sent to the applicable approvers, alerting them that an invoice requires approval in the Lawson system. Once the approvals have been made, an ACH file is generated via Lawson & transmitted to Bank of America via a secured transmission website. The transmission is then verified with the bank via a phone call to an ACH automated phone system. Once the payment has been sent, the grantee and appropriate program staff are alerted by email that the ACH has been executed.

Grant signing authority requirements:

Grant Payment Amount	Requirements	Signatories
between \$0 and \$9,999.99	Require any one signer listed	Peter Simmons Eileen Oberlander Edward Henry Deborah Close Jeffrey Heil
between \$10,000 and \$99,999.99	Require any two signers listed	Peter Simmons Eileen Oberlander Ed Henry Deborah Close Jeffrey Heil
Equal to or over \$100,000	Require two of the following individuals	Peter Simmons Ed Henry Eileen Oberlander

Grant Monitoring

Within each grant agreement the grantee agrees to provide DDCF with certain interim and final financial and program performance information. The time period for this submission varies from grant to grant. To ensure that the DDCF funds are being used in accordance with the grant agreement, the grantee is required to submit the following information:

- A narrative describing program accomplishments, challenges, and future plans
- An assessment of the project to date (have the original goals been achieved, were any program refinements necessary?)
- Copies of any literature, reports, or media coverage that was generated as a result of the grant
- A copy of the most recent audited financial statement
- A budget to actual comparison of use of the grant funds (indicating any budget modifications and explanations for budget variances)
- If a multi-year grant, a revised budget and budget narrative for the upcoming year (if warranted)

- The grantee is also required to notify DDCF of any changes to their board, senior staff, or tax-exempt status.

This information is required whether the grant period is one year or multi-year. If the grant is multi-year, the subsequent disbursements will not be made until this information has been received, reviewed, and approved by DDCF staff.

This information will be submitted to DDCF and reviewed by the Program Director and Grants Administration staff to ensure that the grantee has been using DDCF's funds for the purpose outlined in their grant agreement. This includes determining if certain project milestones have been met, whether funds have been spent reasonably and within program guidelines, and whether the project has benefited the items/individuals targeted in the agreement. Additionally, the financial information is reviewed by the Grants Manager or Program Associate for Grants Management, and either the Program Director or Program Officer – and, as necessary, by a member of the Finance Department – to ensure that the grantee is not experiencing any financial difficulties.

Once this information has been evaluated and approved by DDCF, the payment request is prepared for multi-year grants or, for single year grants, the file will be closed.

Computer information systems

The Foundation uses GIFTS for Windows, a grants management database system purchased from MicroEdge, Inc., to track detailed information about each application for funding and each grant approved. Applications are received through an internet grants application module and retrieved into the database and their status is tracked according to their progress through the review, approval or declination, and disbursement and reporting process. The database is also used to generate grant related documentation, including (but not limited to) grant award letters and grant agreements, payment request memoranda, and reporting review checklists. GIFTS also provides reports that enable the user to project the upcoming disbursements, determine when financial or other reporting information is required, track grant expiration, and track activities related to each grant.



TAXPAYER NAME : DORIS DUKE CHARITABLE FOUNDATION	TIN : xxxxx3679
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Deposit Confirmation

Your payment has been accepted.

Payment Successful

An EFT acknowledgement number has been provided for this payment. Please keep this number for your records.

REMINDER: REMEMBER TO FILE ALL RETURNS WHEN DUE!

EFT ACKNOWLEDGEMENT NUMBER:	270271411246584
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Payment Information	Entered Data
Taxpayer EIN	xxxxx3679
Tax Form	990PF Return of Private Foundation
Tax Type	Balance due on return or notice
Tax Period	Not Required/2011
Payment Amount	\$73,811.00
Settlement Date	11/09/2012